Shedding of HIV and human herpesviruses in the semen of effectively treated HIV-1 infected men who have sex with men

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Abstract

Background

Current antiretroviral therapy (ART) suppresses HIV in blood to undetectable levels in most infected individuals; however, some men shed HIV in semen despite suppressed levels in blood.

Methods

This study included 114 chronically HIV-1 infected men who have sex with men, who were receiving ART with blood plasma HIV <500 copies/ml. Asymptomatic participants were screened for bacterial sexually transmitted infections (STIs) and non-specific genital inflammation. Levels of HIV and seven human herpesviruses were measured by real-time (RT)-PCR in seminal plasma. Predictors of HIV seminal shedding were determined on the entire cohort, and on the subset of 100 subjects with blood plasma HIV <50 copies/ml.

Results

Eleven subjects (9.6%) had detectable levels of seminal HIV (median: 2.1 log_{10} copies/ml), and 72 (63.2%) had at least one herpesvirus detected in their seminal plasma. Detectable levels of seminal HIV were present more often in persons with plasma HIV between 50-500 copies/ml compared to those <50 copies/ml (p-values adjusted for false discovery rate (FDR)=0.08). There was a trend for high-level CMV (>4 log_{10} DNA copies/ml) (FDR-adjusted p=0.08), and presence of EBV (FDR-adjusted p=0.06) in semen to be associated with detectable seminal HIV levels. In a sub-analysis of 100 subjects with blood plasma HIV <50 copies/ml, high-levels of CMV in semen was the only significant predictor for seminal HIV shedding.
Conclusions

Low-level HIV replication in blood and high-level seminal CMV shedding, but not presence of asymptomatic STIs, is associated with seminal shedding of HIV in men receiving ART, conferring a potential risk for HIV transmission.

Summary: To understand the associations between co-infections and HIV RNA shedding in the genital tract of men receiving ART with suppressed blood plasma viral load.
Introduction

Current antiretroviral therapy (ART) suppresses human immunodeficiency virus type 1 (HIV) RNA in blood and semen to below the level of detection in most infected men, substantially decreasing the risk of sexual HIV transmission [1-4]. Recent studies reported a >90% reduction in HIV transmission among serodiscordant heterosexual couples after starting ART [2, 3], and a similar reduction in HIV transmission associated with anal intercourse was noted in men who have sex with men (MSM) receiving ART [5].

Nevertheless, sexual HIV transmission [6, 7], and intermittent seminal HIV shedding [8] can occur despite ART. The frequency of seminal HIV shedding in treated individual range from 2 to 48% [9-12]. HIV shedding in the semen of ART-treated individuals might be a consequence of viral compartmentalization [13] with poor drug penetration within the genital tract [8, 14], or stimulation of virus replication by concurrent sexually transmitted infections (STIs) and genital inflammation [9, 10, 15-17]. Seminal cytomegalovirus (CMV) was previously associated with increased activation of CD4+ T-cells in the genital tract [18], and several groups have described an association between CMV and Epstein-Barr virus (EBV) with HIV seminal shedding in individuals with detectable HIV in blood plasma [19-23]. From a public health perspective, it is crucial to understand if concurrent CMV and EBV shedding are associated with HIV shedding in semen of immunologically reconstituted and virologically controlled individuals.
Materials and Methods

Participants, samples and clinical laboratory tests

Semen samples from asymptomatic chronically HIV-infected high-risk sexual active MSM that were prospectively enrolled in the California Collaborative Treatment Group (CCTG) 592 were included in this study. CCTG 592 is a study of an internet-based behavioral intervention of high risk MSM that included baseline collection of semen and a longitudinal follow up on a total 180 HIV-infected MSM that could be on or off ART. For this study, we included a baseline semen samples from subset of 114 subjects who were receiving effective ART with blood plasma HIV <500 copies/ml within three months before the seminal sample collection. Semen was collected and processed, as previously described [24, 25]. For each subject, potential infection from Neisseria gonorrhoeae, Chlamydia trachomatis, Mycoplasma genitalum, and Trichomonas spp. were tested from urine, rectal and pharyngeal samples using transcription-mediated amplification (TMA) (Genprobe Aptima, San Diego CA). Active syphilis infection was evaluated by rapid plasma reagin titers (RPR), TPPA confirmatory testing and clinical history. Urinalysis was performed with a routine urine dipstick testing for leukocyte esterase; urine inflammation was defined as presence of leukocyte esterase of 1+ or greater. Blood CD4+ T lymphocyte subsets were measured by flow-cytometry (CLIA certified local laboratories), and HIV levels were quantified by the Amplicor HIV Monitor Test (Roche Molecular Systems Inc.). All subjects completed a baseline computer assisted self-reported interview for sexual risk behavior, drug use and adherence to ART in the past month. The studies were conducted with appropriate written subject consent and were approved by the Human Research Protections Program at the University of California San Diego, Los Angeles Biomedical...
Research Institute at Harbor-UCLA Medical Center, the University of Southern California, and the Feinstein Institute for Medical Research, North Shore-LIJ Health System, NY.

**RNA Extraction from seminal plasma, and HIV quantification**

HIV levels were measured in seminal plasma by first concentrating RNA from 500μL of seminal plasma with high-speed centrifugation (23,500xg at 4°C for 1 hour) after 1:1 dilution with phosphate buffered saline (PBS), as previously described [25]. Concentrated RNA was extracted using High Pure Viral RNA Kit (Roche) and cDNA was generated using the SuperScript III First-Strand Synthesis Kit (Invitrogen) with specific primer mf302 [26]. HIV in seminal plasma was quantified by real-time PCR in an ABI 7900HT thermocycler (Applied Biosystems) [19, 25]. HIV RNA quantification standard was obtained from the DAIDS Virology Quality Assurance (VQA) Program [27].

**DNA Extraction from seminal plasma, and herpesvirus DNA quantification**

Viral DNA was extracted from 200μl of seminal plasma using QIAamp DNA Mini Kit (Qiagen) per manufacturer’s protocol. EDTA (50mM) was added to seminal plasma to inhibit DNase activity. Levels of different herpesviruses in semen were measured by real-time PCR in an ABI 7900HT thermocycler (Applied Biosystems, CA) [19, 25]. Quantification standards for the different herpesviruses were obtained using plasmid preparations with known concentrations.

**Statistics**

Statistical analyses were performed using SAS (version 9.2). Viral load variables were transformed to logarithm base ten values. Non-normal data were either dichotomized
(undetectable/detectable) or ordinalized (undetectable/detectable; high versus low viral level with threshold at 4 log_{10} copies/ml) as described previously [19]. Comparisons between groups (seminal HIV shedding versus non shedding) were performed using Fisher-exact test (for sparse categorical variable), t-test (for continuous, normally distributed variables) or Mann Whitney U test (for continuously, non-normally distributed variables). Univariable p values were adjusted by false discovery rate (FDR) using Benjamini and Hochberg method to correct for multiple comparisons (table 2). A multivariable analysis was not performed since the number of HIV positive seminal samples was insufficient.

Analyses were performed on the total study population of 114 subjects with HIV levels in blood <500 copies/ml, and on a subset of 100 subjects with HIV levels in blood <50 copies/ml. Associations between seminal shedding of CMV (high level) or EBV (any level) with the presence of bacterial STIs, other viral co-infections, CD4 count, time on ART, and ART regimen were evaluated.

**Results**

**Study participants’ demographics and clinical data**

HIV-infected participants (n=114) were high-risk MSM without clinical evidence of STIs who had a mean age of 44 years, receiving ART and with HIV <500 copies/ml in blood plasma within three month from semen collection (median time between semen collection and blood HIV level determination: 33 days, interquartile range (IQR): 14-70). One hundred subjects (88%) had HIV levels <50 copies/ml. The median time on ART at the time of semen collection was 2.5 years (IQR: 13.5 months- 4.8 years), and the median CD4 count was 580 cells/uL (IQR: 532-628). For 112 subjects with available specific ART information,
76.8% were on a regimen including tenofovir; 39.3% were on a regimen including a non-nucleoside reverse transcriptase inhibitor (NNRTI); 55.4% were on a regimen including a protease inhibitor (PI); and 18.8% were on a regimen including an integrase inhibitor. Of the 21 subjects who were taking a regimen including an integrase inhibitor, 10 also included a PI, and 4 included a NNRTI. Self-reported levels of ART adherence during the last month were >90% for 99 (87%) of the subjects. Self-reported substance abuse (including marijuana, cocaine, amphetamines, club drugs, and opiates) was high at 34.2%, and methamphetamine use within one month from sample collection was reported by 13.6% of participants. Characteristics and demographics of the subjects are summarized in table 1.

**Bacterial and viral genital infections**

Eleven subjects (9.6% of the entire cohort) had detectable levels of HIV in semen, with a median of 2.1 \( \log_{10} \) RNA copies/ml among detectable samples (range 1.7-2.5), and 72 (63.2%) had at least one herpesvirus detected in their seminal plasma. These herpesviruses included CMV (frequency 49.1%, median detectable viral load of 4.3 \( \log_{10} \) DNA copies/ml, IQR 3.2-4.9), Epstein-Barr virus (EBV) (30.7%, 2.9 \( \log_{10} \) copies/ml, IQR 2.5-3.4), herpes simplex virus type 2 (HSV-2) (2.6% 5.1 \( \log_{10} \) copies/ml), human herpes virus type 6 (HHV-6) (7.0%, 3.3 \( \log_{10} \) copies/ml), human herpes virus type 7 (HHV-7) (8.8%, 3.2 \( \log_{10} \) copies/ml) and human herpes virus type 8 (HHV-8) (3.5%, 3.4 \( \log_{10} \) copies/ml) (figure 1). In contrast to our previous results on ART naïve subjects [19], no HSV type 1 shedding was found in the semen of this cohort. Urethral, rectal or pharyngeal bacterial STIs were detected in 17 (14.9%) of the individuals, and non-specific urethritis in an additional 8 (7.0%) (Table 1). Specifically, one subject had syphilis, five subjects had urethral STIs (three with *M. genitalium* and two with *C. trachomatis*), six patients had rectal
STIs (two with *C. trachomatis*, three with *N. gonorrhoea*, and one subject co-infected with both *C. trachomatis* and *N. gonorrhoea*), and six patients had pharyngeal STIs (two with *C. trachomatis*, three with *N. gonorrhea* and one with trichomonas). All subjects were included in the study and treated for these infections after specimen collections. Subjects were all asymptomatic at the time of screening.

**Key Predictors of isolated HIV seminal shedding on ART**

Subjects with evidence of HIV replication in plasma while on ART (i.e. blood plasma HIV levels 50-500 copies/ml) had detectable levels of HIV in semen more frequently than subjects with <50 HIV copies/ml (35.7% versus 6.0%, FDR-adjusted p-value 0.08). Neither the class of ART, the time on ART nor the self-reported pill-adherence were significantly associated with HIV shedding in semen. Also self-reported substance abuse was not associated with HIV seminal shedding.

Similar to our previous findings in ART-naïve HIV-infected MSM [19], there was a trend for high-level CMV (>4 log10 DNA copies/ ml) and presence of EBV to be associated with detectable HIV seminal levels (tables 2 and 3). Specifically, 7 subjects (21.9%) with high-level CMV replication in semen had concomitant HIV seminal shedding compared to 4 of those (4.9%) with no or low CMV shedding in semen (FDR-adjusted p-value=0.08), with a relative risk of 4.5 (95% CI, 1.4-14.2). A trend towards an increased frequency of detectable HIV seminal shedding was observed when comparing any level of CMV shedding to no CMV (14.3% versus 5.2%, p=0.12). For those subjects with both CMV and HIV detectable in semen, the mean CMV DNA level was 1 log10 higher in semen compared to non-shedders, 3.9 versus 2.9 log10 DNA copies/ml, but this difference was not statistically significant. Any detectable EBV shedding was also associated with HIV shedding in semen (22.9% HIV seminal shedding in subjects with concomitant EBV
replication compared to 3.8% in those without EBV, FDR-adjusted p-value=0.06), but there was no evidence that higher EBV levels were associated with greater HIV shedding. In fact, for patients with HIV and EBV co-shedding, the levels of EBV DNA copies was lower in those shedding HIV in semen compared to those with no HIV shedding, 2.6 versus 3.1 log_{10} DNA copies/ml (p=0.06). High-level CMV shedding in semen was also significantly associated with EBV shedding.

In a sub-analysis of the 100 subjects with HIV in blood of <50 copies/ml, of whom six had detectable HIV seminal levels, high CMV levels remained the only factor associated with HIV shedding with a relative risk of 5.7 (95% CI 1.1-29.3). Specifically, 15.4% of semen samples with high-level CMV also shed HIV compared to 2.7% in those without high levels of CMV. There was also a trend for an increased frequency of HIV shedding in semen being associated with EBV shedding (13.8% versus 2.8%) with a relative risk of 4.9 (95% CI 0.9-25.3). The presence of bacterial STI (rectal, urethral, and/or pharyngeal) and non-specific urethritis was not associated with HIV seminal shedding. Only the subject with rectal *C. trachomatis* and *N. gonorrhoea* co-infection shed detectable HIV in the semen (table 3).

**Factors associated with seminal CMV and EBV shedding on ART**

High-level CMV seminal shedding was positively associated with presence of pharyngeal STIs, with seminal EBV and seminal HHV-8. Moreover, seminal EBV was associated with detectable HHV-7 in semen. Seminal CMV and EBV were both associated with lower CD4 counts. There was a trend for individuals receiving PI-based ART regimens to have higher CMV shedding as compared to those receiving NNRTI-based regimens (33.8% versus 18.2%). There was also a trend for patients with seminal EBV shedding to have a shorter time on ART compared to people without seminal EBV shedding (974 versus 1357 days of
ART). Time on ART, however, was not associated with presence of CMV seminal shedding.

Discussion

Since HIV in male genital secretions accounts for the majority of HIV transmission in both women and MSM [28], it is crucial to understand the factors associated with increased seminal HIV replication in order to inform strategies to reduce sexual HIV transmission and the development of HIV drug resistance. Effective ART substantially reduces the HIV levels in blood and semen [1-4]; however, a significant number of subjects intermittently shed HIV in semen despite having suppressed levels in blood plasma [9-12].

In our study of sexually active, ART-treated MSM with blood plasma HIV levels <500 copies/ml, 11 (9.6%) had detectable HIV in seminal plasma at very low levels between 50 and 230 copies/ml. The prevalence of seminal HIV shedding falls within the range previously described in the literature (2%-48%) [9-12], depending on the characteristics of the observed population. Detectable levels of seminal HIV were present significantly more often in persons with plasma HIV between 50 and 500 copies/ml compared to those <50 copies/ml.

We previously described that seminal shedding of different herpesviruses (i.e. high-level CMV, EBV, HHV-8) was associated with increased levels of HIV within the genital tract of ART-naïve MSM. The present study aimed to investigate if this remains true as well in subjects receiving ART with suppressed HIV levels in blood plasma.

Consistent with previous studies [9], we found a high prevalence of herpesviruses shedding in our treated cohort. Compared to our previous results on a similar cohort of ART-naïve HIV-infected MSM, we observed a lower frequency of seminal shedding for most herpesviruses, except for CMV and HHV-6. Specifically, in semen samples of ART-
treated compared to untreated HIV-infected subjects we found: 49.1% versus 51.3% seminal samples with detectable CMV DNA, 30.7% versus 40.9% with detectable EBV DNA, 2.6% versus 10.4% for with detectable HSV DNA, 7.0% versus 7.0% with HHV-6 DNA, 8.8% versus 14.8% with HHV-7 DNA, and 3.5% versus 11.3% with HHV-8 DNA. These observations suggest that ART initiation may reduce seminal shedding of many herpesviruses, but CMV DNA seminal shedding, in particular, remains highly prevalent in treated HIV-infected subjects. This finding is in contrast with other reports, showing decreased frequency of CMV replication after ART initiation [29, 30], but these previous studies included subjects with lower CD4 counts and evaluated CMV replication in blood and not in semen.

Consistent with data from untreated subjects, we found that high-levels CMV replication (i.e. >4 log10 DNA copies/ml) was associated with HIV seminal shedding even after the initiation of ART. We also found an association between EBV shedding and detectable HIV in semen, though no dose response was observed. Similar to our previous findings [19, 23], CMV and EBV seminal shedding were positively associated with each other, and both viruses were associated with lower CD4 counts. Higher level CMV seminal shedding was positively associated with presence of pharyngeal STIs, with seminal HHV-8 and with use of PI-based ART regimens.

Interestingly, HIV seminal shedding in our cohort was not associated with non-specific genital inflammation or with any asymptomatic bacterial STIs. This may be a consequence of the relative low prevalence of urethral STIs in this asymptomatic cohort (3.5%). However, this also suggests that CMV may be a more common precipitant of HIV shedding in semen than bacterial STIs during ART even in this high-risk MSM population. It is also possible that HIV seminal shedding is derived from the proximal genital tract rather than distally and therefore asymptomatic (non-ulcerative) STIs would not contribute directly to
an increase in HIV seminal shedding. Of note, low levels of HIV in blood plasma between 50 and 500 copies/ml were associated with HIV seminal shedding, suggesting that a complete suppression of HIV blood levels may minimize the risk of sexual HIV transmission.

A recent study [9] observed that frequent, isolated seminal HIV shedding among 25 subjects was associated with increased compartmentalized immune activation within the genital tract, but could not find any association between detectable HIV levels and any of the evaluated factors, including CMV replication. We previously described that seminal CMV replication is positively associated with levels of immune activation in the male genital tract [18], and our present study on a larger cohort, suggests that high-level CMV replication likely plays a role in HIV seminal shedding also in successfully treated HIV infected individuals.

This study has several limitations. Most importantly, despite including seminal samples from 114 different subjects, the low frequency of seminal shedding for HIV limited our power to find additional associations. Moreover, in this observational study, the causality of the associations cannot be definitively determined. We did not assess pre- and post-treatment levels in semen to address directly the impact of treatment in the same subject. However, since successful treatment of HIV in this cohort did not influence the rate of CMV replication compared to untreated subjects in our previous cohort, CMV replication is likely driving HIV shedding and not vice-versa. Lastly, since we only included MSM in this study, it needs to be determined if these associations remain true also in genital secretion of heterosexual men and women since this could have an impact on management of serodiscordant couples trying to conceive children.
In summary, the present study evaluated a large number of seminal samples (n=114) from HIV-infected sexually active MSM treated with ART with an extensive battery of tests for viral and bacterial co-infections. The association between isolated seminal HIV shedding and high-level CMV replication and EBV replication in the genital tract suggests that the presence of these viruses could play a role in HIV transmission not only in ART-naïve subjects as previously described [19, 21] but also in individuals on ART. These finding have important implications for the development of strategies to reduce HIV transmission.

Acknowledgements

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Competing Interests

SG, SJL and MVV do not have any commercial or other associations that might pose a conflict of interest. DDR has served as a consultant for Bristol-Myers Squibb, Gilead Sciences, Merck & Co, Monogram Biosciences, Biota, Chimerix, Gen-Probe, Tobira and Idenix Pharmaceuticals. DMS has received grant support from Viiv Pharmaceuticals and consultant fees from Gen-Probe and Testing Talent Services. MPD has received grant support from Merck, Gilead, Serono, and Viiv and has served as a consultant to Serono.
ESD has received grant support from Abbott, Gilead, Merck, Pfizer and ViiV and has acted as a consultant for Bristol Myers Squibb, Gilead, Merck and ViiV. CCG has received consultant fees and serves on the Scientific Advisory Board of Gen-Probe. RHH reports having received honoraria or consultant fees from BMS, Gilead Sciences and Jannsen (Tibotec) and grant support (to UCSD) from Abbott, GlaxoSmithKline, Pfizer and Merck.

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Author Contributions

SG participated in the study design, performed the laboratory experiments, participated in the data analyses for this study and wrote the primary version of the manuscript; DMS participated in the study design, participated in the data analyses and wrote the revised the manuscript; MVV performed the laboratory experiments; SRM participated in study design, performed statistical analysis and wrote the primary version of the manuscript; DR, CG, RHH, CCG, ESD, MPD participated in study design, and revised the manuscript, SRM, SJL, ESD, MPD and RHH enrolled participants. CCG and FZ provided Aptima testing. All authors read and approved the final manuscript.
References:


Figure legend:

Figure 1: Viral frequencies in semen for each HIV-1 infected subject (n=114)

Frequency (%) of patients (n=114) having at least one semen sample positive for any herpesvirus DNA, and for each individual tested virus separately. Note that HSV only refers to HSV-2 since no HSV-1 was detected in this cohort.
Tables:

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>n (%)</th>
</tr>
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<tbody>
<tr>
<td>Participants; n</td>
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</tr>
<tr>
<td>Age (years); mean (95% CI)</td>
<td>44 (37-50)</td>
</tr>
<tr>
<td>Race/ Ethnicity, n (%)</td>
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<td>Black</td>
<td>30 (26)</td>
</tr>
<tr>
<td>Others</td>
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<tr>
<td>Time on ART (days), median (IQR)</td>
<td>882 (406-1725)</td>
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<tr>
<td>HIV RNA &lt;500 copies/ml; n (%)</td>
<td>114 (100)</td>
</tr>
<tr>
<td>HIV RNA &lt;50 copies/ml; n (%)</td>
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<tr>
<td>≥90% adherence past month n (%)</td>
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<td>CD4+ cell counts/ul; mean (95% CI)</td>
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<td>Detectable HIV RNA in semen; n (%)</td>
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<td>HIV in semen log_{10} copies/ml; median (range)</td>
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### Bacterial Sexually Transmitted Infections

<table>
<thead>
<tr>
<th>Any STI: n (%)</th>
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<tr>
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<tr>
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<tr>
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<td>0 (0)</td>
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<tr>
<td>Mycoplasma</td>
<td>3 (2.6)</td>
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<tr>
<td>Trichomonas</td>
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<tr>
<td>Nonspecific</td>
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<td>Rectal - Any; n (%)</td>
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<tr>
<td>Trichomonas</td>
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<tr>
<td>Pharynx - Any; n (%)</td>
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<td>1 (0.9)</td>
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<tr>
<td>Syphilis; n (%)</td>
<td>1 (0.9)</td>
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</table>

* one case with dual gonorrhea/ chlamydia rectal infection

**Table 1: Demographics and bacterial sexual transmitted diseases**

Legend: n (%): number (percentage) of participants; MSM: men who have sex with men; HIV: Human immunodeficiency virus, ART: antiretroviral therapy; IQR: interquartile range; 95% CI: 95% confidence interval; STI: sexually transmitted infections; nonspecific urethritis defined as presence of leukocyte esterase of 1+ or greater in urine stick without any recognized associated infections, NA: not available
Table 2: Factors Associated with HIV Shedding in Semen during ART

Legend: n (%): number (percentage) of participants; IQR: interquartile range; 95% CI: 95% confidence intervals; HIV: Human immunodeficiency virus, ART: antiretroviral therapy; CMV: cytomegalovirus; EBV: Epstein-Barr virus; HSV-1 and -2: Herpes simplex virus type 1 and type 2; HHV-6/-7/-8: human herpes virus type 6/type 7/type 8; STI: sexually transmitted infections; nonspecific urethritis defined as presence of white blood cells in urine stick without any recognized associated infections; NA: not available; in bold p-values <0.1; FDR p-values were adjusted by false discovery rate using Benjamini and Hochberg method to correct for multiple comparisons.
Table 3: Co-infections in HIV-infected Men on ART that are Shedding HIV in Semen

<table>
<thead>
<tr>
<th>Subject</th>
<th>Blood HIV</th>
<th>Semen HIV</th>
<th>CMV</th>
<th>EBV</th>
<th>HSV-1</th>
<th>HSV-2</th>
<th>HHV-6</th>
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*rectal chlamydia/ gonorrhea dual infection

Table 3: Co-infections in HIV-infected Men on ART that are Shedding HIV in Semen

Plasma and Semen HIV: log10 levels of HIV RNA in blood and seminal plasma (ND=not detectable); HIV: Human immunodeficiency virus, CMV: cytomegalovirus; EBV: Epstein-Barr virus; HSV-1 and -2: Herpes simplex virus type 1 and type 2; HHV-6/-7/-8: human herpes virus type 6/type 7/type 8; nonspecific urethritis defined as presence of white blood cells in urine stick without any recognized associated infections; STI: sexually transmitted infections.