

**FDA Briefing Document**

**Endocrinologic and Metabolic Drugs Advisory Committee  
(EMDAC)**

**June 10, 2015**

## **DISCLAIMER**

The attached package contains background information prepared by the Food and Drug Administration (FDA) for the panel members of the advisory committee. The FDA background package often contains assessments and/or conclusions and recommendations written by individual FDA reviewers. Such conclusions and recommendations do not necessarily represent the final position of the individual reviewers, nor do they necessarily represent the final position of the Review Division or Office. We have brought discussion of the safety and efficacy of biologics license application (BLA) 125522, proposed trade name Repatha (established name: evolocumab) to this Advisory Committee in order to gain the Committee's insights and opinions, and the background package may not include all issues relevant to the final regulatory recommendation and instead is intended to focus on issues identified by the Agency for discussion by the advisory committee. The FDA will not issue a final determination on the issues at hand until input from the advisory committee process has been considered and all reviews have been finalized. The final determination may be affected by issues not discussed at the advisory committee meeting.

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## **I. Division Director Memorandum**

## MEMORANDUM

**DATE:** 12 May 2015

**FROM:** James P. Smith, MD, MS  
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Division of Metabolism and Endocrinology Products (DMEP)  
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U.S. Food & Drug Administration

**TO:** Members and Consultants,  
Endocrinologic & Metabolic Drugs Advisory Committee (EMDAC)

**SUBJECT:** 10 June 2015 EMDAC meeting for evolocumab (Repatha)

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Thank you for agreeing to participate in the June 10, 2015 advisory committee meeting. This meeting is being held to discuss the safety and efficacy of evolocumab, a member of a new class of lipid-altering drugs.

Evolocumab is a human monoclonal antibody (mAb), administered subcutaneously, that binds to proprotein convertase subtilisin kexin type 9 (PCSK9), a circulating negative regulator of the LDL receptor (LDLR). Upon binding to the LDLR, PCSK9 initiates internalization and lysosomal degradation of the LDLR/PCSK9 complex. By inactivating PCSK9, therefore, evolocumab upregulates LDLR, especially on the surface of hepatocytes, leading to increased uptake of circulating LDL-C and the consequent reduction of in plasma LDL-C concentration.

The applicant is seeking an indication for use in patients with rather common lipid disorders as well as an indication for use in patients with the life-threatening, rare disorder homozygous familial hypercholesterolemia (HoFH). Leaving aside HoFH for a moment, the efficacy of evolocumab to lower LDL-C has been evaluated in a phase 3 program consisting of four, 12-week, double-blind, randomized, placebo- or ezetimibe-controlled trials involving 3152 patients (1848 of whom received evolocumab). Each of these trials evaluated the percent change in LDL-C from baseline to week 12, as well as from baseline to the average of weeks 10 and 12, as co-primary endpoints. In addition, the applicant conducted a single 52-week, double-blind, randomized, placebo-controlled trial involving 901 patients (599 of whom received evolocumab) who were first titrated to LDL-C goal in a protocol-specified manner before adding evolocumab or placebo. This trial evaluated the percent change in LDL-C from baseline to week 52 as the primary endpoint.

The four 12-week phase 3 trials studied the use of evolocumab in the following settings: as monotherapy in a population at low CV risk (10-year Framingham risk score of  $\leq 10\%$ ; fasting LDL-C 100-190 mg/dL); in combination with statins (LDL-C  $\geq 80$ ,  $\geq 100$ , or  $\geq 150$  mg/dL for those on intensive, non-intensive, and no statin, respectively, at screening); in patients thought to be "statin-intolerant" with LDL-C exceeding NCEP/ATP III goals; and in patients with heterozygous familial hypercholesterolemia (HeFH) with LDL-C  $\geq 100$  mg/dL. Patients in this program were not required to be high CV risk and were not required to be taking maximally tolerated statin (and still above "goal") prior to the addition of evolocumab, which the clinical reviewer believes would have better reflected an appropriate clinical use of evolocumab. Overall, across these heterogeneous trials, the minority (~44%) of patients were considered to be at moderately high or higher CHD risk at baseline as categorized by NCEP/ATP III risk categories, 20% had a history of coronary artery disease, and 12% had type 2 diabetes mellitus.

The four phase 3 trials studied two dosing regimens of evolocumab: 140 mg once every 2 weeks (Q2W), administered as a single autoinjector, and 420 mg once monthly (QM), administered using 3 separate autoinjectors within a 30-minute period. Data during phase 2 had suggested that each regimen produces a similar pharmacodynamic effect with regard to lowering LDL-C, although the Q2W regimen seems to yield more stable LDL-C values during the dosing interval compared with the QM regimen. The 52-week placebo-controlled trial only employed the 420 mg QM regimen. Although I will not summarize the results of each trial here, both evolocumab dosing regimens significantly lowered LDL-C from baseline relative to the comparators.

A single, placebo-controlled, 49-patient trial was designed to support an indication for evolocumab 420 mg QM for HoFH. Overall, the mean change in LDL-C from baseline to week 12 was -23% among those treated with evolocumab and +8% among those treated with placebo. Notably, only one patient enrolled in this trial was LDLR-negative/negative; as expected from a therapy that upregulates surface expression of LDLR, this patient did not respond to evolocumab (+10.3% change at week 12). Patients who participated in this trial, in addition to other subjects with HoFH/HeFH who were not eligible for the randomized trial(s) (e.g., those receiving LDL apheresis), could enroll in an uncontrolled, open-label extension that allowed two dosing regimens: 420 mg QM and 420 mg Q2W (the only time this high dose was used in the development program).

The overall safety database for evolocumab (excluding HoFH) includes 4971 patients exposed to any dose of evolocumab. Patients who completed a phase 2 or phase 3 trial could subsequently enroll in a phase 2 or phase 3 open-label extension (OLE) study. At the onset of participation in an OLE, patients were randomly allocated (2:1) to evolocumab or standard of care (SoC) for the first year. After one year of participation in the OLE, all patients were treated with evolocumab for year 2 and beyond. Thus, all safety data obtained in randomized, double-blind, placebo- or active-controlled trials were obtained from trials  $\leq 12$  weeks in duration with the exception of the single 52-week placebo-controlled trial in which 599 patients received evolocumab. Considering these phase 2/3 randomized “parent” trials alone, 3946 patients were exposed to any dose of evolocumab (3201 to to-be-marketed doses), with a median exposure duration of 2.8 months for both the 140 mg Q2W and 420 mg QM dosing regimens. After combining the randomized trials with the OLE periods, there were 1797 patients exposed to any dose of evolocumab for  $\geq 12$  months and 611 for  $\geq 24$  months. There were no marked disparities in deaths, serious adverse events (SAEs), or adverse events leading to discontinuation, which Dr. Craig describes in detail in her review. In addition, Dr. Craig provides details for multiple additional safety-related topics.

With regard to HoFH, the safety database includes 99 patients exposed to any dose of evolocumab. Combining both the 49-patient, 12-week randomized trial with the subsequent open-label extension, which also enrolled new patients, there were 56 patients exposed to any dose of evolocumab for  $\geq 6$  months and 23 for  $\geq 12$  months. The median exposure in the randomized trial was 2.8 months, and the median exposure in the open-label study was 5.1 months.

The central issue regarding this application revolves around the following question: For what population(s), if any, does the LDL-C-lowering benefit of evolocumab exceed its risks to support approval?

It may be useful to briefly review FDA’s policy on the use of surrogate endpoints, such as LDL-C, to support demonstration of efficacy. FDA has defined a surrogate endpoint as a marker such as “a laboratory measurement or physical sign that is used in therapeutic trials as a substitute for a clinically meaningful endpoint that is a direct measure of how a patient feels, functions, or survives and is expected to predict the effect of therapy.”<sup>1</sup> In addition, FDA has described its approach for determining whether the available scientific evidence supports use of a marker as a surrogate endpoint:

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<sup>1</sup> FDA, “New Drug, Antibiotic, and Biological Drug Product Regulations: Accelerated Approval” Proposed Rule, 57 FR 13234, 13235 (15 April 1992).

“Depending on the strength of the evidence supporting the ability of a marker to predict clinical benefit, the marker may be a surrogate endpoint that is known to predict clinical benefit (a validated surrogate endpoint that could be used for traditional approval), a surrogate endpoint that is reasonably likely to predict a drug’s intended clinical benefit (and that could therefore be used as a basis for accelerated approval), or a marker for which there is insufficient evidence to support reliance on the marker as either kind of surrogate endpoint (and that therefore cannot be used to support traditional or accelerated approval of a marketing application).”<sup>2</sup>

For more than two decades, FDA has used a reduction in LDL-C as a surrogate for CV risk reduction for several lipid-altering drugs to support traditional approval. Certainly, at least for statins, the validity of a reduction in LDL-C as a surrogate for reduced CV risk has been confirmed through numerous randomized controlled trials involving multiple drugs in the class and a variety of patient populations with varying degrees of baseline risk and LDL-C values. Accelerated approval – i.e., a regulatory pathway that allows approval based on a surrogate that is only “reasonably likely” to predict clinical benefit and, therefore, requires confirmatory evidence after approval – has never been used for a lipid-altering drug.

Leaving aside the recent approvals of Juxtapid (lomitapide) and Kynamro (mipomersen) for the rare disorder HoFH, the use of LDL-C as a surrogate endpoint for a first-in-class LDL-C-lowering drug intended for a large patient population has not been publicly discussed by an FDA advisory committee in many years. The most recent approval for a first-in-class LDL-C-lowering drug intended for broad use was not discussed with an advisory committee: Zetia (ezetimibe) was approved in October 2002 on the basis of the drug’s effect on LDL-C.

The lack of cardiovascular outcomes data at the time of Zetia’s approval became the subject of a great deal of controversy, fueled by the publication of the ENHANCE trial in 2008 and, six months later, the SEAS trial. In ENHANCE, the addition of ezetimibe to simvastatin was not shown to reduce the progression of atherosclerosis as measured by carotid intima-media thickness (CIMT) among patients with HeFH. In SEAS, the combination of simvastatin/ezetimibe did not reduce the risk of a composite endpoint of CV events among patients with asymptomatic aortic stenosis, compared with placebo. Furthermore, the SEAS trial raised a concern that active therapy was associated with cancer-related events. In a January 2009 Drug Safety Communication, FDA stated that upon review of the ENHANCE trial, the results did not change FDA’s position that “an elevated LDL cholesterol is a risk factor for cardiovascular disease and that lowering LDL cholesterol reduces the risk for cardiovascular disease.” Furthermore, in a Drug Safety Communication in December 2009, the FDA communicated that it was unlikely that Vytorin (simvastatin/ezetimibe) or Zetia increase the risk of cancer or cancer-related death. However, despite FDA’s conclusions, the lack of CV outcomes data for ezetimibe remained a highly controversial topic.<sup>3</sup>

It should be emphasized that the approval of ezetimibe – or any of the statins, for that matter – did not require the conduct of a post-approval CV outcomes trial. Given the Agency’s historical acceptance of LDL-C as a validated surrogate endpoint for traditional approval, reductions in LDL-C have been accepted *as a substitute for* a demonstration of reducing CV risk. Sponsors who have chosen to conduct CV outcomes trials after approval, which we certainly have encouraged, have voluntarily done so to seek additional claims. With such a traditional approval, FDA does not have a regulatory mechanism to require any further efficacy trials.

In December 2012 and January 2013, FDA approved two first-in-class LDL-C-lowering drugs for the rare, life-threatening disease HoFH. Individuals with HoFH have absent or severely dysfunctional LDL

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<sup>2</sup> See “Guidance for Industry: Expedited Programs for Serious Conditions – Drugs and Biologics,” May 2014, p. 17.

<sup>3</sup> I note that the first glimpse of CV outcomes data for ezetimibe was presented at the November 2014 American Heart Association Scientific Sessions, 12 years after the drug was approved. This trial has not yet been published and the Division has not reviewed its results.

receptors, leading to extraordinarily high LDL-C and premature, aggressive cardiovascular disease that often manifests in childhood, resulting in CV mortality within the first few decades of life if untreated. These drug approvals were based on changes in LDL-C. When these drugs were discussed at October 2012 meetings of the EMDAC, however, some of our advisors emphasized that the position of LDL-C as a surrogate may be context-dependent; the case of HoFH, which is a severe phenotype that results directly from deranged LDL metabolism, presents an especially compelling example of a situation in which reducing LDL-C would be expected to impact the clinical syndrome. Furthermore, it was recognized that a CV outcomes trial would be infeasible given the rarity of the disease.

It is worth noting that we have seen drugs that lower LDL-C yet do not reduce CV events. Consider the CETP inhibitor torcetrapib, for example, which increased the risk of CV events by 25% and increased the risk of all-cause mortality by 58% in a ~15,000-patient CV outcomes trial despite a 25% reduction in LDL-C and a 72% increase in HDL-C. While it is believed that off-target effects may have been responsible for this, we should also remember that it sometimes takes an unexpected result to make us recognize the presence (or severity) of off-target effects. Estrogen provides another example of a drug with salutary effects on the lipid profile that failed, eventually, to demonstrate the expected reduction of CV risk.

Taken together, regardless of how confident we may be in the “LDL hypothesis,” we must remember that LDL-C remains a surrogate and not a clinical outcome that reflects how patients feel, function, or survive. This seems particularly relevant now that statins are widely regarded as first-line therapy, owing to their repeated successes in demonstrating benefit on CV outcomes, and now that the conduct of a CV outcomes trial is no longer considered the infeasible endeavor that it was when our advisory committee last discussed issues related to the pre-approval assessment of lipid-altering drugs approximately 25 years ago. I note that high-intensity statins (atorvastatin 40-80 mg; rosuvastatin 20-40 mg) yield LDL-C reductions ranging from -48% to -64%, according to their prescribing information, and some of these doses have proven benefit in CV outcomes trials. Evolocumab has not been tested (with respect to LDL-C lowering) against such statin doses. Even if it had been, however, the Division has expressed concern regarding an indication for monotherapy before benefit has been demonstrated on clinical outcomes in some population (e.g., patients already treated with statins).

One clinical scenario that may seem practical for monotherapy is the use in patients who cannot tolerate statins. Although the Division acknowledges that there are patients who will not take statins for any number of reasons, presenting frustration for many healthcare providers who recognize the need for such patients to receive therapy to reduce CV risk, we have struggled with whether use of the description “statin-intolerant” is warranted in labeling. Certainly, many patients who are not able to take statins are not truly intolerant of the pharmacological class.<sup>4</sup> One must consider whether labeling that specifically indicates a drug for “statin-intolerant patients” could promote a condition that is not well-understood and encourage some patients to prematurely abandon statins, a class that has robustly established benefits on CV outcomes. Alternatively, language that indicates use in combination with “maximally tolerated statin therapy” would recognize that, for some patients, maximally tolerated statin therapy may be no statin therapy at all.

Throughout development, the Division has emphasized that we would be willing to consider approval based on LDL-C, but that this possibility and the validity of this approach for approval of evolocumab in

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<sup>4</sup> Unfortunately, the applicant did not take the Division’s advice to empirically test a pragmatic definition of statin intolerance by rechallenging patients in a blinded fashion to a statin under trial conditions. Furthermore, since one might be more interested in gathering information related to safety/tolerability than efficacy of evolocumab among purportedly statin-intolerant patients, the Division advised that a 12-week trial would be an insufficient duration to characterize the drug’s effects in this population. Last, the Division’s working definition of statin intolerance for clinical trials, until we learn more about these patients, includes the inability to tolerate at least two statins: one statin at the lowest starting daily dose and another statin at any dose, as a result of symptoms that increased during statin therapy and stopped when statin therapy was discontinued. In the evolocumab phase 3 program, patients were not required to have a history of intolerance to the lowest dose of a statin.

the contemporary context would be the focus of an advisory committee meeting. As you review the extent to which the applicant has characterized the efficacy and safety of evolocumab, we ask that you carefully consider whether the LDL-C lowering benefit of evolocumab exceeds its risks to support approval in one or more patient populations in the absence of a regulatory requirement to demonstrate benefit in a CV outcomes trial.

We sincerely thank you for the time and thought that you have committed to consider this application. With a target patient population potentially measured in the millions, we highly value your advice regarding the potential approval of evolocumab.

## **II. Draft Points to Consider**

## DRAFT DISCUSSION POINTS

1. Discuss the safety of evolocumab as observed in the clinical development program.
  - a. Discuss your interpretation of the safety data with respect to any adverse effects related to diabetes, liver-related safety, muscle, neurological / neurocognitive events, hypersensitivity, as well as any other concerns you may identify.
  - b. Discuss the adequacy of the current clinical database to characterize the safety of evolocumab. Consider the extent of drug exposure (i.e., number of patients and duration of exposure), the strengths/limitations of the study designs themselves, and the generalizability of the trial populations to the target patient population(s), if approved.
  - c. Discuss your level of concern regarding the safety of achieving very low levels of LDL-C induced by evolocumab.
2. The applicant has proposed two dosage regimens, which were selected to appeal to patient preference considerations (related to the dosing procedure/frequency) rather than to provide doses intended to allow titration with respect to the magnitude of LDL-C lowering. Healthcare providers who are uncomfortable with very low levels of LDL-C would either have to downtitrate other lipid-altering drugs (e.g., statin) or discontinue evolocumab. Discuss any concerns that arise from evolocumab not being labeled with dosage regimens that provide varying degrees of LDL-C lowering.
3. For HoFH, the applicant has proposed a recommended dose of evolocumab of either 420 mg once monthly or 420 mg every 2 weeks (Q2W). Discuss whether the applicant has provided adequate data to characterize the efficacy and safety of the 420 mg Q2W dosage in this population.
4. The goal of LDL-C-lowering therapy is to reduce the risk for cardiovascular (CV) disease. Historically, a change in LDL-C has been considered sufficient to establish the effectiveness of a lipid-altering drug intended for use to reduce cardiovascular risk, without any regulatory requirement to demonstrate evidence for benefit in a CV outcomes trial, provided the reduction is sufficiently robust and the product (or its class) does not have safety issues that raise concern that risk exceeds benefit.

Discuss whether evolocumab-induced LDL-C lowering is sufficient to substitute for demonstrating its effect on clinical outcomes (i.e., investigation in a CV outcomes trial) in one or more populations (e.g., different degrees of CV risk, familial vs. non-familial etiologies of hyperlipidemia, use with or without concomitant statins, etc.).

5. Do you believe that the applicant has sufficiently established that the LDL-C-lowering benefit of evolocumab exceeds its risks to support approval in one or more patient populations (excluding HoFH)? We would like you to consider your response in the context of an absence of a regulatory requirement to demonstrate benefit in a CV outcomes trial, if approved based on changes in LDL-C.
  - a. If yes, please explain your rationale and describe the patient population(s) for whom you believe that benefit/risk is favorable.
  - b. If no, please describe what further studies you believe the applicant must conduct to establish a favorable benefit/risk to support approval.
6. Do you believe that the applicant has sufficiently established that the LDL-C-lowering benefit of evolocumab exceeds its risks to support approval for homozygous familial hypercholesterolemia?
  - a. If yes, please explain your rationale.
  - b. If no, please describe what further studies you believe the applicant must conduct to establish a favorable benefit/risk to support approval.

### **III. Nonclinical Background Review**

Advisory Committee Briefing Document  
Nonclinical Pharmacology and Toxicology Summary

Drug: Evolocumab (REPATHA®, AMG 145)

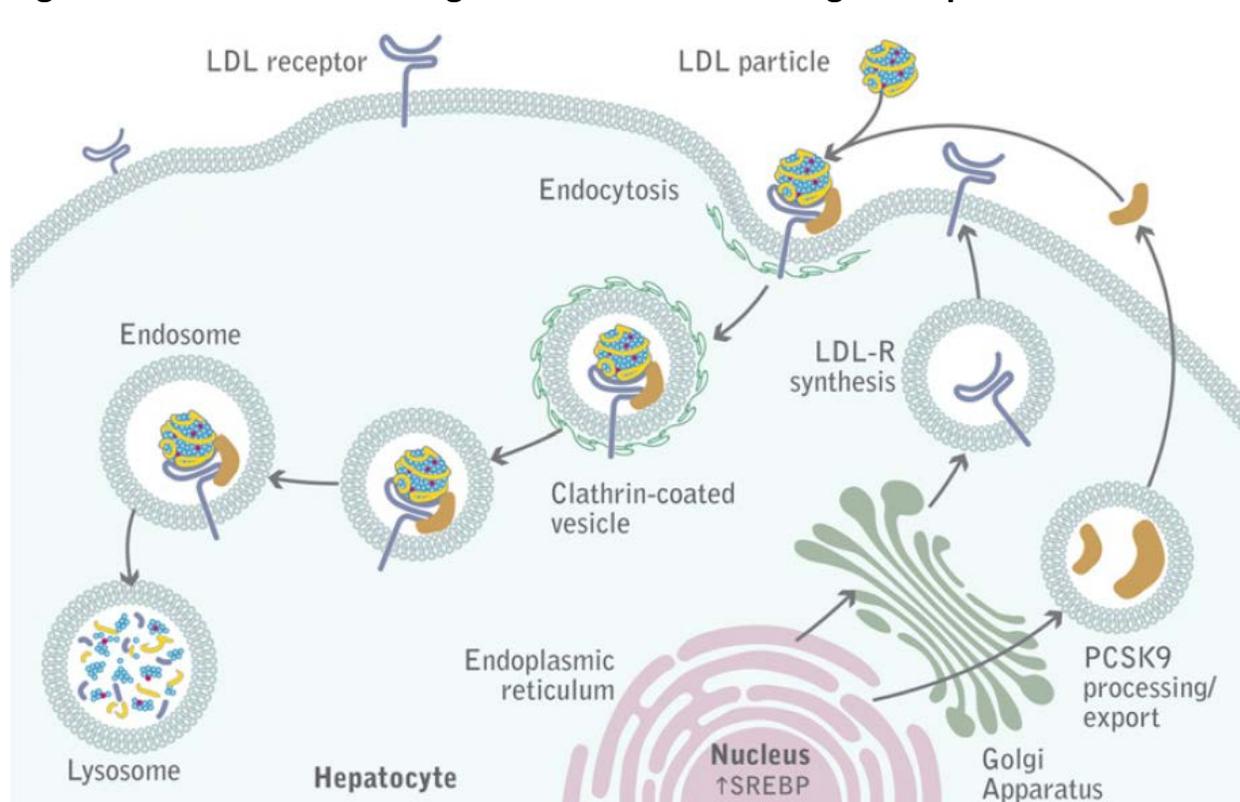
Drug class: PCSK9 (Proprotein Convertase Subtilisin Kexin Type 9) inhibitor antibody

Indication: Treatment of adult patients with primary (non-familial) or heterozygous familial hypercholesterolemia and patients  $\geq 12$  years with homozygous familial hypercholesterolemia.

**Introduction:**

Proprotein convertase subtilisin kexin type 9 (PCSK9) is a negative regulator of the low-density lipoprotein receptor (LDLR). When PCSK9 binds to cell surface LDLR, the complex is internalized and undergoes lysosomal degradation (see Figure 1). Expression of hepatic LDLR and its function in removing low-density lipoprotein cholesterol (LDL-C) from circulation is dependent on two factors: intracellular cholesterol levels and serum PCSK9 concentrations. Therefore, any modulators that affect activity of PCSK9 will affect liver LDLR density and its capacity to remove LDL-C from circulation.

**Figure 1: PCSK9 mediates degradation of LDLR and regulates plasma LDL-C**



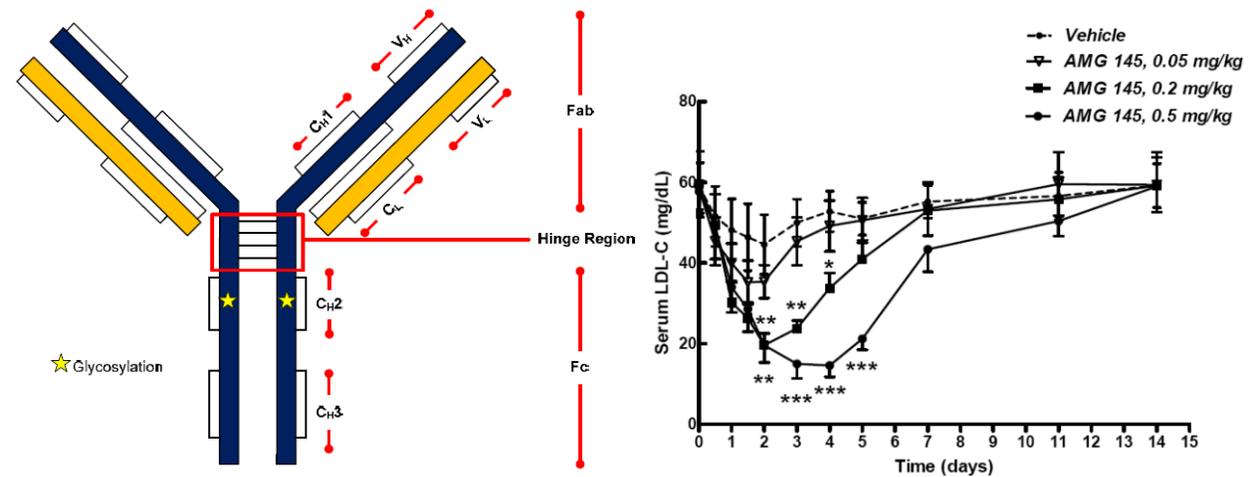
A complex of LDL-C, LDLR and PCSK9 is internalized into hepatocytes into clathrin-coated pits and subsequently undergoes lysosomal degradation.

(Adapted from Lambert G, et al.<sup>1</sup>)

<sup>1</sup> Lambert G, et al. "The PCSK9 decade" *J Lipid Res* 2012; **53**:2515-2524.

Evolocumab is a fully human IgG2 monoclonal antibody (see Figure 2, left panel) that binds to PCSK9, and promotes complex internalization and lysosomal degradation, thereby removing functional PCSK9 from circulation. Inactivation of PCSK9 with evolocumab results in increased LDLR cell-surface expression and increased uptake of low-density lipoprotein cholesterol (LDL-C), especially by the liver, with consequent lowering of circulating levels of LDL-C (see Figure 2, right panel, and Figure 3).

**Figure 2: Evolocumab is a human IgG2 monoclonal antibody inhibitor of PCSK9**

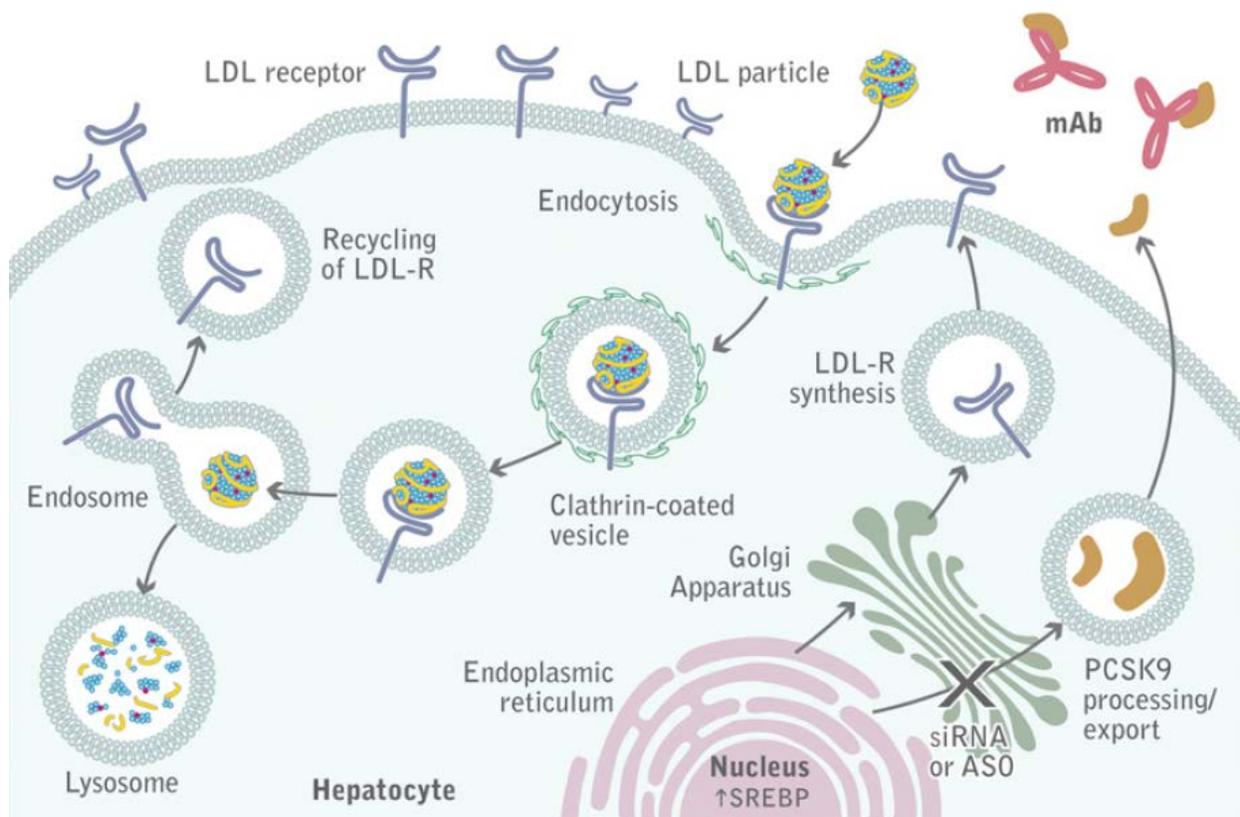


**Left Panel:** A cartoon structure of evolocumab with heavy chains (blue), light chains (yellow) and disulfide bonds (black lines). Also depicted are the constant regions of the heavy chain (C<sub>H</sub>1-3), constant region of the light chain (C<sub>L</sub>), variable region of the heavy chain (V<sub>H</sub>), and variable region of the light chain (V<sub>L</sub>).

**Right Panel:** Serum LDL-C concentrations after a single dose of evolocumab in male cynomolgus monkeys; AMG 145 = evolocumab

Statins lower intracellular cholesterol by decreasing de novo biosynthesis through inhibition of HMG-CoA reductase, thereby upregulating both the expression of LDLR and its negative regulator PCSK9.<sup>2</sup> Evolocumab overcomes this compensatory effect of statins.

<sup>2</sup> Dong B, et al. "CETP inhibitors downregulate hepatic LDL receptor and PCSK9 expression in vitro and in vivo through a SREBP2 dependent mechanism" *Atherosclerosis* 2014; **235**:449-462.

**Figure 3: Evolocumab inhibits PCSK9, increases LDLR, and decreases LDL-C**

Evolocumab bound to PCSK9 (represented here by mAb, monoclonal antibody) prevents the association between PCSK9 and the LDLR. The LDLR binds the LDL particle and is internalized. The LDL particle is degraded in the lysosome, but the LDLR is recycled back to the plasma membrane. SREBP, sterol regulatory element binding protein. ASO, antisense oligonucleotides and siRNA, small interfering RNAs are alternate mechanisms for targeting PCSK9 at the transcriptional level.

(Adapted from Lambert G, et al.<sup>3</sup>)

#### PCSK9 and LDLR pharmacology:

Transcription of *PCSK9* and *LDLR* genes share a common regulatory mechanism mediated by sterol regulatory element-binding protein 2 (SREBP2). SREBP2 interacts with another membrane protein, SREBP cleavage-activating protein (SCAP), which functions as a sterol sensor. Intracellular sterols inhibit *LDLR* and *PCSK9* gene transcription by suppressing the processing and release of SREBP2. In the presence of sterols, inactive SREBP2 remains bound to the endoplasmic reticulum after synthesis.<sup>4</sup>

In the sterol-depleted state, SCAP escorts the SREBP2 to the Golgi where it is proteolytically cleaved, which releases the mature SREBP2 capable of transcriptional activation. Mature

<sup>3</sup> Lambert G, et al. "The PCSK9 decade" *J Lipid Res* 2012; **53**:2515-2524.

<sup>4</sup> Dong B, et al. "CETP inhibitors downregulate hepatic LDL receptor and PCSK9 expression in vitro and in vivo through a SREBP2 dependent mechanism" *Atherosclerosis* 2014; **235**:449-462.

SREBP2 enters the nucleus and binds to the sterol regulatory element 1 (SRE-1) site of *LDLR* and *PCSK9* promoters, leading to increased transcription and translation of both proteins.

#### Evolocumab pharmacodynamics in animal models:

Evidence of the intended pharmacology included marked dose-related reductions in total cholesterol and LDL-C in hamsters and monkeys, and reductions of high-density lipoprotein cholesterol (HDL-C) in hamsters, which was observed in all general toxicity and reproductive toxicology studies. Reductions in HDL-C evident in the hamster are consistent with observations that hamsters express apolipoprotein E (ApoE), which is capable of binding LDLR, on a substantial fraction of HDL particles when LDL-C is low.<sup>5,6</sup> HDL containing ApoE in humans is negligible<sup>7</sup>, which is consistent with clinical trial data showing a lack of an effect of evolocumab on HDL-C levels. HDL particles are a heterogeneous population postulated to have a variety of functions outside of lipid transport, including anti-inflammatory, anti-oxidative, cytoprotective, antithrombotic, anti-infectious, and vasodilatory activities.<sup>8</sup> These data indicate that the monkey is the more pharmacologically relevant model for humans, because human and monkey HDL-C levels are resistant to evolocumab, while hamster HDL-C was substantially reduced.

#### Toxicological assessment of evolocumab:

The areas of particular toxicological concern for the evaluation of evolocumab in animals include: 1) direct induction of toxicity due to PCSK9-inhibition and/or reductions of plasma cholesterol that were previously unattainable with existing pharmaceuticals, and resulting theoretical concerns for extremely low plasma cholesterol, and 2) immunogenicity upon administration of a human immunoglobulin to hamsters and monkeys that could cause production of neutralizing anti-drug antibodies that might prevent sufficient drug exposures in animals, and lead to inadequate toxicological evaluation of the drug. Section I, below, describes the outcomes and implications of general toxicity studies conducted with evolocumab, which are then qualified based on the results of immunogenicity evaluations in the test species. Section II describes the theoretical mechanisms by which chronic extreme cholesterol lowering might be secondarily detrimental, along with an assessment of these hypothetical risks.

#### **Section I – Toxicity with evolocumab in hamsters and monkeys:**

Overall, evolocumab was well tolerated in a subchronic hamster study and a chronic monkey study at up to 300 mg/kg/week as will be further described below. For the remainder of this section, exposure margins will be reported to the maximum recommended human dose for a dosing schedule of 420 mg Q2W to represent the most conservative approach. All exposure multiples in this document are based on plasma exposure comparisons, i.e., AUC in animals/AUC in humans.

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<sup>5</sup> Goulinet S and Champan MJ “Plasma lipoproteins in the Golden Syrian hamster (*Mesocricetus auratus*): heterogeneity of apoB- and apoA-I-containing particles” *J Lipid Res* 1993; **34**:943-959.

<sup>6</sup> Evans GF, et al. “Inhibition of cholesteryl ester transfer protein in normocholesterolemic and hypercholesterolemic hamsters: effects on HDL subspecies, quantity, and apolipoprotein distribution” *J Lipid Res* 1994; **35**:1634-1645.

<sup>7</sup> Weisgraber KH and Mahley RW “Subfraction of human high density lipoproteins by heparin-Sepharose affinity chromatography” *J Lipid Res* 1980; **21**:316-325.

<sup>8</sup> Camont L, et al. “Biological activities of HDL subpopulations and their relevance to cardiovascular disease” *Trends Mol Med* 2011; **17**(10):594-603.

Evolocumab was administered to hamsters at 300 mg/kg/week for 3 months. Significant decreases in mean total cholesterol, LDL-C and HDL-C (up to 49, 67 and 49%, respectively) occurred in male and female hamsters. No remarkable toxicity occurred at 20-fold the maximum recommended human dose of 420 mg Q2W.

Evolocumab was administered in monkeys at up to 300 mg/kg/week for 6 months. The major observed effects of evolocumab were mean reductions in total cholesterol (up to 47%) and LDL-C (up to 83%). No significant effect on HDL-C was detected. The site of injection emerged as a possible target tissue. Evolocumab was associated with minimal to slight acute/chronic inflammation and slight fibrosis at the test item injection site in the 6-month subcutaneous toxicity study. These findings were not considered to be toxicologically significant and were not unexpected for administration of a human IgG monoclonal antibody in non-human primates. No significant toxicity occurred at 134-fold the maximum recommended human dose of 420 mg Q2W.

#### Combination with statins:

Evolocumab (up to 100 mg/kg; 8.8-fold the maximum recommended human dose of 420 mg Q2W) was coadministered with oral rosuvastatin (5 mg/kg/day; 2-fold the maximum recommended human dose of 40 mg QD) to monkeys for 3 months. No additive or synergistic toxicity was observed when 5 mg/kg/day rosuvastatin was combined with up to 100 mg/kg evolocumab administered every other week. There were no effects on any of the immunotoxicological assessments made (immunophenotyping, T-cell dependent antibody response and natural killer cell activity) in the study. A limitation of the study was that there was no direct evidence that the dose of rosuvastatin used had any effect on either HMG-CoA reductase or LDL-C. However, the expected level of rosuvastatin exposure was detected in these monkeys.

#### Immune Modulation:

Monkeys administered evolocumab at up to 300 mg/kg/week for six months initially showed a statistically significant trend towards immune suppression in a T-cell dependent antibody response (TDAR) assay, a test of adaptive immune system function. However, a number of animals were found to have pre-existing titers for anti-KLH antibodies prior to KLH exposure. Pre-existing anti-KLH titers is not uncommon in cynomolgus macaques, and it has been postulated that these represent antibodies to a *Schistosoma mansoni* carbohydrate antigen that cross-reacts with KLH.<sup>9</sup> Since the proper interpretation of the TDAR relies on comparisons between groups of KLH-naïve animals, these animals were excluded from the TDAR analysis. Reanalysis of the remainder of the data set did not indicate a statistically significant effect of drug on the TDAR. Immunophenotyping and assessment of natural killer cell activity showed no statistically significant effects of evolocumab in the study. A second TDAR assay was carried out in monkeys administered up to 100 mg/kg evolocumab every other week for three months with 5 mg/kg/day oral rosuvastatin. No effect on antibody response to KLH was observed in this study for either IgM or IgG. The no-observed adverse effect level in the combination toxicity study with rosuvastatin provides an 8.8-fold safety margin for the 420 mg Q2W dose. Rosuvastatin exposures were approximately 2-fold higher than the maximum recommended

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<sup>9</sup> Grzych JM, et al. "Schistosoma mansoni shares a protective carbohydrate epitope with keyhole limpet hemocyanin" 1987; *J Exp Med* **165**(3): 865-878.

human dose of 40 mg QD. The high-dose in the 6 month study of 300 mg/kg/day, which was equivocally linked to the most significant impairment of TDAR, represents 134-fold the recommended human dose of 420 mg Q2W. Overall, the weight of evidence suggests a limited possibility that evolocumab could affect the adaptive immune response in adult patients at very high exposures.

#### Genetic toxicity:

Under the ICH-S6 guidance document for biological product development, genotoxic evaluation of evolocumab, a monoclonal antibody with no reasonable expectation of interacting with DNA, is not recommended.

#### Carcinogenicity:

Theoretical concerns for how evolocumab may increase the risk of cancer include the potential for increased bile acid production due to increased flux of cholesterol into the liver and immune suppression due to inadequate supplies of cholesterol for clonal expansion and/or signaling of immune cells. To address these concerns, the Applicant conducted a two-year (i.e., lifetime) carcinogenicity bioassay in hamsters. Evolocumab was administered once every other week at up to 100 mg/kg via the subcutaneous route. Substantial reductions in total cholesterol, LDL-C and HDL-C were maintained throughout the study. No drug-related tumors were observed at exposure multiples of 6.6-fold the maximum recommended human dose of 420 mg Q2W.

#### Reproductive Risks:

During drug development, reproductive toxicity is evaluated from before mating through weaning. A perceived concern for the chronic treatment of patients with evolocumab is the potential risk during pregnancy to the rapidly developing fetus. A fertility and early embryonic development study (with treatment from pre-mating through early gestation) was conducted in hamsters administered evolocumab at doses up to 100 mg/kg administered every other week. These doses had no effect on mating, fertility, estrous cycling, male reproductive assessments (reproductive organ weights and sperm parameters) or embryo-fetal survival. Substantial reductions in total cholesterol, LDL-C, and HDL-C were observed during the study. The no-observed adverse effect level for this assessment of fertility and early embryonic development in hamsters provides a 5.3-fold safety margin to the maximum recommended human dose of 420 mg Q2W. Effects on fertility were also assessed in the 6 month chronic monkey toxicity study at doses of up to 300 mg/kg/week, which provides a 134-fold safety margin to the 420 mg Q2W dose. No effects on menstrual cycling in female monkeys or sperm counts and sperm morphology in male monkeys were observed.

The Applicant performed an enhanced pre/postnatal developmental toxicity study (treatment from Day 20 of gestation to parturition) in pregnant monkeys with administration of evolocumab at up to 50 mg/kg every other week by the subcutaneous route. Infants were exposed to evolocumab in utero; drug levels at birth were similar to those measured in maternal plasma. Infants were raised by their mothers until 6 months of age. LDL-C was reduced in infant monkeys exposed to evolocumab in utero by as much as 15 to 29% during the first six months of life compared to offspring of concurrent controls. No evolocumab-related fetal variations or malformations were observed at an exposure multiple of 5.2-fold to clinical exposure at the 420 mg Q2W dose. However, as HDL particles containing ApoE are a major class of lipoproteins in the plasma of human neonates and LDL-C is typically low in newborns (~40% of total

cholesterol)<sup>10</sup>; the risk to neonates through in utero evolocumab exposure remains a theoretical concern.

Immunogenicity:

Maximal pharmacologic effects of evolocumab were maintained in the majority of test animals in the nonclinical program. Overall, rates of production of neutralizing antidrug antibodies were negligible in hamsters and low in monkeys, and did not compromise the toxicological assessment of evolocumab in any study.

Toxicokinetics and determination of safety margins:

Administration of evolocumab generally led to predictable and dose-proportional increases in drug exposure in animals. These data, combined with robust pharmacodynamic lowering of cholesterol, confirmed adequate exposures throughout the toxicity studies in hamsters and monkeys. Safety margins are shown in Table 1, below.

Summary of nonclinical toxicity with evolocumab:

Evolocumab was administered to hamsters for three months and to monkeys for 6 months at up to 300 mg/kg/week. No dose-limiting test item-related toxicity was observed at any duration in hamsters or monkeys. The highest doses tested in repeat-dose toxicity studies for both species were 300 mg/kg/week, which are considered to be the no-observed adverse effect level doses. Based on plasma exposures (AUC) measured in toxicity studies in hamsters, the safety margin for the maximum recommended human dose of 420 mg Q2W is 20-fold. In monkeys, the safety margin was 134-fold the 420 mg Q2W dose. Evolocumab was not carcinogenic in hamsters with lifetime dosing at 6.6-fold the human exposure at the 420 mg Q2W dose. No effects on fertility and mating were observed in hamsters at 5.3-fold the 420 mg Q2W dose. No effects on pre- or postnatal development were observed in monkeys at exposures 5.2-fold greater than those in humans administered the 420 mg Q2W dose.

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<sup>10</sup> Innerarity T L, et al. "Receptor binding activity of high density lipoproteins containing apoprotein E from abetalipoproteinemic and normal neonate plasma" *Metabolism* 1984; **33**: 186-195.

**Table 1: Safety margins for nonclinical assessment of evolocumab**

Type of study	Toxicity	Species	No-observed adverse effect level & dosing frequency (duration)	Clinical dose	Safety margin based on AUC <sup>†,‡</sup>
General toxicity	None	Golden Syrian hamsters	300 mg/kg/week (3 month)	140 mg Q2W	112-fold
				420 mg QM	48-fold
				420 mg Q2W	20-fold
General toxicity	None	Cynomolgus monkeys	300 mg/kg/week (6 months)	140 mg Q2W	744-fold
				420 mg QM	300-fold
				420 mg Q2W	134-fold
General toxicity in combination with rosuvastatin	No additive/synergistic toxicity	Cynomolgus monkeys	100 mg/kg/2week evolocumab 5 mg/kg/day rosuvastatin (3 months)	140 mg Q2W	50-fold
				420 mg QM	10-fold
				420 mg Q2W	8.8-fold
Carcinogenicity	No drug-related tumors	Golden Syrian hamsters	100 mg/kg/2week (lifetime)	140 mg Q2W	38-fold
				420 mg QM	15-fold
				420 mg Q2W	6.6-fold
Fertility and mating	None	Golden Syrian hamsters	100 mg/kg/2week (2-3 doses, females; 5 doses, males)	140 mg Q2W	30-fold
				420 mg QM	12-fold
				420 mg Q2W	5.3-fold
Enhanced pre/postnatal development	None	Cynomolgus monkeys	50 mg/kg/2week maternal (gestation Day 20 to parturition); offspring (in utero exposure)	140 mg Q2W	30-fold
				420 mg QM	12-fold
				420 mg Q2W	5.2-fold

<sup>†</sup>AUC in human: Human AUC<sub>0-tau</sub> for evolocumab were determined following evolocumab SC 140 mg Q2W (387 day·µg/mL for 2 doses, or 194 day·µg/mL for one dose) or 420 mg QM (962 day·µg/mL) as monotherapy in primary hyperlipidemia or mixed dyslipidemia patients (Study 20101154). Human AUC<sub>Week8-10</sub> following evolocumab SC 420 mg Q2W (1,080 day·µg/mL) was determined in severe familial hypercholesterolemia patients (Study 20110271).

<sup>‡</sup>AUC in animals: Hamster AUC for evolocumab was determined following once-weekly subcutaneous administration for 3 months at 300 mg/kg/week (AUC<sub>last</sub> of 11,083 day·µg/mL). Monkey AUC for evolocumab was determined following once-weekly subcutaneous administration for 6 months at 300 mg/kg/week (AUC<sub>0-168</sub> of 72,083 day·µg/mL). Monkey AUC for evolocumab was determined following once every other week subcutaneous administration of 100 mg/kg for 3 months with coadministration of rosuvastatin (AUC<sub>0-tau</sub> of 9,541 day·µg/mL). Hamster AUC was determined during lifetime exposure to evolocumab dosing of 100 mg/kg once every other week via the subcutaneous route (AUC<sub>last</sub> of 7,167 day·ug/mL). Hamster AUC for fertility and early embryonic development was estimated following once-weekly subcutaneous administration for 3 months at 100 mg/kg/week (AUC<sub>last</sub> of 5,750 day·µg/mL). Monkey AUC was determined for pregnant females for enhanced pre-/postnatal development following once every other weekly subcutaneous administration of 50 mg/kg evolocumab during the period of embryo-fetal development to birth (AUC<sub>0-336</sub> of 5,600 day·ug/mL).

## **Section II – Theoretical concerns for evolocumab exposure:**

The marked plasma LDL-C lowering attainable with clinical administration of evolocumab with or without a statin could be expected to secondarily impact other cholesterol-related processes, including bile acid formation, cholesterol-dependent hormone production, cholesterol-dependent tissue regeneration, and myriad others. These hypothetical concerns and the perceived human risks will be discussed below.

### **Increased intestinal bile acids:**

Bile acids are synthesized from cholesterol in the liver.<sup>11</sup> The presence of cholesterol up-regulates production of bile acids.<sup>12</sup> High levels of bile acids have been demonstrated in rodent models to promote tumor formation.<sup>13</sup> It is presumed that inhibition of PCSK9 would increase the flow of cholesterol into the liver. Therefore, the potential for increased concentrations of intestinal bile acids was identified as a possible concern for evolocumab therapy. However, no increase in colon tumors were observed in a lifetime hamster carcinogenicity study with evolocumab, which provides a 6.6-fold exposure multiple to the maximum recommended human dose of 420 mg Q2W. Based on these data, evolocumab is not anticipated to pose a risk for induction of intestinal tumors through increased bile acid production.

### **Hepatitis C virus (HCV) infectivity:**

A study by Labonte et al.<sup>14</sup> identified regulation of CD81 cell surface protein expression by PCSK9 as a potential pathway by which PCSK9 inhibitors might cause increased susceptibility to HCV infection and associated liver tumors. CD81 is a co-receptor for hepatitis C infection in humans. Labonte showed that expression of PCSK9, especially a modified non-secretable form, reduced CD81 and LDLR levels in immortalized human cells and provided resistance to HCV infection in vitro. Evolocumab may therefore increase CD81 expression resulting in greater infectivity of HCV. The Applicant cited an abstract from the 2013 meeting of the American Heart Association, which describes a set of experiments that used a more physiologically relevant soluble form of PCSK9. These experiments failed to show an association between PCSK9 and CD81 levels.<sup>15</sup> Based on conflicting data, the scientific database is currently considered inadequate to conclusively address the potential impact of evolocumab on HCV infectivity. It should be noted that increased cell-surface LDLR has also been postulated to modulate HCV infectivity; statins, like evolocumab, increase cell-surface LDLR.

### **Adrenal (cortical)-derived hormones:**

The adrenal glands are heavily dependent on cholesterol for hormone production, and evolocumab might be expected to affect adrenal function. No effects on the adrenal glands were noted in hamsters administered evolocumab at up to 300 mg/kg/week for 3 months, which

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<sup>11</sup> Russell DW “The enzymes, regulation, and genetics of bile acid synthesis.” *Annu Rev Biochem* 2003 **72**:137–174.

<sup>12</sup> Russell DW “Bile acid biosynthesis.” *Biochemistry* 1992; **31**(20):4737-4749.

<sup>13</sup> Weisburger JH, et al. “Bile acids, but not neutral sterols, are tumor promoters in the colon in man and in rodents.” *Env Health Perspect* 1983; **50**:101-107.

<sup>14</sup> Labonte, P et al. “PCSK9 impedes hepatitis C virus infection in vitro and modulates liver CD81 expression” *Hepatology* 2009; **50**(1):17-24.

<sup>15</sup> Ramanathan A, et al. “Role of alirocumab (proprotein convertase subtilisin/kexin type 9 antibody) on CD81 levels and hepatitis C virus entry into hepatocytes” *American Heart Association* 2013; Abstract 12052.

represents a 20-fold safety margin to the recommended human dose 420 mg Q2W. Evolocumab did not cause any adrenal effects in monkeys at up to 300 mg/kg/week for up to 6 months, which represents 134-fold the maximum recommended human dose of 420 mg Q2W. Adrenal-derived hormones were not directly measured in any study. The lack of any observable effects on the adrenal glands of nonclinical species administered high doses of evolocumab indicates effects on adrenal hormones in humans are unlikely.

#### Impaired liver regeneration:

A concern for liver injury and evolocumab treatment comes from a published study conducted with the PCSK9 knockout mouse.<sup>16</sup> When compared to littermates, *PCSK9-null* mice (but not *PCSK9<sup>+/-</sup>* mice) were markedly delayed in their ability to regenerate liver tissue following partial hepatectomy. Furthermore, the regenerating liver tissue exhibited necrotic foci. In these foci, the liver architecture was disrupted with swollen hepatocytes undergoing ballooning degeneration. Infiltration of red blood cells and leukocytes was also observed at the border of the necrotic areas. Whether this deficit is likely to be associated only with catastrophic liver injury (e.g., partial hepatectomy) or would also manifest following other liver injury (e.g., acetaminophen toxicity) is unknown. Of particular theoretical concern is the often transient, but sometimes severe, liver injury induced by statins, which could theoretically be worsened by pharmacologically-induced loss of PCSK9 analogous to the *PCSK9<sup>-/-</sup>* mouse phenotype. However, liver toxicity was not exacerbated in a 3 month combination toxicity study with evolocumab at doses that provided an 8.8-fold exposure multiple to the maximum recommended human dose 420 mg Q2W, when coadministered with rosuvastatin in monkeys. A limitation of the study was that rosuvastatin was not dosed at a level sufficient to cause any toxicity when administered alone and did not lower LDL levels, although the dose was high enough to provide a 2-fold safety margin to the 40 mg QD clinical dose of rosuvastatin. It is unknown whether recovery from more serious liver damage would be impacted by PCSK9 inhibitor therapies, including evolocumab.

One possible explanation for the failure of liver to properly regenerate in PCSK9 knockout mice owes to the discovery that HDL-C concentrations regulate bone marrow-derived endothelial progenitor cells<sup>17</sup>, including the precursors of liver sinusoidal cells. Upon significant liver damage, liver regeneration is dependent upon endothelial progenitor cells to migrate to the liver from the bone marrow, where they are responsible for directing repair of damaged hepatic blood vessels and tissues. This process is required for proper liver repair after partial hepatectomy.<sup>18</sup> It is tempting to speculate low HDL-C impaired the production and migration of progenitor cells to direct liver regeneration in *PCSK9<sup>-/-</sup>* mice. Hamsters administered evolocumab had reduced HDL-C in plasma, but no sinusoidal cell defects were observed in the liver. HDL-C has not been observed to be reduced in humans or monkeys administered evolocumab, which may argue that defects in liver regeneration observed in partially hepatectomized PCSK9 knockout mice have limited clinical relevance.

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<sup>16</sup> Zaid A, et al. "Proprotein Convertase Subtilisin/Kexin Type 9 (PCSK9): Hepatocyte-specific Low-Density Lipoprotein Receptor Degradation and Critical Role in Mouse Liver Regeneration" *Hepatology* 2008; **48**:646.

<sup>17</sup> Noor R, et al. "High-density lipoprotein cholesterol regulates endothelial progenitor cells by increasing eNOS and preventing apoptosis" *Atherosclerosis* 2007; **192**:92-99.

<sup>18</sup> DeLeve L "Liver sinusoidal endothelial cells and liver regeneration" *J Clin Invest* 2013 **123**:1861-1866.

#### Immune modulation in adult animals:

Inhibition of PCSK9 produces profound lowering of circulating cholesterol. The immune system is dependent on cholesterol for proper function. Clonal expansion of rapidly dividing immune cells (e.g., B-cells, T-cells, etc.) and cell-cell signaling are heavily dependent on cholesterol and cholesterol derivatives.<sup>19</sup> No significant effects of evolocumab on immune function, including immunophenotyping and T-cell dependent antibody response, were observed in adult monkeys subcutaneously administered evolocumab at up to 300 mg/kg/week for 6 months, which provides a 134-fold safety margin for the maximum recommended human dose 420 mg Q2W. No effects of evolocumab on immune function, including immunophenotyping, T-cell dependent antibody response and natural killer cell function, were observed in monkeys administered a combination of 100 mg/kg evolocumab subcutaneously administered every other week with 5 mg/day oral rosuvastatin, which provides an 8.8-fold safety margin to the maximum recommended human doses of 420 mg Q2W. The 5 mg/kg dose of rosuvastatin provides a 2-fold exposure multiple to the 40 mg QD maximum recommended human dose. It should be noted that rosuvastatin showed no evidence of pharmacodynamic activity in monkeys at this dose; however, the expected plasma AUC for rosuvastatin was observed. Overall, these data indicate that evolocumab is unlikely to affect the immune system in adult patients.

#### Insulin sensitivity:

A signal for increased transition from pre-diabetes to type 2 diabetes mellitus has been identified in clinical studies with statins.<sup>20</sup> Decreased insulin sensitivity was observed in PCSK9 knockout mice compared to wild-type mice.<sup>21</sup> Compared to wild-type mice, PCSK9 knockout mice were hypoinsulinemic, hyperglycemic and glucose-intolerant. Pancreatic islets of PCSK9 knockout mice exhibited signs of dysfunction. The authors hypothesized that the observed pancreatic islet cell inflammation and apoptosis could be the result of sterol accumulation in beta-cells or a failure of beta-cell replacement and renewal. However, no effects on plasma glucose or pancreas structure/function were observed in studies with healthy monkeys and hamsters administered high doses of evolocumab. The absence of significant evolocumab-related effects on glucose homeostasis in nonclinical toxicology studies is reassuring.

#### Neurocognitive assessments:

Adverse neurocognitive events (e.g., transient confusion and memory loss) have been described, primarily through patient reporting, in adults on chronic statin therapy. Cholesterol and other sterols are important for nerve function in both the central and peripheral nervous system. The brain is a cholesterol-rich organ, which depends almost completely on de novo cholesterol biosynthesis for its sterols; peripheral blood lipids are unavailable to the CNS, due to blockade by the blood-brain-barrier. PCSK9 is highly expressed in brain tissues, although its function

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<sup>19</sup> Norata GD, et al. "Emerging role of high density lipoproteins as a player in the immune system" *Atherosclerosis* 2012; **220**:11-21.

<sup>20</sup> Van de Woestigne AP, et al. "Effect of statin therapy on incident type 2 diabetes mellitus in patients with clinically manifest vascular disease" *Am J Cardiol* 2015; **115**(4): 441-446.

<sup>21</sup> Mbikay M, et al. "PCSK9-deficient mice exhibit impaired glucose tolerance and pancreatic islet abnormalities" *FEBS Letters* 2010; **584**:701-706.

there is uncertain.<sup>22</sup> Evolocumab is a 150 kDa immunoglobulin, with very low access to the brain. Therefore, it is considered unlikely that evolocumab could directly affect the structure or function of the CNS, but this leaves the possibility of effects on peripheral neurons. No significant evolocumab-related effects on peripheral neurons were observed in toxicity studies in hamsters and in monkeys. The lack of neurological symptoms in animal models is reassuring.

Summary of theoretical concerns for evolocumab exposure:

Areas of particular theoretical concern for chronic administration of evolocumab were identified based on the very low plasma cholesterol levels attainable with PCSK9 inhibitor therapy, especially with coadministration of statins. These included possible increases in bile acid concentrations in the intestine that could cause tumors, increased risk of HCV infection, modulation of cholesterol-derived hormones, immune suppression (in adults), and similar to statins, risks for statins including increased progression to type 2 diabetes and neurocognitive events. Overall, studies in animals administered evolocumab were reassuring regarding these theoretical concerns.

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<sup>22</sup> Liu M, et al. “PCSK9 is not involved in the degradation of LDL receptors and BACE1 in the adult mouse brain” *J Lipid Res* 2010; **51**:2611-2618.

## **IV. Clinical Review of Efficacy and Safety**

Clinical Briefing Document  
Endocrinologic and Metabolic Drugs Advisory Committee Meeting  
June 10, 2015  
Biologics License Application (BLA) 125522:  
Repatha® (evolocumab) subcutaneous injection  
Applicant: Amgen, Inc  
Clinical Reviewer: Eileen Craig, MD

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## 1 Executive Summary

### 1.1 Background

Repatha® (evolocumab) is a human monoclonal immunoglobulin G2 (IgG2) antibody directed proprotein convertase subtilisin/kexin type 9 (PCSK9). Evolocumab binds to PCSK9 and inhibits circulating PCSK9 from binding to the low-density lipoprotein receptor (LDLR) on the liver cell surface. This action prevents PCSK9-mediated LDLR degradation, which leads to increases in LDLR, and results in decreases in serum LDL-C.

The efficacy of evolocumab (also referred to as AMG145 or EvoMab in this document) was assessed in four double-blind, randomized, placebo- or ezetimibe-controlled Phase 3 trials of 12 weeks duration and one 52-week placebo-controlled trial. The four 12-week trials evaluated evolocumab in four different patient populations: (1) monotherapy in a population at low CV risk (10-year Framingham risk score of 10% or less) (N=614); (2) in combination with statins (N=1896); (3) in 'statin-intolerance' (N=307); and, (4) in heterozygous familial hypercholesterolemia (HeFH) (N=329). The 52-week trial (DESCARTES, N=901: 599 EvoMab; 302 placebo) also evaluated evolocumab in four different patient populations whose background therapy was based upon their screening LDL-C, NCEP ATP III risk category, and statin therapy: (1) no drug therapy required - diet alone; (2) low dose drug therapy required - diet plus atorvastatin 10 mg; (3) high dose drug therapy required - diet plus atorvastatin 80 mg; and, (4) maximal drug therapy required - diet plus atorvastatin 80 mg plus ezetimibe 10 mg.

The overall safety database included a total of 5710 participants exposed to any dose of evolocumab. The safety of evolocumab for the indication of primary hyperlipidemia was assessed in eight phase 2 and phase 3 lipid-lowering trials, a phase 2 trial done in Japan, two device clinical home-use studies, one 52-week placebo-controlled trial and two open-label extension (OLE)<sup>1</sup> trials.

The indication for individuals with homozygous familial hypercholesterolemia (HoFH) is supported by two trials, trial 20110233 (placebo-controlled, N=49) and ongoing open-label extension trial 20110271 (N=96). Trial 20110233 used an evolocumab dose of 420

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<sup>1</sup> After participating in any of the phase 2 or phase 3 trials, participants could enroll in a phase 2 (Study 20110110) or phase 3 (Study 20120138) open-label extension trial, respectively. In these trials, participants were randomized for the first year of the trial to a standard of care (SoC) control arm versus SoC plus evolocumab, followed by open-label evolocumab therapy for all participants beginning in the second year. In Study 20110110, participants received either evolocumab 420 mg QM plus SoC or SoC alone in Year 1, and then switched to evolocumab 420 mg QM starting in Year 2. In Study 20120138, participants received either evolocumab (140 mg Q2W or 420 mg QM) plus SoC or SoC alone in Year 1, and then switched to evolocumab 140 mg Q2W or 420 mg QM starting in Year 2.

mg QM and ongoing trial 20110271 used doses of 420 mg QM and 420 mg Q2W. The duration of exposure is 12 weeks in trial 20110233 and 84 weeks in trial 20110271.

The applicant (Amgen) proposes indications to reduce low-density lipoprotein cholesterol (LDL-C), as well as changes in other lipid parameters, in adults with primary hyperlipidemia (heterozygous familial and nonfamilial) or mixed dyslipidemia

- in combination with a statin
- in combination with a statin plus other lipid lowering therapies
- as monotherapy or in combination with other lipid-lowering therapies in patients who are statin-intolerant, and
- as monotherapy or in combination with other lipid-lowering therapies in patients for whom a statin is not considered clinically appropriate.

The applicant is also proposing an indication to reduce LDL-C, as well as to reduce other lipid parameters, in adults and adolescents aged 12 years and over with HoFH in combination with other lipid lowering therapies (e.g., statins, LDL apheresis).

The proposed evolocumab dosage for marketing is either 140 mg every 2 weeks or 420 mg once monthly for the primary hyperlipidemia and mixed dyslipidemia indication. The proposed dosage is 420 mg either once monthly or 420 mg every 2 weeks in patients with HoFH. Patients on apheresis are instructed to initiate treatment with 420 mg every 2 weeks to correspond with their apheresis schedule.

## 1.2 Efficacy Summary

### Primary Hyperlipidemia

In the integrated analysis of efficacy of the four 12-week trials for primary hyperlipidemia, the mean age at baseline was 58 years and approximately 49% of participants were female. Most participants were white (92%) and non-Hispanic (95%). Approximately 52% of participants were enrolled at sites in Europe, 40% in North America and 8% Asia Pacific. Approximately 30% (n = 958) of participants were ≥ 65 years old.

Approximately 20% of participants in the integrated efficacy analysis population had a prior diagnosis of CAD and 10% had a diagnosis of cerebrovascular or peripheral arterial disease. Approximately 300 (10%) participants had a history of myocardial infarction; only 69 (2%) participants had a history of stroke at baseline. Approximately 4% (136) had a history of congestive heart failure, with 1.7% of participants having CHF NYHA class I and 2.6% having CHF NYHA class II. Additional baseline characteristics include 12% had Type 2 diabetes mellitus; 49% had hypertension; approximately 34% were high CHD risk by NCEP ATP-III risk categories, 10% were moderately-high and 29% were moderate CV risk. Thus, less than 45% (1370 participants) were at moderate-high or higher CHD risk at baseline.

In the four 12-week trials, 72% of the participants were on statins: 32% were using high-intensity, 38% were using moderate-intensity and 2% were on low-intensity statin therapy. Mean serum concentration of LDL-C at baseline was 129 mg/dL, mean HDL-C was 54 mg/dL and median triglyceride was 119 mg/dL. These four trials that provide the efficacy data were heterogeneous and explored the use of evolocumab in four distinctly different patient populations: low CV risk not on background statin therapy, in combination with statins, 'statin-intolerant' and HeFH. Of note, participants who were not on concomitant statin therapy included individuals at low CV risk that did not warrant any lipid-lowering therapy as well as individuals at increased CV risk who did need to be on lipid-lowering therapy but had not tolerated statins in the past.

The integrated analyses from the four phase 3 12-week trials demonstrated statistically significant reductions in reflexive<sup>2</sup> LDL-C for both dosing regimens (evolocumab 140 mg Q2W and 420 mg QM dosing) (multiplicity-adjusted  $p < 0.001$ ), with random-effects treatment differences (SE) that ranged from reductions of 60% (2%) for the 420 mg QM dose to 67% (3%) for the 140 mg Q2W dose compared with placebo.

The evolocumab 140 mg Q2W dose and the 420 mg QM dose yield similar LDL-C reductions but different pharmacodynamic profiles over the dosing interval (QM results in a sawtooth pattern compared to the more-stable LDL C reduction achieved with Q2W dosing). The two different dosing regimens were designed by the applicant to cater to the patient's preference of taking the injectable every two weeks versus every four weeks and not to allow titration of the magnitude of LDL-C reduction.

The persistence of efficacy (420 mg QM dose) was demonstrated in the 52-week trial (20110109, DESCARTES). The mean age at baseline was 56 years and 52% of participants were female. Most participants were white (80%) and non-Hispanic (94%). Approximately 27% of participants were enrolled at sites in Europe, 58% in North America and 15% Asia Pacific. Approximately 23% of participants were  $\geq 65$  years old. As classified by NCEP ATP III criteria, the majority of participants (64%) were at moderate or low CHD risk and only 26% were considered at high risk for coronary heart disease. Only 15% of participants had a medical history of coronary artery disease, with <8% having a history of prior myocardial infarction. Additional baseline characteristics include 12% of participants who had Type 2 diabetes mellitus; 49% had hypertension; 4% had a medical history of cerebrovascular or peripheral arterial disease, with <1% having a history of prior stroke. Mean serum concentration of LDL C at baseline was 104 mg/dL, mean HDL-C was 53 mg/dL and median triglyceride was 108 mg/dL.

The percent change in LDL-C from baseline to Week 52 for evolocumab 420 mg QM compared with placebo QM using ultracentrifugation (UC)/directly measured, reflexive LDL-C, or calculated LDL-C values resulted in treatment differences of -57%, -58%, and

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<sup>2</sup> In the LDL-C reflexive approach, the calculated LDL-C values are used, unless the value is < 40 mg/dL or triglycerides are > 400 mg/dL, in which case, the calculated LDL-C value was replaced with the ultracentrifugation/directly measured (UC) LDL-C value from the same blood sample, if available.

-59%, ( $p < 0.001$ ), respectively, when added to protocol-determined background lipid-lowering therapy. Evolocumab was effective across all subgroups with no significant differences; however, there was a trend toward greater LDL reduction with lower BMI.

#### Homozygous Familial Hypercholesterolemia (HoFH)

In the placebo-controlled HoFH trial 20110233, 51% of participants were male, 90% were white, and the mean age was 31 years, with a range from 13 years to 57 years. Ten (20%) participants were  $\geq 12$  to  $< 18$  years of age at baseline. Twenty-one (43%) participants had coronary artery disease, and 4 (8%) had cerebrovascular or peripheral arterial disease. Twenty-four (49%) participants had homozygous genetic defects, 24 (49%) participants had compound heterozygous genetic defects and one had heterozygous genetic defects. Baseline therapy included a statin for all participants and the doses were rosuvastatin  $\geq 10$  mg QD or atorvastatin  $\geq 40$  mg QD for all except 1 in the evolocumab group. In this trial, which did not allow lipid apheresis treatment, evolocumab, compared to placebo, significantly reduced LDL-C from baseline to Week 12 by 31%. The mean change from baseline to Week 12 within the evolocumab arm alone was -23% and within the placebo arm alone was +8%. This degree of LDL-C reduction is similar to what has been observed with statin+ezetimibe, somewhat greater than what was observed with statin monotherapy (-22% mean reduction with rosuvastatin) and mipomersen<sup>3</sup> but less than that observed with lomitapide<sup>4</sup> (mean, -40%; median, -50%) and LDL apheresis (~ -50%, time-averaged).<sup>5</sup>

There were 96 HoFH participants in the open-label extension trial 20110271, of which 81% were white, 47% were women and the mean age at baseline was 34 years. Eighty-three (87%) participants were  $\geq 18$  years of age and 13 (14%) were less than 18 years of age. More apheresis participants than non-apheresis participants with HoFH had a history of coronary artery disease (52% vs 43%), and cerebrovascular or peripheral

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3 Mipomersen (once weekly subcutaneous injection), approved by FDA in January 2013, is an oligonucleotide inhibitor of apo B-100 synthesis, which is the principal apolipoprotein of LDL and VLDL. Mipomersen treatment led to mean 25% reduction in LDL-C compared to baseline and mean 21% reduction compared to placebo. Mipomersen, like lomitapide, promotes hepatic steatosis (with or without elevations in transaminases). Injection site reactions are also common (84% of patients, according to PI) and resulted in discontinuation of therapy in 5% of patients in phase 3 trials. Flu-like symptoms were reported in 30% of patients, resulting in discontinuation in 3% of patients in phase 3 trials.

4 Lomitapide (daily oral dosing) is an inhibitor of microsomal transfer protein (MTP), which participates in the formation of VLDL particles (a precursor to LDL), that was approved in December 2012. As a result of its mechanism of action, this drug promotes hepatic steatosis (with or without elevations in transaminases) and fat malabsorption, leading to recommendations for monitoring hepatic transaminases and supplementation with essential fatty acids + Vitamin E, respectively. Gastrointestinal tolerability is an issue given the drug-induced fat malabsorption, although 23 of 29 patients in the 78-week pivotal trial remained on drug throughout.

5 Cuchel M, Bruckert E, Ginsberg HN et al, Homozygous familial hypercholesterolaemia: new insights and guidance for clinicians to improve detection and clinical management. A position paper from the Consensus Panel on Familial Hypercholesterolaemia of the European Atherosclerosis Society. Eur Heart J 2014 June 13; 35:2146-57.

arterial disease (32% vs 8%). Mean serum concentration of UC LDL-C at baseline in subjects with HoFH was 339 mg/dL in non-apheresis participants and 283 mg/dL in apheresis participants. Evolocumab resulted in UC LDL-C reductions of 19% at Week 12 and 23% at Week 24 in the HoFH analysis set. Increasing the frequency of dosing from 420 mg QM to 420 mg Q2W in participants with HoFH resulted in approximately 6% greater reduction of LDL-C. Participants who were being treated with apheresis had a reduced response to evolocumab (-20%) compared to the non-apheresis participants (-25%) at Week 24.

### 1.3 Safety Summary

The overall safety database includes a total of 5710 participants exposed to any dose of evolocumab. At the time of database cutoff (1 April 2014), 5416 evolocumab-dosed participants had been on study for at least 3 months, 1824 evolocumab-dosed participants had been on study for at least 12 months, and 614 evolocumab-dosed participants had been on study for 2 years or more. Participants with the following characteristics have been treated with any dose of evolocumab for at least 1 year: 345 with established CVD; 183 with diabetes; 463 on concomitant high intensity statin; and 439 participants  $\geq$  65 years old.

The population included in the integrated parent studies<sup>6</sup> had a mean age of 58 years, approximately 83% were white and approximately 51% female. Approximately 19% and 8% had a prior diagnosis of CAD and cerebrovascular or peripheral arterial disease, respectively. Approximately 13% had Type II diabetes mellitus, 51% had hypertension and 11% had renal impairment (eGFR  $<$  60 mL/min/1.73m<sup>2</sup>) while 44% of participants were at high or moderately-high risk by ATP-III. At baseline, approximately 30% of participants were using high-intensity statin therapy (per ACC/AHA definition statins such as atorvastatin 40-80 mg or rosuvastatin 20-40 mg) and 38% were using moderate intensity statin therapy (such as atorvastatin 10-20 mg, rosuvastatin 5-10 mg, simvastatin 20-40 mg). The mean duration of evolocumab exposure in the 140 mg Q2W and 420 mg QM treatment groups was 2.6 months and 5.3 months, respectively. The greater duration of exposure in the QM dose was due to trial 20110109, which was 52 weeks in duration and participants were administered only the 420 mg QM dose. The median duration of evolocumab exposure in the 140 mg Q2W and 420 mg QM treatment groups was 2.8 months.

The exclusion criteria in the phase 2 and 3 trials included poorly controlled or newly diagnosed diabetes; New York Heart Association CHF class III or IV; uncontrolled serious cardiac arrhythmia; uncontrolled hypertension; hypo/hyperthyroidism; severe hepatic impairment (Child-Pugh class C); estimated glomerular filtration rate (eGFR)  $<$  30mL/min/1.73m<sup>2</sup>; ALT/AST  $>$  2 x ULN; creatine kinase (CK)  $>$  3 x ULN; myocardial

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<sup>6</sup> The Integrated Parent Analysis Set (IPAS) comprises integrated data from the 12-week phase 2 and phase 3 trials in addition to the 52-week study (20110109)

infarction, unstable angina, percutaneous coronary intervention, coronary artery bypass, or stroke within 3 months prior to randomization; and malignancy (except non-melanoma skin cancers, cervical in-situ carcinoma, breast ductal carcinoma in situ, or stage 1 prostate carcinoma) within the last 5 years. Thus, individuals with these conditions were not represented in the trials.

Deaths: There were 15 deaths reported during the clinical program. Four deaths occurred during the parent trials [1/2080 (0.05%) any control; 3/3946 (0.08%) any EvoMab], 7 deaths occurred during the open-label extension year 1 standard of care (SoC)-controlled period [4 (0.3%) SoC; 3 (0.1%) EvoMab], 2 deaths (0.3% EvoMab) occurred during the year 2+ OLE uncontrolled period, and 2 deaths occurred after the end of study (1 on placebo and 1 on EvoMab). A total of 11 deaths were deemed to be cardiovascular: 2 (0.1%) in any control and 4 (0.1%) in any EvoMab group of the integrated parent studies; 3 (0.1%) deaths in the evolocumab plus SoC group and 1 (0.1%) death in the SoC alone group of the year 1+ SoC-controlled period; and 1 (0.1%) death in the year 2+ OLE period.

Nonfatal Serious Adverse Events: In the integrated parent studies, serious adverse events (SAEs) were reported by 95 (3.0%) participants in the EvoMab Q2W/QM group, 36 (2.4%) participants in the Any Placebo group, and 43 (2.1%) participants in the Any Control (i.e., placebo or ezetimibe) groups. The most common SAEs (any EvoMab and any control groups, respectively) were myocardial infarction (0.1% and 0%), angina pectoris (0.1% in both groups), and pneumonia (0.1% and 0%). Although the numbers were small, there was a numeric increase in the evolocumab group in the incidence of cardiac disorders (particularly angina and myocardial infarction), pancreatitis, appendicitis, pneumonia and back pain.

Adverse Events Leading to Discontinuation: In the integrated parent studies, the incidence was slightly higher in the EvoMab group as compared to the placebo group for both dosing frequencies: 29 (2.3%) participants in the EvoMab 140mg Q2W group and 10 (1.7%) participants in the placebo Q2W group and 42 (2.1%) participants in the EvoMab 420mg QM group and 14 (1.5%) participants in the placebo QM group reported an adverse event leading to discontinuation of IP. Small increases in discontinuations in the EvoMab group as compared to the any control group include cardiac disorders (4, 0.1% and 1, <0.1%), CPK increased (4, 0.1% and 1, <0.1%), and nausea (6, 0.2% and 3, 0.1%).

Common Adverse Events: In the integrated parent trials, the incidences of adverse events in the evolocumab 140 mg Q2W (43.6%) and the placebo Q2W groups (41.0%) were similar as well as for evolocumab 420 mg QM group (54.0%) and placebo QM groups (54.6%). The most common adverse events, where there is a notable increase in the EvoMab group (any evolocumab and any control groups, respectively), were nasopharyngitis (5.9% and 4.8%), upper respiratory tract infection (3.2% and 2.7%), back pain (3.0% and 2.7%), and nausea (2.1% and 1.8%).

**Adverse Events of Special Interest:** The safety assessment of evolocumab was focused on concerns known to occur with other lipid-lowering therapies (such as diabetes, liver, muscle and neurocognitive events), those associated with other injectable protein therapies (such as hypersensitivity events, injection site reactions), those occurring in participants with LDL-C levels < 40 mg/dL, those that could theoretically be associated with PCSK9 inhibition/LDL receptor upregulation (hepatitis C events), as well as notable adverse events found during the review.

**Cardiovascular Disorders:** In the integrated parent studies, cardiac disorder adverse events were reported in 77 (2.4%) participants in the evolocumab group (140 mg Q2W or 420 mg QM) and 29 (1.4%) participants in the any control group. The most common adverse events in the evolocumab group and any control group were palpitations (0.6% and 0.3%), angina pectoris (0.3% and 0.2%), and ventricular extrasystoles (0.3% and 0.1%). Serious cardiac adverse events were reported in 21 (0.7%) participants in the evolocumab group and 5 (0.2%) participants in the any control group. The most common serious cardiac events in the evolocumab group and any control group were myocardial infarction (0.1% and 0%), angina pectoris (0.1% and 0.1%), and acute myocardial infarction (0.1% and 0%).

**Pancreatitis:** An imbalance was observed in cases of pancreatitis. At the 120-Day Safety Update (data cut-off date of 01 July 2014), there were 7 participants with 8 events of pancreatitis. Six events occurred while the participants were receiving evolocumab or evolocumab plus standard of care (SoC) and 2 events occurred while the subjects were receiving SoC alone. All 7 participants recovered from the 8 events: 3 events resolved while the participant continued to receive evolocumab and 2 events resolved while the participant continued to receive SoC alone. While one cannot rule out evolocumab as a contributing factor in these cases, other risk factors in these cases included concurrent alcohol use, diabetes, gallstones and concomitant medications associated with pancreatitis.

**Renal Disease/Proteinuria:** An imbalance was observed in cases of serious renal disorders and proteinuria in statin-intolerant and diabetic subjects. In the parent trials, serious adverse events were reported in 4 (0.1%) participants in the any evolocumab group (glomerulonephritis acute, glomerulonephritis minimal lesion, IgA nephropathy, and renal failure acute) and no participants in the any control group. In the year 1 SoC-controlled period, 6 (0.2%) participants reported a serious adverse event in the evolocumab plus SoC group [nephrolithiasis (2 subjects), urinary incontinence (2 subjects), calculus ureteric and renal failure acute] and 1 (0.1%) participant in the SoC alone group (renal failure acute). In the year 1 SoC-controlled period, there was a small but greater incidence of proteinuria in statin-intolerant and diabetic subjects who had no baseline proteinuria in the evolocumab plus SoC group, compared with the SoC alone group. Both the EvoMab and SoC alone group had additional confounding factors for the development of proteinuria, such as hypertension, diabetes and concomitant medications. It is not known if evolocumab was a contributing factor in these increased renal disorder and proteinuria cases.

Diabetes: To explore the potential for diabetes, the incidence of new onset diabetes among all patients, patients with baseline impaired fasting glucose (IFG) and patients with baseline normoglycemia were performed. In the integrated parent studies, using a 3-component definition of new onset diabetes (AEs consistent with diabetes, initiation of anti-diabetic medication or at least 2 consecutive post-baseline FBG measurements  $\geq$  126 mg/dL), in the group with IFG (defined as  $100 \leq$  FBG  $<$  126 mg/dL), there was a small increase in post baseline new onset diabetes in the EvoMab group (29, 3.1% in EvoMab vs 11, 2.6% in Placebo vs 11, 1.9% in Any Control). Using a 4-component definition of new onset diabetes (3-component plus any post baseline HbA1c  $\geq$  6.5%), in the group with IFG, there was no difference among the groups in post baseline new onset diabetes (42, 4.5% in EvoMab vs 23, 5.4% in Placebo vs 23, 4.1% in Any Control). In the group with baseline normoglycemia, regardless of using the 3- or 4-component definition of new onset diabetes, the incidence was small but there was no increase seen in the EvoMab group compared to placebo or control.

During the year 1 SoC-controlled period and using the 3-component definition of new onset diabetes mellitus, the subject incidence of new onset diabetes mellitus during the year 1 SoC-controlled period was slightly higher in subjects with IFG at parent study baseline who received evolocumab plus SoC (29, 3.3%) compared with those who received SoC alone (10, 2.4%). This was also seen using the 4-component definition in the IFG group: evolocumab plus SoC (53, 6.3%) compared with those who received SoC alone (21, 5.2%). In the group with baseline normoglycemia, regardless of using the 3- or 4-component definition of new onset diabetes, the incidence was small but there was no increase seen in the EvoMab plus SoC group compared to SoC alone.

Musculoskeletal Adverse Events: In the integrated parent trials, adverse events for the Musculoskeletal and Connective Tissue Disorders system organ class were reported in 466 (14.6%) participants in the evolocumab group (140mg Q2W or 420 mg QM) and 284 (13.7%) participants in the any control group. The most common adverse event, where there is an increase in the evolocumab group, was back pain (3.1% in the EvoMab group vs 2.7% in the any control group). In the year 1 SoC-controlled period, 541 (19.1%) participants and 216 (15.2%) participants reported an adverse event in the evolocumab plus SoC group and the SoC alone group, respectively. The most common adverse events in the evolocumab plus SoC group and the SoC alone group were arthralgia (3.4% and 2.5%), back pain (3.1% and 2.5%), myalgia (2.5% and 2.4%), and pain in extremity (2.5% and 1.5%).

In the phase 2 and phase 3 integrated trials, 14 participants during the integrated parent studies [9 (0.2%) in the any evolocumab group and 5 (0.2%) in any control group], 13 during the year 1 SoC-controlled period [5 (0.2%) in the evolocumab plus SoC group and 8 (0.6%) in the SoC alone group], and 6 (0.6%) during the year 2+ OLE period had a postbaseline CK  $>$  10 x ULN. Most of these participants had confounding factors (such as concurrent hypothyroidism, muscle and joint injuries, tendonitis, and concomitant statin therapy) that may have contributed to the events. However, in the

phase 1 studies, there were 3 reports of rhabdomyolysis and/or CK > 10 x ULN in healthy individuals not on concomitant statin therapy suggesting that evolocumab may contribute to such muscle symptoms or CK increases when used as monotherapy.

**Liver-related Findings:** The participant incidence of transaminase and bilirubin abnormalities was low and similar in the parent and extension trials for both the control and evolocumab groups. In the integrated parent studies, 5 (0.2%) participants in the evolocumab group (140 mg Q2W or 420 mg QM) and 7 (0.3%) participants in the any control group had ALT or AST > 5 x ULN at any postbaseline visit. No participant in the parent studies had both (ALT or AST > 3 x ULN) and (total bilirubin > 2 x ULN or INR > 1.5) at any study visit. Three (0.1%) participants in the evolocumab plus SoC group of the year 1 SoC-controlled period had transaminase levels 3 x ULN and total bilirubin > 2 x ULN or INR > 1.5: one case occurred 3 days after the participant admitted himself to rehabilitation for alcohol detoxification; one case had a normal bilirubin but an elevated INR due to warfarin and the transaminases declined despite continuation of evolocumab treatment; and in the last case, the participant was being treated for a urinary tract infection with nitrofurantoin and was also on simvastatin. Liver biopsy in this participant was consistent with drug-induced hepatitis. LFTs eventually normalized after suspending nitrofurantoin, evolocumab, simvastatin and other medications.

**Neurocognitive Findings:** One of the theoretical safety issues is related to cognitive function in patients who achieve very low levels of circulating LDL-cholesterol with PCSK9 therapy. Of note, it is believed that the blood-brain barrier limits access of monoclonal antibody products such as evolocumab to the central nervous system. In addition, brain cholesterol is derived by de novo synthesis, as the blood-brain barrier prevents access to cholesterol carrying lipoproteins from the circulation.<sup>7</sup> This should allow the brain to remain largely independent from circulating levels of cholesterol. Nevertheless, to examine this potential cognitive safety issue more thoroughly, a search was done of neurocognitive-related adverse event terms that included deliria (including confusion), cognitive and attention disorders and disturbances, dementia and amnesic conditions, disturbances in thinking and perception and mental impairment disorders. For the integrated parent trials, 11 participants reported neurocognitive adverse events: 5 (0.1%) were in the any evolocumab group and 6 (0.3%) were in the any control group. For the year 1 SoC-controlled period, 16 (0.6%) participants in the evolocumab plus SoC group and 3 (0.2%) in the SoC alone group reported 22 neurocognitive adverse events. Exploratory analyses of the neurocognitive adverse events were performed for LDL-C subgroups defined by post-randomization values. For the year 1 SoC-controlled period, in the LDL-C < 40 mg/dL EvoMab subgroup, the numbers were low but there were numerically more events as compared to the as LDL > 40 mg/dL EvoMab group: [8 (0.6%) vs 1/1427 (0.1%)]. A review of the participants in the Year 1 SoC-Controlled period, who had neurocognitive events and an LDL-C < 40 mg/dL in the parent study or the extension study, showed that many of these cases were confounded by other

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<sup>7</sup> Björkhem I, Meaney S. Brain Cholesterol: Long Secret Life Behind a Barrier. *Arterioscler Thromb Vasc Biol.* 2004;24:806-815.)

conditions or medications that could also affect cognitive function. Many of these participants also had an LDL-C > 40 mg/dL just prior to the event.

**Anti-evolocumab Antibody Formation:** From the 14 integrated phase 2 and phase 3 studies supporting the indication in patients with primary hyperlipidemia and mixed dyslipidemia, 0.1% (7 out of 4846) of subjects developed binding antibodies after at least one dose of evolocumab. No subject developed neutralizing antibodies. There does not appear to be a temporal correlation between the development of binding antibodies and specific adverse events such as hypersensitivity.

**Hypersensitivity:** The incidence of potential hypersensitivity events was low overall but higher in the evolocumab group compared to placebo or to any control: in the integrated parent trials (evolocumab: 3.2%; any placebo: 2.4%; any control 2.4%), the year 1 SoC-controlled period (evolocumab plus SoC: 4.4%; SoC alone: 3.3%), and the year 2+ OLE period (5.7%). Five participants (all on evolocumab) reported adverse events of angioedema; some of these cases may have been confounded by use of another medication that may have contributed to the angioedema.

**Injection Site Reactions:** The incidence of injection site reactions was low and similar between treatment groups in the integrated parent studies (any evolocumab: 3.3%; any control 3.0%), the year 1 SoC-controlled period (evolocumab plus SoC: 3.7%; SoC alone did not receive injections), and the year 2+ OLE period (3.1%). The most common injection site reaction adverse events were injection site erythema, injection site pain, and injection site bruising. There was a total of nine participants who discontinued evolocumab due to injection site reactions (5 had recurring events and 4 had single events).

**Hepatitis C:** Because of the theoretical potential for increased HCV infectivity in participants treated with a PCSK9 inhibitor, analyses were performed to assess potential cases of hepatitis C. The incidence of hepatitis C was low: no subjects in the parent studies; in the year 1 SoC-controlled period (evolocumab plus SoC: 0%; SoC alone: 1, 0.1%) and the year 2+ OLE period (1, 0.1%).

#### **1.4 Preliminary Conclusions**

Evolocumab (420 mg QM) results in statistically significant reductions in LDL-C of approximately 60% after 12 and 52 weeks of treatment. Evolocumab, at doses of 140 mg Q2W and 420 mg QM, yield similar LDL-C reductions. Evolocumab was effective across all subgroups with no significant differences; however, there was a trend toward greater LDL-C reduction with lower BMIs. In patients with HoFH, evolocumab (420 mg QM), compared to placebo, significantly reduced LDL-C from baseline to Week 12 by 31%. The mean change from baseline to Week 12 within the evolocumab arm alone was -23% and within the placebo arm alone was +8%.

The effect of evolocumab on cardiovascular morbidity and mortality in any population has not been determined.

The safety database for the 140 mg Q2W dose and the 420 mg QM dose is adequate but limited in long-term, placebo-controlled data. The 52-week placebo-controlled trial enrolled many participants at low or moderate CV risk. Thus, the overall trial population does not represent a population at high CV risk with substantial CVD burden on maximally tolerated statin therapy—arguably, the most appropriate patient population for add-on therapy to a statin.

The limited amount of safety and efficacy data is problematic for the 420 mg Q2W dose, especially as this dosing regimen could potentially be used in children aged 12 years or older.

Potential safety issues identified in this review could be adequately addressed in labeling and by appropriate monitoring and treatment by health care providers. If evolocumab is approved, these issues should be thoroughly explored in on-going studies. These safety concerns include an observed imbalance of pancreatitis which may or may not be related to evolocumab; an imbalance of serious renal disorders and proteinuria (in statin-intolerant and diabetic subjects), which may or may not be related to evolocumab; the possible increased incidence of new onset diabetes in patients with baseline impaired fasting glucose; musculoskeletal adverse events and CK elevations, particularly with concomitant use of statins; transaminase elevations and possible drug-induced hepatotoxicity, particularly with concomitant use of statins; a potential for neurocognitive adverse events with long-term use; hypersensitivity and skin-related adverse reactions; injection site adverse reactions, as well as any adverse reactions that may be related to chronic, extremely low levels of LDL-C induced by a drug that have yet to be identified.

My final recommendations regarding regulatory action and benefit/risk assessment will be made after the 10 June 2015 Endocrinologic and Metabolic Drugs Advisory Committee Meeting.

## **2 Introduction and Regulatory Background**

### **2.1 Product Information**

The non-proprietary name of this product is evolocumab (previously referred to as AMG 145). Proposed tradenames at the time of this review encompass 2 combination product presentations (biologic/device) that include the biologic evolocumab and 2 separate device presentations (pre-filled syringe and autoinjector/pen). Amgen initially submitted the proposed proprietary names, [REDACTED] (b) (4) on March 26, 2014 to the IND (105188). However, the applicant formally withdrew the request for proprietary name review and subsequently submitted the names, Repatha,

Repatha SureClick, and Repatha RelyTouch, for review to the IND on May 27, 2014. In the BLA submission (BLA #125522), the sponsor submitted the names, Repatha and Repatha SureClick, for review on September 16, 2014. The applicant is seeking to use this trade name in all regions. The proposed proprietary names for the two combination products are:

- Repatha, for the pre-filled syringe (PFS) – This device itself will not be associated with a proprietary name.
- Repatha SureClick®, for the AI/pen – This device will use the previously trademarked name, SureClick®.

The Office of Prescription Drug Promotion (OPDP) determined the proposed root name, Repatha, is acceptable from a promotional perspective. The Division of Medication Error Prevention and Analysis (DMEPA) and the Division of Metabolism and Endocrinology Products (DMEP) concurred with the findings of OPDP's promotional assessment of the proposed root name. OPDP noted that the device name "SureClick" is already part of an approved product, Enbrel SureClick, from the same applicant. Therefore, while OPDP found the proposed proprietary name, Repatha SureClick, problematic from a promotional perspective, OPDP did not object to the name. DMEPA and DMEP concurred with the findings of OPDP's promotional assessment of the proposed device name.

Evolocumab (also known as AMG 145) is a new molecular entity. Its pharmacological categories include (1) monoclonal antibody, (2) cholesterol-lowering agent and (3) inhibitor of proprotein convertase subtilisin/kexin type 9 (PCSK9). Evolocumab, a human monoclonal immunoglobulin G2 (IgG2) directed against PCSK9, binds selectively to PCSK9 and inhibits circulating PCSK9 from binding to the low-density lipoprotein receptor (LDLR) on the liver cell surface. This action prevents PCSK9-mediated LDLR degradation, which leads to increases in LDLR, and results in decreases in serum LDL-C.

The applicant proposes indications for primary hyperlipidemia and mixed dyslipidemia as well as HoFH, which are discussed in more detail in the next section.

Evolocumab will be available in one product strength of 140 mg/mL. The route of administration is subcutaneous. There are 2 devices in this application. The prefilled syringe (PFS) is a prefilled, single-use, disposable, handheld, injection device that is provided ready to use. The autoinjector (AI)/pen is a prefilled, single-use, disposable, handheld, mechanical (spring-based) injection device that is provided ready to use, pre-assembled with the prefilled syringe.

The applicant's proposed dosage and administration instructions are as follows:

Primary Hyperlipidemia and Mixed Dyslipidemia:

"The recommended dose for [TRADENAME] is either 140 mg every 2 weeks or 420 mg once monthly; both doses are clinically equivalent.

One single-use prefilled syringe (PFS) or single-use prefilled SureClick® Autoinjector delivers the 140 mg every 2 week dose, and 3 single-use prefilled syringes or 3 single-use prefilled SureClick® Autoinjectors administered consecutively within 30 minutes delivers the 420 mg once monthly dose.”

Homozygous Familial Hypercholesterolemia:

“The recommended dose for [TRADENAME] is 420 mg either once monthly or every 2 weeks. Patients on apheresis may initiate treatment with 420 mg every 2 weeks to correspond with their apheresis schedule.

Three single-use prefilled syringes or 3 prefilled SureClick® Autoinjectors administered consecutively within 30 minutes deliver the 420 mg once monthly or 420 mg every 2 weeks dose.”

*Dosage in patients with renal impairment*

“No dosage adjustment is necessary in patients with mild to moderate renal impairment.”

*Dosage in patients with hepatic impairment*

“No dose adjustment is necessary in patients with mild to moderate hepatic impairment.”

*Dosage in geriatric patients*

“No dosage adjustment is necessary in geriatric patients.”

## **2.2 Tables of Currently Available Treatments for Proposed Indications**

### Primary Hyperlipidemia and Mixed Dyslipidemia

The applicant is seeking the following indication for evolocumab in primary hyperlipidemia and mixed dyslipidemia: indicated in adults with primary hyperlipidemia (heterozygous familial and nonfamilial) or mixed dyslipidemia, as an adjunct to diet to reduce low-density lipoprotein cholesterol (LDL-C), total cholesterol (TC), apolipoprotein B (ApoB), non-high-density lipoprotein cholesterol (non-HDL-C), TC/HDL-C, ApoB/apolipoprotein A1 (ApoA1), very low density lipoprotein cholesterol (VLDL-C), triglycerides (TG) and lipoprotein (a) (Lp[a]), and to increase HDL-C and ApoA1:

- in combination with a statin or statin with other lipid lowering therapies (e.g., ezetimibe), or
- alone or in combination with other lipid-lowering therapies in patients who are statin-intolerant, or
- alone or in combination with other lipid-lowering therapies in patients for whom a statin is not considered clinically appropriate.

The following drugs are currently approved for patients with primary hyperlipidemia or mixed dyslipidemia

- atorvastatin, simvastatin, pitavastatin, lovastatin, fluvastatin, pravastatin, niacin extended-release, fenofibrate (to reduce elevated TC, LDL-C, Apo B, and TG and to increase HDL-C)

- rosuvastatin, atorvastatin/ezetimibe and simvastatin/ezetimibe (to reduce elevated TC, LDL-C, Apo B, TG and non-HDL-C and to increase HDL-C)

Of note, there are no approved lipid-altering therapies that have been granted the following lipid parameter changes proposed by Amgen in their indication: to reduce TC/HDL-C, ApoB/apolipoprotein A1 (ApoA1), very low density lipoprotein cholesterol (VLDL-C), and lipoprotein (a) (Lp[a]), and to increase ApoA1. Also, the approved therapies for primary hyperlipidemia/mixed dyslipidemia state they are indicated to reduce *elevated* lipid parameters (such as TC and LDL-C); Amgen's proposed indication does not include this.

In addition, there are no approved lipid-altering therapies that have been granted indications for patients who are 'statin-intolerant' or for whom 'a statin is not considered clinically appropriate.'

#### Homozygous Familial Hypercholesterolemia

The applicant is seeking the following indication for evolocumab in HoFH: indicated in adults and adolescents aged 12 years and over with homozygous familial hypercholesterolemia (HoFH) to reduce LDL-C, TC, ApoB, and non-HDL-C in combination with other lipid lowering therapies (e.g., statins, LDL apheresis).

The following drugs are currently approved for the reduction of elevated total cholesterol and LDL-C specifically for patients with HoFH: lomitapide, mipomersen, simvastatin, atorvastatin, rosuvastatin, ezetimibe, atorvastatin/ezetimibe and simvastatin/ezetimibe. Rosuvastatin, lomitapide and mipomersen are also approved for the reduction of apolipoprotein B in the HoFH population. Lomitapide and mipomersen are also approved for the reduction of non-HDL-C in the HoFH population.

Of note, the indicated population for the currently approved therapies is 'patients' without reference to adult or adolescent even for drugs that included some adolescent subjects in the clinical trials. Some of these drugs (such as rosuvastatin, atorvastatin, simvastatin) have specific indications for HeFH in pediatric subjects where a dedicated trial was done in children.

### **2.3 Availability of Proposed Active Ingredient in the United States**

Evolocumab is not currently available in the United States.

### **2.4 Important Safety Issues With Consideration to Related Drugs**

Evolocumab is first-in-class.

## 2.5 Summary of Presubmission Regulatory Activity Related to Submission

The initial IND (IND 105188) was submitted on 15 May 2009 by Amgen with an aim to develop the drug for hypercholesterolemia.

On 10 June 2009, IND 105188 was placed on partial clinical hold (PCH) for multiple dose studies. The applicant was informed that single dose studies were allowed to proceed but multiple dose studies were on clinical hold. They were advised that they needed to obtain and submit repeat-dose toxicity data from a second species before multiple dose studies would be permitted to proceed. The FDA explained that given that this compound acts through a novel mechanism, was associated with immune system perturbation in 50% of monkeys treated with 300 mg/kg/week AMG 145 (evolocumab), and was associated with early sacrifice of one monkey at this dose, it was considered that toxicity data from a second species were needed to better evaluate the toxicity profile of AMG 145 before repeat-dose studies were conducted in humans. In order to address the partial clinical hold for repeat-dose clinical studies, a repeat-dose toxicity study was to be conducted in a pharmacologically relevant rodent species (e.g. hamster). A four-week study was recommended. It was suggested that a two-week interim sacrifice group could be included if there was concern that a test item-inactivating immune response may have been elicited in the rodent. In addition, the FDA noted that there was evidence in the six-week monkey toxicity study that AMG 145 may perturb the immune system (lymphoid hyperplasia and increased mass of the spleen, lymphoid hyperplasia of the pylorus, and involution of the thymus). It was recommended that an evaluation of the immunotoxicological potential of AMG 145 should be conducted.

On 10 March 2010, the applicant submitted a complete response to the partial clinical hold. Two study reports were submitted and reviewed: 1) results of the 28-Day toxicity study in the Golden Syrian Hamster and 2) results of the tissue cross-reactivity study in the Golden Syrian Hamster. There was no AMG 145-related toxicity in this study at any dose, and the NOAEL was considered to be 300 mg/kg/week (the highest dose tested). The hamster NOAEL provided a safety multiple of 43x to a weekly human dose of 420 mg. On 09 April 2010, after review of this submission, the partial clinical hold was removed and repeat-dose studies using AMG 145 under this IND were allowed.

On 12 September 2013, orphan drug designation was granted for the treatment of HoFH (designation # 13-4041).

The division held a face-to-face end-of-Phase 2 (EOP2) meeting with Amgen on 10 July 2012 to discuss the evolocumab development program. Topics of discussion included: (1) monotherapy and superiority to ezetimibe/statin claims would likely require cardiovascular outcomes trial (CVOT) data, (2) concerns regarding only taking two dosing regimens (Q2W and Q4W) into phase 3 that seemed to yield approximately the same degree of LDL-C lowering, (3) FDA did not agree with Amgen's proposed definition of statin-intolerance of failing 1 or more statins, (4) FDA expressed concerns with some of the proposed study populations who may not be taking the maximum

tolerated dose of statin. The division expected that placebo-controlled studies, such as protocol 20110115 (combination with statins), would enroll patients who are not at goal despite taking the maximal tolerated dose of statin, with or without other lipid-modulating agents, (5) the design of the proposed CVOT, (6) accrual of a minimum of 25% of the planned first secondary endpoint events in the CVOT prior to BLA submission, (7) no dedicated studies to investigate drug-drug interactions would be required, but systemic exposure data was to be collected, (8) FDA agreed that a thorough QT study would not be required, but that safety ECGs be collected at baseline and at steady state and (9) FDA agreed that the nonclinical data package should be sufficient.

A pre-BLA clinical meeting was held on 10 April 2014. Clinical topics addressed during this meeting included: (1) FDA will accept the evolocumab BLA file, even if less than 25% of potential events have been accrued and adjudicated in the CVOT (FOURIER study) prior to filing of the BLA; (2) FDA reconfirmed that the FDA is unlikely to consider a monotherapy indication or an indication explicitly referencing “statin-intolerant” patients without positive outcomes data. FDA expected that the approvability of a PCSK9 inhibitor, in the absence of outcomes data, would be a topic for discussion with an advisory committee; (3) FDA communicated concerns about the sufficiency of the safety database and duration of exposure to support the proposed indications; (4) FDA stated that current estimates for the 1-year exposure would not constitute a complete file; therefore a different cutoff for the safety database was required; (5) FDA requested the submission of all available PK data from all the phase 1, 2 and 3 studies. FDA encouraged the inclusion of phase 3 studies in the population PK analysis; and (6) a subsequent proposal agreed upon by Amgen and the FDA included a safety database cutoff date of 01 April 2014, including updates to all case study reports (CSRs) and affected summaries.

BLA 125522 was received by the agency on 27 August 2014.

The table below presents a more extensive synopsis of the regulatory history.

Table 1: Summary of Key Regulatory Interactions



(b) (4)

## 2.6 Other Relevant Background Information

### PCSK9 Inhibitors

Evolocumab is one of several drugs under development in a new lipid-altering class. It is a human monoclonal immunoglobulin G2 that binds to human proprotein convertase subtilisin/kexin type 9 (PCSK9) and inhibits the binding of PCSK9 to the low-density lipoprotein receptor (LDLR). PCSK9 is a secretory protein produced predominantly in the liver, kidney and intestine. PCSK9 normally promotes downregulation of LDLR on the surface of hepatic cells; therefore, inhibition of PCSK9 leads to upregulation of LDLR, which increases the scavenging of LDL from the blood.

There are reports in the literature of individuals who have mutations in PCSK9 that decrease its activity. One article reports on a study in African Americans that have a single nonsense allele for PCSK9 and have a 28% lowering of circulating LDL-C levels and an 88% reduction in risk for CHD. The authors also report that white Americans carrying a different variant allele for PCSK9 had a 15% lowering of LDL-C levels and a 47% lower risk for CHD.<sup>8</sup> There are literature reports of individuals with loss-of-function alleles of PCSK9. One individual was a 21-year-old African woman homozygous for a PCSK9 loss-of-function allele with an LDL-C of 15.5 mg/dL and an HDL-C of 54 mg/dL.<sup>9</sup> Another individual was a 32-year-old African American woman who is compound heterozygous for loss-of-function alleles of PCSK9. She was reported to be an apparently healthy, normotensive, college-educated individual with normal liver and renal function tests who has given birth to two healthy children. Her reported LDL-C level was 14 mg/dL, HDL-C 65 mg/dL and TG of 119 mg/dL.<sup>10</sup> However, there are other cases where individuals did have health issues that may be related to their PCSK9 deficiency. One individual, a 49-year old French white man who was subsequently found to be heterozygous for two PCSK9 missense mutations, was hospitalized for the rapid-onset of insulin-requiring diabetes mellitus. He had low plasma LDL-C levels (7 mg/dL) on admission and also at diabetes onset (16 mg/dL). Abdominal ultrasonography showed moderate liver steatosis. Hepatic enzymes levels and liver function tests were normal and there was no history of diarrhea, eye or neurological abnormalities related to vitamin deficiency. His mother was deceased at age 66 from

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8 Cohen JC, Boerwinkle E, Mosley TH Jr, Hobbs HH. 2006. Sequence variations in PCSK9, low LDL, and protection against coronary heart disease. *N Engl J Med.* 354(12): 1264-72.

9 Hooper, AJ, Marais AD, Tanyanyiwa DM, Burnett JR. 2007. The C679X mutation in PCSK9 is present and lowers blood cholesterol in a Southern African population. *Atherosclerosis.* 193(2): 445-8.

10 Zhao Z, Tuakli-Wosornu Y, Lagace TA, et al. Molecular characterization of loss-of-function mutations in PCSK9 and identification of a compound heterozygote. *Am J Hum Genet* 2006;79:514-23.

dementia, whereas his father was healthy at age 79. His grandparents died at the ages of 79, 87, 91, and 94 years.<sup>11</sup>

Theoretical risks have been identified with the PCSK9 inhibitors as a class. The following issues of potential (theoretical) concern have been identified; please refer to Dr. Elmore's review for further information. Some of the theoretical concerns for evolocumab considered during the development of evolocumab include:

(1) Immunosuppression: Immune cells (such as lymphocytes, neutrophils, macrophages, NK cells, mast cells, and dendritic cells) are dependent on membrane cholesterol and cholesterol-containing lipid rafts for their function. There is a theoretical, mechanism-based concern to suggest that evolocumab-mediated lowering of LDL-C may be associated with immunosuppression. However, no significant effects of evolocumab on immune function, including immunophenotyping and T cell dependent antibody response, were observed in adult monkeys. No effects of evolocumab on immune function, including immunophenotyping, T cell dependent antibody response and NK cell function, were observed in monkeys administered a combination of 100 mg/kg evolocumab subcutaneously administered every other week with 5 mg/day oral rosuvastatin, which provides an 50, 10 and 8.8X safety margin to the recommended human doses of 140 mg Q2W, 420 mg QM and 420 mg Q2W, respectively, based on AUC. The 5 mg/day dose of rosuvastatin provides a 2X exposure multiple to the 40 mg QD recommended human dose, based on AUC.

(2) Increased risk of hepatocellular carcinoma secondary to increased risk for HCV infection: PCSK9 negatively regulates CD81, which is believed to play a role in lymphocyte development and activation and has been implicated in carcinogenesis. CD81-null mice have impaired B cell responses, and loss of CD81 expression has been associated with primary and metastatic liver adenocarcinomas. Based on these data, evolocumab could be hypothesized to have a protective effect against neoplasia via upregulation of CD81 expression. On the other hand, CD81 is thought to be a critical component of the receptor for the hepatitis C virus (HCV); therefore, evolocumab-mediated upregulation of CD81 may render subjects more susceptible to HCV infection and subsequent development of hepatocellular carcinoma.

(3) Increased risk of colorectal cancers secondary to increased intestinal bile acid load: Evolocumab lowers serum LDL-C by increasing the expression level of LDL-R in the liver, thereby increasing hepatic uptake of LDL-C. Given that the primary route of elimination of cholesterol by hepatocytes is conversion to bile acids, it can be anticipated that treatment with evolocumab may increase the load of bile acids delivered to the intestine, especially in hypercholesterolemic patients. Given that secondary bile acids have been shown to be associated with genetic aberrations in colon cells and to

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<sup>11</sup> Cariou B, Ouguerram K, Zaïr Y, Guerois R, Langhi C, Kourimate S, et. al., PCSK9 Dominant Negative Mutant Results in Increased LDL Catabolic Rate and Familial Hypobetalipoproteinemia. *Arterioscler Thromb Vasc Biol.* 2009;29:2191-2197.

be carcinogenic in the rodent colon, the risk of colorectal cancer could theoretically be increased by evolocumab. To address theoretical concerns for how evolocumab may increase the risk of cancer, the applicant conducted a two-year (i.e., lifetime) carcinogenicity bioassay in hamsters. No increase in colon tumors was observed in the hamster carcinogenicity study with evolocumab. Furthermore, no drug-related tumors were observed at exposure multiples of up to 38, 15 and 6.6 times the recommended human doses of 140 mg Q2W, 420 mg QM and 420 mg Q2W, respectively.

#### LDL as an Endpoint

Hypercholesterolemia, specifically an increase in LDL-C levels, is a major risk factor for the development of atherosclerosis and atherosclerotic cardiovascular disease. Many large-scale, randomized trials have shown that reducing LDL-C levels with statins reduces the risk of CHD, with a direct relationship between LDL-C levels and CHD events. One meta-analysis concluded that lowering LDL-C by 1 mmol/L (~40 mg/dL) for 4 to 5 years reduces the risk of major vascular events (non-fatal myocardial infarction, coronary death, ischemic stroke, or coronary revascularization) by 22%<sup>12</sup>. Several recent trials have shown that statin regimens using higher doses or more-potent agents, which both yield greater reductions in LDL-C, reduce the risk of vascular events more than less-intensive statin regimens in patients at very high cardiovascular risk.<sup>13,14,15,16</sup>

The goal of lipid-lowering therapy is to reduce the risk for cardiovascular disease. Historically, reduction of LDL-C alone has been viewed favorably as a surrogate outcome if the reduction was sufficiently robust and if the investigational product did not have safety signals raising concern that risk exceeded benefit. Within the last few years, however, several controlled clinical trials have demonstrated that favorable changes in lipid parameters do not always translate into the expected cardiovascular benefit. One example is the ILLUMINATE trial<sup>17</sup>, which showed that treatment with torcetrapib

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12 Cholesterol Treatment Trialists' (CTT) Collaboration. Efficacy and safety of more intensive lowering of LDL cholesterol: a meta-analysis of data from 170000 participants in 26 randomised trials. *Lancet* 2010;376:1670-1681.

13 Cannon CP, Braunwald E, McCabe CH, Rader D J, Rouleau JL, Belder R et al., for the Pravastatin or Atorvastatin Evaluation and Infection Therapy–Thrombolysis in Myocardial Infarction 22 Investigators\*. Intensive versus Moderate Lipid Lowering with Statins after Acute Coronary Syndromes. *N Engl J Med* 2004; 350(15): 1495-504.

14 LaRosa JC, Grundy SM, Waters DD, Shear C, Barter P, Fruchart JC et al; Treating to New Targets (TNT) Investigators. Intensive lipid lowering with atorvastatin in patients with stable coronary disease. *N Engl J Med* 2005; 352:1425–35.

15 Pedersen TR, Faergeman O, Kastelein JJ, Olsson AG, Tikkanen MJ, Holme I et al., on behalf of the Incremental Decrease in End Points through Aggressive Lipid Lowering Study Group. High-dose atorvastatin vs usual-dose simvastatin for secondary prevention after myocardial infarction. The IDEAL study: a randomized controlled trial. *JAMA* 2005; 294:2437– 45. Erratum in: *JAMA*. 2005 Dec 28;294(24):3092.

16 Cannon CP, Steinberg BA, Murphy SA, Mega JL, Braunwald E. Meta-analysis of cardiovascular outcomes trials comparing intensive versus moderate statin therapy. *J Am Coll Cardiol*. 2006;48(3):438-45.

17 Barter PJ, Caulfield M, Eriksson M, Grundy SM, Kastelein JJ et al; ILLUMINATE Investigators. Effects of torcetrapib in patients at high risk for coronary events. *N Engl J Med* 2007; 357:2109-2122.

decreased LDL-C by 25% and increased HDL-C levels by 72% but also increased the risk for death and CVD.

Additional examples include trials that have focused on lipid endpoints other than LDL-C, such as Action to Control Cardiovascular Risk in Diabetes – Lipid (ACCORD-Lipid),<sup>18</sup> Atherothrombosis Intervention in Metabolic Syndrome with Low HDL/High Triglycerides: Impact on Global Health Outcomes (AIM-HIGH) trial,<sup>19</sup> and Heart Protection Study 2 - Treatment of HDL to Reduce the Incidence of Vascular Events (HPS2-THRIVE)<sup>20</sup>, which have failed to show cardiovascular benefits despite favorable lipid biomarker changes (predominantly changes in TG and HDL-C). Although the hypothesized reasons for these “failures” are varied, this experience should encourage us to remain vigilant and reassess previous assumptions about lipid-related surrogate endpoints in general.

The results from Improved Reduction of Outcomes: Vytorin Efficacy International Trial (IMPROVE-IT)<sup>21</sup>, which was presented by Dr. Christopher Cannon at the American Heart Association Scientific Sessions, Chicago, IL, November 17, 2014, has provided preliminary information regarding the association between non-statin-based LDL-C reduction and cardiovascular outcomes. IMPROVE-IT evaluated ezetimibe/simvastatin 10/40 mg combination compared to simvastatin 40 mg monotherapy in over 18,000 subjects with stabilized high-risk acute coronary syndrome with a composite primary outcome of cardiovascular death, myocardial infarction, nonfatal stroke, rehospitalization for acute coronary syndrome, or revascularization. The trial started in October 2005 and ended in November 2014. The incidence of the primary composite endpoint was reported to be lower in the ezetimibe/simvastatin group compared with the simvastatin group. Thus, at initial glance, the IMPROVE-IT results might provide evidence that in patients with stabilized high-risk acute coronary syndrome ezetimibe 10 mg/simvastatin 40 mg was modestly more effective than simvastatin 40 mg alone in reducing CV events. However, DMEP has not reviewed the IMPROVE-IT trial and it is possible that the Division will reach different conclusions than the trial’s investigators.

In the absence of cardiovascular outcomes data, contemporary decisions to approve novel LDL-lowering therapies are influenced by the direction and magnitude of drug-induced changes in LDL-C as well as the effects of the drug on other lipid parameters, markers of cardiometabolic risk, and any evidence for off-target toxicity.

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18 Effects of combination lipid therapy in type 2 diabetes mellitus. *NEJM* 2010; 362:1563.

19 The AIM-HIGH Investigators. Niacin in patients with low HDL cholesterol levels receiving intensive statin therapy. *N Engl J Med* 2011;365:2255-67.

20 The HPS2-THRIVE Collaborative Group. Effects of extended-release niacin with laropiprant in high-risk patients. *N Engl J Med* 2014;371:203-12.

21 Cannon CP, Giugliano RP, Blazing MA, Harrington RA, Peterson JL, Sisk CM, Strony J, Musliner TA, McCabe CH, Veltri E, Braunwald E, Califf RM; IMPROVE-IT Investigators. Rationale and design of IMPROVE-IT (IMProved Reduction of Outcomes: Vytorin Efficacy International Trial): comparison of ezetimibe/simvastatin versus simvastatin monotherapy on cardiovascular outcomes in patients with acute coronary syndromes. *Am Heart J*. 2008 Nov;156(5):826-32. Epub 2008 Sep 2.

FOURIER, an ongoing cardiovascular outcome trial with evolocumab, is being conducted in the general hyperlipidemic (non-HoFH) population but results will not be known until late 2017/early 2018. As of 15 August 2014, approximately 15,000 subjects had been randomized to the trial and the mean and median investigational product treatment duration was 5.5 months and 4.9 months, respectively. As of 26 November 2014, 20,778 individuals (75.6% of 27,500 total) had been randomized, 330 subjects have had major adverse cardiac events (MACE) (20.2% of the 1630 planned events), 377 MACE had accrued of which 213 (57%) had been adjudicated and 186 (87% of 213) events had been positively adjudicated.

### HoFH

HoFH is a rare genetic disorder in which both LDLR alleles are defective. The estimated US prevalence of HoFH has been often quoted to be approximately 1 in 1,000,000 persons according to the literature<sup>22</sup> which extrapolates to approximately 300 individuals in the US. Recent estimates, however, have suggested that HoFH may affect as many as 1 in 160,000 to 1 in 300,000 individuals<sup>23</sup>. Untreated individuals with HoFH have very high concentrations of LDL-C, often in the range of 650 to 1000 mg/dL, cutaneous and tendinous xanthomata, corneal arcus, and premature coronary artery disease and aortic stenosis<sup>24</sup>. HoFH patients who are LDLR-negative (<2% of LDL receptor function in cultured fibroblasts) tend to have higher levels of LDL-C and a worse prognosis than those who are LDLR-defective (2-25% residual LDLR activity). If untreated, LDLR-negative patients rarely survive beyond the second decade of life. Those who are LDLR-defective have a better prognosis, but still often develop clinically significant atherosclerotic vascular disease by the age of 30 years without treatment<sup>25</sup>.

Treatment typically involves lipid-modifying medical therapy as well as mechanical removal of plasma LDL via LDL apheresis, typically once every 1-2 weeks. Lipid-lowering drugs such as statins, which act mainly by up-regulating hepatic LDL receptors, are not particularly effective in reducing LDL-C levels in these individuals because their LDL receptors are dysfunctional. For example, in a study of HoFH individuals (n=40, 8-63 years) treated with rosuvastatin 20 to 40 mg<sup>26</sup> for 12 weeks, the mean LDL-C reduction from baseline (514 mg/dL) was 22%. In a study with atorvastatin

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22 Hopkins PN, Toth PP, Ballantyne CM, Rader DJ. Familial Hypercholesterolemias: Prevalence, genetics, diagnosis and screening recommendations from the National Lipid Association Expert Panel on Familial Hypercholesterolemia. *J Clin Lipid* 2011; 5:S9-S17.

23 Cuchel M, Bruckert E, Ginsberg HN, et al; for the European Atherosclerosis Society Consensus Panel on Familial Hypercholesterolemia. Homozygous familial hypercholesterolaemia: new insights and guidance for clinicians to improve detection and clinical management. A position paper from the Consensus Panel on Familial Hypercholesterolaemia of the European Atherosclerosis Society. *Eur Heart J*. ePub ahead of print, 22 Jul 2014.

24 Goldstein AL, Brown MS. Molecular Medicine. The cholesterol quartet. *Science*. 2001;292(5520):1310-2.

25 Raal FJ, Santos RD. Homozygous familial hypercholesterolemia: Current perspectives on diagnosis and treatment, *Atherosclerosis* (2010), doi:10.1016/j.atherosclerosis.2012.02.019

26 NDA 21366 Crestor PI, 9/25/2014

(20 to 80 mg) without a concurrent control group<sup>27</sup>, 29 patients (ages 6 to 37 years) achieved a mean LDL-C reduction of 18%. LDL-apheresis is an extracorporeal treatment that selectively removes LDL particles from plasma and achieves significant reductions of LDL-C during several weekly or biweekly sessions<sup>28</sup>. LDL apheresis is FDA approved and indicated if the LDL-C is: >500 mg/dl in patients with homozygous FH, >300 mg/dl in patients without CAD, or >200 mg/dl in patients with CAD despite 6 months of treatment with maximal drug and dietary therapy. While LDL apheresis significantly lowers LDL-C and is considered the standard of care for patients with HoFH, the limitations include limited availability, high cost, procedure duration, and the need to maintain adequate vascular access.<sup>29</sup>

The efficacy endpoints considered by the applicant for approval in the HoFH population, as well as approval in the general population, include reduction in LDL-C (primary) as well as changes in other lipid parameters such as total cholesterol (TC), apolipoprotein B (ApoB), and non-high-density lipoprotein cholesterol (non-HDL-C). Unlike hyperlipidemia and dyslipidemia in the general population, in which multiple genetic and environmental factors contribute to its pathophysiology, the HoFH phenotype is essentially a monogenic disorder of deranged LDL metabolism. Thus, lowering LDL-C is certainly a reasonable therapeutic goal in this orphan population, and this was supported in 2012 during meetings of the Endocrinologic and Metabolic Drugs Advisory Committee that preceded the approval of lomitapide and mipomersen for HoFH. It is unknown, however, whether the often-quoted quantitative relationship between cardiovascular risk and LDL-C reduction (i.e., ~22% reduction in major vascular events per 40 mg/dL reduction in LDL-C, based on clinical trials of statins) can be extrapolated to the extreme levels of LDL-C that characterize individuals with HoFH.

#### Statin Intolerance

There has been recent interest by companies in performing trials in “statin intolerant” populations. The Division’s working definition of statin intolerance for the purpose of exploring this concept in clinical trials of novel non-statin LDL-C lowering therapies follows:

The inability to tolerate at least 2 statins: one statin at the lowest starting daily dose, defined as rosuvastatin 5 mg, atorvastatin 10 mg, simvastatin 10 mg, lovastatin 20 mg, pravastatin 40 mg, fluvastatin 40 mg or pitavastatin 2 mg, AND another statin at any dose, due to the prespecified intolerance symptoms (such as skeletal muscle, hepatic, psychiatric, or cognitive-related symptoms) that began or increased during statin therapy and stopped when statin therapy was discontinued.

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27 NDA 20702 Lipitor PI, 9/25/2014

28 Thompson J, Thompson PD. A systematic review of LDL apheresis in the treatment of cardiovascular disease. *Atherosclerosis*. 2006; 189,31–38.

29 Thompson GR. Lipoprotein apheresis. *Curr Opin Lipidol*. 2010;21: 487–491.

Patients receiving less than the lowest daily approved dose of a statin (e.g. 1 to 3 times weekly) will also be considered as not tolerating a daily dose and will be eligible for the study, if the other criteria above are met. To clarify, these patients cannot tolerate a cumulative weekly statin dose of seven times the lowest approved tablet size.

The Division's intent in developing such a definition was to encourage consistency among sponsors in exploring the concept of "statin intolerance" in clinical trials. Thus, equally important to the definition itself, the Division recommended trial design elements as well, including a blinded placebo run-in period and a statin rechallenge arm.

The recommendation to include a blinded placebo run-in period was intended to remove participants who experience recurrent symptoms on placebo and thus enrich the trial with those participants who would be more likely to have a true pharmacological intolerance to statins.

Another trial design element that has consistently been recommended is a blinded statin rechallenge arm. After the placebo run-in, the remaining participants should be randomized in a double-blinded manner to placebo (or a marketed non-statin LDL-lowering agent), a reasonably potent statin at low-mid dose (such as atorvastatin 10 or 20 mg), or the investigational product (and the highest to-be-marketed dose should be included). In this type of trial, one would expect that there would be more adverse events and a higher discontinuation rate for the adverse event of interest in the statin arm. It would not be ethical to rechallenge patients with a history of serious reactions such as rhabdomyolysis, but this makes up a small fraction of the purportedly statin intolerant population. Much more common is patients with tolerability issues such as muscle pain without concomitant CK elevations. With appropriate safety monitoring in place, these patients can be re-challenged.

We have seen trial data that included a blinded rechallenge and have discovered that a clinically reasonable definition of statin intolerance does not always ensure the identification of a population that is truly intolerant. Given that statins are the only lipid-lowering drugs with robust cardiovascular outcomes data, one must ensure a rigorous and trial tested definition of statin intolerance when a company is seeking such a claim. Our concern is that without these safeguards, patients with symptoms on statins that are not in fact due to the statin or are not decreased in a clinically meaningful manner could be inappropriately encouraged to switch over to a drug that likely has a much smaller safety database and lacks cardiovascular outcome data.

Another challenge of these types of trials is that it would be difficult to consider a marketing claim based on data demonstrating that a serious adverse event, especially a rare event such as rhabdomyolysis, did not occur during a 12- or 24-week trial testing a novel agent. The trial duration for efficacy depends on the specific pharmacokinetic properties of the investigational product to be tested but 12 weeks is a typical trial length for efficacy. However, the duration for safety and tolerability is longer and may be

informed by time-to-event analysis of clinical trial data or other studies that estimate how long it may take for the adverse event of interest to occur.

When studying a statin-intolerant population, we are particularly interested in the incidence rate of and treatment withdrawal from the to-be-studied statin-induced adverse reaction as well as other adverse events that lead to treatment withdrawal. One possibility to consider is if a novel therapy has a lower incidence of discontinuations due to musculoskeletal adverse events than the control statin arm but a higher incidence of discontinuations due to some other adverse event, such that the overall discontinuation rate is similar or perhaps even greater in the novel therapy; this would call into question whether a real improvement in tolerability has been achieved.

Companies have been informed of our concerns regarding trial design issues with statin-intolerant trials and subsequent proposed claims based on the results of these trials. Companies have also been informed that it would be a review issue whether we would include data in the label from a statin-intolerant trial for a novel non-statin investigational product before the cardiovascular outcome trial was completed and provided a robust assessment of the long-term safety and efficacy profile of the investigational product.

One alternative interpretation of approving for a “statin-intolerant” population is to view this as a subset of the larger primary hyperlipidemia population and that such an indication would be restrictive and would limit the labeled use to patients at highest risk for CV events. One possible unintended consequence of including a statin-intolerant indication or claim in the label is that statin-intolerance as a clinical entity will likely be promoted and marketed. There may be widespread public health consequences if patients are encouraged to discontinue statins, which have CV outcome data and robust long-term safety data, and start a PCSK9 inhibitor as monotherapy, which does not have CV outcome data and has limited long-term controlled safety data. However, there are ways to craft an indication that would allow for the on-label use of PCSK9 inhibitor therapy in patients at CV risk who truly cannot tolerate a statin without resorting to a specific statin-intolerant indication.

### **3 Ethics and Good Clinical Practices**

#### **3.1 Submission Quality and Integrity**

The eCTD format of the submission was navigable and well organized. The submission quality and integrity was acceptable. The applicant was asked to provide additional information throughout the course of the review and did so in a timely fashion.

### 3.2 Compliance with Good Clinical Practices

The applicant asserts that the clinical trials were conducted in accordance with International Conference on Harmonisation (ICH) Good Clinical Practice (GCP) regulations and guidelines, and Food and Drug Administration (FDA) regulations and guidelines set forth in 21 CFR Parts 11, 50, 54, 56, and 312. The applicant states that all clinical trials were conducted with the approval of Ethics Committees or Institutional Review Boards and that all subjects were asked to provide written informed consent before undergoing any study-related procedures. This information was included in each clinical study report located in Module 5 of this submission.

Three trials were selected for site inspection based on trial design and size of enrollment. Trial 20110109 (DESCARTES, n = 905), the only placebo-controlled, 52-week Phase 3 trial, was selected as one of the trials to be investigated. Trial 20110114(MENDEL-2, n=615), a 12-week, monotherapy, placebo- and ezetimibe-controlled phase 3 trial in hypercholesterolemic subjects with a 10-year Framingham Risk Score of  $\leq 10\%$ , and trial 20110115 (LAPLACE-2, n=1899), a 12-week combination therapy with atorvastatin, rosuvastatin or simvastatin, placebo- and ezetimibe-controlled phase 3 trial in subjects with primary hypercholesterolemia receiving background statin therapy were the other two trials selected.

The sites below were recommended for inspection primarily based on the number of subjects enrolled, total risk ranking, and efficacy results. In consultation with Dr. Cynthia Kleppinger (OSI, Good Clinical Practice Assessment Branch), the following sites were identified:

#### Trial 20110109

- Tomas Hala (Pardubice, Czechoslovakia): Site inspection completed: No Action Indicated (NAI)
- Ben Lasko (Toronto, Canada): Site inspection completed: NAI
- Annesofie Krogsaa (Ballerup, Denmark): Site inspection completed: NAI
- Michael Bolognese (Bethesda, MD, US): Site inspection completed: NAI

#### Trial 20110114

- Michael Bolognese (Bethesda, MD, US) : Site inspection completed: NAI
- Annesofie Krogsaa (Denmark): Site inspection completed: NAI

#### Trial 20110115

- (b) (5)
- Tomas Hala (Czechoslovakia): Site inspection completed: NAI
- Vivek Awasty (Marion, OH, US) (Listed as two separate sites): Received a 483 notice for minor issues (i.e., 12 of 13 subjects enrolled had only one BP value recorded at screening); Voluntary Action Indicated (VAI) for both sites

An establishment inspection was also conducted at Amgen, Inc. from November 12, 2014 to November 14, 2014, regarding Protocols 20110109, 20110114 and 20110115. From the review of the establishment inspection report and the documents submitted with that report, FDA/ CDR/ Office of Compliance/ Division of Good Clinical Practice Compliance concluded that Amgen adhered to the applicable statutory requirements and FDA regulations governing the conduct of clinical investigations and the protection of human subjects.

### 3.3 Financial Disclosures

The applicant submitted a completed Form FDA 3454 attesting to the absence of financial interests and arrangements for all investigators that submitted financial information, with the exception of one clinical investigator.

The applicant certifies that it has acted with due diligence to obtain the financial information described in 21 CFR 54.4(a)(3), but was unable to do so for thirteen (13) sub-investigators who participated in covered clinical studies for evolocumab.

The covered clinical trials for this submission include the following protocols: 20110168, 20120133, 20101154, 20101155, 20090158, 20090159, 20110114, 20110115, 20110116, 20110117, 20110109, 20110110, 20120138, 20110233, 20110271, 20120348 and 20120356.

Table 2: Financial Disclosures of Covered Clinical Trials

Was a list of clinical investigators provided:	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/> (Request list from applicant)
Total number of investigators identified: _____		
Number of investigators who are sponsor employees (including both full-time and part-time employees): <u>0</u>		
Number of investigators with disclosable financial interests/arrangements (Form FDA 3455): <u>1</u>		
If there are investigators with disclosable financial interests/arrangements, identify the number of investigators with interests/arrangements in each category (as defined in 21 CFR 54.2(a), (b), (c) and (f)):		
Compensation to the investigator for conducting the study where the value could be influenced by the outcome of the study: <u>0</u>		
Significant payments of other sorts: <u>0</u>		
Proprietary interest in the product tested held by investigator: <u>0</u>		
Significant equity interest held by investigator in sponsor of covered study: <u>1</u>		

Is an attachment provided with details of the disclosable financial interests/arrangements:	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/> (Request details from applicant)
Is a description of the steps taken to minimize potential bias provided:	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/> (Request information from applicant)
Number of investigators with certification of due diligence (Form FDA 3454, box 3) <u>13</u>		
Is an attachment provided with the reason:	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/> (Request explanation from applicant)

The applicant has adequately disclosed financial interests/arrangements with clinical investigators as recommended in the guidance for industry Financial Disclosure by Clinical Investigators. The 13 subinvestigators with a certification of due diligence because they either departed the site shortly after study initiation and are no longer affiliated with the facility or the facility has been closed do not raise questions about the integrity of the data. No investigators were also sponsor employees. Only one Primary Investigator had any financial interests or arrangements to disclose.

One clinical investigator ( (b) (6) ) had a significant equity interest, as defined in 21 CFR 54.2(b), which consisted of approximately 2000 shares purchased decades ago. Dr. (b) (6) enrolled a total of (b) (6) subjects (b) (6)

The applicant has employed the following steps to minimize bias of the clinical study results by any of the disclosed arrangements or interests:

- Use of multiple clinical sites
- Clinical site monitoring
- Clinical site audits
- Independent and centralized assessment of efficacy response data

The efficacy and safety studies used multiple investigators (most of whom do not have a disclosable interest), blinding, objective endpoints, or measurements of endpoints by someone other than the investigator to minimize bias.

## **4 Significant Efficacy/Safety Issues Related to Other Review Disciplines**

### **4.1 Chemistry Manufacturing and Controls**

Evolocumab is a human monoclonal immunoglobulin G2 (IgG2) directed against human PCSK9. Evolocumab has an approximate molecular weight (MW) of 144 kDa and is produced in genetically engineered mammalian (Chinese hamster ovary) cells. Evolocumab is a sterile, preservative-free, clear to opalescent; colorless to yellowish solution. For the to-be-marketed product, each 1 mL Single-Use PFS and Single-Use Prefilled Autoinjector for injection (for subcutaneous use) contains 140 mg evolocumab,

(b) (4)

The remainder of this section is deferred pending the completed review of the chemistry, manufacturing, and controls data.

### **4.2 Clinical Microbiology**

This section is deferred pending the completed review of the clinical microbiology data.

### **4.3 Preclinical Pharmacology/Toxicology**

See Dr. Elmore's briefing document for a review of non-clinical data.

### **4.4 Clinical Pharmacology**

See the clinical pharmacology briefing document by Drs. Sista, Earp, Mehrotra and Vaidyanathan for a review of the clinical pharmacology data.

#### **4.4.1 Mechanism of Action**

Evolocumab is a human monoclonal IgG2 directed against human PCSK9. Evolocumab binds selectively to PCSK9 and inhibits circulating PCSK9 from binding to the low density lipoprotein (LDL) receptor (LDLR) on the liver cell surface, thus preventing PCSK9-mediated LDLR degradation. Increasing liver LDLR levels results in associated reductions in serum LDL-C.

#### **4.4.2 Pharmacodynamics**

Key pharmacodynamic properties of evolocumab are summarized below:

#### Primary Hyperlipidemia:

- LDL-C reduction of approximately 55% to 75% achieved as early as 1 week
- Maximal response generally achieved within 2 weeks after dosing with 140 mg every 2 weeks and 420 mg once monthly, respectively, and maintained during long-term therapy.

#### Homozygous Familial Hypercholesterolemia

- In patients with HoFH not on apheresis evolocumab, compared to placebo, evolocumab 420 mg QM significantly reduced LDL-C from baseline to Week 12 by 31%. The mean change from baseline to Week 12 within the evolocumab arm alone was -23% and within the placebo arm alone was +8%.
- Patients with HoFH who were being treated with apheresis had a reduced response to evolocumab (-20%) compared to the non-apheresis participants (-25%) at Week 24.
- Increasing the frequency of dosing from 420 mg QM to 420 mg Q2W in patients with HoFH resulted in approximately 6% greater reduction of LDL-C.

#### 4.4.3 Pharmacokinetics

Key pharmacokinetic properties of evolocumab are summarized below:

##### Absorption

- Non-linear pharmacokinetics up to 140 mg, and linear pharmacokinetics between 140 mg and 420 mg
- Median single-dose T<sub>max</sub>: 3 – 4 days
- Estimated absolute bioavailability: 72%

##### Distribution

- Mean (SD) steady-state volume of distribution estimated to be 3.3 ±0.5 L, following a single 420 mg intravenous dose, suggesting evolocumab has limited tissue distribution.

##### Metabolism and Elimination

- Mean systemic clearance estimated to be 12 ± 2 mL/hr
- Accumulation: 2-3 fold following 140 mg dosed every 2 weeks or 420 mg dosed monthly
- Steady state by 12 weeks of dosing
- Estimated effective half-life: 11 to 17 days
- Evolocumab is expected to be degraded into small peptides and amino acids via catabolic pathways.

##### Intrinsic and Extrinsic Factors

- Statins increase clearance of evolocumab by approximately 20%.
- No dose adjustments based on age, race, and gender.

- Body weight influenced the pharmacokinetics of evolocumab without having any notable effect on LDL-C lowering.
- Less than 1% of evolocumab-treated subjects in the safety and efficacy studies were positive for the development of binding antibodies. Neutralizing antibodies have not been detected in any subject.

## 5 Sources of Clinical Data

Five short-term and one long-term Phase 2 trials and four short-term and two long-term Phase 3 trials support the indication in primary hyperlipidemia (heterozygous familial and nonfamilial) and mixed dyslipidemia. The three long-term trials consist of one Phase 3 blinded, placebo-controlled trial of 52 weeks duration and 2 open-label extension trials. In addition, there were 2 trials (20120356 and 20120348) of 12 weeks and 8 weeks duration, respectively, to assess the user's ability to self-administer the product.

Two phase 2/3 studies conducted at durations of 12 weeks to  $\geq 84$  weeks support the indication in HoFH.

### 5.1 Tables of Studies/Clinical Trials

Table 3: Phase 2 and 3 Safety and Efficacy Clinical Trials for Evolocumab

Trial Name	Study Population	Trial Design	Test Product(s): Dosage Regimen, Allocation	Duration of Therapy	Primary Endpoint	# Enrolled/ # Analyzed
<b>PHASE 2: Primary Hyperlipidemia and Mixed Dyslipidemia</b>						
20101154	Hypercholesterolemia LDL-C $\geq 100$ and $< 190$ mg/dL, NCEP ATP III Framingham risk score of $\leq 10\%$ , No lipid-lowering agents up to 3 months prior, Age 18 to 75 years	Phase 2, randomized, PBO and Eze controlled, dose-ranging, monotherapy	PBO SC Q2W or QM EvoMab 70, 105, or 140 mg SC Q2W; 280, 350, or 420 mg SC QM via vial and syringe Eze 10 mg PO QD; randomized with equal allocation into 1 of 9 trt groups	12 or 14 weeks	Primary efficacy endpoint: percent change from baseline in LDL-C at week 12.	411/406
20101155	Hypercholesterolemia LDL-C $\geq 85$ mg/dL, Stable dose of statin with/without Eze, Age 18 to 80 years	Phase 2, double-blind, randomized, PBO-controlled, dose-ranging, combination therapy	PBO SC Q2W or QM EvoMab 70, 105, or 140 mg SC Q2W; 280, 350, or 420 mg SC QM Via vial/syringe; Randomized equally into 8 trt groups, EvoMab or placebo	12 or 14 weeks	Primary efficacy endpoint: percent change from baseline in LDL-C at week 12	631/629
20090158	HeFH, LDL-C $\geq 100$ mg/dL, On stable dose of a	Phase 2, double-blind, randomized, PBO-controlled,	PBO SC QM or EvoMab 350 or 420 mg SC QM	12 weeks	Primary efficacy endpoint: percent change from	168/167

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Trial Name	Study Population	Trial Design	Test Product(s): Dosage Regimen, Allocation	Duration of Therapy	Primary Endpoint	# Enrolled/ # Analyzed
	statin with/without Eze for at least 4 weeks prior, Age 18 to 75 years	combination therapy	Via vial and syringe Randomized equally into 3 trt groups, EvoMab or placebo		baseline in LDL-C at week 12	
20090159	Hypercholesterolemia and documented statin intolerance LDL-C $\geq$ 100 mg/dL with diagnosed CHD or CHD risk equivalent, LDL-C $\geq$ 130 mg/dL without diagnosed CHD or risk equivalent and 2 or more risk factors, LDL-C $\geq$ 160 mg/dL without diagnosed CHD or risk equivalent and with 1 or no risk factors, Age 18 to 75 years	Phase 2, randomized, parallel group, double-blind, PBO and Eze-controlled, dose-ranging	EvoMab 280, 350, or 420 mg SC QM Eze 10 mg PO QD + EvoMab 420 mg SC QM Eze 10 mg PO QD + PBO SC QM Via vial and syringe Randomized equally into 5 trt groups, EvoMab or placebo	12 weeks	Primary efficacy endpoint: percent change from baseline in LDL-C at week 12	160/157
20110231	Japanese subjects with high risk for CV events LDL-C $\geq$ 115 mg/dL Age 20 to 80 years	Phase 2, double-blind, randomized, PBO- controlled, combination therapy	PBO SC Q2W or QM EvoMab 70 or 140 mg Q2W SC; 280 or 420 mg SC QM Via vial and syringe Randomized equally into 6 trt groups, EvoMab or placebo	12 or 14 weeks	Primary efficacy endpoint: percent change from baseline in LDL-C at week 12	310/307
20110110	Completion of a qualifying EvoMab protocol without treatment related SAE that led to IP discontinuation	Phase 2 long-term Extension Year 1 controlled (vs SoC) Year 2+ open-label EvoMab <sup>a</sup>	<b>Year 1:</b> EvoMab 420 mg SC QM + SoC or SoC alone <b>Years 2 to 5:</b> EvoMab 420 mg SC QM + SoC Via vial and syringe or AI/pen Allocation 2:1 EvoMab: SoC	~ 5 years Ongoing; Interim submitted	subject incidence of adverse events	1324/1324
<b>PHASE 3</b>						
20110114	NCEP ATP III Framingham risk score of $\leq$ 10% LDL-C $\geq$ 100 and $<$ 190 mg/dL No lipid-lowering agents 3 months prior Age 18 to 80 years	Phase 3, double-blind, randomized, parallel group, PBO and Eze-controlled, monotherapy	PBO SC Q2W or QM EvoMab 140 mg SC Q2W or 420 mg SC QM Via AI/pen Eze 10 mg PO QD; Allocation ratio of 2:2:1:1:1 into 6 treatment groups	12 or 14 weeks	Co-primary endpoints: • % change from BL in LDL-C at week 12 • mean % change from BL in LDL-C at weeks 10 and 12	615/614
20110115	primary hyper-	Phase 3, double-	PBO SC Q2W or	12 or 14	Co-primary	1899/1896 <sup>b</sup>

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<b>Trial Name</b>	<b>Study Population</b>	<b>Trial Design</b>	<b>Test Product(s): Dosage Regimen, Allocation</b>	<b>Duration of Therapy</b>	<b>Primary Endpoint</b>	<b># Enrolled/ # Analyzed</b>
	cholesterolemia and mixed dyslipidemia, LDL-C $\geq$ 80 mg/dL if already on an intensive statin, LDL-C $\geq$ 100 mg/dL if on a non-intensive statin, LDL-C $\geq$ 150 mg/dL if not on a statin. No previous intolerance to rosuvastatin, atorvastatin, or simvastatin Age 18 to 80 years	blind, randomized, PBO and Eze-controlled, combination therapy	QM EvoMab 140 mg SC Q2W or 420 mg SC QM Via AI/pen Eze 10 mg PO QD Allocation 2:1 EvoMab:PBO	weeks	endpoints: • % change from BL in LDL-C at week 12 • mean % change from BL in LDL-C at weeks 10 and 12	
20110116	Hypercholesterolemia and documented statin intolerance, LDL-C $\geq$ 100 mg/dL with CHD or CHD risk equivalent, LDL-C $\geq$ 130 mg/dL without diagnosed CHD or risk equivalent and $\geq$ 2 risk factors LDL-C $\geq$ 160 mg/dL without diagnosed CHD or risk equivalent and with 1 risk factor, LDL-C $\geq$ 190 mg/dL without diagnosed CHD or risk equivalent and with no risk factors Age 18 to 80 years	Phase 3, double-blind, randomized, PBO and Eze-controlled	PBO SC Q2W or QM EvoMab 140 mg SC Q2W or 420 mg SC QM Via AI/ pen Eze 10 mg PO QD Allocation 2:1 EvoMab:PBO	12 or 14 weeks	Co-primary endpoints: • % change from BL in LDL-C at week 12 • mean % change from BL in LDL-C at weeks 10 and 12	307/307
20110117	Subjects with HeFH LDL-C $\geq$ 100 mg/dL Age 18 to 80 years On stable dose of a statin with or without eze for 4 weeks	Phase 3, double-blind, randomized, PBO- controlled, combination therapy	PBO SC Q2W or QM EvoMab 140 mg SC Q2W or 420 mg SC QM Via AI/pen Allocation 2:1 EvoMab:PBO	12 or 14 weeks	Co-primary endpoints: • % change from BL in LDL-C at week 12 • mean % change from BL in LDL-C at weeks 10 and 12	331/329
20120356	Hypercholesterolemia or mixed dyslipidemia LDL-C $\geq$ 85 mg/dL Age 18 to 80 years	Phase 3, randomized, combination therapy, clinical home use	EvoMab 420 mg SC QM Via AMD or AI/pen Allocation 1:1 AMD:AI/pen	12 weeks	User ability to self-administer EvoMab	164/164
20120348	Subjects with	Phase 3,	EvoMab 140 mg SC	8 weeks	User ability to	149/149

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Trial Name	Study Population	Trial Design	Test Product(s): Dosage Regimen, Allocation	Duration of Therapy	Primary Endpoint	# Enrolled/ # Analyzed
	primary hypercholesterolemia or mixed dyslipidemia LDL-C $\geq$ 85 mg/dL Age 18 to 80 years	randomized, combination therapy, clinical home use	Q2W Via PFS or AI/pen Allocation 1:1 PFS:AI/pen		self-administer EvoMab	
20110109	Hypercholesterolemia, LDL-C $\geq$ 75 mg/dL at screening and then placed on background therapy based on NCEP ATP III risk Age 18 to 75 years	<b>Initiated as a phase 2 trial and was later reclassified by Amgen as a phase 3 trial while it was underway. Phase 2 drug product and method of drug administration was used. Double-blind, randomized PBO- controlled, long-term</b>	<b>PBO or EvoMab 420 mg SC QM</b> <b>Via vial and syringe (total volume per administration drawn from 6 sterile vials with a formulation of 70 mg/mL [not the to-be marketed device or formulation (140 mg/ml)]</b> Allocation 2:1 EvoMab:PBO	52 weeks	percent change from baseline in LDL-C at week 52	905/901
20120138	Completion of a qualifying EvoMab protocol without discontinuation of IP for any reason	Phase 3, long-term extension. Year 1 controlled (vs SoC) Year 2+ open-label EvoMab <sup>a</sup>	Year 1: EvoMab 140 mg SC Q2W or 420 mg SC QM + SoC or SoC alone Year 2: EvoMab 140 mg SC Q2W or 420 mg SC QM + SoC Via AI/pen Allocation 2:1 EvoMab:SoC	~2 years Ongoing, interim submitted	subject incidence of adverse events	3121/2928 <sup>c</sup>
<b>PHASE 2: HoFH</b>						
20110233	HoFH, On a stable low-fat diet and pre-existing, lipid-lowering therapies at least 4 weeks prior with LDL-C $\geq$ 130 mg/dL Age 12 to 80 years	<b>Part A:</b> phase 2, open-label, single-arm, pilot <b>Part B:</b> phase 3, double-blind, randomized, PBO- controlled	<b>Part A:</b> EvoMab 420 mg SC QM <b>Part B:</b> PBO or EvoMab 420 mg SC QM Via vial and syringe or AI/pen Allocation 2:1 EvoMab:PBO	<b>Part A:</b> 12 weeks <b>Part B:</b> 12 weeks	percent change from baseline in LDL-C at week 12.	<b>Part A:</b> 8/8 <b>Part B:</b> 50/49
<b>PHASE 2/3: HoFH and “Severe” HeFH<sup>d</sup></b>						
20110271	Completion of a qualifying EvoMab protocol without treatment related SAE that led to IP discontinuation and have a diagnosis of	Phase 2/3, open-label, long-term	EvoMab 420 mg SC QM or SC Q2W (if eligible) Via vial and syringe, AI/pen, or AMD; All on EvoMab	~ 5 years Ongoing; Interim submitted	subject incidence of treatment emergent adverse events	238/198 (of the 198 subjects, 96 were HoFH)  Adolescent subgroup (N = 13):

Trial Name	Study Population	Trial Design	Test Product(s): Dosage Regimen, Allocation	Duration of Therapy	Primary Endpoint	# Enrolled/ # Analyzed
	“severe” FH <sup>d</sup> If de-novo subject then must have “severe” FH and be on background lipid-lowering therapy for ≥ 4 weeks prior LDL-C ≥ 100 mg/dL (with CHD or CHD risk equivalent) or ≥ 130 mg/dL (no CHD or CHD risk equivalent) Age 12 to 80 years					10 non-apheresis and 3 apheresis subjects

<sup>a</sup> Year 1 of the study was standard of care (SoC)-controlled. The remainder of the study is open-label with all subjects receiving evolocumab. Interim data for these studies are included in the submission.

<sup>b</sup> Numbers reflect randomization to investigational product.

<sup>c</sup> Subject counts for Study 20120138 only include subjects from parent studies included in the integrated parent analysis set.

<sup>d</sup> “severe” FH: Amgen’s definition: diagnosis of familial hypercholesterolemia and taking pre-existing lipid-lowering therapies. Non-apheresis subjects were required to have elevated LDL-C (≥ 100 mg/dL for subjects with diagnosed coronary heart disease or risk equivalent, and ≥ 130 mg/dL for subjects without diagnosed coronary heart disease or risk equivalent). There was No LDL-C entry requirement for apheresis subjects. (b) (4)

AI/pen = autoinjector/pen; AMD = auto mini-doser; PFS = prefilled syringe

It is important to note that trial 20110109 was initiated as a phase 2 trial and was later reclassified by Amgen as a phase 3 trial. This trial is the only trial with data available to date that is double-blind, randomized, placebo- controlled, and long-term (52 weeks). It is a concern that this trial used a vial and syringe, which is not the to-be-marketed device, and used a formulation of 70 mg/mL (total volume per administration drawn from 6 sterile vials with a formulation of 70 mg/mL) which is not the to-be marketed concentration (140 mg/mL) or formulation. These two formulations are described below:

1. Process 1: 70 mg/mL (b) (4)  
 and
2. Process 2 (to-be-marketed formulation): 140 mg/mL (b) (4)

The following table summarizes the device and formulation used in studies from Phase 1 through Phase 3. The shaded columns represent the to-be-marketed devices and formulations.

Table 4: Device and Formulation Used: Phase 1 through Phase 3

Phase	Protocol	Type of Study	Brief Descriptor	70 mg/mL Vial	140 mg/mL PFS	140 mg/mL AI/Pen	120 mg/mL AMD
1	20080397	Clinical pharmacology	Healthy subject PK/PD & initial tolerability	X			
1	20080398	Clinical pharmacology	Patient PK/PD & initial tolerability	X			
1	20110121	Clinical pharmacology	Healthy subject PK/PD & initial tolerability	X			
1	20110168	Clinical pharmacology	Healthy subject PK AI/Pen to AMD			X	X
1	20120133	Clinical pharmacology	Healthy subject PK AI/Pen to PFS		X	X	
1	20120136	Clinical pharmacology	Healthy subject PK/PD & initial tolerability	X			
1	20120341	Clinical pharmacology	Intrinsic factors			X	
2	20090158	LDL-C lowering	HeFH	X			
2	20090159	LDL-C lowering	Statin intolerant	X			
2	20101154	LDL-C lowering	Monotherapy	X			
2	20101155	LDL-C lowering	Combination therapy	X			
2	20110110	LDL-C lowering	Long-term efficacy and safety (OLE)	X		X	
2	20110231	LDL-C lowering	Japanese population	X			
2/3	20110233	LDL-C lowering	HoFH	X		X	
2/3	20110271	LDL-C lowering	Severe familial hypercholesterolemia	X		X	
3	20110109	LDL-C lowering	Long-term efficacy and safety	X			
3	20110114	LDL-C lowering	Monotherapy			X	
3	20110115	LDL-C lowering	Combination therapy			X	
3	20110116	LDL-C lowering	Statin intolerant			X	
3	20110117	LDL-C lowering	HeFH			X	
3	20120138	LDL-C lowering	Long-term efficacy and safety (OLE)			X	X
3	20120348	Device home use	PFS vs. AI/Pen			X	
3	20120356	Device home use	AMD vs. AI/Pen			X	X

PFS = prefilled syringe; AI/pen = autoinjector/pen; AMD = automated mini-doser; PK/PD = pharmacokinetics/pharmacodynamics; HeFH = heterozygous familial hypercholesterolemia; OLE = open-label extension; HoFH = homozygous familial hypercholesterolemia.

For Process 1, the mean and median exposures for evolocumab (all doses) were 5.5 months and 2.8 months, respectively. For Process 2, the mean and median exposures were 2.6 months and 2.8 months, respectively. A summary of the overall exposure to Process 1 vs Process 2 of evolocumab is presented below:

Table 5: Evolocumab Process 1 and Process 2 Overall Summary of Exposure (Integrated Parent, Extension SoC-Controlled Period, and Extension All-IP Period Analysis Sets)

	Any EvoMab Process 1	Any EvoMab Process 2
Total Number of Participants	2104	4065
Number of Participants		
≥ 3 months	2087	3246
≥ 6 months	1766	2014
≥ 12 months	1681	815
≥ 18 months	807	0
≥ 24 months	620	0
≥ 30 months	427	0

≥ 36 months	2	0
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Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110110, 20110114, 20110115, 20110116, 20110117, 20110231, 20120138, 20120348, 20120356.

Patients can contribute data to more than one process. Time is based on device exposure. EvoMab = Evolocumab; patient years: where years are calculated as the sum of period process durations determined by the device exposure across subjects divided by 365.25. Months are calculated by multiplying the patient years by 12 and rounding to the nearest whole month.

Source: 120-day-appendix 05: Table 14-5.1.501

## 5.2 Review Strategy

I conducted a review of the efficacy and safety of evolocumab. The primary focus of this review is the Phase 3 trials (20110114, 20110115, 20110116 and 20110117), the 52-week trial 20110109, and the trials (20110233 and 20110271) that enrolled participants with HoFH. The 52-week trial 20110109 and trial 20110116 (statin intolerance) are discussed in greater detail in Section 5. The efficacy of the 4 phase 3 trials and the 52-week trial (20110109) that evaluated a primary hyperlipidemia population, as well as the two trials in the HoFH population, are discussed in Section 6. The safety review of the integrated phase 2 and phase 3 trials in the primary hyperlipidemia population, as well as the two trials in the HoFH population, are discussed in Section 7.

Dr. Shuxian Sinks, Office of Biometrics, conducted an independent review of the efficacy of evolocumab. Please refer to her review for the FDA's analysis of efficacy.

## 5.3 Discussion of Individual Studies/Clinical Trials

### 5.3.1 Trial 20110109: DESCARTES

**Study Title:** A Double-blind, Randomized, Placebo-controlled, Multicenter Study to Evaluate Long-term Tolerability and Durable Efficacy of AMG 145 on LDL-C in Hyperlipidemic Subjects (Trial 20110109; also referred to as DESCARTES)

**Investigators:** The coordinating investigator was Dr. Dirk Blom, Division of Lipidology, Department of Medicine, University of Cape Town, South Africa.

**Study center(s):** 88 study centers in the USA, Canada, South Africa, Czech Republic, Denmark, Hungary, Belgium, Australia, and Austria.

**Study period:** 05 January 2012 (first subject enrolled) to 07 November 2013 (last subject completed)

**Phase of Development:** 3

**Publications Based on the Study:** Blom DJ, Hala T, Bolognese M, et al. A 52-Week Placebo-Controlled Trial of Evolocumab in Hyperlipidemia. N Engl J Med 2014;

370:1809-1819.

**Primary Objectives:** Evaluate the effect of 52 weeks of subcutaneous (SC) evolocumab once monthly (QM), compared with placebo, on percent change from baseline in low-density lipoprotein cholesterol (LDL-C) when added to background lipid-lowering therapy.

**Secondary Objectives:**

- to evaluate the safety and tolerability of SC evolocumab, given for 52 weeks, compared with placebo, in subjects with hyperlipidemia on background lipid-lowering therapy
- to assess the effects of 52 weeks of SC evolocumab, compared with placebo, on change from baseline in LDL-C, and percent change from baseline in non-high-density lipoprotein cholesterol (non-HDL-C), apolipoprotein B (ApoB), total cholesterol/HDL-C ratio, and ApoB/Apolipoprotein A-1 (ApoA1) ratio, lipoprotein (a) [Lp(a)], triglycerides, total cholesterol, very low-density lipoprotein cholesterol (VLDL-C) and HDL-C in subjects with hyperlipidemia on background lipid-lowering therapy
- to evaluate the consistency of the long-term treatment effect of SC evolocumab, compared with placebo, in subjects with hyperlipidemia on background lipid-lowering therapy

**Design:** Multicenter, double-blind, double-dummy, randomized, placebo-controlled study designed to evaluate the effect of 52 weeks of subcutaneous (SC) evolocumab once monthly (QM), compared with placebo, on percent change from baseline in low-density lipoprotein cholesterol (LDL-C) when added to background lipid-lowering therapy. Eligible participants with screening central laboratory LDL-C values  $\geq 75$  mg/dL were instructed to follow National Cholesterol Education Program (NCEP) Adult Treatment Panel III (ATP) Therapeutic Lifestyle Changes (TLC) diet and were assigned to 1 of the following 4 background lipid-lowering therapies for a 4-week stabilization period based upon their screening LDL-C, NCEP ATP III risk category, and statin therapy:

1. No drug therapy required - diet alone
2. Low dose drug therapy required - diet plus atorvastatin 10 mg orally (PO) once daily (QD)
3. High dose drug therapy required - diet plus atorvastatin 80 mg PO QD
4. Maximal drug therapy required - diet plus atorvastatin 80 mg PO QD plus ezetimibe 10 mg PO QD

At the end of the 4-week stabilization period, participants who still exceeded the goal LDL-C value for their NCEP risk category (ie,  $\geq 75$  mg/dL and  $<100$  mg/dL for those with coronary heart disease (CHD) or CHD risk equivalents, or  $\geq 75$  mg/dL and  $<130$  mg/dL for those without CHD or CHD risk equivalents) underwent background therapy up-titration to the next therapy level and entered an additional 4-week stabilization period after which study eligibility based on LDL-C was reassessed. A maximum of

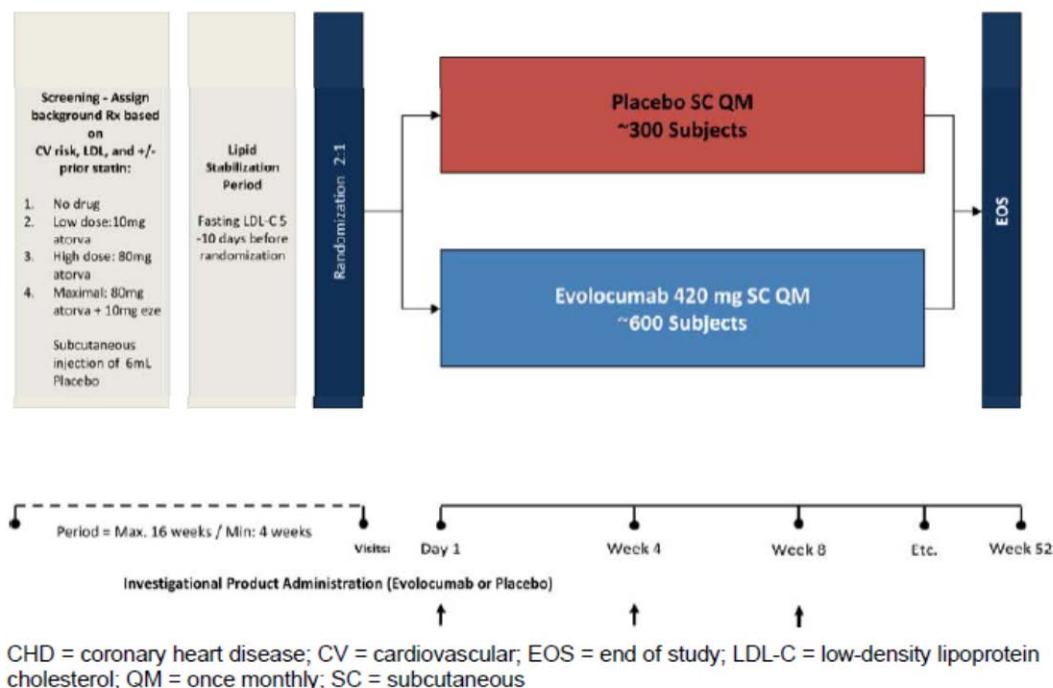
2 up-titrations were permitted. Participants with an initial LDL-C < 75 mg/dL were considered screen failures.

Participants on maximal drug therapy (ie, diet plus atorvastatin 80 mg PO QD plus ezetimibe 10 mg PO QD) were eligible if their LDL-C was  $\geq$  75 mg/dL at the end of the 4 week stabilization period. Participants on maximal background therapy whose LDL-C was < 75 mg/dL at the end of the 4-week stabilization period were allowed to down-titrate to diet plus atorvastatin 80 mg PO QD and enter an additional 4-week lipid stabilization period, after which study eligibility based on a final LDL-C blood draw was reassessed.

Once eligibility was confirmed, participants were randomized 2:1 to receive evolocumab 420 mg SC QM or placebo SC QM. Randomization was stratified by the protocol-determined background therapy. In addition to the randomized treatment groups, central laboratory results of the lipid panel, ApoA1, ApoB, high sensitivity C-reactive protein (hsCRP), and lipoprotein (a) Lp(a) were not provided to investigators, participants, and the study team after the lipid stabilization period until unblinding of the clinical database after end-of-study (EOS). Analyses of steroid hormone analytes (adrenocorticotrophic hormone [ACTH], follicle-stimulating hormone [FSH], luteinizing hormone [LH], cortisol, testosterone, and estradiol) and vitamin E testing were performed on all participants. Additionally, approximately 100 participants were enrolled in a vitamin E substudy where additional blood samples were collected at the day 1, week 12 and week 52 visits for a vitamin E analysis.

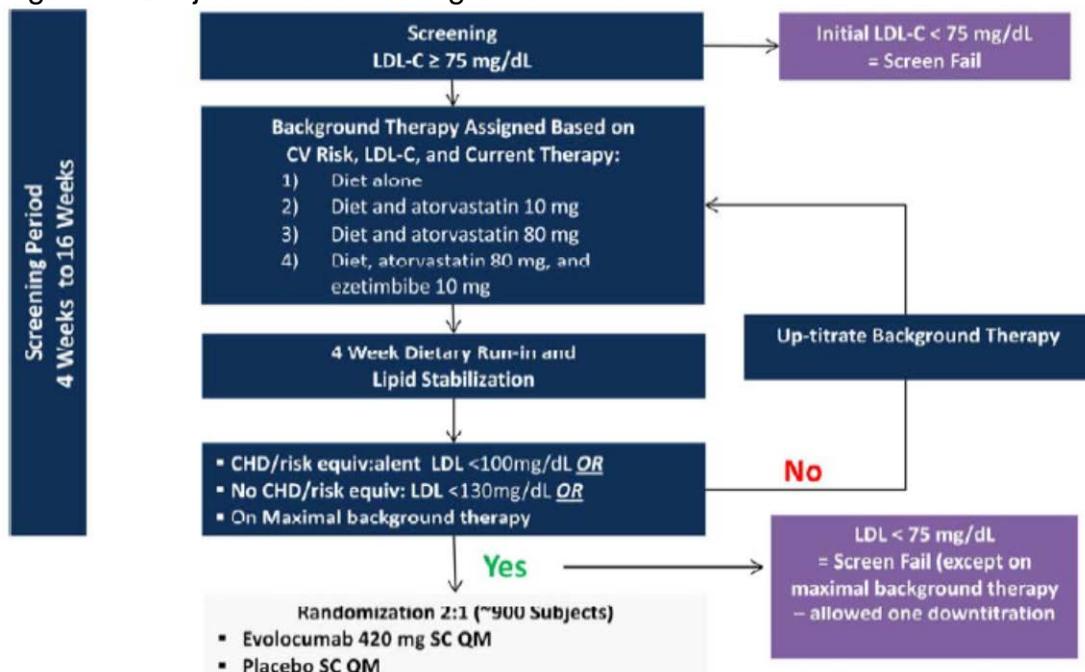
The EOS visit and the last estimation of lipids occurred at week 52, with the last dose of investigational product (IP) administration at week 48 for all participants.

Figure 1: Study Design



Source: Applicant's Figure 8-1 (CSR-20110109 Module 5.3.5.1)

Figure 2: Subject Titration during Stabilization Period



CHD = coronary heart disease; CV = cardiovascular; LDL-C = low-density lipoprotein cholesterol; QM = once monthly; SC = subcutaneous

Source: Applicant's Figure 8-1 (CSR-20110109 Module 5.3.5.1)

**Patient Population: Approximately 900 subjects (600 evolocumab: 300 placebo)**

Males and females,  $\geq 18$  to  $\leq 75$  years of age with fasting LDL-C  $\geq 75$  mg/dL at screening and fasting triglycerides  $\leq 400$  mg/dL at screening and end of lipid stabilization period were eligible. LDL-C values at end of lipid stabilization period had to be  $\geq 75$  mg/dL and  $<100$  mg/dL for those with CHD or CHD risk equivalents, or  $\geq 75$  mg/dL and  $<130$  mg/dL for those without CHD or CHD risk equivalents, or  $\geq 75$  mg/dL in those on maximal background therapy to be eligible for randomization, in alignment with NCEP ATP III risk categories.

Major exclusion criteria included, but were not limited to:

- diagnosed with coronary heart disease (CHD) or CHD risk equivalent and not receiving statin therapy with LDL-C at screening  $\leq 99$  mg/dL
- heart failure of New York Heart Association (NYHA) class II, III or IV, or last known left ventricular ejection fraction  $< 30\%$
- cardiac arrhythmia within 3 months prior to randomization that was not controlled by medication
- myocardial infarction, unstable angina, percutaneous coronary intervention (PCI), coronary artery bypass graft (CABG) or stroke within 3 months prior to randomization
- planned cardiac surgery or revascularization
- type 1 diabetes; or newly diagnosed type 2 diabetes (within 6 months of randomization or new screening fasting plasma glucose  $\geq 126$  mg/dL or HbA1c  $\geq 6.5\%$ ) or poorly controlled type 2 diabetes (HbA1c  $> 8.5\%$ )
- Uncontrolled hypertension defined as sitting systolic blood pressure (SBP)  $> 160$  mmHg or diastolic BP (DBP)  $> 100$  mmHg, confirmed with repeat measurement
- Use in the last 6 weeks prior to LDL-C screening red yeast rice,  $> 200$  mg/day niacin, or  $>1000$  mg/day omega-3 fatty acids (eg, DHA and EPA) or prescription lipid-regulating drugs other than statins or ezetimibe, such as fibrates and derivatives, or bile-acid sequestering resins
- Treatment in the last 3 months prior to LDL-C screening with any of the following drugs: systemic cyclosporine, systemic steroids (eg IV, intramuscular [IM], or PO) (Note: hormone replacement therapy is permitted), vitamin A derivatives and retinol derivatives for the treatment of dermatologic conditions (eg, Accutane); (Note: vitamin A in a multivitamin preparation is permitted)
- Hyperthyroidism or hypothyroidism as defined by thyroid stimulating hormone TSH below the lower limit of normal (LLN) or  $> 1.5$  times the upper limit of normal (ULN), respectively, at screening
- Moderate to severe renal dysfunction, defined as an estimated glomerular filtration rate (eGFR)  $< 30$  ml/min/1.73m<sup>2</sup> at screening, confirmed by a repeat measurement at least 1 week apart
- Active liver disease or hepatic dysfunction, defined as aspartate aminotransferase (AST) or alanine aminotransferase (ALT)  $> 2$  times the ULN as determined by central laboratory analysis at screening or at end of lipid

- stabilization period, confirmed by a repeat measurement at least 1 week apart
- CK > 3 times the ULN at screening or at end of lipid stabilization period, confirmed by a repeat measurement at least 1 week apart
- Known active infection or major hematologic, renal, metabolic, gastrointestinal or endocrine dysfunction in the judgment of the investigator
- Diagnosis of deep vein thrombosis or pulmonary embolism within 3 months prior to randomization
- Current therapeutic anticoagulation with vitamin K antagonist (eg, warfarin), heparin, low-molecular weight heparin, direct thrombin inhibitor, or Factor Xa inhibitor. (Note: anti-platelet agents [eg, aspirin, clopidogrel, prasugrel, ticagrelor, dipyridamole] are permitted).
- Female subject who is pregnant, breastfeeding or not willing to use at least 1 highly effective method of birth control during treatment and for an additional 15 weeks after the end of treatment unless subject is sterilized or postmenopausal
- History of malignancy (except non-melanoma skin cancers, cervical in-situ carcinoma, breast ductal carcinoma in situ, or stage 1 prostate carcinoma)

**Investigational Product:** Process 1 formulation via vial and syringe: 70 mg/mL evolocumab

(b) (4)

Each vial was for single use only. Subjects received 420 mg evolocumab SC QM. Each 420 mg dose totaled 6 mL at 70 mg/mL and could be split into several injections (eg, 3 x 2 mL injections). All injections were to be completed within 30 minutes.

It is important to note that the drug substance for phase 1 and phase 2 clinical trials was manufactured using a different process (Process 1) than that used in most of the phase 3 trials (Process 2). Process 2 drug substance is the proposed commercial drug substance. Thus, this 52-week trial did not use the to-be-marketed device or formulation.

#### **Treatment Groups:**

Placebo and evolocumab were administered as follows:

- placebo QM: no evolocumab, 6 mL placebo
- evolocumab 420 mg QM: 6 mL evolocumab at 70 mg/mL, no placebo

**Duration of Treatment:** The lipid stabilization period lasted a minimum of 4 weeks and a maximum of 12 weeks (to allow for up to 2 up-titrations of background therapy) followed by 52 weeks of double-blind treatment with investigational product (evolocumab QM or placebo).

#### **Endpoints:**

*Primary endpoint:* percent change from baseline in ultracentrifugation (UC) LDL-C at week 52

*Secondary endpoints (hypothesis testing):*

Tier 1

- absolute change from baseline in LDL-C at week 52
- LDL-C response (percent of subjects with LDL-C < 70 mg/dL at week 52)
- percent change from baseline in LDL-C at week 12
- percent change from baseline in total cholesterol at week 12
- percent change from baseline in total cholesterol at week 52
- percent change from baseline in non-high-density lipoprotein cholesterol (non-HDL-C) at week 52
- percent change from baseline in apolipoprotein B (ApoB) at week 52
- percent change from baseline in the total cholesterol /high-density lipoprotein cholesterol (HDL-C) ratio at week 52
- percent change from baseline in the ApoB/apolipoprotein A-1 (ApoA1) ratio at week 52

Tier 2

- percent change from baseline in lipoprotein(a) (Lp(a)) at week 52
- percent change from baseline in triglycerides at week 52
- percent change from baseline in HDL-C at week 52
- percent change from baseline in very low-density lipoprotein cholesterol (VLDL-C) at week 52

*Secondary endpoint (estimation):* percent change from week 12 in LDL-C at week 52

**Statistical Analyses:**

- Efficacy and safety analyses were performed on the full analysis set (FAS), which included all randomized subjects who received at least 1 dose of IP.
- All primary and secondary endpoint analyses of LDL-C used UC LDL-C. For the exploratory endpoint and longitudinal analyses of LDL-C over time, calculated LDL-C values were used.
- Reflexive testing used for sensitivity analyses was the method for selecting the appropriate LDL-C value to use. In the LDL-C reflexive approach, the calculated LDL-C values are used, unless the value is < 40 mg/dL or triglycerides are > 400 mg/dL, in which case, the calculated LDL-C value was replaced with the UC LDL-C value from the same blood sample, if available.
- The familywise error rate was preserved at 0.05 using a hierarchical testing procedure for the primary and secondary endpoints (tier 1 and tier 2 only).
- Unless specified otherwise, all other hypothesis testing was 2-sided with a significance level of 0.05.
- Deaths, myocardial infarction, hospitalization for unstable angina, hospitalization for heart failure, cerebrovascular events (transient ischemic attack, stroke), and noncoronary revascularization stroke were adjudicated by an independent Clinical Events Committee (CEC).

Analyses of Primary Endpoint: percent change in UC LDL-C from baseline at Week 52

A repeated measures linear effects model was used to compare the efficacy of evolocumab with placebo at week 52. The repeated measures linear effects model included terms for treatment group, stratification factor, scheduled visit and the interaction of treatment with scheduled visit. Missing values were not imputed in the model.

#### Analyses of Secondary Efficacy Endpoints (Hypothesis Testing)

The secondary efficacy endpoint of LDL-C response at week 52 was analyzed using the Cochran-Mantel Haenszel test adjusted for the stratification factor.

#### Analyses of Secondary Efficacy Endpoints (Estimation)

The following estimation was performed to evaluate the consistency of the long term treatment effect of evolocumab.

- For consistency of treatment effects (evolocumab vs placebo) of week 12 and week 52, the 95% confidence interval (CI) of the difference of the treatment effect at week 52 and the treatment effect at week 12 were provided from the repeated measures linear effects model. The estimations were made using the FAS and the Effect Durability Analysis Set.
- For change in LDL-C from week 12 to week 52 adjusted for baseline, the treatment effect adjusted by baseline was estimated using an analysis of covariance (ANCOVA) model. The estimation of 95% CI was based on the Effect Durability Analysis Set.<sup>30</sup>

#### Safety Analyses

- Summary statistics of adverse events, laboratory parameters and background lipid-regulating therapy during the lipid stabilization period were presented.
- Adverse events were coded using Medical Dictionary for Regulatory Activities (MedDRA) (version 16.1).
- Approximately 100 subjects (50 subjects per treatment arm) were selected to participate in the vitamin E substudy. Analytes for the vitamin E substudy (serum vitamin E, LDL-vitamin E, HDL-vitamin E, red blood cell (RBC)-vitamin E, and non-HDL-vitamin E) were summarized (both absolute values and normalized values) for each treatment group using descriptive statistics at each scheduled visit (Day 1, Week 12 and Week 52).
- Measurement of anti-evolocumab antibodies were collected from all subjects who received evolocumab at day 1, week 12, week 24, week 36, and week 52. Samples testing positive for binding antibodies were tested for neutralizing antibodies and could be further characterized for quantity/titer, isotype, affinity and presence of immune complexes.
- Missing data were not imputed for safety endpoints.
- The target IP exposure period in months was defined as follows: IP Exposure

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<sup>30</sup> The effect durability analysis set included subjects in the FAS who adhered to the scheduled IP (ie, the treatment completion box is checked on the eCRF) and have nonmissing UC LDL-C values at baseline, week 12 and week 52.

Period = [min (end of IP Date + 28 days, EOS Date) - First dose date of IP +1] / 365.25 \* 12. The target IP exposure is equal to SC IP exposure.

**Protocol Amendments:**

The study protocol was amended 4 times during the conduct of the trial. The table below summarizes the changes to the protocol during the study.

Table 6: Summary of Protocol Amendments

Amendment	Major Changes
Original Protocol 09 September 2011 (16 subjects enrolled)	–
Amendment 1 09 February 2012 (351 subjects enrolled under this protocol amendment)	<ul style="list-style-type: none"> <li>• added a vitamin E substudy</li> <li>• updated the study schema</li> <li>• added additional clarity on the definition of CHD risk equivalents</li> <li>• removed the term “absolute” from all endpoints</li> <li>• added information on drug dispensation and reconciliation</li> <li>• added information on retesting</li> <li>• added a rescreening cap</li> <li>• added steroid testing at day 1 and weeks 12, 24, and 52</li> <li>• added a process for updating the DMC for consecutive LDL values below 25 mg/dl</li> <li>• better defined end of study (EOS)</li> <li>• updated blood pressure and waist circumference language to add additional clarity</li> <li>• clarified that doses should be split</li> <li>• updated the interim guidelines</li> </ul>

Amendment 2 03 May 2012 (534 subjects enrolled under this protocol amendment)	<ul style="list-style-type: none"> <li>• changed the sample size from 600 to 900 subjects in order to increase long-term safety and tolerability data</li> <li>• updated the evolocumab background section with the most currently available data</li> <li>• added information to the 420 mg dose selection with data from the most recent evolocumab interim analysis</li> <li>• changed “hypercholesterolemia” to “hyperlipidemia” to be consistent with Amgen’s phase 3 protocols</li> <li>• updated the statistics section to align the hypothesis-testing secondary endpoints with Amgen’s phase 3 protocols, adjusted for multiplicity</li> <li>• added language that allowed Amgen to limit the enrollment of patients in certain NCEP risk categories or background therapy arms in order to prevent overly skewed enrollment in these groups</li> <li>• allowed down titration for subjects randomized to maximal background therapy who overshoot the LDL entry cutoff</li> <li>• updated the vital sign and waist circumference sections to align the language with that used in Amgen’s phase 3 protocols</li> <li>• changed the SAE reporting requirements from 1 business day to 24 hours</li> <li>• added additional information on pregnancy and lactation</li> </ul>
Amendment 3 09 December 2012 (0 subjects enrolled)	<ul style="list-style-type: none"> <li>• Amgen reclassified the study from a phase 2 study to a phase 3 study</li> <li>• changed the dosing terminology from Q4W to QM</li> <li>• updated the list of completed and ongoing studies</li> </ul>
Amendment 4 21 February 2013 (0 subjects enrolled)	<ul style="list-style-type: none"> <li>• updated three secondary endpoints</li> <li>• updated the study schema</li> <li>• added an alert threshold for elevated triglycerides</li> <li>• added new Amgen safety template AE &amp; SAE language</li> </ul>

AE = adverse event; CHD = coronary heart disease; DMC = data monitoring committee; EOS = end of study; LDL = low-density lipoprotein; NCEP = National Cholesterol Education Program; Q4W = every 4 weeks; QM = once monthly; SAE = serious adverse event

### Summary of Statistical Amendments

The original SAP (dated 14 June 2012) was amended twice; amendment 1 (dated 02 May 2013) and amendment 2 (dated 08 October 2013) occurred prior to study unblinding.

Table 7: Changes in Statistical Analysis Plan

Amendment	Major Changes
Amendment 1 (version 2) 02 May 2013	<ul style="list-style-type: none"> <li>• added total cholesterol as a secondary endpoint</li> <li>• upgraded VLDL-C from a tertiary to secondary endpoint</li> <li>• added exploratory endpoints to investigate pharmacokinetics of evolocumab , to estimate cardiovascular event rates in subjects treated with evolocumab and add HbA1c.</li> <li>• <b>changed text to refer to 20110109 as a phase 3 study</b></li> <li>• clarified of study definitions, analysis sets and imputation rules for missing LDL-C data</li> <li>• added details of when UC and when calculated LDL-C will be used for each analysis</li> <li>• added Asian as a category for the baseline covariate of race</li> <li>• added reflexive approach as a sensitivity analysis for the primary endpoint</li> <li>• changed hsCRP summaries to a shift table</li> <li>• updated the AEs section to be in line with the other phase 3's, specifically changing 'treatment related' to be 'treatment emergent' and added 'Events of Interest'</li> </ul>
Amendment 2 (version 3) 08 Oct 2013	<ul style="list-style-type: none"> <li>• updated the ECG definition</li> <li>• added SCORE definition</li> <li>• added triglyceride cut off to the definition of the reflexive method.</li> <li>• added definitions for screening and end of lipid stabilization period (LSP) LDL-C, normalization formula for the vitamin E analytes and details of how to identify subjects receiving hormone replacement therapy (HRT)</li> <li>• defined screening value of LDL-C as the latest LDL-C value prior to entering their first LSP</li> <li>• defined end of lipid stabilization LDL-C value as the post screening values collected closest, but prior to day 1, or prior to or on their last screen failure date.</li> <li>• added normalization formula for vitamin E analytes</li> <li>• changed Kaplan Meier plots to cumulative percentage plots</li> <li>• clarified categories for geographic region</li> <li>• added the baseline covariate of 'glucose tolerance status (type 2 diabetes mellitus, metabolic syndrome, neither type 2 diabetes mellitus nor metabolic syndrome)', in replacement for "type 2 diabetes mellitus (yes, no)" and "metabolic syndrome per modified AHA /NHLBI criterion (yes, no)"</li> <li>• added clarification of the treatment effect of percent change of LDL-C from week 12 at week 52 [i.e. (week 52 – week 12) / baseline]</li> <li>• updated the events of interest [diabetes-related, muscle-related, liver-related, associated with injectable protein therapies (injection site reactions, hypersensitivity or allergic reactions) and potential hepatitis C infections were summarized by category and preferred term.</li> </ul>

	<ul style="list-style-type: none"><li>• added 'normalized' analysis to the summary of the vitamin E substudy analytes</li><li>• replaced the 'excluding subjects receiving testosterone sub-analysis with "subjects who also received at least one vitamin E supplement during the study."</li><li>• added reflexive LDL-C algorithm to the appendix and description of reflexive approach for reflexive LDL-C</li></ul>
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In addition to these changes in planned analyses, the following additional changes were made:

- Site (b) (6) was closed following an audit in which GCP violations were identified. An ad hoc sensitivity analysis was carried out excluding the FAS subjects (n = 5) from this site.
- Additional ad hoc analyses of treatment emergent adverse events for 3 subgroups of minimum postbaseline LDL-C concentrations (< 25 mg/dL, < 40 mg/dL, or ≥ 40 mg/dL) were carried out.
- Muscle events were included in the subject incidence of positively adjudicated events.
- The SAP specified that analytes, both original and normalized, for the vitamin E substudy would be summarized for each treatment group using descriptive statistics at each scheduled visit and repeated for those subjects who also received at least one Vitamin E supplement during the study. Additional ad hoc analyses were provided for those subjects in the vitamin E substudy who did not receive a vitamin E supplement.
- Additional ad hoc analyses for the steroid hormone analytes were carried out for FSH by women (FSH < 25 IU/L at baseline and < 50 years of age) and men (LH < 15 IU/L at baseline), LH by women (FSH < 25 IU/L at baseline and < 50 years of age) and men (LH < 15 IU/L at baseline), estradiol for women (FSH < 25 IU/L at baseline and < 50 years of age) only, and testosterone for men only. Analyses were performed in the FAS excluding subjects who received HRT.

#### **Protocol Deviations:**

Seventeen (2.8%) participants in the evolocumab group and 14 (4.6%) in the placebo group had at least one important protocol deviation (IPD). None of these participants were excluded from the FAS. The most common IPDs included receiving the wrong IP box (7 [1.2%] evolocumab, 5 [1.7%] placebo), receiving prohibited lipid-regulating medications (4 [0.7%] evolocumab, 4 [1.3%] placebo), and not meeting the LDL-C end of lipid stabilization criteria (4 [0.7%] evolocumab, 3 [1.0%] placebo).

Deviations to eligibility criteria occurred in 16 (1.8%) participants: 10 (1.7%) in the evolocumab arm and 6 (2.0%) in the placebo arm. The most common eligibility deviation was not meeting the LDL-C end of lipid stabilization criteria (6 [1.0%] evolocumab, 4 [1.3%] placebo) and diabetes (2 [0.3%] evolocumab, 2 [0.7%] placebo).

#### **Results:**

### Patient Demographics

*Sex:* men (47.7%); women (52.3%)

*Age:* mean (SD) age of 56.2 (10.6) years; 22.8% of participants were  $\geq$  65 years old (235 placebo; 461 EvoMab).

*Ethnicity:* Hispanic/Latino (5.5%)

*Race:* white (80.4%); black/African American (8.4%); Asian (6.3%); other (4.3%); American Indian or Alaska native (0.2%); mixed race (0.2%); native Hawaiian or other Pacific Islander (0.1%)

*Country:* North America (57.8%), Europe (26.9%) and Asia Pacific (15.3% of participants)

When the demographics are examined by the 4 different background therapies, there were more women than men enrolled in the diet alone and diet plus atorvastatin 10 mg group (W: 55.0% and 56.1 % vs M: 45.0% and 43.9%, respectively); whereas more men than women were enrolled in the diet plus atorvastatin 80 mg plus ezetimibe 10 mg group (54.5% vs 45.5%, respectively). The diet alone group had the highest percentage of Hispanic/Latino subjects (12.6%) as well as the highest percentage of subjects who were either Asian (14.4%) or black/African American (16.2%) (see table below).

As participants were assigned to 1 of 4 background therapies based on NCEP risk categories (criteria summarized in Section 9.7 NCEP ATP III Risk Categories), and screening LDL-C, there were expected differences in baseline coronary heart disease demographics among the protocol-determined background therapy groups (see table below). Sixty-four percent of participants who required diet plus atorvastatin 80 mg plus ezetimibe 10 mg therapy were at high NCEP risk as opposed to only 5% of the diet only group. Those receiving diet plus atorvastatin 80 mg plus ezetimibe 10 mg therapy were more likely to have coronary artery disease, including angina and myocardial infarction, and cerebrovascular or peripheral arterial disease. They also had the highest current usage of cigarettes, the highest incidence of type 2 diabetes mellitus, the highest family history of premature CHD, and the highest incidence of 2 or more CV risk factors.

Overall, as classified by NCEP ATP III criteria, the majority of participants (64%) were at moderate or low CHD risk and only 26% were considered at high risk for coronary heart disease. Only 15% of participants had a medical history of coronary artery disease, with <8% having a history of prior myocardial infarction. Only 4% of participants had a medical history of cerebrovascular or peripheral arterial disease, with <1% having a history of prior stroke.

Reviewer comment: This long-term trial enrolled many participants at low or moderate CV risk; thus, the overall trial population does not represent a population at high CV risk

with substantial CVD burden—arguably, the most appropriate patient population for add-on therapy to a statin.

Table 8: Summary of Baseline Demographics by Background Therapy and Investigational Product: Trial 20110109 (Full Analysis Set)

	Diet Only		Atorvastatin 10mg		Atorvastatin 80mg		Atorvastatin 80mg + Ezetimibe 10mg	
	Placebo QM (N =37) n (%)	EvoMab QM (N = 74) n (%)	Placebo QM (129) n (%)	EvoMab QM (N =254) n (%)	Placebo QM (N=73) n (%)	EvoMab QM (N=145) n (%)	Placebo QM (N = 63) n (%)	EvoMab QM (N=126) n (%)
<b>Sex</b>								
<b>Female</b>	22 (59.5)	39 (52.7)	70 (54.3)	145 (57.1)	40 (54.8)	69 (47.6)	30 (47.6)	56 (44.4)
<b>Age (yrs)</b>								
<b>Mean</b>	53.5	50.7	57.0	57.2	58.4	57.8	55.9	54.2
<b>Age ≥ 65 years</b>	8 (21.6)	10 (13.5)	32 (24.8)	69 (27.2)	19 (26.0)	34 (23.4)	8 (12.7)	25 (19.8)
<b>White</b>	25 (67.6)	50 (67.6)	112 (86.8)	217 (85.4)	65 (89.0)	123 (84.8)	46 (73.0)	86 (68.3)
<b>Asian</b>	5 (13.5)	11 (14.9)	6 (4.7)	15 (5.9)	1 (1.4)	5 (3.4)	4 (6.3)	10 (7.9)
<b>Black</b>	7 (18.9)	11 (14.9)	8 (6.2)	18 (7.1)	5 (6.8)	12 (8.3)	3 (4.8)	12 (9.5)
<b>Europe</b>	3 (8.1)	13 (17.6)	33 (25.6)	81 (31.9)	26 (35.6)	46 (31.7)	15 (23.8)	25 (19.8)
<b>North America</b>	28 (75.7)	53 (71.6)	87 (67.4)	156 (61.4)	43 (58.9)	91 (62.8)	19 (30.2)	44 (34.9)
<b>Asia Pacific</b>	6 (16.2)	8 (10.8)	9 (7.0)	17 (6.7)	4 (5.5)	8 (5.5)	29 (46.0)	57 (45.2)
<b>Baseline Lipid Parameters, (mg/dL)</b>								
LDL-C, UC (mean)	112.3	111.6	98.4	101.3	96.2	94.6	119.8	116.8
HDL-C, (mean)	54.4	49.2	55.8	55.7	52.6	50.1	49.3	51.3
TG, (median)	101.5	108.8	105.0	104.5	110.0	111.0	135.5	96.8
hsCRP, (median)	0.18	0.15	0.12	0.12	0.12	0.14	0.12	0.10
<b>National cholesterol education program (NCEP) CHD risk categories</b>								
	Diet Only		Atorvastatin 10 mg		Atorvastatin 80 mg		Atorva 80 mg + Ez 10	Total (N=901) n (%)
<i>High</i>	6 (5.4)		41 (10.7)		67 (30.7)		121 (64.0)	235 (26.1)
<i>Mod-high</i>	14 (12.6)		42 (11.0)		19 (8.7)		10 (5.3)	85 (9.4)
<i>Moderate</i>	41 (36.9)		138 (36.0)		86 (39.4)		35 (18.5)	300 (33.3)
<i>Low</i>	50 (45.0)		162 (42.3)		46 (21.1)		23 (12.2)	281 (31.2)
Coronary artery disease	2 (1.8)		10 (2.6)		34 (15.6)		90 (47.6)	136 (15.1)
Cerebrovascular or peripheral arterial disease	0 (0.0)		5 (1.3)		13 (6.0)		19 (10.1)	37 (4.1)
Current cigarette use	19 (17.1)		45 (11.7)		31 (14.2)		40 (21.2)	135 (15.0)
Type 2 diabetes mellitus	3 (2.7)		27 (7.0)		33 (15.1)		41 (21.7)	104 (11.5)
Hypertension	47 (42.3)		160 (41.8)		125 (57.3)		106 (56.1)	438 (48.6)
N = number of subjects randomized; CHD = coronary heart disease; EvoMab = Evolocumab (AMG 145); QM = monthly; CHD = coronary heart disease; UC=ultracentrifugation								

Includes the following study: 20110109  
 Subjects from countries with an undefined risk are classed as low risk.  
 Source: Modified from CSR 20110109 Tables 14-2.2.1, 14-2.7.2 and 14-2.4.1 and confirmed with JMP analysis

Baseline overall mean lipid parameters, hsCRP, and PCSK9 are summarized for the evolocumab group and the placebo group in the following table.

Table 9: Baseline Lipid Parameters, High-Sensitivity C-Reactive Protein and PCSK9 in Trial 20110109 (Full Analysis Set)

<b>Parameter Mean (SD)</b>	<b>Placebo QM (N=302)</b>	<b>EvoMab 420 mg QM (N = 599)</b>
UC LDL-C mg/dL	104.0 (21.6)	104.2 (22.1)
reflexive LDL-C mg/dL	100.2 (21.5)	100.4 (22.2)
calculated LDL-C mg/dL	100.2 (21.6)	100.4 (22.3)
Total cholesterol mg/dL	179.1 (27.2)	176.8 (27.5)
HDL-C mg/dL	53.5 (16.1)	52.6 (15.5)
ApoA1 mg/dL	155.2 (28.0)	152.4 (27.3)
Triglycerides mg/dL	127.8 (65.8)	119.8 (63.2)
Triglycerides: Median (Q1, Q3) mg/dL	110.3 (85.0, 155.0)	105.0 (80.0, 140.0)
VLDL-C mg/dL	21.5 (13.4)	20.0 (11.4)
ApoB mg/dL	87.5 (16.3)	87.0 (16.3)
Non-HDL-C mg/dL	125.6 (26.9)	124.2 (25.6)
Total cholesterol/ HDL-C ratio	3.6 (1.1)	3.6 (1.0)
ApoB/ApoA1 ratio	0.59 (0.2)	0.59 (0.2)

Parameter Mean (SD)	Placebo QM (N=302)	EvoMab 420 mg QM (N = 599)
Lp(a) <sup>a</sup> nmol/L	89.3 (108.6)	84.0 (98.5)
Lp(a): Median (Q1, Q3) nmol/L	40.0 (12.0, 145.0)	38.0 (14.0, 137.0)
Lp(a) <sup>†</sup> mg/dL	2.5 (3.0)	2.4 (2.8)
hsCRP <sup>∞</sup> mg/L	2.9 (5.6)	2.7 (4.5)
hsCRP: Median (Q1, Q3) mg/L	1.2 (0.7, 3.1)	1.2 (0.6, 2.9)
PCSK9 ng/ml	481.7 (157.2)	477.6 (173.5)
<p>ApoA1 = apolipoprotein A-1; ApoB = apolipoprotein B; EvoMab = Evolocumab (AMG 145); HDL-C = high-density lipoprotein cholesterol; hsCRP = high sensitivity C-reactive protein; LDL-C = low-density lipoprotein cholesterol; Lp(a) = lipoprotein(a); N = number of subjects randomized and dosed in the full analysis set; PCSK9 = Proprotein convertase subtilisin/kexin type 9; QM = monthly ; UC=ultracentrifugation; VLDL-C = very low-density lipoprotein cholesterol</p> <p><sup>a</sup>For an approximate unit conversion of Lp(a), 1 mg/dL = 0.0357 μmol/L or 35.7 nmol/L</p> <p><sup>†</sup> Most studies and meta-analyses show an increase in CVD risk starting at Lp(a) &gt;25 mg/dl; (Lipoprotein(a) as a Potential Causal Genetic Risk Factor; Tsimikas and Hall; JACC Vol. 60, No. 8, 2012, August 21, 2012:716–21.</p> <p><sup>∞</sup> According to the American Heart Association: Low risk of developing cardiovascular disease if hs-CRP level is &lt; 1.0mg/L; average risk of developing cardiovascular disease if levels are between 1.0 and 3.0 mg/L; high risk for cardiovascular disease if hs-CRP level is &gt; 3.0 mg/L.</p> <p>Source: Modified from Table 14-2.4.1 and Table 14-2.4.3</p>		

Within the background therapy groups, the LDL-C (UC) and non-HDL-C was highest in the atorva 80+ezetimibe group (118 mg/dL and 141 mg/dL, respectively). Likewise, mean Lp(a) increased step-wise from the low risk (46 nmol/L) to high-risk group (120 nmol/L). However, mean hsCRP was highest in the low CHD risk group (3.4 mg/L) and lowest in the high CHD risk group (2.4 mg/L). At baseline, the mean LDL-C (UC: 104 mg/dL, calc 100 mg/dL), mean HDL-C (53 mg/dL) and median triglyceride (108 mg/dL) levels were well-controlled in the trial population. The median Lp(a) (39 nmol/L) and median hsCRP (1.2 mg/L) are supportive of a trial population that is at low to average risk of developing cardiovascular disease.

Approximately half (45.9%) of the participants reported the use of at least 1 lipid-regulating concomitant medication of interest at screening (before entry to lipid stabilization period). These medications included statins [42.6%: top 3 were simvastatin (16.6%), atorvastatin (15.1%), rosuvastatin (7.7%)], fenofibrate (0.2%), nicotinic acid and derivatives (0.1%), and other lipid-modifying agents [11.3%: ezetimibe (6.5%), fish

oil (3.1%), omega-3-fatty acids (2.1%)]. Less than 1% (0.4%) of participants reported use of lipid-regulating concomitant medications other than the protocol-assigned during the lipid stabilization period.

#### Patient Disposition

A total of 2120 participants were screened for the study at 88 centers in United States, Canada, South Africa, Czech Republic, Denmark, Hungary, Belgium, Australia, and Austria. Of the 2120 subjects screened, 1485 (70%) subjects met the requirements and entered the lipid stabilization period and 635 (30%) subjects did not. Of the 1485 subjects who entered the lipid stabilization period 905 (61%) subjects were randomized in the investigational product period and 580 (39%) subjects were not randomized into the investigational product period. Of the 580 subjects who were not randomized into the investigational product period following the lipid stabilization period, 539 (93%) were excluded because they did not meet one or more eligibility criteria. Four-hundred (69%) subjects were excluded because their fasting LDL-C at the end of lipid stabilization period was < 75 mg/dL (see additional details in Section 9.4 Screening Disposition in Trial 20110109). Overall, 905 participants were randomized to receive evolocumab (602 participants) or placebo (303 participants). A total of 901 received at least 1 dose of IP. The percentages of participants in the FAS by background therapy were:

- 12.3% were assigned to diet alone
- 42.5% to diet plus atorvastatin 10 mg
- 24.2% to diet plus atorvastatin 80 mg
- 21.0% to diet plus atorvastatin 80 mg plus ezetimibe 10 mg

Reviewer comment: A limitation of the trial design is having 12% of participants on no background drug therapy and 43% on low dose atorvastatin. Thus, at least 55% of the trial population represents a patient population who does not require an additional LDL lowering agent due to persistent CV risk or LDL-C elevation.

The table below describes the shift in background therapy after randomization. Approximately 4% of participants had a temporary change, of which the atorva 80 mg+ezetimibe group was the largest contributor, and 9% had an early termination of background therapy, of which the atorva 10 mg group was the largest contributor. Early termination of background therapy per treatment arm was as follows:

- Diet alone: 5/111= 4.5%
- Atorvastatin 10mg: 41/383= 10.7%
- Atorvastatin 80 mg: 22/218= 10.1%
- Atorvastatin 80 mg + ezetimibe 10 mg: 14/189= 7.4%

Table 10: Summary of Shift in Background Therapy after Randomization Study 20110109 (Full Analysis Set)

Background therapy at Randomization	Post-randomization Background Therapy						Total
	Diet Alone	Diet plus Atorvastatin 10 mg	Diet plus Atorvastatin 80 mg	Diet plus Atorvastatin 80 mg plus Ezetimibe 10 mg	Temporary Change of Background Therapy	Early Termination of Background Therapy	
Diet Alone	105 (11.7)	1 (0.1)	0	0	0	5 (0.6)	<b>111 (12.3)</b>
Diet plus Atorvastatin 10 mg	0	341 (37.8)	0	0	1 (0.1)	41 (4.6)	<b>383 (42.5)</b>
Diet plus Atorvastatin 80 mg	0	4 (0.4)	185 (20.5)	2 (0.2)	5 (0.6)	22 (2.4)	<b>218 (24.2)</b>
Diet plus Atorvastatin 80 mg plus Ezetimibe 10 mg	1 (0.1)	0	6 (0.7)	140 (15.5)	28 (3.1) <sup>31</sup>	14 (1.6)	<b>189 (21.0)</b>
<b>Total</b>	<b>106 (11.8)</b>	<b>346 (38.4)</b>	<b>191 (21.2)</b>	<b>142 (15.8)</b>	<b>34 (3.8)</b>	<b>82 (9.1)</b>	<b>901 (100.0)</b>

N = number of subjects randomized; Ezetimibe and Atorvastatin were administered orally once per day  
 Subjects are defined as having a change to background therapy if their last background therapy record is not their randomized background therapy regimen.  
 Subjects are defined as having a Temporary Change if they deviate from their randomized background regimen but return to it before the End of Study.  
 Subjects whose final background therapy record is not a protocol defined regimen, e.g. Ezetimibe 10mg (alone) are also included in the Temporary Change column.  
 Any records with no evidence of medication being taken (i.e. no return date, no number returned or number lost) are not considered.

Source: Applicant's Table 14-1.5.1 (CSR-20110109 Module 5.3.5.1)

### A similar percentage of participants completed the trial in both groups; a total of 855

31 28 (14.8%) of the 189 subjects randomized to the diet and atorvastatin 80 mg plus ezetimibe 10 mg background therapy group had temporary changes in background therapy after randomization. Further investigation showed that 5 of these subjects had an error in their eCRF and had actually remained on the assigned background regimen throughout the study. Therefore, a total of 23 subjects (12.2%) in the diet and atorvastatin 80 mg plus ezetimibe 10 mg background therapy group had a temporary change in background therapy during the study. Eighteen of the 23 subjects had 1 or more temporary changes from the background therapy assigned at randomization, but returned to the assigned background therapy before the end of the study. The most common changes in background therapy for these 18 subjects were to ezetimibe 10 mg alone or atorvastatin 80 mg alone (10 subjects each); a subject could have both types of changes during the study. The temporary changes were of ≤ 30 days duration for 16 of the 18 subjects. Two subjects had temporary changes in background therapy of > 30 days: 47 days for Subject 10966411003 and 37 and 76 days for Subject 10966439012. The other 5 subjects had background therapy regimens at the end of the study that were different than the 4 regimens specified in the protocol: One subject (10957205025) was receiving ezetimibe 10 mg alone at both randomization and the end of the study. This subject received the assigned diet and atorvastatin 80 mg plus ezetimibe 10 mg regimen during the rest of the study, except for a temporary change to atorvastatin 80 mg alone and a temporary gap in dispensation of all background therapy. Four subjects (10957205022, 10966411020, 10966452022, and 10966452043) were receiving ezetimibe 10 mg alone at the end of the study only. This was the only change in background therapy for each subject and occurred after at least 10 months of treatment with the assigned diet and atorvastatin 80 mg plus ezetimibe 10 mg regimen. Of the 23 subjects, 1 subject had a change in background therapy due to an adverse event. Subject 10957204018 discontinued dosing with atorvastatin 80 mg and then re-initiated dosing at 40 mg as a result of a non-serious adverse event of myalgia; evolocumab and ezetimibe doses were unchanged. The adverse event resolved within 54 days, and the atorvastatin dose was increased to 80 mg. None of the other 22 subjects had atorvastatin or ezetimibe dose changes due to an adverse event.

participants completed the study including 568 (94.4%) participants in the evolocumab group and 287 (94.7%) in the placebo group. Eight hundred participants completed dosing of IP per protocol over the duration of the study (52 weeks) including 526 (87.4%) participants in the evolocumab group and 274 (90.4%) in the placebo group. A total of 101 participants discontinued IP, including 73 (12.1%) in the evolocumab group and 28 (9.2%) in the placebo group. The largest percentage of study discontinuations occurred in the Diet Only group (14.7%) and the smallest percentage was in the atorvastatin 80+ezetimibe group (9.5%). A similar percentage of participants completed the trial in both groups (EvoMab: 94%; Pbo 95%).

Slightly more participants in the placebo group (90%) completed IP than in the EvoMab group (87%). The most frequent reasons for discontinuation of IP in the evolocumab group included subject request (4.0%), "other" (2.3%), and adverse event (2.0%), while in the placebo group the two most frequent reasons for discontinuation of IP were subject request (2.6%) and full consent withdrawn (2.6%). The table below provides a summary of participant reporting for all randomized participants by background therapy and IP.

Table 11: Disposition with Discontinuation Reason by Background Therapy and Investigational Product Study 20110109

	Diet Only		Atorvastatin 10mg		Atorvastatin 80mg		Atorvastatin 80mg + Ezetimibe 10mg		TOTAL	
	Placebo QM (N=38) n (%)	EvoMab QM (N=74) n (%)	Placebo QM (129) n (%)	EvoMab QM (N=256) n (%)	Placebo QM (N=73) n (%)	EvoMab QM (N=146) n (%)	Placebo QM (N=63) n (%)	EvoMab QM (N=126) n (%)	Placebo QM (N=303) n (%)	EvoMab QM (N=602) n (%)
<b>ASSESSMENT of STUDY COMPLETION by SUBJECTS</b>										
<b>Completed Study</b>	33 (86.8)	66 (89.2)	123 (95.3)	244 (95.3)	71 (97.3)	137 (93.8)	60 (95.2)	121 (96.0)	287 (94.7)	568 (94.4)
<b>Discontinued Study</b>	5 (13.2)	8 (10.8)	6 (4.7)	12 (4.7)	2 (2.7)	9 (6.2)	3 (4.8)	5 (4.0)	16 (5.3)	34 (5.6)
Consent Withdrawn	3 (7.9)	1 (1.4)	3 (2.3)	6 (2.3)	1 (1.4)	2 (1.4)	2 (3.2)	2 (1.6)	9 (3.0)	11 (1.8)
Death	0	0	0	1 (0.4)	0	1 (0.7)	0	0	0	2 (0.3)
Study Termination	0	0	0	0	0	0	0	0	0	0
Lost to Follow-up	1 (2.6)	5 (6.8)	1 (0.8)	2 (0.8)	0	2 (1.4)	0	2 (1.6)	2 (0.7)	11 (1.8)
Other	1 (2.6)	2 (2.7)	2 (1.6)	3 (1.2)	1 (1.4)	4 (2.7)	1 (1.6)	1 (0.8)	5 (1.7)	10 (1.7)
<b>ASSESSMENT of INVESTIGATIONAL PRODUCT (IP) USE by SUBJECTS</b>										
<b>Received IP</b>	37 (97.4)	74 (100.0)	129 (100.0)	254 (99.2)	73 (100.0)	145 (99.3)	63 (100.0)	126 (100.0)	302 (99.7)	599 (99.5)
<b>Completed IP</b>	32 (84.2)	62 (83.8)	117 (90.7)	225 (87.9)	67 (91.8)	127 (87.0)	58 (92.1)	112 (88.9)	274 (90.4)	526 (87.4)
<b>Discontinued IP</b>	5 (13.2)	12 (16.2)	12 (9.3)	29 (11.3)	6 (8.2)	18 (12.3)	5 (7.9)	14 (11.1)	28 (9.2)	73 (12.1)
Consent Withdrawn	2 (5.3)	1 (1.4)	3 (2.3)	4 (1.6)	1 (1.4)	2 (1.4)	2 (3.2)	1 (0.8)	8 (2.6)	8 (1.3)
Adverse Event	0	1 (1.4)	1 (0.8)	7 (2.7)	2 (2.7)	3 (2.1)	1 (1.6)	1 (0.8)	4 (1.3)	12 (2.0)
Pregnancy	0	0	0	0	0	0	0	0	0	0
Death	0	0	0	1 (0.4)	0	1 (0.7)	0	0	0	2 (0.3)
Subject Request	0	4 (5.4)	4 (3.1)	9 (3.5)	2 (2.7)	9 (6.2)	2 (3.2)	2 (1.6)	8 (2.6)	24 (4.0)
Decision by Sponsor	0	1 (1.4)	0	1 (0.4)	1 (1.4)	1 (0.7)	0	1 (0.8)	1 (0.3)	4 (0.7)
Investigator decision	0	0	1 (0.8)	0	0	0	0	0	1 (0.3)	0
Lost to Follow-up	1 (2.6)	3 (4.1)	1 (0.8)	2 (0.8)	0	2 (1.4)	0	2 (1.6)	2 (0.7)	9 (1.5)
Other	2 (5.3)	2 (2.7)	2 (1.6)	5 (2.0)	0	0	0	7 (5.6)	4 (1.3)	14 (2.3)
N = number of subjects randomized; EvoMab = Evolocumab (AMG 145); QM = monthly (subcutaneous); IP = investigational product. Number of subjects screened: 2120; First subject enrolled: 05JAN2012 Last subject completed study: 07NOV2013 Source: modified from Table 9-1 (CSR-20110109 Module 5.3.5.1) and confirmed with JMP analysis										

### Patient Exposure to Study Drug

The median duration of evolocumab exposure was 12 months and the mean duration of evolocumab exposure (SD) was 11.1 (2.6) months for the 599 participants who received at least one dose of evolocumab 420 mg and were included in the Full Analysis Set (FAS). The mean (SD) number of evolocumab doses administered was 11.8 (2.9). The number of participants exposed to evolocumab for at least 12, 24, 48, or 52 weeks was 579 (96.7%), 557 (93.0%), 529 (88.3%), and 404 (67.4%), respectively.

### Concomitant Medications

Of the 901 trial participants, 68 (7.5%) reported using non-protocol assigned lipid-regulating concomitant medications. The concomitant medications of interest included the use of statins (14 [1.6%]), bile acid sequestrants (1 [0.1%]), nicotinic acid and derivatives (2 [0.2%]), or other lipid modifying agents (55 [6.1%]). Other lipid modifying agents was primarily use of fish oil (3.5%) or omega-3 fatty acids (2.2%).

By background therapy, the use of medications of interest was reported by 2.7% (n=3) of participants in the diet alone group, 7.6% (n=29) in the diet plus atorvastatin 10 mg group, 8.3% (n=18) in the diet plus atorvastatin 80 mg group, and 9.5% (n=18) in the diet plus atorvastatin plus ezetimibe group. The largest contributors were fish oil and omega-3 fatty acids. This reviewer does not believe that the limited use of non-protocol assigned lipid-regulating concomitant medications had a clinically relevant effect on the efficacy or safety results of this trial.

### Primary Efficacy Outcomes

The primary efficacy endpoint was percent change from baseline in UC LDL-C at Week 52. The percent change (SE) in UC LDL-C from baseline to Week 52 for evolocumab (420 mg SC QM) compared with placebo QM was -57.0% (2.1%) (multiplicity adjusted  $p < 0.001$ ) when added to background lipid-lowering therapy (see table below).

As shown in the table, compared with placebo, the treatment difference for the percent change from baseline at week 52 in UC LDL-C (SE) for the evolocumab QM group was -55.7% (4.2%) in the diet alone group, -61.6% (2.6%) in the diet plus atorvastatin 10 mg group, -56.8% (5.3%) in the diet plus atorvastatin 80 mg group, and -48.5% (5.2%) in the diet plus atorvastatin 80 mg plus ezetimibe 10 mg group.

Table 12: Analysis of Percent Change from Baseline in Ultracentrifugation LDL-C at Week 52 by Background Therapy in Trial 20110109 (Full Analysis Set)

	Diet Only		Diet + Atorvastatin 10mg		Diet + Atorvastatin 80mg		Diet + Atorvastatin 80mg + Ezetimibe 10mg		Total	
	Placebo QM (N=37)	EvoMab 420 mg QM (N=74)	Placebo QM (N=129)	EvoMab 420 mg QM (N=254)	Placebo QM (N=73)	EvoMab 420 mg QM (N=145)	Placebo QM (N=63)	EvoMab 420 mg QM (N=126)	Placebo QM (N=302)	EvoMab 420 mg QM (N=599)
<b>Summary Statistics</b>										
n	31	67	113	233	66	130	54	112	264	542
Mean	4.36	-51.66	7.20	-55.79	9.26	-47.47	0.60	-46.92	6.03	-51.45
SE	3.72	2.36	2.15	1.47	4.04	3.13	4.31	2.97	1.69	1.20
Median	-1.53	-53.85	4.44	-60.98	0.99	-57.20	-0.57	-53.05	1.66	-57.63
Q1,Q3	(-5.58, 16.55)	(-63.79, - 43.88)	(-8.00, 17.71)	(-70.91, - 47.17)	(-10.07, 19.37)	(-68.42, - 37.37)	(-16.67, 13.21)	(-68.48, - 34.47)	(-9.60, 16.26)	(-68.63, - 42.66)
Min,Max	(-32.5, 71.9)	(-82.6, 13.4)	(-33.7, 88.2)	(-95.1, 46.0)	(-32.6, 173.9)	(-88.8, 111.2)	(-91.7, 93.5)	(-87.0, 96.6)	(-91.7, 173.9)	(-95.1, 111.2)
<b>LS Mean<sup>a</sup></b>										
Estimate	4.19	-51.51	6.88	-54.68	10.10	-46.68	1.71	-46.80	6.83	-50.14
SE	3.50	2.39	2.16	1.51	4.32	3.09	4.29	2.98	1.75	1.24
95% CI	(-2.76, 11.14)	(-56.26, - 46.76)	(2.63, 11.13)	(-57.65, - 51.71)	(1.58, 18.62)	(-52.77, - 40.60)	(-6.75, 10.18)	(-52.69, - 40.91)	(3.40, 10.27)	(-52.58, - 47.69)
<b>Treatment difference<sup>b</sup></b>										
Estimate	-	-55.70	-	-61.56	-	-56.78	-	-48.51	-	-56.97
SE	-	4.24	-	2.63	-	5.31	-	5.22	-	2.10
95% CI	(-, -)	(-64.12, - 47.28)	(-, -)	(-66.75, - 56.38)	(-, -)	(-67.25, - 46.31)	(-, -)	(-58.82, - 38.20)	(-, -)	(-61.08, - 52.85)
p-value	-	<0.001	-	<0.001	-	<0.001	-	<0.001	-	<0.001

N = number of subjects randomized and dosed in the full analysis set; EvoMab = Evolocumab (AMG 145); QM = monthly (subcutaneous)

a Least squares mean is from the repeated measures model which includes treatment group, stratification factor(s) (from IVRS), scheduled visit and the interaction of treatment with scheduled visit as covariates.

b Treatment difference are within each background therapy group using placebo in the same group as the reference.

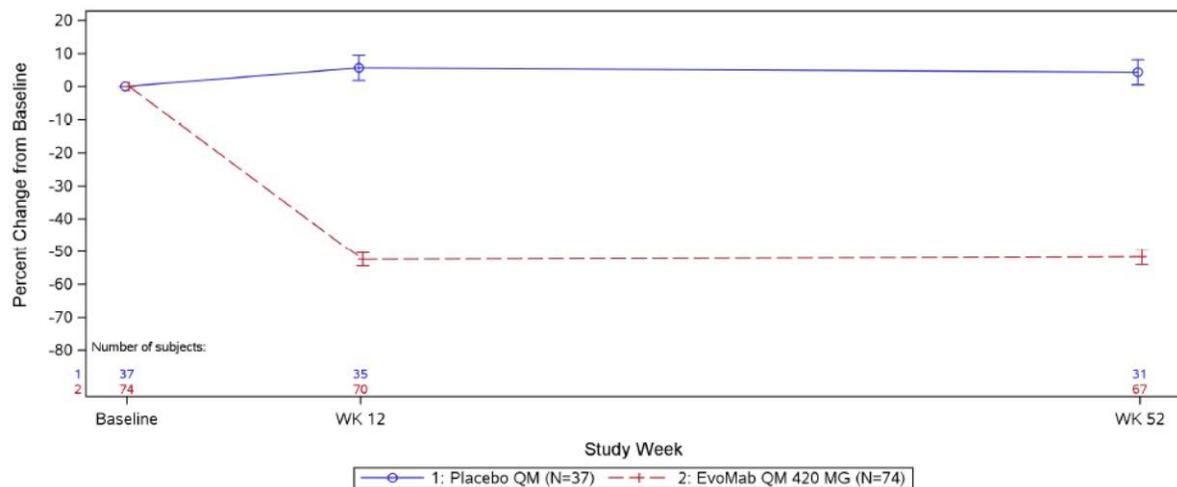
Source: Applicant's Table 14-4.1.2 (CSR-20110109 Module 5.3.5.1)

#### Consistency of Treatment Effect:

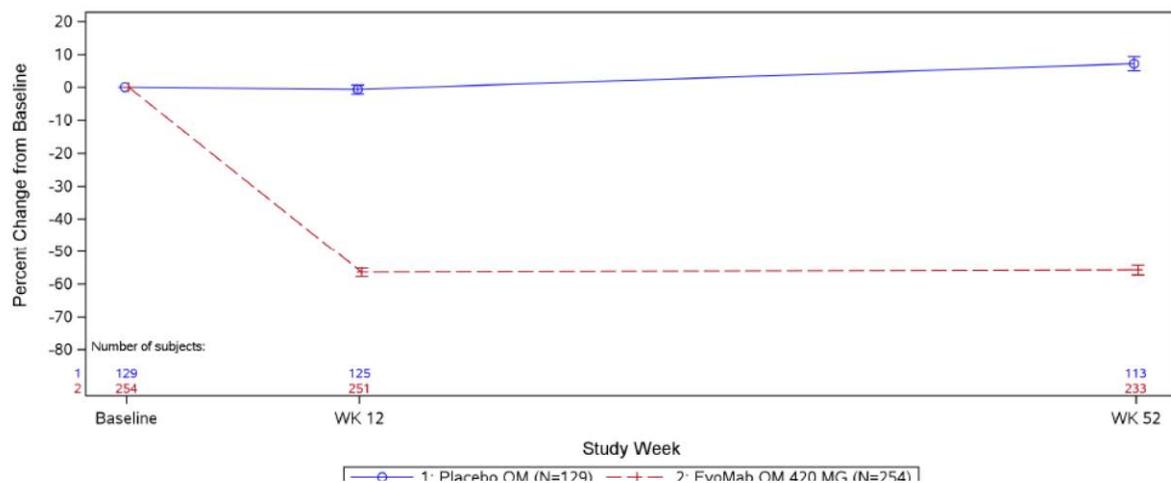
Statistically significant reductions in UC LDL-C from baseline (ie, end of lipid stabilization period) occurred during the first 12 weeks on study for participants treated with evolocumab; these reductions were maintained through Week 52 (EOS) for the 4 treatment groups although the atorvastatin 80 mg plus ezetimibe 10 mg group did exhibit a slight trend toward baseline at Week 52 (see figures below).

Figure 3: Plots of Mean Percent Change From Baseline in Ultracentrifugation LDL-C at Week 12 and Week 52 in Trial 20110109 (Full Analysis Set)

Background Therapy = Diet alone

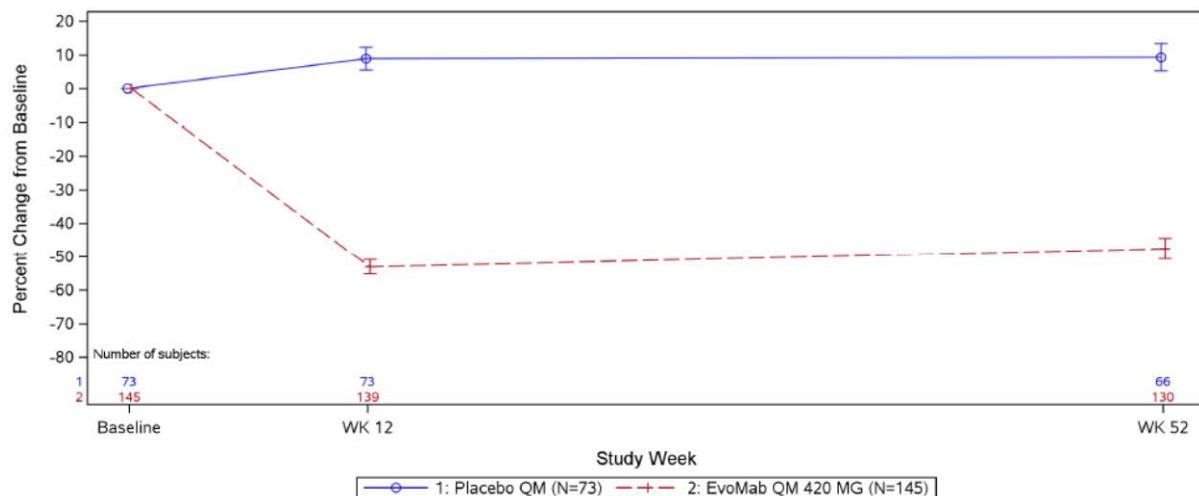


Background Therapy = Diet + Atorvastatin 10mg

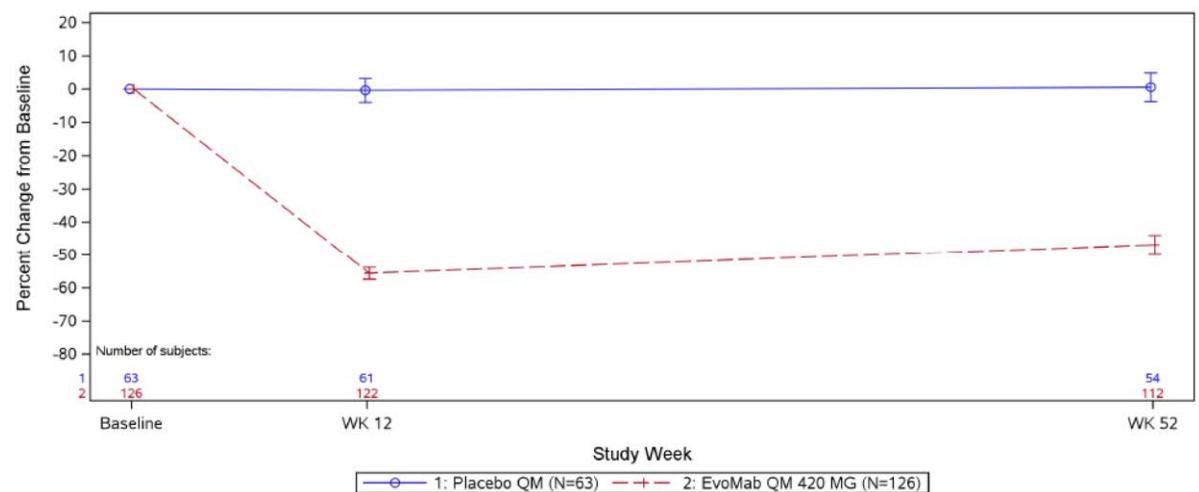


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Background Therapy = Diet + Atorvastatin 80mg



Background Therapy = Diet + Atorvastatin 80mg + Ezetimibe 10mg



EvoMab = Evolocumab (AMG 145); QM = monthly (subcutaneous)

Vertical lines represent the standard error around the mean. Plot is based on observed data and no imputation is used for missing values.

Source: Applicant's Figures 14-4.27.4 (CSR-20110109 Module 5.3.5.1)

The table below shows the durability of treatment effects relative to baseline at Week 12 and Week 52 of evolocumab QM compared with placebo QM in the FAS.

Table 13: Treatment Effects (EvoMab vs Placebo) of Week 12 and Week 52 in Trial 20110109 (Full Analysis Set)

	Placebo QM		EvoMab 420 mg QM		EvoMab - Placebo
	n	LSM <sup>a</sup> (95% CI)	n	LSM <sup>a</sup> (95% CI)	Difference in LSM <sup>a</sup> (95% CI)

FAS	302		599		
% change from baseline					
Week 12	294	3.2 (0.6, 5.7)	582	-54.4 (-56.2, -52.5)	-57.5 (-60.6, -54.5)
Week 52	264	6.8 (3.4, 10.3)	542	-50.1 (-52.6, -47.7)	-57.0 (-61.1, -52.9)
Diff week 12 to week 52	262	3.7 (0.3, 7.0)	538	4.2 (1.9, 6.5)	0.5 (-3.5, 4.6)

N = number of subjects randomized and dosed in the full analysis set; EvoMab = Evolocumab (AMG 145); QM = monthly (subcutaneous)

<sup>a</sup> Least squares mean is from the repeated measures model which includes treatment group, stratification factor(s) (from IVRS), scheduled visit and the interaction of treatment with scheduled visit as covariates. There will be no imputation for missing data.

Source: Applicant's Table 10-5 (CSR-20110109 Module 5.3.5.1)

Analyses of the percent change in LDL-C from baseline to Week 52 for evolocumab compared with placebo using reflexive LDL-C or calculated LDL-C values resulted in treatment differences of -58.0 (2.1%) and -59.3 (2.3%) ( $p < 0.001$ ), respectively, when added to background lipid-lowering therapy (see table below).

Table 14: Treatment Differences in the Percent Change From Baseline at Week 52 Compared With Placebo Using UC LDL-C, Calculated LDL-C, or Reflexive LDL-C in Trial 20110109 (Full Analysis Set)

LDL-C	Least Squares Mean (SE) <sup>a</sup>		Treatment difference (SE) <sup>b</sup>
	Placebo QM (N=302)	EvoMab 420 mg QM (N=599)	EvoMab 420 mg QM vs Placebo QM
UC LDL-C	6.8 (1.8)	-50.1 (1.2)	-57.0 (2.1)
Reflexive <sup>c</sup>	8.1 (1.8)	-49.9 (1.3)	-58.0 (2.1)
Calculated	8.7 (1.9)	-50.6 (1.4)	-59.3 (2.3)

EvoMab = Evolocumab (AMG 145); LDL-C = low-density lipoprotein cholesterol; QM = monthly (subcutaneous); SE = standard error; UC = ultracentrifugation

<sup>a</sup> Least squares mean is from the repeated measures model which includes treatment group, stratification factor(s) (from IVRS), scheduled visit and the interaction of treatment with scheduled visit as covariates.

<sup>b</sup> Treatment difference is within each background therapy group using placebo in the same group as the reference.

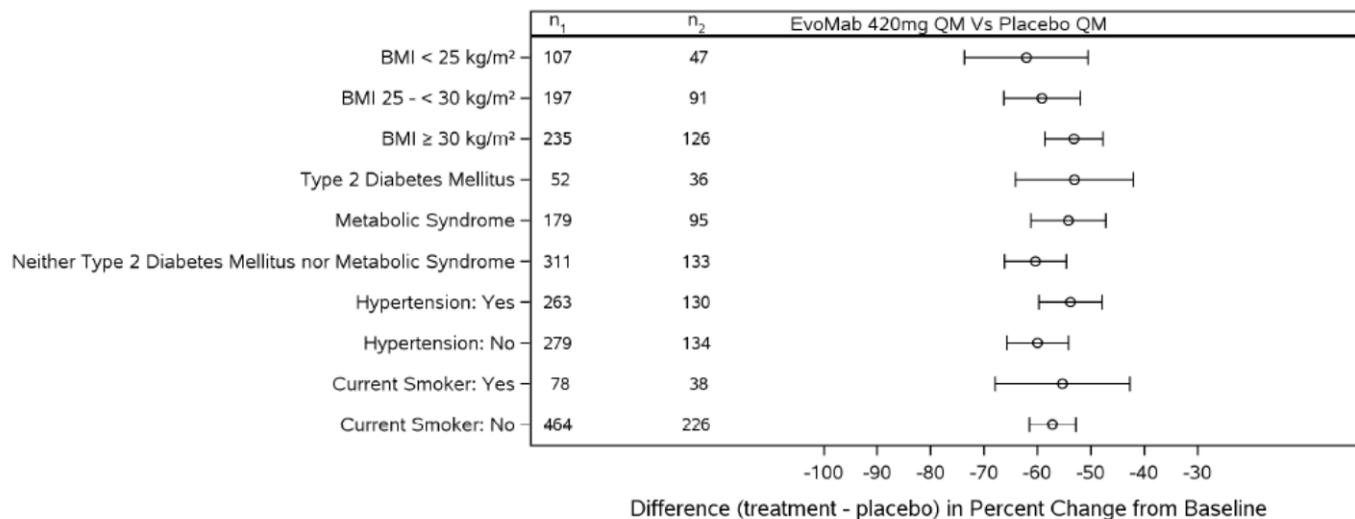
<sup>c</sup> When the calculated LDL-C is <40 mg/dL or triglycerides are > 400 mg/dL, calculated LDL-C will be replaced with UC LDL-C from the same blood sample, if available

Source: Modified from Table 14-4.1.1, Table 14-4.1.3, Table 14-4.3.1.

Subgroup analysis (ie, background therapy, age, sex, race, geographic region, UC LDL-C, body mass index [BMI], glucose tolerance status, hypertension, current smoker, CHD risk factors, family history of premature CHD, PCSK9, triglycerides, and NCEP high risk) of the placebo-adjusted mean percent change in UC LDL-C at Week 52 demonstrated that evolocumab was effective across all subgroups with no significant differences. The

forest plot below shows the results of some of the subgroup analyses; a trend toward greater LDL reduction with lower BMIs is noted.

Figure 4: Forest Plot of Treatment Differences in Percent Change From Baseline in Ultracentrifugation LDL-C at Week 52 - Subgroup Analyses in Trial 20110109 (Full Analysis Set)



n<sub>1</sub> = number of subjects in the subgroup of interest included in the repeated measures model receiving EvoMab; n<sub>2</sub> = number of subjects in the subgroup of interest included in the repeated measures model receiving placebo; EvoMab = Evolocumab (AMG 145); QM = monthly (subcutaneous)

Subgroup is data-derived.

Least squares mean differences and 95% CI are from the repeated measures model. No imputation is used for missing values.

Source: Applicant's Figure 10-2 (CSR-20110109 Module 5.3.5.1)

### Secondary Efficacy Outcomes

Treatment with evolocumab resulted in statistically significant changes (multiplicity adjusted  $p < 0.001$ ) in all tier 1 and tier 2 secondary efficacy endpoints compared with placebo, when added to background lipid-lowering therapy as summarized below.

Table 15: Secondary Efficacy Results in Trial 20110109 (Full Analysis Set)

	Treatment Difference EvoMab 420 mg QM vs Placebo QM
Tier 1 Secondary Endpoints	
<b>Change from baseline in UC LDL-C at week 52</b>	
Treatment difference (95% CI) - mg/dL	-57.8 (-62.3, -53.3)
Treatment difference (95% CI) - mmol/L	-1.496 (-1.612, -1.379)
p-value	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>
<b>Percent of subjects with UC LDL-C &lt; 70 mg/dL (1.8 mmol/L) at week 52</b>	
Treatment difference (95% CI) <sup>a</sup>	75.8 (70.8, 79.7)
p-value <sup>b</sup>	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>
<b>Percent change from baseline in UC LDL-C at week 12</b>	
Treatment difference (95% CI)	-57.51 (-60.57, -54.45)
p-value	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>
<b>Percent change from baseline in total cholesterol at week 12</b>	
Treatment difference (95% CI)	-35.15 (-37.19, -33.11)
p-value	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>
<b>Percent change from baseline in total cholesterol at week 52</b>	
Treatment difference (95% CI)	-33.45 (-36.21, -30.68)
p-value	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>
<b>Percent change from baseline in non-HDL-C at week 52</b>	
Treatment difference (95% CI)	-50.27 (-54.25, -46.28)
p-value	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>
<b>Percent change from baseline in ApoB at week 52</b>	
Treatment difference (95% CI)	-44.21 (-47.56, -40.85)
p-value	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>

	Treatment Difference
	EvoMab 420 mg QM vs Placebo QM
<b>Percent change from baseline in the total cholesterol/HDL-C ratio at week 52</b>	
Treatment difference (95% CI)	-37.14 (-40.41, -33.87)
p-value	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>
<b>Percent change from baseline in ApoB/ApoA1 ratio at week 52</b>	
Treatment difference (95% CI)	-46.21 (-49.79, -42.63)
p-value	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>
<b>Tier 2 Secondary Endpoints</b>	
<b>Percent change from baseline in Lp(a) at week 52</b>	
Treatment difference (95% CI)	-22.35 (-26.15, -18.55)
p-value	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>
<b>Percent change from baseline in triglycerides at week 52</b>	
Treatment difference (95% CI)	-11.54 (-17.21, -5.86)
p-value	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>
<b>Percent change from baseline in VLDL-C at week 52</b>	
Treatment difference (95% CI)	-29.15 (-40.23, -18.08)
p-value	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>
<b>Percent change from baseline in HDL-C at week 52</b>	
Treatment difference (95% CI)	5.42 (3.28, 7.56)
p-value	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>

ApoA1 = apolipoprotein A-1; ApoB = apolipoprotein B; CI = confidence interval; EvoMab = Evolocumab (AMG 145); HDL-C = high-density lipoprotein cholesterol; LDL-C = low-density lipoprotein cholesterol; Lp(a) = lipoprotein(a); N = number of subjects randomized and dosed in the full analysis set; QM = once monthly (subcutaneous); UC = ultracentrifugation; VDL-C = very low-density lipoprotein cholesterol  
 Adjusted p-values are based on a combination of sequential testing, the Hochberg procedure, the fallback procedure to control the overall significance level for all primary and secondary endpoints. Each individual adjusted p-value is compared to 0.05 to determine statistical significance

<sup>a</sup> 95% confidence interval is calculated using the Wilson Score method.

<sup>b</sup> Based on Cochran-Mantel Haenszel test stratified by the stratification factor. For testing, non-achievement was imputed for subjects with a missing value at week 52

Source: Applicant's Table 10-3 (CSR-20110109 Module 5.3.5.1)

### Safety Data:

The following table presents an overall summary of the adverse events reported in the four different background therapy groups of evolocumab versus placebo.

Table 16: Summary of Subject Incidence of Adverse Events by Background Therapy in Trial 20110109 (Full Analysis Set) (n [%])

	Diet Only		Atorvastatin 10mg		Atorvastatin 80mg		Atorvastatin 80mg + Ezetimibe 10mg		TOTAL	
	Placebo QM (N =37) n (%)	EvoMab QM (N = 74) n (%)	Placebo QM (129) n (%)	EvoMab QM (N =254) n (%)	Placebo QM (N = 73) n (%)	EvoMab QM (N=145) n (%)	Placebo QM (N=63) n (%)	EvoMab QM (N=126) n (%)	Placebo QM (N = 302) n (%)	EvoMab QM (N=599) n (%)
<b>Adverse Events*</b>	30 (81.1)	52 (70.3)	101 (78.3)	201 (79.1)	54 (74.0)	111 (76.6)	39 (61.9)	84 (66.7)	224 (74.2)	448 (74.8)
Grade $\geq$ 2	21 (56.8)	26 (35.1)	59 (45.7)	116 (45.7)	38 (52.1)	72 (49.7)	23 (36.5)	55 (43.7)	141 (46.7)	269 (44.9)
Grade $\geq$ 3	3 (8.1)	1 (1.4)	6 (4.7)	17 (6.7)	3 (4.1)	16 (11.0)	3 (4.8)	13 (10.3)	15 (5.0)	47 (7.8)
Grade $\geq$ 4	0	0	0	2 (0.8)	0	3 (2.1)	0	1 (0.8)	0	6 (1.0)
<b>SAEs</b>	3 (8.1)	1 (1.4)	1 (0.8)	13 (5.1)	3 (4.1)	11 (7.6)	6 (9.5)	8 (6.3)	13 (4.3)	33 (5.5)
<b>AEs that led to D/C</b>	0	1 (1.4)	1 (0.8)	8 (3.1)	1 (1.4)	3 (2.1)	1 (1.6)	1 (0.8)	3 (1.0)	13 (2.2)
Serious	0	0	0	2 (0.8)	0	1 (0.7)	0	1 (0.8)	0	4 (0.7)
Non-serious	0	1 (1.4)	1 (0.8)	6 (2.4)	1 (1.4)	2 (1.4)	1 (1.6)	0	3 (1.0)	9 (1.5)
<b>Fatal AEs<sup>a</sup></b>	0	0	0	1 (0.4)	0	1 (0.7)	0	0	0	2 (0.3)

N = number of subjects randomized and dosed in the full analysis set; EvoMab = Evolocumab (AMG 145); QM = monthly (subcutaneous); Coded using MedDRA version 16.1

\*These are treatment emergent adverse events which are adverse events occurring between the first dose of Investigational Product and End of Study.

Source: modified from Table 14-6.1.1 (CSR-20110109 Module 5.3.5.1) and confirmed with JMP analysis

<sup>a</sup>One additional death was reported after the subject's end of study visit.

The following table presents a summary of the adverse events and select laboratory results observed in the overall groups of evolocumab versus placebo. Common adverse events are presented for preferred terms reported for at least 1% of evolocumab participants where the incidence in the evolocumab group is greater than the placebo group. A review of MedDRA High Level Terms (HLT) that are not captured by a preferred term (PT) in this table include the HLTs of Skin neoplasms benign, Sensory abnormalities NEC, Non-site specific procedural complications and Nasal disorder NEC, all of which occurred in 7 participants (1.2%) in the evolocumab group vs 1 participant (0.3%) in the placebo group.

Table 17: Summary of Adverse Events and Notable Laboratory Results during Trial 20110109 (AE preferred terms reported for ≥ 1% of evolocumab group where incidence in evolocumab > placebo)

Event	Placebo (N = 302) n (%)	Evolocumab (N = 599) n (%)
Patients with adverse event		
Any	224 (74.2)	448 (74.8)
Serious	13 (4.3)	33 (5.5)
Leading to discontinuation of a study drug	3 (1.0)	13 (2.2)
Adjudicated atherosclerotic event	2 (0.7)	6 (1.0)
Death*	0	2 (0.3)
Common adverse events		
Nasopharyngitis	29 (9.6)	63 (10.5)
Upper respiratory tract infection	19 (6.3)	56 (9.3)
Influenza	19 (6.3)	45 (7.5)
Back pain	17 (5.6)	37 (6.2)
Urinary tract infection	11 (3.6)	27 (4.5)
Cough	11 (3.6)	27 (4.5)
Sinusitis	9 (3.0)	25 (4.2)
Headache	11 (3.6)	24 (4.0)
Myalgia	9 (3.0)	24 (4.0)
Dizziness	8 (2.6)	22 (3.7)
Musculoskeletal pain	9 (3.0)	20 (3.3)
Hypertension	7 (2.3)	19 (3.2)
Diarrhea	8 (2.6)	18 (3.0)
Gastroenteritis	6 (2.0)	18 (3.0)
Injection-site erythema	6 (2.0)	16 (2.7)
Oropharyngeal pain	4 (1.3)	15 (2.5)
Upper abdominal pain	2 (0.7)	13 (2.2)
Osteoarthritis	5 (1.7)	12 (2.0)
Vomiting	5 (1.7)	11 (1.8)
Viral upper respiratory tract infection	3 (1.0)	11 (1.8)
Dyspepsia	2 (0.7)	11 (1.8)
Rash	1 (0.3)	11 (1.8)
Cystitis	4 (1.3)	10 (1.7)
Tendonitis	3 (1.0)	10 (1.7)
Anxiety	2 (0.7)	10 (1.7)
Seasonal allergy	4 (1.3)	9 (1.5)
Neck pain	3 (1.0)	9 (1.5)
Insomnia	3 (1.0)	9 (1.5)
Pharyngitis	2 (0.7)	9 (1.5)
Arthropod bite	1 (0.3)	9 (1.5)
Noncardiac chest pain	0	8 (1.3)
Musculoskeletal chest pain	3 (1.0)	7 (1.2)
Abdominal pain	2 (0.7)	7 (1.2)
Palpitations	1 (0.3)	7 (1.2)
Anemia	0	7 (1.2)
Nephrolithiasis	0	7 (1.2)
Angina pectoris	2 (0.7)	6 (1.0)

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Ventricular extrasystoles	2 (0.7)	6 (1.0)
Constipation	2 (0.7)	6 (1.0)
Injection-site swelling	2 (0.7)	6 (1.0)
Pyrexia	1 (0.3)	6 (1.0)
Procedural pain	1 (0.3)	6 (1.0)
ALT increased	0	6 (1.0)
Elevated ALT or AST at any postbaseline visit		
>3x ULN	3 (1.0)	5 (0.8)
>5x ULN	1 (0.3)	3 (0.5)
ALT or AST > 3 x ULN and Total bilirubin > 2 x ULN or INR>1.5	0	0
Elevated creatine kinase at any postbaseline visit		
>5x ULN	1 (0.3)	7 (1.2)
>10x ULN	1 (0.3)	3 (0.5)
Injection-site reaction <sup>†</sup>	15 (5.0)	34 (5.7)
<p>N = number of subjects randomized and dosed in the full analysis set          Coded using MedDRA version 16.1          Adverse events occurred between the first dose of Investigational Product and End of Study.          * The two deaths were from cardiac failure and myocardial infarction and are included as adjudicated atherosclerotic events. A third fatal event of sudden cardiac death occurred 21 days after the EOS (49 days after the last dose of evolocumab).          † Potential events were identified by means of a broad-search strategy, in which event categories were defined with the use of preferred terms from the Medical Dictionary for Regulatory Activities (MedDRA) and either standard MedDRA queries or internal groupings. Injection site reactions included such terms as injection site erythema, pain, bruising, swelling, induration, pruritus, urticaria, and edema.</p>		

**Deaths**

Two fatal adverse events (cardiac failure, myocardial infarction) were reported during the trial, and 1 additional fatal adverse event (sudden cardiac death) was reported for a participant after the EOS visit. All three deaths occurred in the evolocumab 420 mg QM treatment group.

Table 18: Summary of Deaths in Trial 20110109

Subject ID	Age (yrs) at Start/ Race/ Sex	Parent Study Day	Period Study Day	Days Since First Dose	Days Since Last Dose	Cause of Death (Preferred Term)	Relevant Other Medications
							Relevant Other Conditions/History
10923201053	60 /W/ male	131	131	131	47	Cardiac failure	atorvastatin, dexamethasone, verapamil, zolpidem, oxycodone, betamethasone, levocetirizine, aminophylline, betahistine, combination of fenoterol and ipratropium, paracetamol and tramadol <hr/> dyslipidemia, hypertension, current cigarette use, asthma, chronic bronchitis, peripheral edema, vertigo, varicose ulceration in lower extremities. Nine days

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Subject ID	Age (yrs) at Start/ Race/ Sex	Parent Study Day	Period Study Day	Days Since First Dose	Days Since Last Dose	Cause of Death (Preferred Term)	Relevant Other Medications
							Relevant Other Conditions/History
							after the first dose of evolocumab 420 mg QM, the subject developed sideropenic anemia. An ultrasound revealed diffuse hepatopathy. Approximately 4 months and 1 week after the first dose of evolocumab, the subject died and was reported with an adverse event of fatal heart failure. The cause of death according to the autopsy was heart failure caused by chronic heart insufficiency. Underlying diseases included grade 3 central atherosclerosis, advanced coronary atherosclerosis with multiple stenosis (> 75%) and exacerbation of chronic atrophic bronchitis (acute purulent bronchitis). Complications reported included disperse myofibrosis corresponding to clinical diagnosis of chronic ischemic heart disease, vascular nephrosclerosis, chronic heart insufficiency (cyanotic induration of organs) and evidence of acute circulatory failure (pulmonary edema, brain edema and acute venostasis in organs). Reviewer note: class II-IV CHF was an exclusion criterion, and this patient does not appear to have been on any medications for CHF at baseline. Question if whether this patient's asthma/COPD may have been partly cardiogenic in nature. Not aware of any mechanism where EvoMab could precipitate acute/chronic cardiac decompensation.
10923201092	67 /W/ male	13	13	13	13	Myocardial infarction	atorvastatin, tamsulosin hydrochloride hypercholesterolemia, obesity (weight of 101.5 kg and height of 176 cm), family history of premature coronary heart disease. Autopsy: cause of death as myocardial infarction complicated with cardiac tamponade. Direct cause of death as heart tamponade, with complications of generalized atherosclerosis of Grade 1-3, predominantly on coronary arteries, and acute myocardial infarction of left ventricular posterior wall, and thrombosis of the right coronary artery.
10957204007	51 /mixed race/ female	389	-	389	50	Sudden cardiac death	atorvastatin, ezetimibe, amlodipine, furosemide, acetylsalicylic acid, isosorbide mononitrate, fluoxetine, salbutamol, budesonide, theophylline, paracetamol, metformin, amitriptyline hyperlipidemia, peripheral vascular disorder, asthma, type 2 diabetes mellitus, depression, myocardial ischemia, angina pectoris, coronary angioplasty.

Subject ID	Age (yrs) at Start/ Race/ Sex	Parent Study Day	Period Study Day	Days Since First Dose	Days Since Last Dose	Cause of Death (Preferred Term)	Relevant Other Medications
							Relevant Other Conditions/History
							At the end of the study, the subject reported that she was still having angina pectoris, relatively frequently with effort, and using sublingual nitrates regularly. Approximately 3 weeks after the last dose of the evolocumab, the subject suddenly collapsed and was found unarousable. The subject was taken to the hospital and was pronounced dead on arrival. No resuscitation was attempted; nor interventions were carried out neither treatment medications were administered. No relevant laboratory or diagnostic test results were reported. The investigator reported that the subject had been having increasing frequency of angina pectoris with effort, no rest pain, and that the family of the subject informed them of the subject's death. The family reported she had been having angina pectoris in her usual patterns in the days prior to death. The investigator reported the features of the event were strongly suggestive of sudden cardiac death due to suspected myocardial infarction.

### *Serious Adverse Events*

A total of 33 (5.5%) participants in the evolocumab group and 13 (4.3%) participants in the placebo group reported SAEs. No SAE was reported by more than 2 (0.3%) participants. Serious adverse events that were reported by 2 participants each (0.3%) in the evolocumab group included angina pectoris, palpitation, ventricular extrasystoles, vertigo positional, back pain, and pulmonary embolism. Angina pectoris was reported by 2 participants (0.7%) in the placebo group; no other SAE was reported by more than 1 participant (0.3%) in the placebo group.

The number of overall SAEs is small, which limits the ability to detect differences or trends in AEs. While the percentage of cardiac disorders is balanced between the groups, only the evolocumab group reported cardiac failure, myocardial infarction and unstable angina events. The evolocumab group reported 3 infection-related SAEs compared to none in the placebo group. The infections were dispersed over different organ systems (appendicitis, pneumonia, skin). Similarly, the evolocumab group reported 4 neoplasm-related SAEs compared to none in the placebo group. The neoplasms involved different organ systems but 3 of the 4 were female-specific in nature (breast, ovary, uterine and renal). Investigations related to laboratory

abnormalities were balanced between the 2 groups. Musculoskeletal SAEs were slightly increased in the evolocumab group, primarily due to back pain and disc disease and not to myopathy-related events.

Table 19: Serious Adverse Events by System Organ Class and Preferred Term Where SAE Occurs in ≥ 2 (0.3%) Subjects in Total EvoMab Group, by Background Therapy and Investigational Product in Trial 20110109 (Full Analysis Set - Actual Treatment)

System Organ Class Preferred Term	Diet Only		Atorvastatin 10mg		Atorvastatin 80mg		Atorvastatin 80mg + Ezetimibe 10mg		TOTAL	
	Placebo QM (N =37) n (%)	EvoMab QM (N = 74) n (%)	Placebo QM (129) n (%)	EvoMab QM (N =254) n (%)	Placebo QM (N = 73) n (%)	EvoMab QM (N=145) n (%)	Placebo QM (N=63) n (%)	EvoMab QM (N=126) n (%)	Placebo QM (N = 302) n (%)	EvoMab QM (N=599) n (%)
<b>Serious Adverse Events*</b>	<b>3 (8.1)</b>	<b>1 (1.4)</b>	<b>1 (0.8)</b>	<b>13 (5.1)</b>	<b>3 (4.1)</b>	<b>11 (7.6)</b>	<b>6 (9.5)</b>	<b>8 (6.3)</b>	<b>13 (4.3)</b>	<b>33 (5.5)</b>
<b>Cardiac Disorders</b>	0	0	0	3 (1.2)	0	1 (0.7)	3 (4.8)	2 (1.6)	3 (1.0)	6 (1.0)
Angina Pectoris	0	0	0	1 (0.4)	0	0	2 (3.2)	1 (0.8)	2 (0.7)	2 (0.3)
Palpitations	0	0	0	2 (0.8)	0	0	0	0	0	2 (0.3)
Ventricular Extrasystoles	0	0	0	1 (0.4)	0	0	0	1 (0.8)	0	2 (0.3)
<b>Ear &amp; Labyrinth</b>	0	0	0	2 (0.8)	0	1 (0.7)	0	0	0	3 (0.5)
Vertigo Positional	0	0	0	1 (0.4)	0	1 (0.7)	0	0	0	2 (0.3)
<b>Gastrointestinal</b>	0	0	0	0	0	1 (0.7)	0	1 (0.8)	0	2 (0.3)
<b>General Disorders</b>	0	0	0	1 (0.4)	1 (1.4)	0	0	1 (0.8)	1 (0.3)	2 (0.3)
<b>Hepatobiliary</b>	0	1 (1.4)	0	0	0	1 (0.7)	0	0	0	2 (0.3)
<b>Infections</b>	0	0	0	3 (1.2)	0	0	0	0	0	3 (0.5)
<b>Investigations</b>	1 (2.7)	0	0	1 (0.4)	0	1 (0.7)	0	0	1 (0.3)	2 (0.3)
<b>Musculoskeletal</b>	0	0	0	2 (0.8)	1 (1.4)	1 (0.7)	0	1 (0.8)	1 (0.3)	4 (0.7)
Back Pain	0	0	0	0	0	1 (0.7)	0	1 (0.8)	0	2 (0.3)
<b>Neoplasms, benign and malignant</b>	0	0	0	1 (0.4)	0	0	0	2 (1.6)	0	4 (0.7)
<b>Nervous System</b>	0	0	0	0	0	1 (0.7)	2 (3.2)	1 (0.8)	2 (0.7)	2 (0.3)
<b>Respiratory</b>	0	0	1 (0.8)	2 (0.8)	1 (1.4)	2 (1.4)	0	0	2 (0.7)	4 (0.7)
Pulmonary Embolism	0	0	0	0	1 (1.4)	2 (1.4)	0	0	1 (0.3)	2 (0.3)

N = number of subjects randomized and dosed in the full analysis set; EvoMab = Evolocumab (AMG 145); QM = monthly (subcutaneous); Coded using MedDRA version 16.1  
 \*These are treatment emergent adverse events which are adverse events occurring between the first dose of Investigational Product and End of Study.  
 Source: modified from Table 14-6.3.1 (CSR-20110109 Module 5.3.5.1) and confirmed with JMP analysis

*Adverse Events that Led to Discontinuation of Study Drug*

Adverse events leading to discontinuation of IP were reported in 2.2% (n=13) of EvoMab and 1.0% (n=3) of placebo groups (see following table). Small but notable increases in discontinuations include

- Discontinuations from cardiac disorders (cardiac failure, myocardial infarction, supraventricular extrasystoles) was greater in the EvoMab group [3 (0.5%) vs 0].
- Discontinuations from general disorders (chills; injection site erythema/pruritus/swelling/urticaria) was greater in the EvoMab group [2 (0.3%) vs 0].
- Discontinuations from investigations (CPK or hepatic enzyme increased) were greater in the EvoMab group [2 (0.3%) vs 0].
- Myalgia leading to drug discontinuation was reported by 2 participants (0.3%) in the evolocumab group and none in the placebo group.

Table 20: AEs Leading to Discontinuation of Investigational Product by SOC and Preferred Term Where AE Occurs in > 0 Subjects in Total EvoMab Group, by Background Therapy and Investigational Product in Trial 20110109 (Full Analysis Set - Actual Treatment)

System Organ Class Preferred Term	Diet Only		Atorvastatin 10mg		Atorvastatin 80mg		Atorvastatin 80mg + Ezetimibe 10mg		TOTAL	
	Placebo QM (N =37) n (%)	EvoMab QM (N = 74) n (%)	Placebo QM (129) n (%)	EvoMab QM (N =254) n (%)	Placebo QM (N = 73) n (%)	EvoMab QM (N=145) n (%)	Placebo QM (N=63) n (%)	EvoMab QM (N=126) n (%)	Placebo QM (N = 302) n (%)	EvoMab QM (N=599) n (%)
<b>Subjects reporting AEs that led to discontinuation*</b>	<b>0</b>	<b>1 (1.4)</b>	<b>1 (0.8)</b>	<b>8 (3.1)</b>	<b>1 (1.4)</b>	<b>3 (2.1)</b>	<b>1 (1.6)</b>	<b>1 (0.8)</b>	<b>3 (1.0)</b>	<b>13 (2.2)</b>
<b>Cardiac Disorders</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.4)</b>	<b>0</b>	<b>2 (1.4)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3 (0.5)</b>
Cardiac Failure	0	0	0	1 (0.4)	0	0	0	0	0	1 (0.2)
Myocardial Infraction	0	0	0	0	0	1 (0.7)	0	0	0	1 (0.2)
Supraventricular Extrasystoles	0	0	0	0	0	1 (0.7)	0	0	0	1 (0.2)
<b>Gastrointestinal</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.4)</b>	<b>1 (1.4)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.3)</b>	<b>1 (0.2)</b>
Nausea	0	0	0	1 (0.4)	1 (1.4)	0	0	0	1 (0.3)	1 (0.2)
<b>General Disorders</b>	<b>0</b>	<b>1 (1.4)</b>	<b>0</b>	<b>1 (0.4)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2 (0.3)</b>
Chills	0	0	0	1 (0.4)	0	0	0	0	0	1 (0.2)
Inj.site erythema	0	1 (1.4)	0	0	0	0	0	0	0	1 (0.2)
Inj.site pruritus	0	1 (1.4)	0	0	0	0	0	0	0	1 (0.2)
Inj.site swelling	0	1 (1.4)	0	0	0	0	0	0	0	1 (0.2)
Inj.site urticaria	0	1 (1.4)	0	0	0	0	0	0	0	1 (0.2)
<b>Investigations</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.4)</b>	<b>0</b>	<b>1 (0.7)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2 (0.3)</b>
CK increased	0	0	0	1 (0.4)	0	0	0	0	0	1 (0.2)
Hepatic enzyme inc.	0	0	0	0	0	1 (0.7)	0	0	0	1 (0.2)
<b>Musculoskeletal</b>	<b>0</b>	<b>0</b>	<b>1 (0.8)</b>	<b>2 (0.8)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.3)</b>	<b>2 (0.3)</b>
Myalgia	0	0	0	2 (0.8)	0	0	0	0	0	2 (0.3)
Muscle spasms	0	0	0	1 (0.4)	0	0	0	0	0	1 (0.2)
<b>Neoplasms, benign and malignant</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.4)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.8)</b>	<b>0</b>	<b>2 (0.3)</b>
Clear Cell Renal ca.	0	0	0	1 (0.4)	0	0	0	0	0	1 (0.2)
Ovarian Ca. Mets.	0	0	0	0	0	0	0	1 (0.8)	0	1 (0.2)
<b>Nervous System</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2 (0.8)</b>	<b>1 (1.4)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.3)</b>	<b>2 (0.3)</b>
Migraine	0	0	0	1 (0.4)	0	0	0	0	0	1 (0.2)
TIA	0	0	0	1 (0.4)	0	0	0	0	0	1 (0.2)
<b>Psychiatric Dis.</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.4)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.2)</b>
Nervousness	0	0	0	1 (0.4)	0	0	0	0	0	1 (0.2)
<b>Respiratory Dis.</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.4)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.2)</b>
Dyspnoea	0	0	0	1 (0.4)	0	0	0	0	0	1 (0.2)
<b>Skin Disorders</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.4)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1 (0.2)</b>
Skin odour abnormal	0	0	0	1 (0.4)	0	0	0	0	0	1 (0.2)

N = number of subjects randomized and dosed in the full analysis set; EvoMab = Evolocumab (AMG 145); QM = monthly (subcutaneous). CK=creatinine phosphokinase. TIA=transient ischemic attack. Coded using MedDRA version 16.1

\*These are treatment emergent adverse events which are adverse events occurring between the first dose of Investigational Product and End of Study.

Source: modified from Table 14-6.6.1 (CSR-20110109 Module 5.3.5.1) and confirmed with JMP analysis

Four adverse events that led to discontinuation of IP in the evolocumab group were reported as serious and included ovarian cancer metastasis (Subject 10931203003), creatine phosphokinase increased to 14.5 x ULN (Subject 10931202010), cardiac failure (Subject 10923201053; a fatal and positively adjudicated event previously discussed), and myocardial infarction (Subject 10923201092; a fatal and positively adjudicated event previously discussed in the section on fatal events/deaths).

I reviewed the 16 narratives from participants that experienced an adverse event that led to IP discontinuation (13 EvoMab, 3 placebo). The 3 cases of myalgia or muscle spasm that occurred on EvoMab are difficult to evaluate individually due to the participants' concomitant use of atorvastatin which may also cause musculoskeletal adverse reactions. There was one case of increased CPK (Subject 10931202010) that was confounded by the use of atorvastatin 10 mg and the onset of severe hypothyroidism.

Narratives of adverse events that the investigator considered related to evolocumab include:

- Subject 10916300019, a 56 year old male, received the first dose of 420mg QM EvoMab on 24 April 2012 and third (last) dose on 19 June 2012. On 19 June 2012, he developed injection site erythema, injection site pruritus, injection site swelling and injection site urticarial leading to withdrawal of EvoMab. Subject received cetirizine hydrochloride as treatment. The events resolved on the same day. The subject's medical history included thalassemia trait and insulin resistance. There was no relevant concomitant medication. This subject did not develop anti-evolocumab binding antibody.
- Subject 10925203034, a 61 year old female, received the first dose of 420mg EvoMab on 25 May 2012 (she had normal levels of ALT, AST, total bilirubin and alkaline phosphatase) and the last dose on 22 June 2012. The subject's medical history included myxoedema, thyroid surgery, appendicitis, tinnitus, and depression. Relevant concomitant medications included atorvastatin, levothyroxine and venlafaxine hydrochloride. On 25 June 2012 (31 days after the first dose of evolocumab and 3 days after the most recent dose on 22 June 2012), the subject experienced nonserious fatigue. The fatigue was assessed as possibly related to atorvastatin by the investigator, and evolocumab and atorvastatin were put on hold. On 13 July 2012 (49 days after the first dose of evolocumab and 21 days after the most recent dose on 22 June 2012), the subject had asymptomatic hepatic enzyme increased (results from this date not provided) and evolocumab and atorvastatin were permanently discontinued. The subject was evaluated by her personal physician for persistent fatigue, and laboratory testing performed on 17 July 2012 showed ALT 269 U/L (6x ULN; RR 10-45 U/L), TBL normal at 8 µmol/L (RR 5-25 µmol/L), and ALP 177 U/L (<2x ULN; RR 25-105 U/L); AST was not measured. On 20 July 2012, repeat laboratory testing done by the subject's personal physician showed ALT 198 U/L (4.4x ULN), TBL normal at 11 µmol/L, ALP 151 U/L (<2x ULN), and gamma glutamyltransferase 182 U/L (2.4x ULN; RR 10-75 U/L). Hepatitis A and B testing was negative. No imaging testing was performed. On 03 August 2012 at the week 12 visit, ALT, AST, TBL, and ALP were 64 U/L (2x ULN), 44 U/L (<2x ULN), 0.5 mg/dL (normal), and 95 U/L (normal), respectively, and on 10 August 2012 ALT, AST, TBL, and ALP were 41 U/L, 38 U/L, 0.5 mg/dL, and 84 U/L, respectively. There was no treatment for the event, which was reported as resolved on 13 August 2012. On

17 August 2012, ALT, AST, TBL, and ALP were 47 U/L, 44 U/L, 0.6 mg/dL, and 76 U/L, respectively. On 25 September 2012, the fatigue resolved. The investigator reported the hepatic enzyme increased as related to evolocumab and unrelated to atorvastatin.

- Subject 10966403011, a 46 year old female, received the first dose of 420mg EvoMab on 19 April 2012 and fourth (last) dose on 09 August 2012. On 11 August 2012 (2 days after last dose of EvoMab), the subject experienced chills and nausea leading to withdrawal of EvoMab. The events resolved on 25 August 2012. The subject's medical history included migraine and seasonal allergy. Relevant concomitant medication included atorvastatin.

### *Adverse Events*

Adverse events occurring between the first dose of Investigational Product and End of Study were reported in 74.8% of participants in the evolocumab group and 74.2% in the placebo group. The majority of adverse events were mild (CTCAE grade 1) to moderate (grade 2) in severity.<sup>32</sup> Although the number of AEs is small, there were more AEs of grades  $\geq 3$  in the evolocumab group, compared with placebo, in all background therapy groups except for diet only. Adverse events reported in  $\geq 5\%$  of participants in either group were nasopharyngitis (10.5% evolocumab, 9.6% placebo), upper respiratory tract infection (9.3% evolocumab, 6.3% placebo), influenza (7.5% evolocumab, 6.3% placebo), and back pain (6.2% evolocumab, 5.6% placebo). There were no events where the incidence was  $\geq 5\%$  and the incidence was greater in the placebo group.

Adverse events where there is a notable increase in the EvoMab group include the following system organ class terms (see table below for details):

- Blood and lymphatic system disorders (1.8% evolocumab, 0.3% placebo): primarily due to the preferred term anemia (1.2% evolocumab, 0% placebo)
- Cardiac disorders (4.8% evolocumab, 2.0% placebo): top 4 preferred terms for both groups are palpitations, angina pectoris, ventricular extrasystoles and atrial fibrillation
- Gastrointestinal disorders (4.8% evolocumab, 2.0% placebo):  $\geq 1\%$  increase in the preferred terms abdominal pain upper (2.2% evolocumab, 0.7% placebo) and dyspepsia (1.8% evolocumab, 0.7% placebo)
- Infections and infestations (45.9% evolocumab, 43.7% placebo):  $\geq 1\%$  increase in the preferred terms upper respiratory tract (9.3% evolocumab, 6.3% placebo),

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32 NCI Common Terminology Criteria for Adverse Events (CTCAE) was used as the grading scale for adverse events. This grading scale was designed to describe the severity of organ toxicity for patients receiving cancer therapy and has some limitations when used for non-oncology trials. As per protocol, when an AE could not be graded by CTCAE v4.0, the following severity grade could be used:

1 MILD: Aware of sign or symptom, but easily tolerated

2 MODERATE: Discomfort enough to cause interference with usual activity

3 SEVERE: Incapacitating with inability to work or do usual activity

4 LIFE-THREATENING: Refers to an event in which the patient was, in the view of the investigator, at risk of death at the time of the event. (This category is not to be used for an event that hypothetically might have caused death if it were more severe.)

5 FATAL

- influenza (7.5% evolocumab, 6.3% placebo) and sinusitis (4.2% evolocumab, 3.0% placebo)
- Investigations (5.0% evolocumab, 1.3% placebo): preferred terms of CK, ALT or AST increased account for the majority of cases for the EvoMab group
  - Musculoskeletal and connective tissue disorders (26.5% evolocumab, 25.5% placebo):  $\geq 1\%$  increase in myalgia (4.0% evolocumab, 3.0% placebo).
  - Nervous system disorders (12.0% evolocumab, 11.9% placebo):  $\geq 1\%$  increase in the preferred term dizziness (3.7% evolocumab, 2.6% placebo)
  - Psychiatric disorders (5.0% evolocumab, 3.6% placebo):  $\geq 1\%$  increase in the preferred term anxiety (1.7% evolocumab, 0.7% placebo)
  - Renal and urinary disorders (3.3% evolocumab, 1.7% placebo): top 2 preferred terms in the EvoMab group are nephrolithiasis and hematuria
  - Respiratory, thoracic and mediastinal disorders (10.9% evolocumab, 11.6% placebo):  $\geq 1\%$  increase in the preferred term oropharyngeal pain (2.5% evolocumab, 1.3% placebo)
  - Skin and subcutaneous tissue disorders (8.5% evolocumab, 8.9% placebo):  $\geq 1\%$  increase in the preferred term of rash (1.8% evolocumab, 0.3% placebo)

Of note, injection site reaction terms (such as erythema, pain, bruising, swelling) are balanced between the two groups (8.7% evolocumab, 8.3% placebo).

Table 21: AEs by SOC and PT by Background Therapy and Investigational Product: Selected from SOC and PT where Total EvoMab > Total Placebo and Occurs in ≥ 2 Subjects in Total EvoMab Group in Trial 20110109 (Full Analysis Set - Actual Treatment)

System Organ Class Preferred Term	Diet Only		Atorvastatin 10mg		Atorvastatin 80mg		Atorvastatin 80mg + Ezetimibe 10mg		TOTAL	
	Placebo QM (N =37) n (%)	EvoMab QM (N = 74) n (%)	Placebo QM (129) n (%)	EvoMab QM (N =254) n (%)	Placebo QM (N = 73) n (%)	EvoMab QM (N=145) n (%)	Placebo QM (N=63) n (%)	EvoMab QM (N=126) n (%)	Placebo QM (N = 302) n (%)	EvoMab QM (N=599) n (%)
<b>Subjects reporting AEs*</b>	<b>30 (81.1)</b>	<b>52 (70.3)</b>	<b>101 (78.3)</b>	<b>201 (79.1)</b>	<b>54 (74.0)</b>	<b>111 (76.6)</b>	<b>39 (61.9)</b>	<b>84 (66.7)</b>	<b>224 (74.2)</b>	<b>448 (74.8)</b>
<b>Blood/Lymphatic</b>	<b>0</b>	<b>1 (1.4)</b>	<b>0</b>	<b>5 (2.0)</b>	<b>1 (1.4)</b>	<b>3 (2.1)</b>	<b>0</b>	<b>2 (1.6)</b>	<b>1 (0.3)</b>	<b>11 (1.8)</b>
Anemia	0	1 (1.4)	0	1 (0.4)	0	0	0	0	0	7 (1.2)
Lymphadenopathy	0	0	0	2 (0.8)	0	0	0	0	0	2 (0.3)
<b>Cardiac Disorders</b>	<b>0</b>	<b>1 (1.4)</b>	<b>2 (1.6)</b>	<b>13 (5.1)</b>	<b>0</b>	<b>5 (3.4)</b>	<b>4 (6.3)</b>	<b>10 (7.9)</b>	<b>6 (2.0)</b>	<b>29 (4.8)</b>
Palpitations	0	0	1 (0.8)	7 (2.8)	0	0	0	0	1 (0.3)	7 (1.2)
Angina Pectoris	0	1 (1.4)	0	1 (0.4)	0	0	2 (3.2)	4 (3.2)	2 (0.7)	6 (1.0)
Ventricular Extrasystoles	0	0	1 (0.8)	2 (0.8)	0	1 (0.7)	1 (1.6)	3 (2.4)	2 (0.7)	6 (1.0)
Atrial Fibrillation	0	0	0	1 (0.4)	0	1 (0.7)	1 (1.6)	1 (0.8)	1 (0.3)	3 (0.5)
Cardiac failure	0	0	0	1 (0.4)	0	0	0	1 (0.8)	0	2 (0.3)
Sinus tachycardia	0	0	0	1 (0.4)	0	1 (0.7)	0	0	0	2 (0.3)
<b>Endocrine Dis.</b>	<b>0</b>	<b>0</b>	<b>1 (0.8)</b>	<b>2 (0.8)</b>	<b>0</b>	<b>4 (2.8)</b>	<b>0</b>	<b>0</b>	<b>1 (0.3)</b>	<b>6 (1.0)</b>
Hypothyroidism	0	0	0	1 (0.4)	0	3 (2.1)	0	0	0	4 (0.7)
<b>Eye Disorders</b>	<b>0</b>	<b>3 (4.1)</b>	<b>6 (4.7)</b>	<b>10 (3.9)</b>	<b>2 (2.7)</b>	<b>3 (2.1)</b>	<b>1 (1.6)</b>	<b>3 (2.4)</b>	<b>9 (3.0)</b>	<b>19 (3.2)</b>
Conjunctivitis	0	1 (1.4)	1 (0.8)	3 (1.2)	0	0	0	0	1 (0.3)	4 (0.7)
Cataract	0	1 (1.4)	1 (0.8)	1 (0.4)	0	0	0	0	1 (0.3)	2 (0.3)
Eye haemorrhage	0	0	0	0	0	1 (0.7)	0	1 (0.8)	0	2 (0.3)
Vitreous floaters	0	0	0	0	0	0	0	1 (0.8)	0	2 (0.3)
<b>Gastrointestinal</b>	<b>2 (5.4)</b>	<b>13 (17.6)</b>	<b>23 (17.8)</b>	<b>48 (18.9)</b>	<b>16 (21.9)</b>	<b>28 (19.3)</b>	<b>7 (11.1)</b>	<b>15 (11.9)</b>	<b>48 (15.9)</b>	<b>104 (17.4)</b>
Diarrhea	1 (2.7)	2 (2.7)	3 (2.3)	11 (4.3)	0	4 (2.8)	4 (6.3)	1 (0.8)	8 (2.6)	18 (3.0)
Abd. pain upper	0	2 (2.7)	0	8 (3.1)	2 (2.7)	2 (1.4)	0	1 (0.8)	2 (0.7)	13 (2.2)
Dyspepsia	0	3 (4.1)	1 (0.8)	4 (1.6)	1 (1.4)	2 (1.4)	0	2 (1.6)	2 (0.7)	11 (1.8)
Vomiting	0	2 (2.7)	2 (1.6)	7 (2.8)	2 (2.7)	1 (0.7)	1 (1.6)	1 (0.8)	5 (1.7)	11 (1.8)

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System Organ Class Preferred Term	Diet Only		Atorvastatin 10mg		Atorvastatin 80mg		Atorvastatin 80mg + Ezetimibe 10mg		TOTAL	
	Placebo QM (N=37) n (%)	EvoMab QM (N=74) n (%)	Placebo QM (129) n (%)	EvoMab QM (N=254) n (%)	Placebo QM (N=73) n (%)	EvoMab QM (N=145) n (%)	Placebo QM (N=63) n (%)	EvoMab QM (N=126) n (%)	Placebo QM (N=302) n (%)	EvoMab QM (N=599) n (%)
Abdominal pain	1 (2.7)	1 (1.4)	0	5 (2.0)	1 (1.4)	0	0	1 (0.8)	2 (0.7)	7 (1.2)
Constipation	0	4 (5.4)	1 (0.8)	1 (0.4)	1 (1.4)	1 (0.7)	0	0	2 (0.7)	6 (1.0)
Toothache	0	0	1 (0.8)	3 (1.2)	0	1 (0.7)	0	0	1 (0.3)	4 (0.7)
Abd. distension	0	0	1 (0.8)	1 (0.4)	0	2 (1.4)	0	0	1 (0.3)	3 (0.5)
Abd. Pain lower	0	0	0	2 (0.8)	0	0	0	1 (0.8)	1 (0.3)	3 (0.5)
Flatulence	0	0	0	1 (0.4)	1 (1.4)	1 (0.7)	0	1 (0.8)	1 (0.3)	3 (0.5)
Food poisoning	0	0	1 (0.8)	2 (0.8)	0	0	0	1 (0.8)	0	3 (0.5)
Haemorrhoids	0	0	0	1 (0.4)	0	1 (0.7)	0	1 (0.8)	0	3 (0.5)
Inguinal hernia	0	0	0	2 (0.8)	0	1 (0.7)	0	0	0	3 (0.5)
Irritable bowel syn.	0	0	0	2 (0.8)	0	0	0	1 (0.8)	0	3 (0.5)
<b>General Disorders</b>	<b>3 (8.1)</b>	<b>7 (9.5)</b>	<b>18 (14.0)</b>	<b>38 (15.0)</b>	<b>9 (12.3)</b>	<b>21 (14.5)</b>	<b>5 (7.9)</b>	<b>14 (11.1)</b>	<b>35 (11.6)</b>	<b>80 (13.4)</b>
Inj.site erythema	1 (2.7)	4 (5.4)	4 (3.1)	9 (3.5)	1 (1.4)	1 (0.7)	0	2 (1.6)	6 (2.0)	16 (2.7)
Non-cardiac chest pain	0	0	0	2 (0.8)	0	2 (1.4)	0	4 (3.2)	0	8 (1.3)
Inj.site swelling	0	1 (1.4)	2 (1.6)	5 (2.0)	0	0	0	0	2 (0.7)	6 (1.0)
Pyrexia	0	2 (2.7)	1 (0.8)	2 (0.8)	0	2 (1.4)	0	0	1 (0.3)	6 (1.0)
Inj. site induration	0	1 (1.4)	0	3 (1.2)	0	0	0	1 (0.8)	0	5 (0.8)
Chills	0	0	0	1 (0.4)	0	3 (2.1)	1 (1.6)	0	1 (0.3)	4 (0.7)
Influenza like illness	0	1 (1.4)	1 (0.8)	2 (0.8)	0	1 (0.7)	0	0	1 (0.3)	4 (0.7)
Malaise	0	0	1 (0.8)	2 (0.8)	0	1 (0.7)	0	0	1 (0.3)	3 (0.5)
<b>Hepatobiliary</b>	<b>1 (2.7)</b>	<b>1 (1.4)</b>	<b>0</b>	<b>3 (1.2)</b>	<b>0</b>	<b>2 (1.4)</b>	<b>0</b>	<b>1 (0.8)</b>	<b>1 (0.3)</b>	<b>7 (1.2)</b>
<b>Infections/Infestat.</b>	<b>19 (51.4)</b>	<b>38 (51.4)</b>	<b>57 (44.2)</b>	<b>129 (50.8)</b>	<b>30 (41.1)</b>	<b>59 (40.7)</b>	<b>26 (41.3)</b>	<b>49 (38.9)</b>	<b>132 (43.7)</b>	<b>275 (45.9)</b>
Nasopharyngitis	3 (8.1)	11 (14.9)	9 (7.0)	29 (11.4)	10 (13.7)	13 (9.0)	7 (11.1)	10 (7.9)	29 (9.6)	63 (10.5)
Upper Respir. Tract	4 (10.8)	6 (8.1)	7 (5.4)	22 (8.7)	2 (2.7)	15 (10.3)	6 (9.5)	13 (10.3)	19 (6.3)	56 (9.3)
Influenza	2 (5.4)	5 (6.8)	8 (6.2)	18 (7.1)	2 (2.7)	8 (5.5)	7 (11.1)	14 (11.1)	19 (6.3)	45 (7.5)
Urinary Tract Infection	4 (10.8)	5 (6.8)	2 (1.6)	9 (3.5)	3 (4.1)	5 (3.4)	2 (3.2)	8 (6.3)	11 (3.6)	27 (4.5)

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System Organ Class Preferred Term	Diet Only		Atorvastatin 10mg		Atorvastatin 80mg		Atorvastatin 80mg + Ezetimibe 10mg		TOTAL	
	Placebo QM (N=37) n (%)	EvoMab QM (N=74) n (%)	Placebo QM (129) n (%)	EvoMab QM (N=254) n (%)	Placebo QM (N=73) n (%)	EvoMab QM (N=145) n (%)	Placebo QM (N=63) n (%)	EvoMab QM (N=126) n (%)	Placebo QM (N=302) n (%)	EvoMab QM (N=599) n (%)
Sinusitis	0	3 (4.1)	5 (3.9)	14 (5.5)	2 (2.7)	7 (4.8)	2 (3.2)	1 (0.8)	9 (3.0)	25 (4.2)
Gastroenteritis	2 (5.4)	4 (5.4)	2 (1.6)	8 (3.1)	1 (1.4)	3 (2.1)	1 (1.6)	3 (2.4)	6 (2.0)	18 (3.0)
Viral Upper Resp. tr	0	1 (1.4)	2 (1.6)	6 (2.4)	0	2 (1.4)	1 (1.6)	2 (1.6)	3 (1.0)	11 (1.8)
Cystitis	0	2 (2.7)	3 (2.3)	4 (1.6)	1 (1.4)	1 (0.7)	0	3 (2.4)	4 (1.3)	10 (1.7)
Pharyngitis	1 (2.7)	0	0	6 (2.4)	1 (1.4)	2 (1.4)	0	1 (0.8)	2 (0.7)	9 (1.5)
Gastroenteritis Viral	0	1 (1.4)	1 (0.8)	3 (1.2)	0	1 (0.7)	1 (1.6)	0	2 (0.7)	5 (0.8)
Pneumonia	0	0	1 (0.8)	4 (1.6)	0	1 (0.7)	0	0	1 (0.3)	5 (0.8)
Rhinitis	0	1 (1.4)	0	3 (1.2)	0	0	0	1 (0.8)	0	5 (0.8)
Ear Infection	0	1 (1.4)	1 (0.8)	2 (0.8)	0	1 (0.7)	0	0	1 (0.3)	4 (0.7)
Laryngitis	0	1 (1.4)	0	0	0	0	0	3 (2.4)	0	4 (0.7)
Respir. Tract Infect.	0	0	0	1 (0.4)	0	2 (1.4)	0	1 (0.8)	0	4 (0.7)
Tooth Infection	0	0	2 (1.6)	4 (1.6)	1 (1.4)	0	0	0	3 (1.0)	4 (0.7)
Acute Sinusitis	0	0	0	1 (0.4)	0	1 (0.7)	1 (1.6)	1 (0.8)	1 (0.3)	3 (0.5)
Folliculitis	0	0	0	3 (1.2)	0	0	0	0	0	3 (0.5)
Helicobacter Infect	0	2 (2.7)	0	0	1 (1.4)	0	0	1 (0.8)	1 (0.3)	3 (0.5)
Herpes Zoster	0	0	0	1 (0.4)	1 (1.4)	2 (1.4)	0	0	1 (0.3)	3 (0.5)
Oral Herpes	0	0	1 (0.8)	1 (0.4)	0	1 (0.7)	0	1 (0.8)	1 (0.3)	3 (0.5)
Skin Infection	0	1 (1.4)	0	2 (0.8)	0	0	0	0	0	3 (0.5)
<b>Investigations</b>	<b>2 (5.4)</b>	<b>3 (4.1)</b>	<b>1 (0.8)</b>	<b>12 (4.7)</b>	<b>1 (1.4)</b>	<b>7 (4.8)</b>	<b>0</b>	<b>8 (6.3)</b>	<b>4 (1.3)</b>	<b>30 (5.0)</b>
CK increased	0	1 (1.4)	1 (0.8)	4 (1.6)	0	1 (0.7)	0	3 (2.4)	1 (0.3)	9 (1.5)
ALT increased	0	1 (1.4)	0	1 (0.4)	0	2 (1.4)	0	2 (1.6)	0	6 (1.0)
AST increased	0	1 (1.4)	0	0	0	2 (1.4)	0	2 (1.6)	0	5 (0.8)
LFT abnl	0	0	0	2 (0.8)	0	0	0	0	0	2 (0.3)

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	Placebo QM (N=37) n (%)	EvoMab QM (N=74) n (%)	Placebo QM (129) n (%)	EvoMab QM (N=254) n (%)	Placebo QM (N=73) n (%)	EvoMab QM (N=145) n (%)	Placebo QM (N=63) n (%)	EvoMab QM (N=126) n (%)	Placebo QM (N=302) n (%)	EvoMab QM (N=599) n (%)
<b>Metabolism/Nutrit.</b>	<b>2 (5.4)</b>	<b>6 (8.1)</b>	<b>8 (6.2)</b>	<b>6 (2.4)</b>	<b>1 (1.4)</b>	<b>8 (5.5)</b>	<b>1 (1.6)</b>	<b>8 (6.3)</b>	<b>12 (4.0)</b>	<b>28 (4.7)</b>
Gout	1 (2.7)	1 (1.4)	1 (0.8)	1 (0.4)	0	3 (2.1)	0	0	2 (0.7)	5 (0.8)
Diabetes Mellitus	0	0	0	0	0	2 (1.4)	1 (1.6)	2 (1.6)	1 (0.3)	4 (0.7)
Decreased Appetite	0	0	0	1 (0.4)	0	0	0	0	0	3 (0.5)
Dehydration	0	1 (1.4)	1 (0.8)	2 (0.8)	0	0	0	0	1 (0.3)	3 (0.5)
Hypocalcaemia	0	1 (1.4)	0	0	0	1 (0.7)	0	0	0	2 (0.3)
Hypomagnesaemia	0	0	0	2 (0.8)	0	0	0	0	0	2 (0.3)
<b>Musculoskeletal</b>	<b>8 (21.6)</b>	<b>16 (21.6)</b>	<b>35 (27.1)</b>	<b>78 (30.7)</b>	<b>24 (32.9)</b>	<b>35 (24.1)</b>	<b>10 (15.9)</b>	<b>30 (23.8)</b>	<b>77 (25.5)</b>	<b>159 (26.5)</b>
Back Pain	2 (5.4)	2 (2.7)	8 (6.2)	17 (6.7)	5 (6.8)	7 (4.8)	2 (3.2)	11 (8.7)	17 (5.6)	37 (6.2)
Myalgia	1 (2.7)	1 (1.4)	3 (2.3)	14 (5.5)	5 (6.8)	3 (2.1)	0	6 (4.8)	9 (3.0)	24 (4.0)
Musculoskeletal Pain	1 (2.7)	3 (4.1)	3 (2.3)	5 (2.0)	1 (1.4)	5 (3.4)	4 (6.3)	7 (5.6)	9 (3.0)	20 (3.3)
Tendonitis	0	1 (1.4)	1 (0.8)	5 (2.0)	1 (1.4)	1 (0.7)	1 (1.6)	3 (2.4)	3 (1.0)	10 (1.7)
Neck Pain	0	0	2 (1.6)	4 (1.6)	0	3 (2.1)	1 (1.6)	2 (1.6)	3 (1.0)	9 (1.5)
Muscul. Chest Pain	0	2 (2.7)	1 (0.8)	2 (0.8)	1 (1.4)	1 (0.7)	1 (1.6)	2 (1.6)	3 (1.0)	7 (1.2)
Costochondritis	0	1 (1.4)	0	2 (0.8)	0	0	0	0	0	3 (0.5)
Groin Pain	0	0	0	3 (1.2)	0	0	0	0	0	3 (0.5)
Plantar Fasciitis	0	1 (1.4)	0	1 (0.4)	0	0	1 (1.6)	1 (0.8)	1 (0.3)	3 (0.5)
Synovial Cyst	0	2 (2.7)	0	0	0	1 (0.7)	0	0	0	3 (0.5)
Intervertebral Disc Protrusion	0	0	0	2 (0.8)	0	0	0	0	0	2 (0.3)

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<b>Neoplasms, benign and malignant</b>	<b>0</b>	<b>0</b>	<b>3 (2.3)</b>	<b>12 (4.7)</b>	<b>0</b>	<b>3 (2.1)</b>	<b>0</b>	<b>6 (4.8)</b>	<b>3 (1.0)</b>	<b>21 (3.5)</b>
Skin papilloma	0	0	0	3 (1.2)	0	0	0	2 (1.6)	0	5 (0.8)
Benign breast neopl.	0	0	0	4 (1.6)	0	0	0	0	0	4 (0.7)
<b>Nervous System</b>	<b>2 (5.4)</b>	<b>7 (9.5)</b>	<b>13 (10.1)</b>	<b>31 (12.2)</b>	<b>13 (17.8)</b>	<b>17 (11.7)</b>	<b>8 (12.7)</b>	<b>17 (13.5)</b>	<b>36 (11.9)</b>	<b>72 (12.0)</b>
Headache	1 (2.7)	3 (4.1)	3 (2.3)	8 (3.1)	4 (5.5)	6 (4.1)	3 (4.8)	7 (5.6)	11 (3.6)	24 (4.0)
Dizziness	0	1 (1.4)	6 (4.7)	11 (4.3)	2 (2.7)	4 (2.8)	0	6 (4.8)	8 (2.6)	22 (3.7)
Hypoaesthesia	0	0	0	2 (0.8)	0	0	1 (1.6)	1 (0.8)	1 (0.3)	4 (0.7)
Paraesthesia	0	0	0	2 (0.8)	0	1 (0.7)	0	1 (0.8)	0	4 (0.7)
Syncope	0	0	0	2 (0.8)	1 (1.4)	1 (0.7)	0	0	1 (0.3)	3 (0.5)
Sinus headache	0	1 (1.4)	0	0	0	0	0	1 (0.8)	0	2 (0.3)
TIA	0	0	0	1 (0.4)	0	1 (0.7)	0	0	0	2 (0.3)
<b>Psychiatric Dis.</b>	<b>3 (8.1)</b>	<b>4 (5.4)</b>	<b>4 (3.1)</b>	<b>17 (6.7)</b>	<b>0</b>	<b>4 (2.8)</b>	<b>4 (6.3)</b>	<b>5 (4.0)</b>	<b>11 (3.6)</b>	<b>30 (5.0)</b>
Anxiety	0	0	0	5 (2.0)	0	2 (1.4)	2 (3.2)	3 (2.4)	2 (0.7)	10 (1.7)
Insomnia	1 (2.7)	2 (2.7)	2 (1.6)	6 (2.4)	0	0	0	1 (0.8)	3 (1.0)	9 (1.5)
Depression	0	1 (1.4)	1 (0.8)	2 (0.8)	0	1 (0.7)	1 (1.6)	1 (0.8)	2 (0.7)	5 (0.8)
Anxiety Disorder	0	0	0	0	0	1 (0.7)	0	1 (0.8)	0	2 (0.3)
Restlessness	0	0	0	2 (0.8)	0	0	0	0	0	2 (0.3)
<b>Renal/Urinary</b>	<b>0</b>	<b>0</b>	<b>4 (3.1)</b>	<b>11 (4.3)</b>	<b>0</b>	<b>5 (3.4)</b>	<b>1 (1.6)</b>	<b>4 (3.2)</b>	<b>5 (1.7)</b>	<b>20 (3.3)</b>
Nephrolithiasis	0	0	0	4 (1.6)	0	2 (1.4)	0	1 (0.8)	0	7 (1.2)
Haematuria	0	0	0	3 (1.2)	0	0	0	1 (0.8)	0	4 (0.7)
Pyuria	0	0	0	2 (0.8)	0	0	0	0	0	2 (0.3)
<b>Reproductive System/Breast Dis.</b>	<b>1 (2.7)</b>	<b>3 (4.1)</b>	<b>3 (2.3)</b>	<b>9 (3.5)</b>	<b>1 (1.4)</b>	<b>5 (3.4)</b>	<b>1 (1.6)</b>	<b>2 (1.6)</b>	<b>6 (2.0)</b>	<b>19 (3.2)</b>
Ben. Prostatic Hyper	0	0	0	1 (0.4)	0	1 (0.7)	0	1 (0.8)	0	3 (0.5)
Erectile Dysfunction	0	0	0	3 (1.2)	0	0	1 (1.6)	0	1 (0.3)	3 (0.5)
Ovarian cyst	0	0	0	0	0	2 (1.4)	0	0	0	2 (0.3)
Testicular pain	0	0	0	0	0	2 (1.4)	0	0	0	2 (0.3)
<b>Respiratory Dis.</b>	<b>4 (10.8)</b>	<b>6 (8.1)</b>	<b>14 (10.9)</b>	<b>33 (13.0)</b>	<b>13 (17.8)</b>	<b>20 (13.8)</b>	<b>4 (6.3)</b>	<b>6 (4.8)</b>	<b>35 (11.6)</b>	<b>65 (10.9)</b>
Cough	3 (8.1)	4 (5.4)	4 (3.1)	12 (4.7)	2 (2.7)	9 (6.2)	2 (3.2)	2 (1.6)	11 (3.6)	27 (4.5)
Oropharyngeal Pain	0	0	2 (1.6)	9 (3.5)	2 (2.7)	3 (2.1)	0	3 (2.4)	4 (1.3)	15 (2.5)
<b>Skin Disorders</b>	<b>2 (5.4)</b>	<b>9 (12.2)</b>	<b>12 (9.3)</b>	<b>25 (9.8)</b>	<b>7 (9.6)</b>	<b>14 (9.7)</b>	<b>6 (9.5)</b>	<b>3 (2.4)</b>	<b>27 (8.9)</b>	<b>51 (8.5)</b>
Rash	1 (2.7)	3 (4.1)	0	4 (1.6)	0	3 (2.1)	0	1 (0.8)	1 (0.3)	11 (1.8)
Dermatitis Contact	0	1 (1.4)	1 (0.8)	1 (0.4)	0	3 (2.1)	0	0	1 (0.3)	3 (0.5)
Erythema	0	0	0	3 (1.2)	1 (1.4)	0	0	1 (0.8)	1 (0.3)	3 (0.5)
<b>Vascular Disorders</b>		<b>3 (4.1)</b>	<b>7 (5.4)</b>	<b>13 (5.1)</b>	<b>4 (5.5)</b>	<b>10 (6.9)</b>	<b>3 (4.8)</b>	<b>4 (3.2)</b>	<b>14 (4.6)</b>	<b>30 (5.0)</b>
Hypertension		3 (4.1)	5 (3.9)	7 (2.8)	1 (1.4)	7 (4.8)	1 (1.6)	2 (1.6)	7 (2.3)	19 (3.2)

N = number of subjects randomized and dosed in the full analysis set; EvoMab = Evolocumab (AMG 145); QM = monthly (subcutaneous). CK=creatinine phosphokinase. TIA=transient ischemic attack. Coded using MedDRA version 16.1  
 \*These are treatment emergent adverse events which are adverse events occurring between the first dose of Investigational Product and End of Study.  
 Source: modified from Table 14-6.6.1 (CSR-20110109 Module 5.3.5.1) and confirmed with JMP analysis

These findings, in general, were observed in the demographic groups of male, female and age < or ≥ 65 years. In the age ≥ 65 years subgroup (N=138 EvoMab; N=67 Placebo), exceptions included sinusitis (5.8% evolocumab, 9.0% placebo), dizziness (2.9% evolocumab, 3.0% placebo), and depression (2.2% evolocumab, 0% placebo).

The analysis of adverse events that occurred during the target IP exposure period<sup>33</sup> (end of study+28 days) is similar to the findings when adverse events were defined as occurring between the first dose of Investigational Product and End of Study.

#### Adverse Events in LDL-C Subgroups

Adverse events for 3 subgroups defined by minimum postbaseline LDL-C concentrations (< 25 mg/dL, < 40 mg/dL, or ≥ 40 mg/dL) are provided in the table below. The overall incidence of adverse events in participants in the evolocumab group who achieved postbaseline LDL-C concentrations of < 25 mg/dL (n = 299/398; 75.1%), < 40 mg/dL (n = 391/522; 74.9%) or ≥ 40 mg/dL (n = 53/65; 81.5%) were similar. The number of placebo participants achieving postbaseline LDL-C concentrations of either < 25 mg/dL or < 40 mg/dL was small (3 and 6 participants, respectively) which does not allow for meaningful comparisons due to the very small sample size. The number of EvoMab-treated patients with a minimum postbaseline LDL-C ≥ 40 mg/dL (N=65) is also small, which affects the ability to make direct comparisons. Note that these comparisons do not preserve randomization, since the groups are defined based on post-randomization assessments. Three of the 6 subgroups have larger sample sizes (EvoMab <25, EvoMab <40 and placebo ≥ 40 mg/dL) which make some descriptive comparisons possible. Some observations include:

- The incidence of cardiac events is similar across the 3 EvoMab groups defined by minimum postbaseline LDL-C level
- The incidence of ALT and AST increases is higher among EvoMab-treated patients with a minimum postbaseline LDL-C ≥ 40 mg/dL as compared to the EvoMab-treated patients who achieved LDL-C levels of < 25 or < 40 mg/dL
- Although the number of cases are small, there were more cases of diarrhea, nausea, GERD, injection site pain/erythema, upper respiratory tract infection, bronchitis, viral upper respiratory tract infection, contusion, osteoarthritis, tendonitis, cough, oropharyngeal pain and hypertension among EvoMab-treated patients with minimum postbaseline LDL-C <25 and LDL-C < 40 mg/dL as compared to the EvoMab-treated patients with all LDL-C ≥ 40 mg/dL. The remainder of AEs in the table below are either similar across all 3 EvoMab groups or are increased in the EvoMab-treated patients who had all LDL-C ≥ 40 mg/dL.
- There does not appear to be an increase in reported AEs of diabetes mellitus,

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33 Target IP Exposure Period in Months

IP Exposure Period = [min (EOIP Date + 28 days, EOS Date) - First SCIPD +1] / 365.25 \* 12  
EOIP=End of Investigational Product; EOS= End of study; SCIPD=Dose Date of Investigational Product

musculoskeletal pain, and myalgia among EvoMab-treated patients with lower LDL levels as compared to those with all LDL-C  $\geq$  40 mg/dL.

- There does not appear to be an increase in nervous system disorders in participants achieving low LDL-C levels on EvoMab as compared to participants on placebo or on EvoMab with higher LDL-C levels

Table 22: Subgroup Analysis of Adverse Events That Occurred in  $\geq$  2 % of Participants (in Any Treatment Group) in Those With Minimum Postbaseline LDL-C Concentrations < 25 mg/dL, < 40 mg/dL or  $\geq$  40 mg/dL in Trial 20110109 (Full Analysis Set - Actual Treatment)

System Organ Class Preferred Term	LDL-C < 25 mg/dL		LDL-C < 40 mg/dL		LDL-C $\geq$ 40 mg/dL	
	Placebo QM (N = 3) n (%)	EvoMab 420 mg QM (N = 398) n (%)	Placebo QM (N = 6) n (%)	EvoMab 420 mg QM (N = 522) n (%)	Placebo QM (N = 291) n (%)	EvoMab 420 mg QM (N = 65) n (%)
Any Adverse Event	3 (100.0)	299 (75.1)	4 (66.7)	391 (74.9)	220 (75.6)	53 (81.5)
CARDIAC DISORDERS	1 (33.3)	20 (5.0)	1 (16.7)	25 (4.8)	5 (1.7)	3 (4.6)
Angina Pectoris	1 (33.3)	4 (1.0)	1 (16.7)	4 (0.8)	1 (0.3)	2 (3.1)
EAR AND LABYRINTH DISORDERS	0 (0.0)	11 (2.8)	0 (0.0)	14 (2.7)	14 (4.8)	3 (4.6)
Vertigo	0 (0.0)	2 (0.5)	0 (0.0)	3 (0.6)	5 (1.7)	2 (3.1)
GASTROINTESTINAL DISORDERS	1 (33.3)	70 (17.6)	1 (16.7)	92 (17.6)	47 (16.2)	12 (18.5)
Constipation	0 (0.0)	1 (0.3)	0 (0.0)	3 (0.6)	2 (0.7)	3 (4.6)
Abdominal Pain Upper	0 (0.0)	8 (2.0)	0 (0.0)	11 (2.1)	2 (0.7)	2 (3.1)
Dyspepsia	1 (33.3)	5 (1.3)	1 (16.7)	9 (1.7)	1 (0.3)	2 (3.1)
Diarrhoea	0 (0.0)	13 (3.3)	0 (0.0)	17 (3.3)	8 (2.7)	1 (1.5)
Vomiting	0 (0.0)	8 (2.0)	0 (0.0)	10 (1.9)	5 (1.7)	1 (1.5)
Nausea	0 (0.0)	16 (4.0)	0 (0.0)	20 (3.8)	10 (3.4)	0 (0.0)
Gastroesophageal Reflux Disease	0 (0.0)	7 (1.8)	0 (0.0)	8 (1.5)	8 (2.7)	0 (0.0)
System Organ Class Preferred Term	LDL-C < 25 mg/dL		LDL-C < 40 mg/dL		LDL-C $\geq$ 40 mg/dL	
	Placebo QM (N = 3) n (%)	EvoMab 420 mg QM (N = 398) n (%)	Placebo QM (N = 6) n (%)	EvoMab 420 mg QM (N = 522) n (%)	Placebo QM (N = 291) n (%)	EvoMab 420 mg QM (N = 65) n (%)
GENERAL DISORDERS AND ADMINISTRATION SITE CONDITIONS	1 (33.3)	55 (13.8)	1 (16.7)	73 (14.0)	34 (11.7)	7 (10.8)
Fatigue	0 (0.0)	10 (2.5)	0 (0.0)	11 (2.1)	9 (3.1)	2 (3.1)
Injection Site Bruising	0 (0.0)	4 (1.0)	0 (0.0)	5 (1.0)	6 (2.1)	2 (3.1)
Injection Site Erythema	1 (33.3)	11 (2.8)	1 (16.7)	15 (2.9)	5 (1.7)	1 (1.5)
Injection Site Pain	1 (33.3)	6 (1.5)	1 (16.7)	8 (1.5)	3 (1.0)	0 (0.0)
INFECTIONS AND INFESTATIONS	2 (66.7)	182 (45.7)	3 (50.0)	239 (45.8)	129 (44.3)	36 (55.4)
Nasopharyngitis	1 (33.3)	43 (10.8)	1 (16.7)	53 (10.2)	28 (9.6)	10 (15.4)
Influenza	0 (0.0)	28 (7.0)	0 (0.0)	36 (6.9)	19 (6.5)	9 (13.8)
Upper Respiratory Tract Infection	0 (0.0)	46 (11.6)	0 (0.0)	51 (9.8)	19 (6.5)	5 (7.7)
Urinary Tract Infection	0 (0.0)	14 (3.5)	0 (0.0)	22 (4.2)	11 (3.8)	5 (7.7)
Sinusitis	1 (33.3)	15 (3.8)	1 (16.7)	22 (4.2)	8 (2.7)	3 (4.6)
Gastroenteritis	0 (0.0)	9 (2.3)	1 (16.7)	15 (2.9)	5 (1.7)	3 (4.6)
Bronchitis	0 (0.0)	20 (5.0)	0 (0.0)	25 (4.8)	14 (4.8)	2 (3.1)
Fungal Infection	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.7)	2 (3.1)
Vaginal Infection	0 (0.0)	1 (0.3)	0 (0.0)	1 (0.2)	2 (0.7)	2 (3.1)
Viral Upper Respiratory Tract Infection	0 (0.0)	9 (2.3)	0 (0.0)	11 (2.1)	3 (1.0)	0 (0.0)

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System Organ Class Preferred Term	LDL-C < 25 mg/dL		LDL-C < 40 mg/dL		LDL-C ≥ 40 mg/dL	
	Placebo QM (N = 3) n (%)	EvoMab 420 mg QM (N = 398) n (%)	Placebo QM (N = 6) n (%)	EvoMab 420 mg QM (N = 522) n (%)	Placebo QM (N = 291) n (%)	EvoMab 420 mg QM (N = 65) n (%)
INJURY, POISONING AND PROCEDURAL COMPLICATIONS	1 (33.3)	54 (13.6)	1 (16.7)	69 (13.2)	42 (14.4)	7 (10.8)
Muscle Strain	1 (33.3)	9 (2.3)	1 (16.7)	11 (2.1)	9 (3.1)	3 (4.6)
Ligament Sprain	0 (0.0)	2 (0.5)	0 (0.0)	3 (0.6)	3 (1.0)	2 (3.1)
Contusion	0 (0.0)	8 (2.0)	0 (0.0)	8 (1.5)	5 (1.7)	0 (0.0)
Fall	1 (33.3)	3 (0.8)	1 (16.7)	3 (0.6)	4 (1.4)	0 (0.0)
INVESTIGATIONS	0 (0.0)	20 (5.0)	1 (16.7)	24 (4.6)	3 (1.0)	6 (9.2)
Alanine Aminotransferase Increased	0 (0.0)	3 (0.8)	0 (0.0)	3 (0.6)	0 (0.0)	3 (4.6)
Aspartate Aminotransferase Increased	0 (0.0)	2 (0.5)	0 (0.0)	2 (0.4)	0 (0.0)	3 (4.6)
Hepatic Enzyme Increased	0 (0.0)	0 (0.0)	1 (16.7)	0 (0.0)	1 (0.3)	1 (1.5)
METABOLISM AND NUTRITION DISORDERS	0 (0.0)	21 (5.3)	0 (0.0)	25 (4.8)	12 (4.1)	3 (4.6)
Diabetes Mellitus	0 (0.0)	2 (0.5)	0 (0.0)	2 (0.4)	1 (0.3)	2 (3.1)
MUSCULOSKELETAL AND CONNECTIVE TISSUE DISORDERS	0 (0.0)	110 (27.6)	0 (0.0)	140 (26.8)	77 (26.5)	19 (29.2)
Musculoskeletal Pain	0 (0.0)	12 (3.0)	0 (0.0)	15 (2.9)	9 (3.1)	5 (7.7)
Back Pain	0 (0.0)	26 (6.5)	0 (0.0)	34 (6.5)	17 (5.8)	3 (4.6)
Myalgia	0 (0.0)	19 (4.8)	0 (0.0)	21 (4.0)	9 (3.1)	3 (4.6)

System Organ Class Preferred Term	LDL-C < 25 mg/dL		LDL-C < 40 mg/dL		LDL-C ≥ 40 mg/dL	
	Placebo QM (N = 3) n (%)	EvoMab 420 mg QM (N = 398) n (%)	Placebo QM (N = 6) n (%)	EvoMab 420 mg QM (N = 522) n (%)	Placebo QM (N = 291) n (%)	EvoMab 420 mg QM (N = 65) n (%)
MUSCULOSKELETAL AND CONNECTIVE TISSUE DISORDERS (CONTINUED)						
Arthralgia	0 (0.0)	18 (4.5)	0 (0.0)	23 (4.4)	14 (4.8)	2 (3.1)
Pain In Extremity	0 (0.0)	10 (2.5)	0 (0.0)	11 (2.1)	13 (4.5)	2 (3.1)
Muscle Spasms	0 (0.0)	10 (2.5)	0 (0.0)	12 (2.3)	8 (2.7)	2 (3.1)
Neck Pain	0 (0.0)	7 (1.8)	0 (0.0)	7 (1.3)	3 (1.0)	2 (3.1)
Osteoarthritis	0 (0.0)	7 (1.8)	0 (0.0)	12 (2.3)	5 (1.7)	0 (0.0)
Tendonitis	0 (0.0)	9 (2.3)	0 (0.0)	10 (1.9)	3 (1.0)	0 (0.0)
NERVOUS SYSTEM DISORDERS	0 (0.0)	47 (11.8)	0 (0.0)	61 (11.7)	36 (12.4)	10 (15.4)
Headache	0 (0.0)	15 (3.8)	0 (0.0)	21 (4.0)	11 (3.8)	3 (4.6)
Dizziness	0 (0.0)	14 (3.5)	0 (0.0)	18 (3.4)	8 (2.7)	3 (4.6)
Migraine	0 (0.0)	4 (1.0)	0 (0.0)	4 (0.8)	3 (1.0)	2 (3.1)
Hypoaesthesia	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.4)	1 (0.3)	2 (3.1)
RESPIRATORY, THORACIC AND MEDIASTINAL DISORDERS	0 (0.0)	46 (11.6)	0 (0.0)	59 (11.3)	35 (12.0)	5 (7.7)
Cough	0 (0.0)	17 (4.3)	0 (0.0)	23 (4.4)	11 (3.8)	4 (6.2)
Nasal Congestion	0 (0.0)	7 (1.8)	0 (0.0)	9 (1.7)	7 (2.4)	1 (1.5)
Oropharyngeal Pain	0 (0.0)	11 (2.8)	0 (0.0)	15 (2.9)	4 (1.4)	0 (0.0)

System Organ Class Preferred Term	LDL-C < 25 mg/dL		LDL-C < 40 mg/dL		LDL-C ≥ 40 mg/dL	
	Placebo QM (N = 3) n (%)	EvoMab 420 mg QM (N = 398) n (%)	Placebo QM (N = 6) n (%)	EvoMab 420 mg QM (N = 522) n (%)	Placebo QM (N = 291) n (%)	EvoMab 420 mg QM (N = 65) n (%)
SKIN AND SUBCUTANEOUS TISSUE DISORDERS	0 (0.0)	33 (8.3)	0 (0.0)	41 (7.9)	27 (9.3)	10 (15.4)
Rash	0 (0.0)	9 (2.3)	0 (0.0)	9 (1.7)	1 (0.3)	2 (3.1)
Urticaria	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.2)	1 (0.3)	2 (3.1)
VASCULAR DISORDERS	0 (0.0)	19 (4.8)	0 (0.0)	26 (5.0)	14 (4.8)	3 (4.6)
Hypertension	0 (0.0)	11 (2.8)	0 (0.0)	17 (3.3)	7 (2.4)	1 (1.5)

N = number of subjects randomized and dosed in the full analysis set and had a minimum postbaseline LDL-C value < 25 mg/dL, < 40 mg/dL or ≥ 40mg/dL; EvoMab = Evolocumab (AMG 145); QM = monthly (subcutaneous)  
 Coded using MedDRA version 16.1

Adverse events are adverse events occurring between the first dose of Investigational Product and End of Study.  
 Low LDL-C group is identified by the minimum postbaseline LDL-C value, whether calculated or UC, in a study period.

Source: Modified from Applicant's Table 14-6-2-401, Table 14-6-2-402, and Table 14-6-2-403 and Table 12-5 (CSR-20110109 Module 5.3.5.1)

This reviewer compared adverse event preferred terms in the four different CV risk groups and four different background therapy groups in this 52-week trial to see if there were any notable differences in adverse event profiles. The number of subjects experiencing a particular AE was small across the treatment arms. There did not appear to be any notable differences in the AE profiles in the four different CV risk/background therapy groups. There were some AE preferred terms that occur more often in the EvoMab group across several of the different CV risk/background therapy groups, examples include abdominal pain or distension, dyspepsia, hypertension, injection site related terms, rash, anxiety, AST increased, ALT increased, diabetes mellitus, nephrolithiasis, cystitis, vertigo, musculoskeletal pain or strain and skin papilloma.

#### Severity of Adverse Events

The majority of adverse events were mild or moderate in severity; National Cancer Institute (NCI) Common Terminology Criteria for Adverse Events (CTCAE)  $\geq$  grade 3 adverse events were reported by 7.8% (n=47) and 5.0% (n=15) of subjects in the evolocumab and placebo groups, respectively; and  $\geq$  grade 4 adverse events were reported by 1.0% (n=6) of subjects in the evolocumab group and no subjects in the placebo group. Adverse events  $\geq$  grade 4 in the EvoMab group included the following:

- Cardiac failure-fatal event, Subject 10923201053, diet plus atorvastatin 10 mg
- Myocardial infarction-fatal event, Subject 10923201092, diet plus atorvastatin 80 mg
- Alanine aminotransferase (ALT) increased (ALT > 20 x ULN, asymptomatic, resolved when atorvastatin/EvoMab withheld, EvoMab restarted without recurrence of ALT elevation), Subject 10966415011, diet plus atorvastatin 80 mg, IP dose altered or withheld
- Blood creatine phosphokinase increased (peak CK=14.5, developed severe hypothyroidism with CK and creatinine elevation), Subject 10931202010, diet plus atorvastatin 10 mg, IP withdrawn
- Blood creatine phosphokinase increased (peak CK=10.2, CK < 5xULN on repeat assessment), Subject 10966452044, diet plus atorvastatin 80 mg, IP dose altered or withheld
- Blood creatine phosphokinase increased (peak CK=17.7 CK < 5xULN on repeat assessment), Subject 10957206030, diet plus atorvastatin 80 mg plus ezetimibe 10 mg, IP dose not changed

Additional information for the 4 participants with ALT or CK elevations is provided in the sections discussing ALT and CK laboratory abnormalities.

#### *Adverse Events of Special Interest*

Adverse events associated with other lipid lowering therapies (ie, diabetes, liver, and

muscle events), other injectable protein therapies (ie, hypersensitivity events, injection site reactions), and those theoretically associated with PCSK9 inhibition (ie, hepatitis C events) were evaluated using Standardized MedDRA Queries (SMQs) (broad and narrow search terms) or Amgen search strategies (see Table below).

- Diabetes events<sup>34</sup>: increase in the EvoMab group, especially in the two groups using atorvastatin 80 mg (8/271, 3.0% EvoMab vs 2/136, 1.5% placebo).
- Hepatitis C: There was no signal for hepatitis C events.
- Hypersensitivity events: numerically greater in the EvoMab group, particularly for the MedDRA high level term of Dermatitis and Eczema (3.0% EvoMab vs 2.0% placebo) and Rashes, Eruptions & Exanthems (1.8% EvoMab vs 0.7% placebo).
- Injection site reactions (ISRs): slight increase in the EvoMab group, (5.7% EvoMab vs 5.0% placebo). Terms include erythema, pain, bruising and swelling
- Rhabdomyolysis/Myopathy: There was no signal on the narrow MedDRA search but the broad MedDRA search was increased (9.2% EvoMab vs 6.6% placebo) based on terms such as muscle pains/myalgia (4.0% EvoMab vs 3.0% placebo).
- Transaminase elevation/hepatic disorder: Increase in the EvoMab group (2.2% EvoMab vs 0.7% placebo) driven primarily by increases in reported adverse events<sup>35</sup> of increased liver transaminases.

Table 23: Summary of Adverse Events Using Narrow Search Strategy for Potential Hepatitis C Infections and Those Potentially Associated with Lipid Lowering Therapies or Injectable Protein Therapies in Trial 20110109 (Full Analysis Set - Actual Treatment)

Adverse Event of Interest High Level Term	Diet Only		Atorvastatin 10mg		Atorvastatin 80mg		Atorvastatin 80mg + Ezetimibe 10 mg		Total	
	Pbo N=37 n (%)	EvoMab N=74 n (%)	Pbo N=129 n (%)	EvoMab N=254 n (%)	Pbo N=73 n (%)	EvoMab N=145 n (%)	Pbo N=63 n (%)	EvoMab N=126 n (%)	Pbo N=302 n (%)	EvoMab N=599 n (%)
# subjects reporting AE of interest	4 (10.8)	13 (17.6)	17 (13.2)	38 (15.0)	7 (9.6)	17 (11.7)	7 (11.1)	12 (9.5)	35 (11.6)	80 (13.4)
Diabetes Events	0	1 (1.4)	1 (0.8)	0	1 (1.4)	4 (2.8)	1 (1.6)	4 (3.2)	3 (1.0)	9 (1.5)
Hepatitis C	0	0	0	0	0	0	0	0	0	0

34 Diabetes events encompass the hyperglycaemia-new onset diabetes mellitus SMQ from MedDRA 16.1. Preferred terms that occurred include diabetes mellitus, type 2 diabetes mellitus, glycosylated haemoglobin increased, and impaired fasting glucose.

35 This reflects adverse events of abnormal transaminases but does not capture all elevations in transaminases based on laboratory criteria alone.

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Adverse Event of Interest High Level Term	Diet Only		Atorvastatin 10mg		Atorvastatin 80mg		Atorvastatin 80mg + Ezetimibe 10 mg		Total	
	Pbo N=37 n (%)	EvoMab N=74 n (%)	Pbo N=129 n (%)	EvoMab N=254 n (%)	Pbo N=73 n (%)	EvoMab N=145 n (%)	Pbo N=63 n (%)	EvoMab N=126 n (%)	Pbo N=302 n (%)	EvoMab N=599 n (%)
Hypersensitivity SMQ	1 (2.7)	10 (13.5)	7 (5.4)	16 (6.3)	3 (4.1)	8 (5.5)	5 (7.9)	1 (0.8)	16 (5.3)	35 (5.8)
Dermatitis and Eczema	1 (2.7)	4 (5.4)	3 (2.3)	9 (3.5)	1 (1.4)	5 (3.4)	1 (1.6)	0	6 (2.0)	18 (3.0)
Rashes/Eruptions/ Exanthems	1 (2.7)	3 (4.1)	0	4 (1.6)	0	3 (2.1)	1 (1.6)	1 (0.8)	2 (0.7)	11 (1.8)
Urticarias	0	1 (1.4)	1 (0.8)	1 (0.4)	0	1 (0.7)	0	0	1 (0.3)	3 (0.5)
Dermatitis to a specific agent	0	1 (1.4)	0	0	0	0	0	0	0	1 (0.2)
Edema NEC	0	0	0	0	1 (0.4)	0	0	0	0	1 (0.2)
Angioedema	0	0	0	0	1 (0.4)	0	0	0	1 (0.3)	0
ISRs	2 (5.4)	4 (5.4)	9 (7.0)	20 (7.9)	3 (4.1)	5 (3.4)	1 (1.6)	5 (4.0)	15 (5.0)	34 (5.7)
IS Erythema	1 (2.7)	4 (5.4)	4 (3.1)	9 (3.5)	1 (1.4)	1 (0.7)	0	2 (1.6)	6 (2.0)	16 (2.7)
IS Pain	1 (2.7)	0	2 (1.6)	5 (2.0)	1 (1.4)	2 (1.4)	0	1 (0.8)	4 (1.3)	8 (1.3)
IS Bruising	0	0	5 (3.9)	3 (1.2)	1 (1.4)	2 (1.4)	0	2 (1.6)	6 (2.0)	7 (1.2)
IS Swelling	0	1 (1.4)	2 (1.6)	5 (2.0)	0	0	0	0	2 (0.7)	6 (1.0)
Rhabdomyolysis-myopathy narrow SMQ	0	0	0	0	0	0	0	0	0	0
Rhabdomyolysis-myopathy broad SMQ	2 (5.4)	6 (8.1)	8 (6.2)	23 (9.1)	6 (8.2)	10 (6.9)	4 (6.3)	16 (12.7)	20 (6.6)	55 (9.2)
Muscle Pains/ Myalgia	1 (2.7)	1 (1.4)	3 (2.3)	14 (5.5)	5 (6.8)	3 (2.1)	0	6 (4.8)	9 (3.0)	24 (4.0)
Transaminase elevation/hepatic disorder	1 (2.7)	2 (2.7)	0	5 (2.0)	1 (1.4)	3 (2.1)	0	3 (2.4)	2 (0.7)	13 (2.2)
Liver Function Analyses	1 (2.7)	1 (1.4)	1 (1.4)	3 (1.2)	1 (1.4)	3 (2.1)	1 (1.4)	2 (1.6)	2 (0.7)	9 (1.5)

N = number of subjects randomized and dosed in the full analysis set; EvoMab = Evolocumab; Pbo=Placebo; ISRs= injection site reactions and includes such terms as injection site erythema, pain, bruising, swelling, induration, pruritus, urticaria, and edema.

Event categories are defined using preferred terms (PT) from MedDRA and either Standard MedDRA Queries (SMQ) or internal groupings. Each event category is defined by a unique set of PT while one PT can be categorized into more than one event category.

Coded using MedDRA version 16.1

Adverse events occurred between the first dose of Investigational Product and End of Study.  
Source: Modified from Table 14-2.8.1 and 14-6.8.2 (CSR-20110109 Module 5.3.5.1)

In the table above, a Hypersensitivity SMQ was used to identify cases possibly related to hypersensitivity/allergic reactions. The number of events identified using this approach was slightly higher in the EvoMab group than the placebo group (5.8% vs. 5.3%). To further explore this issue, adverse events were identified using the Anaphylactic Reaction algorithmic SMQ. Listed below are the participants who reported at least one of these adverse events during the treatment period and contained some of the preferred terms from the anaphylactic reaction SMQ (asthma, cough, erythema, eyelid edema, face edema, hypotension, pruritus, pruritus generalized, and rash). There were no deaths or hospitalizations associated with these adverse events. There were no serious events and the AE severity grade was 1 or 2 only. While there were more subjects in the EvoMab group that experienced potential hypersensitivity/allergic reactions, the individual events were often temporally distinct (for example, rash and cough did not occur at the same time). There was no clear signal for anaphylaxis in the EvoMab group.

#### Placebo

1. Subject ID 20110109-10925202092: pruritus (Study days 111-125 and 228-255), cough (Study days 176-209); drug withdrawn due to pruritus; background therapy: atorvastatin 80mg +Ezetimibe
2. Subject ID 20110109-10966425008: pruritus (Study days 115-117), cough (Study days 51-EOS); dose not changed; background therapy: atorvastatin 80+Ezetimibe

#### Evolocumab

1. Subject ID 20110109-10914206003<sup>36</sup>: hypotension (Study day 64); erythema, eyelid edema, pruritus (Study days 143-146); pruritus generalized (Study days 199-206); dose not changed; dose group: EvoMab 420 +atorvastatin 10mg
2. Subject ID 20110109-10931202010<sup>37</sup>: face edema (Study days 152-154),

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36 This 69-year-old white female had a medical history that included hypertension, chronic sinusitis, suspected chronic obstructive pulmonary disease, lumbar facet osteoarthritis, diaphragmatic hernia, focal nodular dysplasia, smoking, and hysterectomy. Concomitant medications included hydrochlorothiazide, losartan, salbutamol, omeprazole, ibuprofen, and estradiol/ norgestrel. 143 days after start of evolocumab and 2 days after the most recent dose, the subject experienced facial erythema, eyelid edema, periauricular itching and edema, and headache. All events were grade 1. Blood pressure was not reported. The facial erythema, eyelid edema, and headache were assessed by the investigator as related to evolocumab; the periauricular itching and edema were considered unrelated. Three days later, the subject reported an event of eyelid itching (grade 1, assessed by the investigator as unrelated to evolocumab). All of these events reportedly resolved on this day; no treatment was reported. 199 days after start of evolocumab, 2 days after the most recent dose, and 1 day after receiving triamcinolone injection, the subject experienced grade 1 generalized itching which was assessed by the investigator as related to evolocumab. Blood pressure was not reported. The generalized itching resolved after 1 week; no treatment was reported. No action was taken with evolocumab in response to the events. The subject was subsequently dosed with evolocumab monthly for 5 months and did not report any recurrence of erythema, itching, edema, or hypotension, or any other event potentially related to hypersensitivity.

37 Subject 10931202010 was a 55-year-old woman who developed creatine phosphokinase (CPK) increase, face edema, and cough. Medical history included hypercholesterolemia, hypertension, subclinical hypothyroidism, deep

- cough (Study days 357-362); dose not changed; dose group: EvoMab 420 + atorvastatin 10mg
3. Subject ID 20110109-10957207007: skin rash on face and chest (Study day 79-ongoing/EOS), cough (Study day 164-185, 258-269, 337-349); dose not changed; dose group: EvoMab 420 + diet
  4. Subject ID 20110109-10966425001: rash [Study day 143-155; subject had a concomitant AE of Poison ivy rash, torso (coded Dermatitis contact)], asthma (Study day 27-50); dose not changed; dose group: EvoMab 420 +atorvastatin 80mg
  5. Subject ID 20110109-10966426001: erythema (verbatim terms suggestive of ISR)(Study day 309), cough (Study day 359-367); dose not changed; dose group: EvoMab 420 +atorvastatin 80mg+Ezetimibe
  6. Subject ID 20110109-10966426023: cough (Study day -14-24, 162-200), erythema (verbatim term "Dime-sized erythema on left side" suggestive of ISR)( Study day 1); dose not changed; dose group: EvoMab 420 + atorvastatin 10mg
  7. Subject ID 20110109-10966430022: rash (ongoing-EOS, onset was ~3 months before receiving evolocumab), cough (Study day 322-327); dose not changed; dose group: EvoMab 420 +atorvastatin 80mg

*Positively Adjudicated Events (deaths, myocardial infarction, hospitalization for unstable angina, stroke, and hospitalization for heart failure):*

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vein thrombosis, chronic pancreatitis, gastroesophageal reflux disease, hyperuricemia, sleep apnea, anxiety, and depression. Concurrent medications included valsartan, rilmenidine, carvedilol, indapamide, rabeprazole, pancreatin, calcium dobesilate, clonazepam, venlafaxine, carbamazepine, allopurinol, alverine citrate/DL methionine, pinaverium bromide, and furosemide. The subject received the first dose of atorvastatin in April 2012 and the first dose of evolocumab in May 2012. Approximately 5 months later, on 14 October 2012, the subject developed face edema. On (b) (6), she developed an increased CPK of 2138 U/L and was referred to the emergency department. Baseline CPK in May 2012 was 134. The subject reported 2 days of dizziness, atypical chest pain, ankle and facial edema but no muscular or skeletal complaints or signs. The subject had bumped against the wall due to dizziness but there were no visible signs of injury or significant tenderness. Serum creatinine was normal at 102 µmol/L (1.15 mg/dL) on presentation to the emergency department. Diuretic therapy was intensified with furosemide, and on 16 October 2012, the facial and ankle edema resolved. Atorvastatin was discontinued on 23 October 2012, and evolocumab was temporarily withheld. Consultation with an immunologist and nephrologist was obtained and CPK and creatinine levels were monitored. Immune serology testing for myositis was negative. On 24 October 2012, CPK was 2549 U/L and creatinine was 143 µmol/L (1.62 mg/dL). CPK and creatinine peaked at 5344 U/L and 177 µmol/L (2.00 mg/dL), respectively, on 27 December 2012 and thyroid stimulating hormone (TSH) was found to be elevated at 191.2 mU/L. TSH values during screening were 2.79 and 6.95 mU/L in March 2012 and May 2012, respectively. Subsequently, severe hypothyroidism was diagnosed and thyroxine replacement was started. Further treatment included indapamide (Apadex), valsartan (Valsacor), rilmenidine (Tenaxum), potassium, spironolactone, esomeprazole, and tolperisone. On 31 January 2013, CPK and creatinine levels decreased to 170 U/L and 124 µmol/L (1.40 mg/dL), respectively, and TSH decreased to 37.210 mU/L. The subject's last dose of evolocumab prior to the event was 03 October 2012 and the last dose of atorvastatin prior to the event was 14 October 2012; both were discontinued due to increased CPK. On 07 May 2013, approximately 1 year after the first dose of evolocumab and approximately 7 months after the last dose, the subject developed grade 1 nonserious cough. The subject had reported fever on 01 May 2013. The subject was treated with a 1-week course of amoxicillin/clavulanate for cough. The cough was reported as resolved on 12 May 2013.

Seven (1.2%) participants in the evolocumab group and 2 (0.7%) participants in the placebo group had positively adjudicated events. Six out of seven cases in the evolocumab group occurred while participants were on-treatment and the remaining case (sudden cardiac death) occurred during the 30-day followup. This participant was in the evolocumab group and had completed the trial 3 weeks before death.

Positively adjudicated events included

- cardiovascular death (evolocumab: 3 [0.5%]; placebo: 0 [0%])
- non-fatal myocardial infarction (evolocumab: 1 [0.2%]; placebo: 0 [0%])
- PCI (evolocumab: 1 [0.2%]; placebo: 1 [0.3%])
- CABG (evolocumab: 0 subjects [0%]; placebo: 1 [0.3%])
- transient ischemic attack (evolocumab: 1 [0.2%]; placebo: 0 [0%])
- myalgia with creatine kinase (CK) elevation (evolocumab: 1 [0.2%]; placebo: 0 [0%])

Of note, while the number of adjudicated CV-related events is too small to make any reliable conclusions regarding CV risk reduction, there are a numerically greater number of positively adjudicated events, including deaths, in the evolocumab group as compared to placebo.

#### *Laboratory Parameters*

Examination of summary statistics for chemistry, hematology and urine values did not reveal any notable trends in laboratory abnormalities. Examination of shift tables for laboratory values revealed the following lab shifts:

- increased creatinine (1 in the placebo group),
- increased glucose (1 in the placebo group, 3 in the evolocumab group)
- decreased potassium (1 in the placebo group)
- increased sodium (1 in the placebo group)
- decreased sodium (1 in the evolocumab group)
- increased INR (1 in the evolocumab group)
- decreased neutrophils (3 in the placebo group, 1 in the evolocumab group)
- decreased white blood cells (1 in the evolocumab group)

Laboratory shifts from baseline for CK, AST and ALT are discussed in the specific sections for these tests.

#### **Creatine Kinase (CK):**

The incidence of any postbaseline incidence of CK > 5 x upper limit of normal (ULN) was 7 (1.2%) in the evolocumab group and 1 (0.3%) in the placebo group, respectively. The subject incidence of any postbaseline incidence of CK > 10 x ULN was 3 (0.5%) in the evolocumab group and 1 (0.3%) in the placebo group, respectively (see table). As previously noted, myalgia was reported by 24 participants (4.0%) in the EvoMab group and 9 (3.0%) in the placebo group. As the case report forms did not prespecify to ask participants about myalgias at every visit, it is likely

that this spontaneous reporting may be an underestimate of the true incidence of myalgias.

Table 24: Incidence of Creatine Kinase > 5 x ULN or > 10 x ULN by Background Therapy and Investigational Product in Trial 20110109 (Full Analysis Set - Actual Treatment)

	Diet Only		Diet + Atorvastatin 10mg		Diet + Atorvastatin 80mg		Diet + Atorvastatin 80mg + Ezetimibe 10mg		Total	
	Pbo (N = 37) n (%)	EvoMab 420 mg (N = 74) n (%)	Pbo (N = 129) n (%)	EvoMab 420 mg (N = 254) n (%)	Pbo (N = 73) n (%)	EvoMab 420 mg (N = 145) n (%)	Pbo (N = 63) n (%)	EvoMab 420 mg (N = 126) n (%)	Pbo (N=302) n (%)	EvoMab 420 mg (N = 599) n (%)
Baseline										
CK > 5 x ULN	0	0	0	0	1 (1.4)	0	0	0	1 (0.3)	0
CK > 10 x ULN	0	0	0	0	1 (1.4)	0	0	0	1 (0.3)*	0
Any Postbaseline Visit										
CK > 5 x ULN	0	0	0	2 (0.8)	1 (1.4)	1 (0.7)	0	4 (3.2)	1 (0.3)	7 (1.2)
CK > 10 x ULN	0	0	0	1 (0.4)	1 (1.4)	1 (0.7)	0	1 (0.8)	1 (0.3)	3 (0.5)
Week 12 visit										
CK > 5 x ULN	0	0	0	0	0	0	0	3 (2.4)	0	3 (0.5)
CK > 10 x ULN	0	0	0	0	0	0	0	0	0	0
Week 24 visit										
CK > 5 x ULN	0	0	0	0	0	0	0	0	0	1 (0.2)
CK > 10 x ULN	0	0	0	0	0	0	0	0	0	1 (0.2)
Week 36 visit										
CK > 5 x ULN	0	0	0	0	1 (1.4)	1 (0.7)	0	1 (0.8)	1 (0.3)	2 (0.3)
CK > 10 x ULN	0	0	0	0	1 (1.4)	1 (0.7)	0	1 (0.8)	1 (0.3)	2 (0.3)
Week 52 visit										
CK > 5 x ULN	0	0	0	1 (0.4)	0	1 (0.7)	0	0	0	2 (0.3)
CK > 10 x ULN	0	0	0	0	0	0	0	0	0	0

N = number of subjects randomized and dosed in the full analysis set; EvoMab = Evolocumab ; Pbo=placebo;

ULN = upper limit of normal

\*This subject did not contribute to any of the post-baseline elevations reported.

Summary is based on observed data and no imputation is used for missing values.

Source: modified from Table 12-7 (CSR-20110109 Module 5.3.5.1)

As summarized in the table below, 5 of the 8 participants had physical activity associated with their CK elevations. Seven of 8 participants completed IP. For 6 participants, CK returned to < 5 x ULN by the next assessment; for 1 participant, CK remained elevated at the EOS visit (Subject 10925201014), and for another participant, CK remained elevated for several subsequent assessments. CK was < 5 x ULN at the EOS visit, which was approximately 7 months after her last dose of study drug (Subject 10931202010).

Subject 10931202010 was a 55-year-old woman with a history of subclinical hypothyroidism with normal thyroid stimulating hormone at screening as well as hypercholesterolemia and hypertension. Concurrent medications included valsartan, rilmenidine, carvedilol, indapamide, rabeprazole, pancreatin, calcium dobesilate, clonazepam, venlafaxine, carbamazepine, allopurinol, alverine citrate/DL methionine, pinaverium bromide, and furosemide. During the study, this participant developed severe hypothyroidism with CK elevation and renal failure as described below:

Approximately 5 months after starting evolocumab, she developed an increased CPK of 2138 U/L and was referred to the emergency department. Baseline CPK was 134. She reported 2 days of dizziness, atypical chest pain, ankle and facial edema but no muscular or skeletal complaints or signs. Serum creatinine was normal at 102 µmol/L (1.15 mg/dL) on presentation to the emergency department. Diuretic therapy was intensified with furosemide. Atorvastatin and evolocumab were permanently discontinued. Consultation with an immunologist and nephrologist was obtained and CPK and creatinine levels were monitored. Immune serology testing for myositis was negative. CPK and creatinine peaked at 5344 U/L and 177 micromol/L (2.00 mg/dL), respectively, approximately 2 months after presenting to the emergency room and thyroid stimulating hormone (TSH) was found to be elevated at 191.2 mU/L. TSH values during screening were 2.79 and 6.95 mU/L approximately one month later but prior to starting atorvastatin or evolocumab. Subsequently, severe hypothyroidism was diagnosed and thyroxine replacement was started. Further treatment included indapamide (Apadex), valsartan (Valsacor), rilmenidine (Tenaxum), potassium, spironolactone, esomeprazole, and tolperisone. Approximately one month later, CPK and creatinine levels decreased to 170 U/L and 124 micromol/L (1.40 mg/dL), respectively and TSH decreased to 37.2 mU/L. The event was adjudicated as “myalgia with CK elevation”.

Table 25: Summaries from Participants with Postbaseline Creatine Kinase Concentrations > 5 Times the Upper Limit of Normal in Trial 20110109

Subject Number (age/sex; race) Group	Peak CK (U/L)	Peak CK (x ULN <sup>a</sup> )	Associated Exertion	CK < 5 x ULN on Repeat Assessment	CK AE <sup>b</sup> Yes/No	CK AE <sup>b</sup> Severity	Continued IP
10966424001 (57/M; White) Placebo + atorvastatin 80 mg	3848	19.4	Yes	Yes	No	–	Yes
10925201014 (65/M; White) EvoMab + atorvastatin 10 mg	1012	5.1	Yes	No (end of study)	Yes	Grade 3	Yes
10931202010 (55/F; White) EvoMab + atorva 10 mg (see narrative above)	2453	14.5	No <sup>c</sup>	No (resolved by end of study)	Yes	Grade 4	No
10957203009							

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(50/M; Asian) EvoMab + atorvastatin 80 mg + ezetimibe 10 mg	1050	5.3	Yes <sup>d</sup>	Yes	No	–	Yes
10957206030 (51/M; White) EvoMab + atorvastatin 80 mg + ezetimibe 10 mg (see narrative below)	3496	17.7	Yes	Yes	Yes	Grade 4	Yes
10957208038 (62/M; White) EvoMab + atorvastatin 80 mg + ezetimibe 10 mg	1409	7.1	Yes	Yes	Yes	Grade 3	Yes
10966430029 (57/F; Black) EvoMab + atorva 80 mg + ezetimibe 10 mg	1442	8.5	No	Yes	Yes	Grade 3	Yes
10966452044 (63/F; White) EvoMab + atorva 80 mg (see narrative below)	1730	10.2	No	Yes	Yes	Grade 4	Yes

CK = creatine kinase, ULN = upper limit of normal, IP = investigational product;

<sup>a</sup> CK ULN for males = 198 U/L; CK ULN for females = 169 U/L

<sup>b</sup> CTCAE grade of reported treatment emergent adverse event with a preferred term of “blood creatine phosphokinase increased”

<sup>c</sup> Subject had a history of subclinical hypothyroidism with normal TSH at screening. The subject was assigned to the diet + atorvastatin 10 mg evolocumab group. During the study, the subject developed severe hypothyroidism with CK elevation and renal failure. Treatment with Levothyroxine was initiated. The event was adjudicated as “myalgia with CK elevation”. CK < 5 x ULN by end of study assessment

<sup>d</sup> Subject had mechanical backstrain plus intramuscular injection of diclofenac one day prior to the blood draw

Source: Modified from Table 12-8 (CSR-20110109 Module 5.3.5.1)

10957206030/10957206030: 51-year-old male, enrolled in the parent study 20110109, IP evolocumab QM 420 mg (rolled over to Year 1 SoC-controlled study 20120138, IP evolocumab QM 420 mg). The baseline CK in the parent study was 181 U/L (WNL). CK levels were elevated twice during screening in the parent study [241U/L (1.2xULN), 6 weeks prior and 317 U/L (1.6xULN), 1 week prior to the first dose). Approximately 9 months after the first dose in parent study, and 4 weeks after the last dose of evolocumab QM 420 mg, the subject’s CK increased to 3496 U/L (17.7xULN), and this was reported as the adverse event blood creatine phosphokinase increased. Creatinine was WNL (0.9 mg/dL). AST was 2xULN (72 U/L). One week later, the CK levels decreased to 590 U/L (3xULN). The adverse event was considered resolved when the CK decreased to 329 U/L (1.7xULN). Twenty-two weeks after the last dose in the parent study, the subject was administered the first dose of evolocumab QM 420 mg in the year 1 SoC-controlled study. The baseline CK level on this date was 2504 U/L (12.7x ULN), AST was 79 U/L (2.2xULN), ALT was 60 U/L (1.4xULN), and creatinine was 0.98 mg/dL (WNL). Seven days later, CK had fallen to 235 U/L (1.2xULN). Nine weeks after the elevated baseline CK level, CK was 161 U/L (WNL), AST was 56 U/L (1.6xULN), ALT was 79 U/L (1.8xULN), and creatinine was 0.96 (WNL). Pertinent medical history included increased CK levels and arthralgia. Pertinent concomitant medications

included atorvastatin 80 mg, ezetimibe and acetylsalicylic acid. The subject continued treatment with evolocumab. The investigator assessed the event as unrelated to IP. This reviewer believes the atorvastatin, and potentially the evolocumab, contributed to this CK increase.

10966452044: 63-year-old female enrolled in the parent study 20110109, evolocumab QM 420 mg with atorvastatin 80mg background therapy (rolled over into Year 1 SoC-controlled study 20120138, assigned to SoC only). Baseline CK was 95 U/L (WNL) on the date of the first dose. At Week 36, approximately 9 months after the first dose and 4 weeks after the last dose of evolocumab QM 420 mg, the subject's CK increased to 1730 U/L. AST was 66 U/L (1.9xULN), ALT was 87 U/L (2.6xULN), and creatinine was 0.9 mg/dL (WNL). The peak at week 36 was preceded by an elevated CK of 588 U/L (3.5xULN) at week 24. After the peak, CK continued to be elevated 9 days later (1205 U/L, 7xULN), 1 month later (797 U/L, 4.7xULN), and 2 months later (853 U/L, 5xULN). The subject had IP withheld at week 36, restarted at week 44, and completed the rest of the dosing ending at week 52. At the end of the parent study (in week 52) the CK was 314 U/L (1.9xULN), although the adverse event was judged to be resolved at this time. At the beginning of the Year 1 SoC-controlled study, in which the patient was assigned to SoC, the atorvastatin dose was reduced to 20 mg. On this dose, and approximately 25 weeks after the last dose of evolocumab, the subject's CK increased to 1243 U/L (7.4xULN). The investigator assessed the blood creatine phosphokinase increased adverse event as not related to IP. This reviewer believes the atorvastatin, and potentially the evolocumab, contributed to the CK increase at Week 36. Evolocumab was not likely to be related to the CK increase in the Year 1 study.

#### Liver Transaminases (ALT/AST):

At baseline, 1 (0.2%) participant in the evolocumab group and 3 (1.0%) in the placebo group had ALT or AST > 3 x ULN; none in the evolocumab group and 1 (0.3%) in the placebo group had ALT or AST > 5 x ULN at baseline; and none in the evolocumab group and 1 (0.3%) in the placebo group had Total Bilirubin > 2 x ULN at baseline.

The participant incidence of any postbaseline alanine aminotransferase (ALT) or aspartate aminotransferase (AST) > 3 x ULN was 5 (0.8%) in the evolocumab group and 3 (1.0%) in the placebo group. The participant incidence of any postbaseline ALT or AST > 5 x ULN was 3 (0.5%) in the evolocumab group and 1 (0.3%) in the placebo group. The participant incidence of any postbaseline total bilirubin > 2 x ULN was 5 (0.8%) in the evolocumab group and 1 (0.3%) in the placebo group. No participant had an ALT or AST >3 x ULN and a total bilirubin > 2 x ULN at any postbaseline visit (Hy's Law).

Table 26: Incidence of Liver Test Abnormality in Participants in Trial 20110109 (Full Analysis Set - Actual Treatment)

	Diet Only		Atorvastatin 10mg		Atorvastatin 80mg		Atorvastatin 80mg + Ezetimibe 10 mg		Total	
	Pbo N=37 n (%)	EvoMab N=74 n (%)	Pbo N=129 n (%)	EvoMab N=254 n (%)	Pbo N=73 n (%)	EvoMab N=145 n (%)	Pbo N=63 n (%)	EvoMab N=126 n (%)	Pbo N=302 n (%)	EvoMab N=599 n (%)
<b>Baseline</b>										
ALT or AST > 3 x ULN	0	1 (1.4)	1 (0.8)	0	2 (2.7)	0	0	0	3 (1.0)	1 (0.2)
ALT or AST > 5 x ULN	0	0	0	0	1 (1.4)	0	0	0	1 (0.3)	0
Total bilirubin > 2 x ULN	0	0	1 (0.8)	0	0	0	0	0	1 (0.3)	0
(ALT or AST > 3 x ULN) and (Total bilirubin > 2 x ULN or INR>1.5)	0	0	0	0	0	0	0	0	0	0
<b>Any Post-Baseline visit</b>										
ALT or AST > 3 x ULN	1 (2.7)	0	0	1 (0.4)	2 (2.7)	1 (0.7)	0	3 (2.4)	3 (1.0)	5 (0.8)
ALT or AST > 5 x ULN	1 (2.7)	0	0	0	0	1 (0.7)	0	2 (1.6)	1 (0.3)	3 (0.5)
Total bilirubin > 2 x ULN	0	0	1 (0.8)	0	0	1 (0.7)	0	4 (3.2)	1 (0.3)	5 (0.8)
(ALT or AST > 3 x ULN) and (Total bilirubin > 2 x ULN or INR>1.5)	0	0	0	0	0	0	0	0	0	0

N = number of subjects randomized and dosed in the full analysis set with normal baseline ALT and AST; EvoMab = Evolocumab; Pbo = placebo; ULN = upper limit of normal; ALT = alanine aminotransferase; AST = aspartate aminotransferase; INR = International Normalized Ratio.

ALT ULN for males = 43 U/L; ALT ULN for females = 34 U/L; AST ULN for males = 36 U/L; AST ULN for females = 34 U/L.

Summary is based on observed data and no imputation is used for missing values.

Source: modified from Table 14-7.50.1 (CSR-20110109 Module 5.3.5.1) and FDA JMPSTART liver labs analysis

\*Additional information on the participant who experienced ALT > 20 times the upper limit of normal is provided below:

Subject # 10966415011: 57-year-old white woman who developed increased aspartate aminotransferase (AST) and increased alanine aminotransferase (ALT). The subject's medical history included hypercholesterolemia, hot flushes, menopause, headache, and diarrhea. Concomitant medications while on study included ibuprofen, multivitamins, and Lactobacillus acidophilus. The subject was not receiving a statin before entering in the study.

The subject received the first dose of atorvastatin 80mg on 14 March 2012 and the first dose of evolocumab on 18 April 2012. On 07 March 2012 and 11 April 2012, prior to the baseline, laboratory test values for AST, ALT, and alkaline phosphatase (AP) were below the laboratory upper limit of normal (ULN). Baseline laboratory tests on 18 April 2012 obtained prior to the first dose of evolocumab revealed ALT of 50 U/L (1.5xULN; ULN was 34 U/L), AST of 33 U/L (ULN 34 U/L), and AP of 145 U/L (1.2xULN; ULN 123 U/L).

Approximately 3 months after the first dose of evolocumab, laboratory tests showed ALT of 695 U/L (20.4xULN) and AST of 539 U/L (15.6xULN). Additional results included AP of 319 U/L, lactate dehydrogenase of 237 U/L (ULN 234 U/L), total bilirubin of 0.5 mg/dL, direct bilirubin of 0.3 mg/dL, and fasting glucose of 113 mg/dL. On this same date (10 July 2012), the investigator advised the subject to discontinue atorvastatin. Evolocumab had already been administered that day, but was withheld subsequently. Laboratory results of samples collected on 16 July 2012 were negative for hepatitis B core antibody, hepatitis C virus, and hepatitis A virus immunoglobulin M. Additional results included AP of 275 U/L, ALT of 631 U/L (18.6xULN), AST of 414 U/L (12.2xULN), lactate dehydrogenase of 237 U/L, prothrombin time of 10.4 sec, international normalized ratio (INR) of 0.9, total bilirubin 0.6 mg/dL, direct bilirubin 0.3 mg/dL, hemoglobin 12.2 g/dL, hematocrit of 38%, and white blood cell (WBC) count  $5.11 \times 10^3/\mu\text{L}$ . On this date (16 July 2012), the subject stated that she had no symptoms, had no change in her concomitant medications, and denied pain or any other side effects due to medication or any additional alcohol consumption. On 18 July 2012, at her scheduled week 13 visit, the subject reported feeling well without any nausea, itching, anorexia or fever. The subject's blood pressure was 128/79 mmHg, pulse rate was 79 per minute, and physical examination was normal. Analysis of urine collected on 19 July 2012 revealed specific gravity of 1.026, pH of 5.5, normal glucose, RBC count of 1/high power field (HPF), and WBC count of 1/HPF and the sample was negative for protein, bilirubin, and blood. The investigator noted that the event was "probable atorvastatin-hepatitis". No treatment was given for the events.

On 08 August 2012, the subject's liver tests returned to baseline (AST 25 U/L, ALT 26 U/L, AP 100 U/L, total bilirubin of 0.3 mg/dL, direct bilirubin 0.1 mg/dL, and lactate dehydrogenase 161 U/L), and the end date for the events of increased AST and increased ALT was reported as 08 August 2012. Laboratory results of a blood sample collected on 17 October 2012 included total bilirubin 0.4 mg/dL, direct bilirubin 0.1 mg/dL, AP 86 U/L, AST 25 U/L, and ALT 14 U/L. Evolocumab was restarted on 17 October 2012, but atorvastatin was not. Laboratory test results obtained on 14 November 2012 revealed AP of 70 U/L, ALT of 13 U/L, and AST of 18 U/L. On 12 December 2012, results were total AP of 68 U/L, ALT of 15 U/L, and AST of 21 U/L. The subject's last dose of evolocumab was on 10 January 2013, as the subject requested to discontinue treatment on 20 February 2013 "due to not being provided a statin to finish study with." Laboratory tests performed on 16 January 2013 had shown AP of 71 U/L, ALT of 15 U/L, and AST of 20 U/L. Repeated laboratory tests performed at an end-of-study visit on 16 April 2013 showed AP of 72 U/L, ALT of 13 U/L, and AST of 20 U/L.

The investigator reported that there was a reasonable possibility that the events of increased AST and increased ALT were related to evolocumab. Atorvastatin was

reported as a co-suspect. This reviewer concurs with this assessment. As the hepatic enzyme tests started to increase with atorvastatin prior to the addition of evolocumab and did not increase with the re-challenge of evolocumab as monotherapy (atorvastatin 80 mg was not re-started), the statin appears to be the primary suspect.

This reviewer also looked at participants who had normal AST/ALT levels at baseline. The incidence of postbaseline ALT, AST or total bilirubin elevations was equal between the two treatment groups.

#### *Steroid Hormones:*

One of the theoretical concerns with PCSK9 inhibitors was that the marked plasma LDL-C lowering (with or without a statin) could secondarily impact other cholesterol-related processes. The adrenal glands are heavily dependent on cholesterol for hormone production, and evolocumab might be expected to affect adrenal function. No effects on the adrenal were noted in hamsters administered evolocumab for 28 days, at doses which represents 112, 48 and 20X the maximum recommended human doses of 140 mg Q2W, 420 mg QM and 420 mg Q2W doses, respectively, based on AUC. Evolocumab, administered for up to 6 months, did not cause any effects on the adrenal of monkeys at doses which represent 744, 300 and 134X the recommended human doses of 140 mg Q2W, 420 mg QM and 420 mg Q2W, respectively. Adrenal-derived hormones were not directly measured in any animal study.

In the clinical trials, samples for steroid hormone analyses (ACTH, FSH, LH, cortisol, testosterone, estradiol) were collected at baseline, Week 24, and Week 52. Analysis was done for each treatment group (FAS) as well as the FAS excluding those participants who took hormone replacement therapy (HRT). Following treatment with evolocumab, no notable trends were seen for changes from baseline in the steroid hormones of ACTH, FSH, LH and testosterone. For cortisol, there was an increase in cortisol seen in the EvoMab group at Week 52 that was not observed in the placebo group.

#### Change from Baseline to Week 52:

##### ACTH:

- FAS: (data available for 48 placebo and 110 EvoMab participants):
  - Mean change: -0.2 pmol/L for both groups.
  - Median change: EvoMab 0.3 pmol/L; Pbo 0 pmol/L.
  - No notable difference was seen when subjects on HRT were excluded.

##### FSH:

- FAS: (data available for 251 placebo and 481 EvoMab participants):
  - Mean change: EvoMab 0.7 IU/L; Pbo 0.9 IU/L.
  - Median change: EvoMab -0.2 IU/L; Pbo 0 IU/L.
  - No notable difference was seen when subjects on HRT were excluded.

##### LH:

- FAS: (data available for 251 placebo and 481 EvoMab participants):
  - Mean change: EvoMab 0 IU/L; Pbo -0.2 IU/L.
  - Median change: -0.2 IU/L for both groups.
- FAS without HRT group: results are the same

Cortisol:

- FAS at Week 52: (data available for 252 placebo and 481 EvoMab participants):
  - Mean change: EvoMab 24.1 nmol/L; Pbo 1.4 nmol/L.
  - Median change: EvoMab 24.4 nmol/L; Pbo 5.5 nmol/L.
  - No notable difference was seen when subjects on HRT were excluded.

Testosterone:

- FAS: (data available for 251 placebo and 481 EvoMab participants):
  - Mean change: EvoMab -0.2 nmol/L; Pbo 0.03 nmol/L.
  - Median change: EvoMab 0 nmol/L; Pbo 0 nmol/L.
- FAS without HRT group: (data available for 242 placebo and 468 EvoMab participants):
  - Mean change: EvoMab -1.3 nmol/L ; Pbo 0.09 nmol/L.
  - Median change: EvoMab 0 nmol/L; Pbo 0 nmol/L.

Estradiol

- FAS: (data available for 252 placebo and 481 EvoMab participants):
  - Mean change: EvoMab: 0.5 pmol/L; Pbo -15.6 pmol/L
  - Median change: EvoMab 3.7 pmol/L; Pbo 0 pmol/L.
  - No notable difference was seen when subjects on HRT were excluded.

Ad hoc analyses for the FAS excluding subjects who received HRT were examined for the following steroid hormone analyses and subgroups:

- FSH for women with baseline FSH < 25 IU/L and < 50 years of age and for men with baseline LH < 15 IU/L
- LH for women baseline FSH < 25 IU/L and < 50 years of age and for men with baseline LH < 15 IU/L
- estradiol for women with baseline FSH < 25 IU/L and < 50 years of age
- testosterone for men

FSH and LH were relatively stable in both men and women throughout the study. Testosterone levels in men were relatively constant throughout the trial. Estradiol levels for women with baseline FSH < 25 IU/L and < 50 years of age (data available for 14 placebo and 38 EvoMab participants) decreased at Week 24 in the EvoMab group compared to the placebo group:

- Mean change from baseline to Week 24: EvoMab -109 IU/L; Pbo 68 IU/L.
- Median change: EvoMab -28 IU/L; Pbo 6 IU/L.

This decrease was not seen at Week 52:

- Mean change from baseline to Week 52: EvoMab 22 IU/L; Pbo 32 IU/L.
- Median change: EvoMab 7 IU/L; Pbo 20 IU/L.

*Vitamin E:*

Lipoproteins are the major carriers of plasma lipid-soluble antioxidants, including vitamin E. Plasma  $\alpha$ -tocopherol levels are well correlated with plasma lipid levels.<sup>38</sup> In humans, relative lipoprotein distribution analysis indicates that tocopherols are mostly transported in LDL and HDL at similar proportions with less than 20% carried in VLDL and other lipoproteins<sup>39</sup>. Thus, plasma vitamin E homeostasis is intimately connected to mechanisms underlying normal lipoprotein metabolism in vivo.<sup>38</sup> Patients given PCSK9 inhibitors may develop very low LDL-C levels but the HDL-C levels do not decrease with PCSK9 inhibitor therapy. This is important because HDL is also a major carrier of plasma  $\alpha$ -tocopherol as well as an efficient source of vitamin E for cellular uptake.

The mean and median concentration of normalized serum vitamin E at Week 52 was similar to baseline concentrations for both the evolocumab and placebo groups. The mean and median concentration of total serum vitamin E at all postbaseline timepoints is decreased in the evolocumab group as compared to placebo and baseline values. This was expected as vitamin E is a fat-soluble vitamin and plasma concentrations decrease as the concentration of lipoproteins (such as chylomicrons, VLDL-C and LDL-C) transporting vitamin E decrease.

Table 27: Serum Vitamin E in  $\mu\text{mol/L}$  and Normalized Serum Vitamin E in  $\mu\text{mol/L}$  in Trial 20110109 (Full Analysis Set - Actual Treatment)

	Vitamin E		Normalized Vitamin E	
	Placebo QM (N=302)	EvoMab 420 mg QM (N=599)	Placebo QM	EvoMab 420 mg QM (N=599)
Baseline				
n	295	591	295	591
Mean	33.8	32.8	7.3	7.2
SE	0.5	0.4	0.1	0.1
Median	32.3	31.3	7.1	7.0
Q1,Q3	28.3, 37.8	26.7, 37.2	6.3, 8.1	6.2, 8.0
Week 12				
n	289	556	289	555
Mean	33.5	24.1	7.1	7.9
SE	0.6	0.3	0.1	0.1
Median	32.0	23.5	6.7	7.8

38 Rigotti A. Absorption, transport, and tissue delivery of vitamin E. *Molecular Aspects of Medicine* 28 (2007) 423–436.

39 Perugini, C., Bagnati, M., Cau, C., Bordone, R., Paffoni, P., Re, R., Zoppis, E., Albano, E., Bellomo, G., 2000. Distribution of lipid-soluble antioxidants in lipoproteins from healthy subjects. Effects of in vivo supplementation with  $\alpha$ -tocopherol. *Pharmacol. Res.* 2000. 41, 65–72.

Q1,Q3	27.2, 38.1	19.3, 27.7	6.0, 7.9	6.9, 9.0
Week 24				
n	283	556	281	550
Mean	34.1	25.4	7.3	8.3
SE	0.5	0.3	0.1	0.1
Median	32.5	24.6	7.0	8.1
Q1,Q3	28.3, 38.3	20.0, 29.3	6.3, 8.1	7.1, 9.2
Change from baseline to Week 24				
n	278	549	276	544
Mean	0.4	-7.5	0.0	1.1
Median	0.0	-7.2	-0.1	1.0
Week 36				
n	276	539	265	522
Mean	32.4	24.0	6.9	7.8
SE	0.5	0.3	0.1	0.1
Median	31.5	23.0	6.9	7.5
Q1,Q3	26.5, 37.0	18.8, 28.3	5.9, 7.8	6.6, 8.7
Week 52				
n	273	534	258	509
Mean	34.5	26.5	7.2	8.2
SE	0.5	0.4	0.1	0.1
Median	33.7	25.1	7.0	8.0
Q1,Q3	28.8, 38.3	20.9, 30.2	6.2, 7.9	7.0, 9.2
Change from baseline to week 52				
n	268	528	253	503
Mean	0.6	-6.7	-0.1	1.0
Median	0.2	-6.7	-0.1	0.9

N = number of subjects randomized and dosed in the full analysis set; EvoMab = Evolocumab (AMG 145);  
 QM = monthly (subcutaneous).

Normalized Serum Vitamin E = Serum Vitamin E (µmol/L) / Total Cholesterol (mmol/L)

Summary is based on observed data and no imputation is used for missing values.

Source: Modified from Table 14-7.52.1, Table 14-7.52.4 and Table 12-11 (CSR-20110109 Module 5.3.5.1)

### Vitamin E Substudy

To evaluate the potential impact of evolocumab dosing on vitamin E levels, 100 participants (55 in the evolocumab group and 45 in the placebo group) were enrolled in the vitamin E substudy. The goal of the substudy was to provide additional data exploring whether evolocumab affected vitamin E concentrations in specific lipid components<sup>40,41</sup> (serum vitamin E, LDL-C vitamin E, HDL-C vitamin E, red blood cell (RBC) vitamin E, and non-HDL-C vitamin E). The concentration of vitamin E in RBCs was measured to evaluate the tissue levels of vitamin E.<sup>42</sup> The RBC vitamin E assay

40 Ford L, Farr J, Morris P, Berg J. The value of measuring serum cholesterol-adjusted vitamin E in routine practice. *Ann Clin Biochem.* 2006; 43 (Pt 2):130–134

41 Horwitt MK, Harvey CC, Dahm CH Jr, et al. Relationship between tocopherol and serum lipid levels for determination of nutritional adequacy. *Ann NY Acad Sci.* 1972;203:223-236.

42 Saito M, Nakatsugawa K, Ohhashi A, Nishimuta M, Kodama N. Comparison of vitamin-E levels in human plasma, red-blood-cells, and platelets following varying intakes of vitamin-E. *J Clin Biochem*

was selected as the most appropriate method for tissue measurements by the applicant because it does not require a tissue biopsy and had previously been used in a study of a microsomal triglyceride transfer protein (MTP) inhibitor. These components were summarized (both absolute values and values normalized against the cholesterol concentration in the relevant component [eg, normalized HDL-C vitamin E was normalized by dividing the vitamin E concentration by the HDL-C concentration]) for each treatment group at each scheduled visit. These analyses were also repeated with exclusion of participants who had received any vitamin E supplementation<sup>43</sup> during the study. There were 36 placebo and 44 evolocumab participants in the substudy that did not receive vitamin E supplements or multivitamins containing vitamin E.

The baseline demographics and baseline lipid parameters for the Vitamin E substudy group was consistent with the FAS.

The table below provides the changes in serum and normalized serum vitamin E in the lipid components in the vitamin E substudy. The mean and median total vitamin E ( $\mu\text{mol/L}$ ) in the placebo group was stable from baseline to Week 12 and decreased slightly from Week 12 to Week 52. As expected, the total vitamin E declined in the evolocumab group over 52 weeks. However, total vitamin E normalized by total cholesterol remained stable in both groups. This is likely the same reasoning as was given for the FAS results; namely, the decrease in total vitamin E resulted from a reduction in total cholesterol while the concentration of vitamin E in cholesterol containing lipoproteins, represented by the normalized vitamin E values, was not changed.

Similarly, mean LDL vitamin E ( $\mu\text{mol/L}$ ) in the placebo group was stable from baseline to Week 12 and decreased slightly from Week 12 to 52, while LDL vitamin E declined over time in the evolocumab group. LDL vitamin E normalized by LDL-C remained largely stable in both groups at baseline, Week 12, and Week 52.

Mean HDL vitamin E ( $\mu\text{mol/L}$ ) concentrations and HDL vitamin E normalized by HDL-C in both the placebo and evolocumab groups were fairly stable from baseline to Week 12 and Week 52. The ~5% increase in HDL-C seen with evolocumab treatment did not significantly impact vitamin E carried in HDL-C or its concentration.

The concentration of vitamin E in red blood cells (RBCs) was evaluated to assess for tissue levels of vitamin E. This substudy was substantially limited by a very small sample size at the onset (14 placebo, 17 EvoMab) and by ~40% missing data by Week 12, with only 9 placebo and 6 EvoMab contributing to the Week 52 assessment.<sup>44</sup> At least for those with data, for the most part, mean and median RBC

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Nutr. 1992;12:59-68.

43 In the analysis of the Study 20110109 vitamin E substudy, a medication containing vitamin E, including a multivitamin, would have resulted in excluding a subject from the analysis.

44 Total vitamin E is composed of both an alpha and a gamma fraction. According to the applicant, for

vitamin E ( $\mu\text{mol/L}$ ) values in both the placebo and evolocumab groups were stable from baseline to Week 12 and Week 52. RBC vitamin E normalized by hematocrit also remained stable in both groups.

Table 28: Summary of Vitamin E Lipid parameters in Trial 20110109 (Vitamin E Substudy Analysis Set - Actual Treatment)

Serum Vitamin E		Normalized Vitamin E	
Placebo (N=45)	EvoMab 420 mg (N=55)	Placebo (N=45)	EvoMab 420 mg (N=55)
Serum Vitamin E ( $\mu\text{mol/L}$ )			
Baseline			
n	45	55	45
Mean	33.1	36.0	7.1
Median	33.0	32.7	7.2
Week 12			
n	44	54	44
Mean	34.1	27.4	7.2
Median	33.6	26.6	6.7
Week 52			
n	41	52	37
Mean	30.4	26.4	6.7
Median	31.1	24.6	6.4
Change from baseline to week 52			
Mean	-3.2	-10.1	-0.4
Median	-3.3	-8.8	-0.7
LDL-C Vitamin E ( $\mu\text{mol/L}$ )			
Baseline			
n	41	48	41
Mean	14.	14.6	5.6
Median	13.	14.5	5.4
Week 12			
n	40	51	40
Mean	13.	6.5	5.0
Median	12.	5.6	4.9
Week 52			
n	36	44	33
Mean	11.5	5.5	4.3
Median	10.1	4.5	4.0
Change from baseline to week 52			
Mean	-3.1	-9.1	-1.2
Median	-2.1	-10.0	-1.3
HDL-C Vitamin E ( $\mu\text{mol/L}$ )			
Baseline			

RBC-vitamin E measurements, the central lab did not calculate the total vitamin E unless both the alpha and gamma components were present. Gamma vitamin E was often below the quantifiable limit (BQL), which led to a number of samples being excluded from the analysis of RBC-vitamin E. In addition, out of 300 possible samples (100 subjects collected at baseline, Weeks 12 and 52) only 194 (65%) were received by the central lab for testing.

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 Repatha (evolocumab)

	Serum Vitamin E		Normalized Vitamin E	
	Placebo (N=45)	EvoMab 420 mg (N=55)	Placebo (N=45)	EvoMab 420 mg (N=55)
n	42	48	42	48
Mean	9.9	11.8	7.5	8.5
Median	9.5	11.0	7.5	8.3
Week 12				
n	40	51	40	51
Mean	11.4	12.9	9.0	9.1
Median	10.7	12.1	8.6	9.2
Week 52				
n	40	48	36	45
Mean	12.5	13.8	9.3	9.8
Median	12.5	13.5	9.6	9.9
Change from baseline to week 52				
Mean	2.2	2.0	1.9	1.0
Median	2.3	2.6	2.3	1.1
Non-HDL-C Vitamin E				
Baseline				
n	42	48	42	48
Mean	23.6	25.0	7.1	7.9
Median	22.3	21.6	6.6	7.5
Week 12				
n	40	51	40	51
Mean	22.3	14.5	6.5	8.6
Median	21.5	13.2	6.1	8.4
Week 52				
n	39	47	35	44
Mean	17.8	13.2	5.5	7.4
Median	17.0	11.1	5.2	7.0
Change from baseline to week 52				
Mean	-5.7	-12.0	-1.5	-0.5
Median	-4.1	-10.9	-1.5	-0.4
RBC Vitamin E (µmol/L)				
Baseline				
n	14	17	14	17
Mean	3.0	3.0	7.1	7.5
Median	2.9	3.0	6.7	7.4
Week 12				
n	11	7	11	6
Mean	3.0	3.2	7.1	8.1
Median	3.0	2.8	7.0	7.9
Week 52				
n	9	6	9	6
Mean	3.2	2.9	7.9	6.7
Median	3.3	2.9	7.6	6.9
Change from baseline to week 52				
Mean	0.2	0.4	0.4	0.6
Median	0.3	0.3	0.5	0.7

Serum Vitamin E		Normalized Vitamin E	
Placebo (N=45)	EvoMab 420 mg (N=55)	Placebo (N=45)	EvoMab 420 mg (N=55)
N = number of subjects randomized and dosed in the Vitamin E substudy analysis set; EvoMab = Evolocumab Summary is based on observed data and no imputation is used for missing values. Normalized HDL Vitamin E = HDL-Vitamin E (μmol/L) / HDL-C (mmol/L) Normalized RBC Vitamin E = RBC Vitamin E (μmol/L) / Hematocrit (fraction of 1) *normal: 3.9–12.5 μmol/L or 1.7–5.4 μg/mL Source: Modified from Applicant's Table 14-7.52.2, Table 14-7.52.5, Table 14-7.53.1, Table 14-7.53.3, Table 14-7.54.1, Table 14-7.54.3, Table 14-7.56.1, Table 14-7.56.3, Table 14-7.55.1, Table 14-7.55.3 (CSR-20110109 Module 5.3.5.1)			

Excluding the 20 participants who received at least 1 vitamin E supplement/multivitamin containing vitamin E during the course of the trial did not result in notable changes in any of the vitamin E substudy parameters.

*Anti-evolocumab binding antibodies:*

A total of 900 participants (598 in the evolocumab group; 302 in the placebo group) had available samples for antibody analysis. A total of 894 participants (301 from placebo groups and 593 from evolocumab groups) had pre-dose results and 882 participants (297 from placebo groups and 585 from evolocumab groups) had post-baseline results. Two participants randomized to evolocumab tested positive for pre-existing anti-evolocumab binding antibodies at baseline and 1 (0.2%) participant in the evolocumab group (with a negative result at baseline) developed anti-evolocumab binding antibodies transiently postbaseline. This participant had a positive result at Weeks 12 and 36 and a negative result at Week 24 and End of Study. No participants tested positive for anti-evolocumab neutralizing antibodies.

*Vital Signs*

A review of mean and median changes in systolic and diastolic blood pressure as well as heart rate at various timepoints during the trial (Weeks 12, 13, 24, 36, 37 and 52) did not reveal any clinically meaningful changes from baseline or compared to placebo.

*ECG*

A review of mean and median changes in PR, QRS, and QT interval at various timepoints during the trial (Weeks 13, 24, 37 and 52) did not reveal any clinically meaningful changes from baseline or compared to placebo.

Using Bazett's correction method for QTc (QTcB), at baseline, > 450 msec intervals were reported for 50 (8.3%) evolocumab participants and 38 (12.6%) placebo; maximum postbaseline QTcB intervals > 450 msec were reported for 117 (19.5%) evolocumab participants and 68 (22.5%) placebo. Postbaseline QTcB intervals > 480 msec were reported for 7 (1.2%) evolocumab participants and 3 (1.0%) placebo. A maximum increase of > 30 msec from baseline was reported for 44 (7.3%) evolocumab participants and 15 (5.0%) placebo; no individual in either group had a maximum increase > 60 msec from baseline.

Using Fridericia's correction method (QTcF), at baseline, > 450 msec intervals were reported for 21 (3.5%) evolocumab participants and 9 (3.0%) placebo; maximum postbaseline QTcF intervals > 450 msec were reported for 59 (9.8%) evolocumab participants and 29 (9.6%) placebo. Postbaseline QTcB intervals > 480 msec were reported for 4 (0.7%) evolocumab participants and no placebo participants. A maximum increase of > 30 msec from baseline was reported for 32 (5.3%) evolocumab participants and 10 (3.3%) placebo; 1 participant in the evolocumab group had a maximum increase > 60 msec from baseline.

### **Conclusions:**

#### Efficacy

- The primary efficacy endpoint, percent change from baseline in ultracentrifugation (UC) LDL-C at Week 52 for evolocumab (420 mg SC QM) compared with placebo QM when added to background lipid-lowering therapy, was -57.0% (2.1%) (multiplicity adjusted  $p < 0.001$ ).
- Compared with placebo, the treatment difference for the percent change from baseline at Week 52 in UC LDL-C (SE) for the evolocumab QM group was -55.7% (4.2%) in the diet alone group, -61.6% (2.6%) in the diet plus atorvastatin 10 mg group, -56.8% (5.3%) in the diet plus atorvastatin 80 mg group, and -48.5% (5.2%) in the diet plus atorvastatin 80 mg plus ezetimibe 10 mg group.
- Statistically significant reductions in UC LDL-C from baseline occurred during the first 12 weeks on study for participants treated with evolocumab; these reductions were maintained through Week 52.
- Evolocumab treatment, as compared with placebo, resulted in statistically significant mean percent reductions from baseline in total cholesterol, non-HDL-C, apolipoprotein B, lipoprotein(a), and triglycerides and a statistically significant increase in HDL-C.
- Evolocumab was effective across all subgroups with no significant differences; however, there was a trend toward greater LDL-C reduction with lower BMIs.

#### Safety

- Two fatal adverse events occurred in the evolocumab group during the study treatment period. A third fatal adverse event occurred 21 days after the EOS (49 days after the last dose of evolocumab). The 3 deaths were cardiac in nature (cardiac failure, myocardial infarction and sudden cardiac death).
- Serious adverse events (SAEs) were reported in 33 (5.5%) of participants in the evolocumab group and 13 (4.3%) of participants in the placebo group.
  - SAEs reported by 2 participants each (0.3%) in the evolocumab group included angina pectoris, palpitation, ventricular extrasystoles, vertigo positional, back pain, and pulmonary embolism; angina pectoris was reported by 2 participants (0.7%) in the placebo group.

- Adverse events leading to discontinuation of IP were reported in 3 (2.2%) of participants in the evolocumab group and 13 (1.0%) of participants in the placebo group. Small increases in discontinuations in the EvoMab group as compared to the placebo group include
  - cardiac disorders (cardiac failure, myocardial infarction, supraventricular extrasystoles) [3 (0.5%) vs 0]
  - general disorders (chills; injection site erythema/pruritus/swelling/urticaria) [2 (0.3%) vs 0]
  - investigations (CPK or hepatic enzyme increased) [2 (0.3%) vs 0]
  - myalgia [2 (0.3%) vs 0]
  - injection-site reactions reported in 34 participants (5.7%) in the evolocumab group and 15 (5.0%) in the placebo group, resulting in discontinuation of evolocumab in 1 participant
- Adverse events occurred in 74.8% of the evolocumab group and 74.2% of the placebo group.
  - Adverse events reported in  $\geq 5\%$  of participants in either group were nasopharyngitis (10.5% evolocumab, 9.6% placebo), upper respiratory tract infection (9.3% evolocumab, 6.3% placebo), influenza (7.5% evolocumab, 6.3% placebo), and back pain (6.2% evolocumab, 5.6% placebo).
  - Adverse events (by preferred term) where there was a  $\geq 1\%$  increase in the EvoMab group as compared to the placebo group include: anemia (1.2% evolocumab, 0% placebo); abdominal pain upper (2.2% evolocumab, 0.7% placebo); dyspepsia (1.8% evolocumab, 0.7% placebo); non-cardiac chest pain (1.3% evolocumab, 0% placebo); sinusitis (4.2% evolocumab, 3.0% placebo); gastroenteritis (3.0% evolocumab, 2.0% placebo); CK increased (1.5% evolocumab, 0.3% placebo); ALT increased (1.0% evolocumab, 0% placebo); myalgia (4.0% evolocumab, 3.0% placebo); dizziness (3.7% evolocumab, 2.6% placebo); anxiety (1.7% evolocumab, 0.7% placebo); nephrolithiasis (1.2% evolocumab, 0% placebo); oropharyngeal pain (2.5% evolocumab, 1.3% placebo); and rash (1.8% evolocumab, 0.3% placebo).
- Positively Adjudicated Events
  - Six (1.2%) participants in the evolocumab group and 2 (0.7%) participants in the placebo group had positively adjudicated events.
    - cardiovascular death (evolocumab: 3 [0.5%]; placebo: 0 [0%])
    - non-fatal MI (evolocumab: 1 [0.2%]; placebo: 0 [0%])
    - PCI (evolocumab: 1 [0.2%]; placebo: 1 [0.3%])
    - CABG (evolocumab: 0 subjects [0%]; placebo: 1 [0.3%])
    - transient ischemic attack (evolocumab: 1 [0.2%]; placebo: 0 [0%])
    - myalgia with creatine kinase (CK) elevation (evolocumab: 1 [0.2%]; placebo: 0 [0%])
- Steroid hormones
  - No notable trends were seen for changes from baseline in the steroid

hormones of ACTH, FSH, LH and testosterone. There was an increase in cortisol seen in the EvoMab group at Week 52 that was not observed in the placebo group.

- Vitamin E
  - The mean and median concentration of normalized serum vitamin E at Week 52 was similar to baseline concentrations for both the evolocumab and placebo groups. The mean and median concentration of total serum vitamin E at all postbaseline timepoints was decreased in the evolocumab group as compared to placebo and baseline values. This was expected as vitamin E is a fat-soluble vitamin and plasma concentrations decrease as the concentration of lipoproteins (such as HDL-C and LDL-C) transporting vitamin E decrease. The concentration of vitamin E in red blood cells (RBCs) was evaluated to assess for tissue levels of vitamin E. Although limited by both a very small sample size and substantial missing data, mean and median RBC vitamin E values in both the placebo and evolocumab groups were stable from baseline to Week 12 and Week 52 for those with measurements. RBC vitamin E normalized by hematocrit also remained stable in both groups.
- Anti-evolocumab binding antibodies:
  - 1 (0.2%) participant in the evolocumab group (with a negative result at baseline) developed anti-evolocumab binding antibodies transiently postbaseline.
  - No participants tested positive for anti-evolocumab neutralizing antibodies.
- Vital signs and ECG
  - There were no notable changes between the 2 groups.

Limitations:

- This trial did not use the to-be-marketed devices or formulation.
- As classified by NCEP ATP III criteria, the majority of participants (64%) were at moderate or low CHD risk and only 26% were considered at high risk for CHD. Only 15% of participants had a medical history of coronary artery disease, with <8% having a history of prior myocardial infarction. Only 4% of participants had a medical history of cerebrovascular or peripheral arterial disease, with <1% having a history of prior stroke. Only 12% of participants had a history of diabetes. Thus, the overall trial population did not represent a population at high CV risk with substantial CVD burden.
- 12% of participants were on no background lipid-lowering drug therapy and 43% were on low dose atorvastatin. Thus, at least 55% of the trial population was not on optimally or maximally titrated background statin treatment.
- According to the trial design, the majority of participants, regardless of their CV risk, were at NCEP ATP III goal prior to adding evolocumab.

### 5.3.2 Trial 20110116: GAUSS-2

While the efficacy results of the four phase 3 trials were similar, the baseline CV risk factors and the tolerability data from this group of subjects who had difficulty tolerating statins was felt to be potentially the most informative of the four phase 3 trials. In the statin-intolerant trial 20110116, as compared to the other three Phase 3 trials, the participants were older, were more likely to be at high or moderately high CHD risk, had a similar background incidence of CAD as the HeFH trial but higher than the monotherapy or combination with statin trials, had a higher incidence of diabetes and hypertension, and had higher baseline LDL values.

20110116: A Double-blind, Randomized, Multicenter Study to Evaluate Safety and Efficacy of AMG 145, Compared With Ezetimibe, in Hypercholesterolemic Subjects Unable to Tolerate an Effective Dose of a HMG-CoA Reductase Inhibitor

**Investigators:** This study was conducted at 51 centers in the United States, Australia, Netherlands, Denmark, Spain, Germany, United Kingdom, Belgium, France, Canada, Switzerland, Hong Kong, and Poland.

**Study period:** The first subject was enrolled on 23 January 2013, and the last subject completed follow-up on 19 November 2013.

**Phase of Development:** 3

**Publications Based on the Study:** Cho L, Rocco M, Colquhoun D, et al. Design and Rationale of the GAUSS-2 Study Trial: A Double-Blind, Ezetimibe-Controlled Phase 3 Study of the Efficacy and Tolerability of Evolocumab (AMG 145) in Subjects With Hypercholesterolemia Who Are Intolerant of Statin Therapy. Clin Cardiol. 2014 Jan 29.

**Primary Objectives:** Statin intolerance was defined as subjects who had tried at least 2 statins and were unable to tolerate any dose or an increase in statin dose above total weekly maximum doses of statins specified in the protocol (ie, atorvastatin 70 mg, simvastatin 140 mg, pravastatin 140 mg, rosuvastatin 35 mg, lovastatin 140 mg, or fluvastatin 280 mg or 7 times the smallest tablet size for any other statins) due to intolerable myopathy. The primary objective was to evaluate the effect of 12 weeks of subcutaneous (SC) evolocumab administered every 2 weeks (Q2W) and monthly (QM) compared with ezetimibe, on percent change from baseline in low-density lipoprotein cholesterol (LDL-C) in hypercholesterolemic subjects unable to tolerate an effective dose of a statin.

**Secondary Objectives:**

- to evaluate the safety and tolerability of evolocumab SC Q2W and QM,

compared with ezetimibe

- to evaluate the effect of 12 weeks of evolocumab SC Q2W and QM, compared with ezetimibe, on percent change from baseline in LDL-C
- to assess the effects of 12 weeks of evolocumab SC Q2W and QM, compared with ezetimibe, on change from baseline in LDL-C and percent change from baseline in non-high-density lipoprotein cholesterol (non-HDL-C), apolipoprotein B (ApoB), total cholesterol/HDL-C ratio, ApoB/Apolipoprotein A1 (ApoA1) ratio, lipoprotein(a) [Lp(a)], triglycerides, very low-density lipoprotein cholesterol (VLDL-C), and HDL-C
- to assess the effects of 12 weeks evolocumab SC Q2W and QM, compared with ezetimibe, on % of subjects attaining LDL-C < 70 mg/dL

**Design:** Phase 3, multicenter, double-blind, randomized, double-dummy, ezetimibe-controlled, parallel-group study designed to evaluate the efficacy and safety of evolocumab, compared with ezetimibe in subjects with hypercholesterolemia who have tried at least 2 statins and were unable to tolerate any dose or an increase in statin dose above total weekly maximum doses listed in the protocol due to intolerable myopathy, ie, myalgia (muscle pain, ache, or weakness without creatine kinase [CK] elevation), myositis (muscle symptoms with increased CK levels), or rhabdomyolysis (muscle symptoms with marked CK elevation). Prior to randomization, subjects entered a 6-week screening period to determine eligibility. During screening, placebo was administered to confirm tolerance of SC administration prior to randomization. All subjects received placebo SC that corresponded to the QM dose volume (ie, 3.0 mL) using 3 consecutively administered autoinjector/pens (AI/pens). After the 6-week screening period, eligible subjects were randomized with an allocation ratio of 2:2:1:1 into 4 treatment groups. Randomization was stratified by screening LDL-C level (< 180 mg/dL vs ≥ 180 mg/dL) and by baseline statin use (yes vs no).

Treatment Group	Investigational Product and Dose	Planned Number of Subjects
Evolocumab Q2W	Evolocumab 140 mg SC Q2W and placebo PO QD	100
Evolocumab QM	Evolocumab 420 mg SC QM and placebo PO QD	100
Ezetimibe Q2W	Placebo SC Q2W and ezetimibe 10 mg PO QD	50
Ezetimibe QM	Placebo SC QM and ezetimibe 10 mg PO QD	50

Subjects received their first dose of SC (evolocumab or placebo) and oral (PO) (ezetimibe or placebo) investigational product (IP) on day 1, and returned to the study center at weeks 2 (Q2W group), 8, 10, and 12 for study assessments, including collection of samples for the determination of lipid parameters. Each Q2W dose of evolocumab was administered using a single AI/pen that delivered a 140 mg dose; each QM dose was administered via 3 AI/pens for a total evolocumab dose of 420 mg.

**Patient Population:** Men and women ≥ 18 to ≤ 80 years of age with documented

evidence that they tried at least 2 statins and have been unable to tolerate any dose or an increase in statin dose to the weekly maximum doses due to intolerable myopathy, ie, myalgia (muscle pain, ache, or weakness without CK elevation), myositis (muscle symptoms with increased CK levels), or rhabdomyolysis (muscle symptoms with marked CK elevation). Symptoms must have resolved when the statin was discontinued or the dose reduced. Depending on a subject's risk category (based on National Cholesterol Education Program Adult Treatment Panel [NCEP ATP III] treatment goals), subjects must have met the following fasting LDL-C (by central laboratory) criteria at screening:

- $\geq 100$  mg/dL for subjects with diagnosed coronary heart disease (CHD) or CHD risk equivalent
- $\geq 130$  mg/dL for subjects without diagnosed CHD or risk equivalent and 2 or more risk factors
- $\geq 160$  mg/dL for subjects without diagnosed CHD or risk equivalent and with 1 risk factor
- $\geq 190$  mg/dL for subjects without diagnosed CHD or risk equivalent and with no risk factors

Fasting triglycerides must have been  $\leq 400$  mg/dL as determined by the central laboratory analysis at screening.

**Duration of Treatment:** This study included a maximum 6-week screening period, followed by a 12-week treatment period.

**Endpoints:**

The co-primary efficacy endpoints were:

- percent change from baseline in LDL-C at week 12
- percent change from baseline in LDL-C at the mean of weeks 10 and 12

The co-secondary efficacy endpoints were at week 12 and at the mean of weeks 10 and 12 for:

- tier 1
  - absolute change from baseline in LDL-C
  - percent of subjects with LDL-C  $< 70$  mg/dL
  - percent change from baseline in non-HDL-C
  - percent change from baseline in ApoB
  - percent change from baseline in the total cholesterol/HDL-C ratio
  - percent change from baseline in ApoB/ApoA1 ratio
- tier 2
  - percent change from baseline in Lp(a)
  - percent change from baseline in triglycerides
  - percent change from baseline in VLDL-C
  - percent change from baseline in HDL-C

**Statistical Analyses:** Efficacy and safety analyses were performed on the full analysis set (FAS), which included all randomized subjects who received at least 1

dose of IP (either SC or PO). Efficacy analyses were also performed on the monotherapy analysis set (MAS) which included subjects in the FAS who did not take any baseline lipid-regulating medications at study entry. Analyses were performed separately by dose frequency (Q2W and QM), unless specified otherwise. The superiority of evolocumab to ezetimibe was assessed for all efficacy endpoints. Testing of each co-endpoint pair in each analysis set (FAS and MAS) resulted in a single p-value; for co-secondary endpoints in the FAS and all co-endpoints in the MAS, these p-values were then used in the Hochberg procedure. The following method was used to preserve the familywise error rate at 0.05 for testing the co-primary and co-secondary efficacy endpoints within each dose frequency:

1. If the treatment effect from the primary analysis of the co-primary endpoints in the FAS was significant at a significance level of 0.05, statistical testing of the tier 1 co-secondary efficacy endpoints followed the Hochberg procedure at a significance level of 0.005.
2. If all tier 1 co-secondary efficacy endpoints were significant in the FAS, the tier 2 co-secondary efficacy endpoints in the FAS, co-primary and all co-secondary efficacy endpoints in the MAS were tested using the Hochberg procedure at a significance level of 0.05.
3. If not all tier 1 co-secondary efficacy endpoints were significant in the FAS, the tier 2 co-secondary efficacy endpoints in FAS, co-primary and all co-secondary efficacy endpoints in the MAS were tested using the Hochberg procedure at a significance level of 0.045

For all analyses related to LDL-C, unless specified otherwise, a reflexive approach was used, where the calculated LDL-C was employed unless the calculated LDL-C was < 40 mg/dL or triglycerides were > 400 mg/dL in which case ultracentrifugation (UC) LDL-C was determined and utilized.

#### *Analyses of Co-Primary Endpoints*

To assess the co-primary endpoints of the percent change in LDL-C from baseline at week 12 and the mean percent change in LDL-C from baseline at weeks 10 and 12, a repeated measures linear effects model was used within each dose frequency to compare the efficacy of evolocumab with ezetimibe. The repeated measures model included terms for treatment group, stratification factors, scheduled visit and the interaction of treatment with scheduled visit. Missing values were not imputed when the repeated measures linear effects model was used.

#### *Analyses of Secondary and Tertiary Endpoints*

The statistical model for the co-secondary endpoints and tertiary endpoints was similar to the co-primary endpoints. However, the co-secondary endpoints of LDL-C response were analyzed using the Cochran-Mantel Haenszel (CMH) test adjusted by stratification factors.

### **Results:**

### Patient Demographics

Sex: 46% women; 54% men

Age: mean (SD) 61.5 (9.8) years

Ethnicity: 2.3% Hispanic or Latino

Race: 93.5% white, 3.3% Asian, 2.3% black/African American, 0.3% Native Hawaiian or Other Pacific Islander, and 0.7% other race.

- Mean (SD) serum concentration of reflexive LDL-C at baseline was 193.1 (58.5) mg/dL overall and was similar across treatment groups.
- 51% of subjects had a screening LDL-C concentration of < 180 mg/dL and 49% of subjects had a screening LDL-C concentration of  $\geq$  180 mg/dL
- 81% of subjects had no baseline statin use and 19% recorded baseline statin use
- At baseline, 90 (29.3%) subjects overall had coronary artery disease and 49 (16.0%) subjects overall had cerebrovascular or peripheral arterial disease.
- 59% of subjects were < 65 years of age and 41% of subjects were  $\geq$  65 years of age

The applicant states that all subjects (100%) were intolerant to 2 or more statins, 55% of subjects were intolerant to 3 or more statins, and 21% of subjects were intolerant to 4 or more statins. Of note, the subjects were not intolerant necessarily to the lowest statin dose but to any dose or an increase in dose above the total maximum weekly doses (such  $\leq$ 70 mg atorvastatin,  $\leq$ 140 mg simvastatin, pravastatin, or lovastatin or  $\leq$ 35 mg rosuvastatin) due to intolerable myopathy. Thus, a subject could be taking atorvastatin 10 mg/day, simvastatin 20 mg/day or rosuvastatin 5 mg/day and be categorized as statin-intolerant, according to this definition. Atorvastatin, simvastatin, and rosuvastatin were the most commonly reported statins associated with muscle intolerance, reported in 77%, 73%, and 71% of subjects, respectively (see table).

Table 29: Summary of Statin Intolerance Medical History (Full Analysis Set) – Trial 20110116

	Ezetimibe Overall (N = 102) n (%)	EvoMab Overall (N = 205) n (%)	Total (N = 307) n (%)
Number of subjects reporting statin intolerance medical history	102 (100.0)	205 (100.0)	307 (100.0)
LDL-C treatment goals based on risk category			
LDL-C < 100 mg/dL for diagnosed CHD or CHD risk equivalent	50 (49.0)	103 (50.2)	153 (49.8)
LDL-C < 130 mg/dL for 2 or more risk factors without diagnosed CHD or CHD risk equivalent	33 (32.4)	53 (25.9)	86 (28.0)
LDL-C < 160 mg/dL for 1 risk factor without diagnosed CHD or CHD risk equivalent	12 (11.8)	35 (17.1)	47 (15.3)
LDL-C < 190 mg/dL for no risk factors without diagnosed CHD or CHD risk equivalent	7 (6.9)	14 (6.8)	21 (6.8)
Intolerance to statins (number of statins per subject)			
Two statins	42 (41.2)	96 (46.8)	138 (45.0)
Three statins	35 (34.3)	69 (33.7)	104 (33.9)
Four or more statins	25 (24.5)	40 (19.5)	65 (21.2)
Family history of muscular symptoms			
Yes	23 (22.5)	33 (16.1)	56 (18.2)
No	78 (76.5)	172 (83.9)	250 (81.4)
Unknown	1 (1.0)	0 (0.0)	1 (0.3)
Family history of muscular symptoms with statin(s)			
Yes	20 (19.6)	30 (14.6)	50 (16.3)
No	82 (80.4)	175 (85.4)	257 (83.7)
Muscle related intolerance to statins (statin name)			
Atorvastatin	82 (80.4)	155 (75.6)	237 (77.2)
Simvastatin	77 (75.5)	147 (71.7)	224 (73.0)
Rosuvastatin	73 (71.6)	145 (70.7)	218 (71.0)
Pravastatin	29 (28.4)	53 (25.9)	82 (26.7)
Fluvastatin	17 (16.7)	38 (18.5)	55 (17.9)
Lovastatin	9 (8.8)	10 (4.9)	19 (6.2)
Pitavastatin	4 (3.9)	6 (2.9)	10 (3.3)
Other statin	2 (2.0)	2 (1.0)	4 (1.3)

Worst muscle related side effect for any statin - n (%)			
Myalgia (muscle symptoms without CK elevation)	85 (83.3)	161 (78.5)	246 (80.1)
Myositis (muscle symptoms with CK elevation)	15 (14.7)	39 (19.0)	54 (17.6)
Rhabdomyolysis (muscle symptoms with significant CK elevation)	2 (2.0)	4 (2.0)	6 (2.0)
Distribution of muscle-related side effect – n (%)			
Bilateral	101 (99.0)	196 (95.6)	297 (96.7)
Unilateral	0 (0.0)	7 (3.4)	7 (2.3)
Predominant body site of muscle-related side effect - n (%)			
Upper extremity	24 (23.5)	42 (20.5)	66 (21.5)
Lower extremity	44 (43.1)	104 (50.7)	148 (48.2)
Trunk	6 (5.9)	14 (6.8)	20 (6.5)
All over	44 (43.1)	86 (42.0)	130 (42.3)
History of CK elevation – n (%)			
None	79 (77.5)	157 (76.6)	236 (76.9)
> 1 x ULN and < 10 x ULN	11 (10.8)	37 (18.0)	48 (15.6)
10 x ULN and < 40 x ULN	2 (2.0)	3 (1.5)	5 (1.6)
40 x ULN	0 (0.0)	1 (0.5)	1 (0.3)
Unknown	10 (9.8)	6 (2.9)	16 (5.2)
Associated with CK elevation – n (%)			
Serum creatinine elevation	5 (4.9)	3 (1.5)	8 (2.6)
Brown urine or elevated urinary myoglobin	3 (2.9)	0 (0.0)	3 (1.0)
Medical intervention with intravenous hydration	1 (1.0)	3 (1.5)	4 (1.3)

CHD = coronary heart disease; EvoMab = Evolocumab (AMG 145); LDL-C = low-density lipoprotein;  
 N = number of subjects randomized and dosed in the full analysis set.  
 Source: Modified from applicant's Table 14-2.6.1, Table 14-2.6.2, and Table 9-4 from CSR: 20110116

### Patient Disposition

A total of 307 subjects were randomized to 1 of the 4 groups, as follows:

- 205 to the evolocumab groups (103 Q2W, 102 QM)
- 102 to the ezetimibe groups (51 Q2W, 51 QM).

All 307 (100%) subjects received IP (205 evolocumab and 102 ezetimibe) and were included in the FAS. A total of 293 (95.4%) subjects completed SC IP, 276 (89.9%) completed PO IP, and 273 (88.9%) completed both SC and PO IP. A total of 290 (94.5%) subjects completed the study. Of the 17 (5.5%) subjects who did not complete the study, 13 (4.2%) subjects completed IP and week 12 visits but entered an open-label extension study [20120138] before they completed the EOS phone call at week 14 (category of "sponsor decision"), 3 (1.0%) subjects withdrew consent, and 1 (0.3%) subject was lost to follow-up.

### Exposure to Study Drug

A total of 307 subjects received  $\geq 1$  dose of IP (evolocumab: 205, ezetimibe: 102) and were included in the full analysis set. The mean (SD) duration of exposure to SC IP was 2.7 (0.3) months for the overall evolocumab group and 2.7 (0.4) months for the overall ezetimibe group. The mean (SD) cumulative dose of evolocumab was 803.3 (117.6) mg for evolocumab Q2W and 1240.8 (78.9) mg for evolocumab QM.

### Concomitant Medication Use

At baseline, 134 (65.4%) subjects in the overall evolocumab group and 71 (69.6%) subjects in the overall ezetimibe group were not taking lipid modifying therapy. Of these subjects, 3 (2.2%) subjects in the overall evolocumab group and 1 (1.4%) subject in the overall ezetimibe group added a non-statin lipid modifying therapy postbaseline and 1 (0.5%) subject in the overall evolocumab group added a statin postbaseline.

A total of 47 (15.3%) subjects in the overall evolocumab group and 12 (11.8%) subjects in the overall ezetimibe group received a non-statin lipid modifying therapy at baseline. All of these subjects remained on non-statin lipid modifying therapy and none received a statin therapy postbaseline. The most commonly administered nonstatin lipid modifying therapy was fish oil, which was taken by 39 (19%) subjects in the overall evolocumab group and 14 (13.7%) subjects in the overall ezetimibe group.

A total of 37 (18.0%) subjects in the overall evolocumab group and 19 (18.6%) subjects in the overall ezetimibe group reported statin usage at baseline. All of these subjects remained on statin therapy postbaseline (see table).

Table 30: Subject Incidence of Concomitant Statin Therapies: Trial 20110116 (Full Analysis Set – Actual Treatment)

	Ezetimibe			EvoMab		
	Placebo Q2W + Ezetimibe QD (N = 51) n (%)	Placebo QM + Ezetimibe QD (N = 51) n (%)	Overall (N = 102) n (%)	140 mg Q2W + Placebo QD (N = 103) n (%)	420 mg QM + Placebo QD (N = 102) n (%)	EvoMab Overall (N = 205) n (%)
STATINS	9 (17.6)	10 (19.6)	19 (18.6)	19 (18.4)	18 (17.6)	37 (18.0)
Rosuvastatin	6 (11.8)	2 (3.9)	8 (7.8)	10 (9.7)	9 (8.8)	19 (9.3)
Simvastatin	0 (0.0)	3 (5.9)	3 (2.9)	1 (1.0)	4 (3.9)	5 (2.4)
Atorvastatin	1 (2.0)	2 (3.9)	3 (2.9)	1 (1.0)	2 (2.0)	3 (1.5)
Fluvastatin	0 (0.0)	1 (2.0)	1 (1.0)	3 (2.9)	2 (2.0)	5 (2.4)
Pravastatin	0 (0.0)	1 (2.0)	1 (1.0)	3 (2.9)	1 (1.0)	4 (2.0)
Lovastatin	0 (0.0)	1 (2.0)	1 (1.0)	0 (0.0)	0 (0.0)	0 (0.0)
Pitavastatin	2 (3.9)	0 (0.0)	2 (2.0)	1 (1.0)	0 (0.0)	1 (0.5)

EvoMab = Evolocumab (AMG 145); N = number of subjects randomized and dosed in the Full Analysis Set - Actual Treatment; Q2W = every 2 weeks (subcutaneous); QD = once a day (oral tablet); QM = monthly (subcutaneous).

Source: Modified from Applicant's Table 14-8.8.1 and Table 12-10 from CSR: 20110116

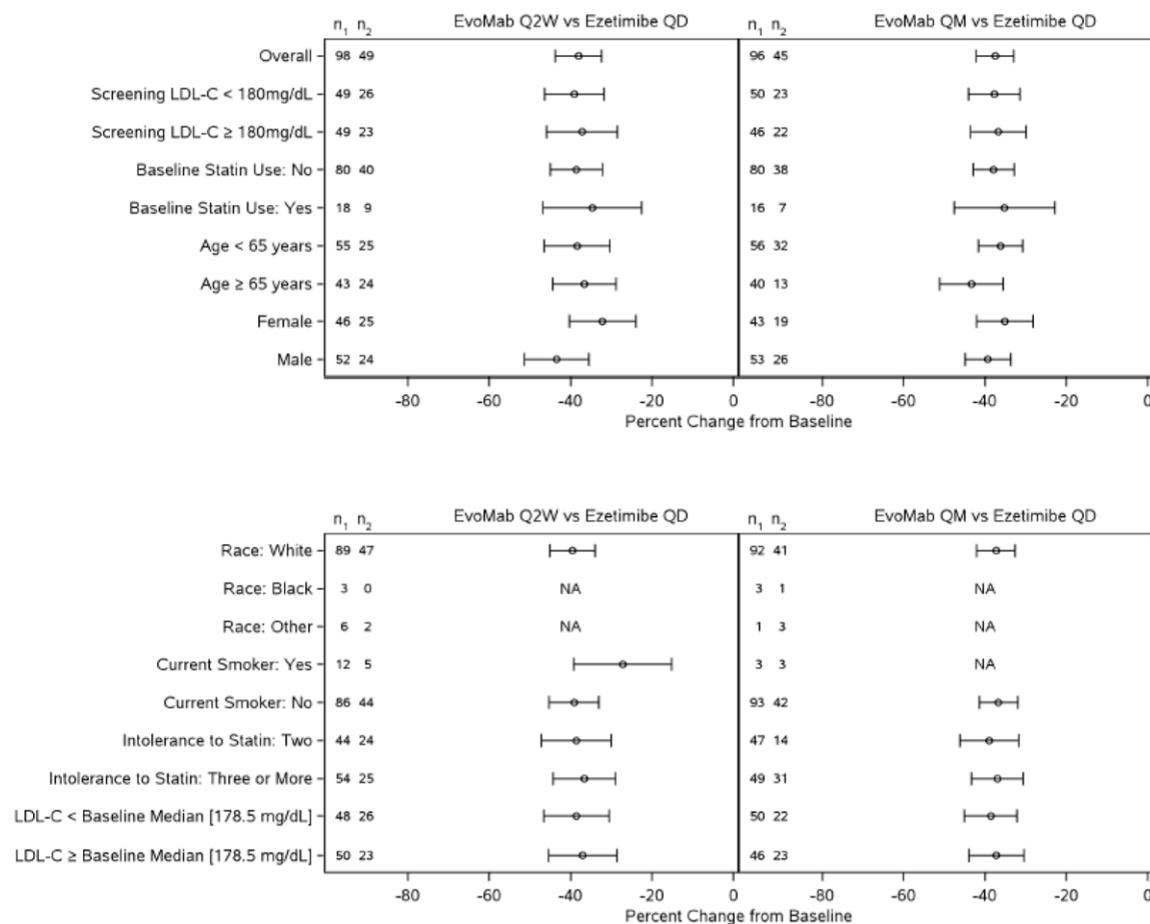
### Primary Efficacy Outcomes

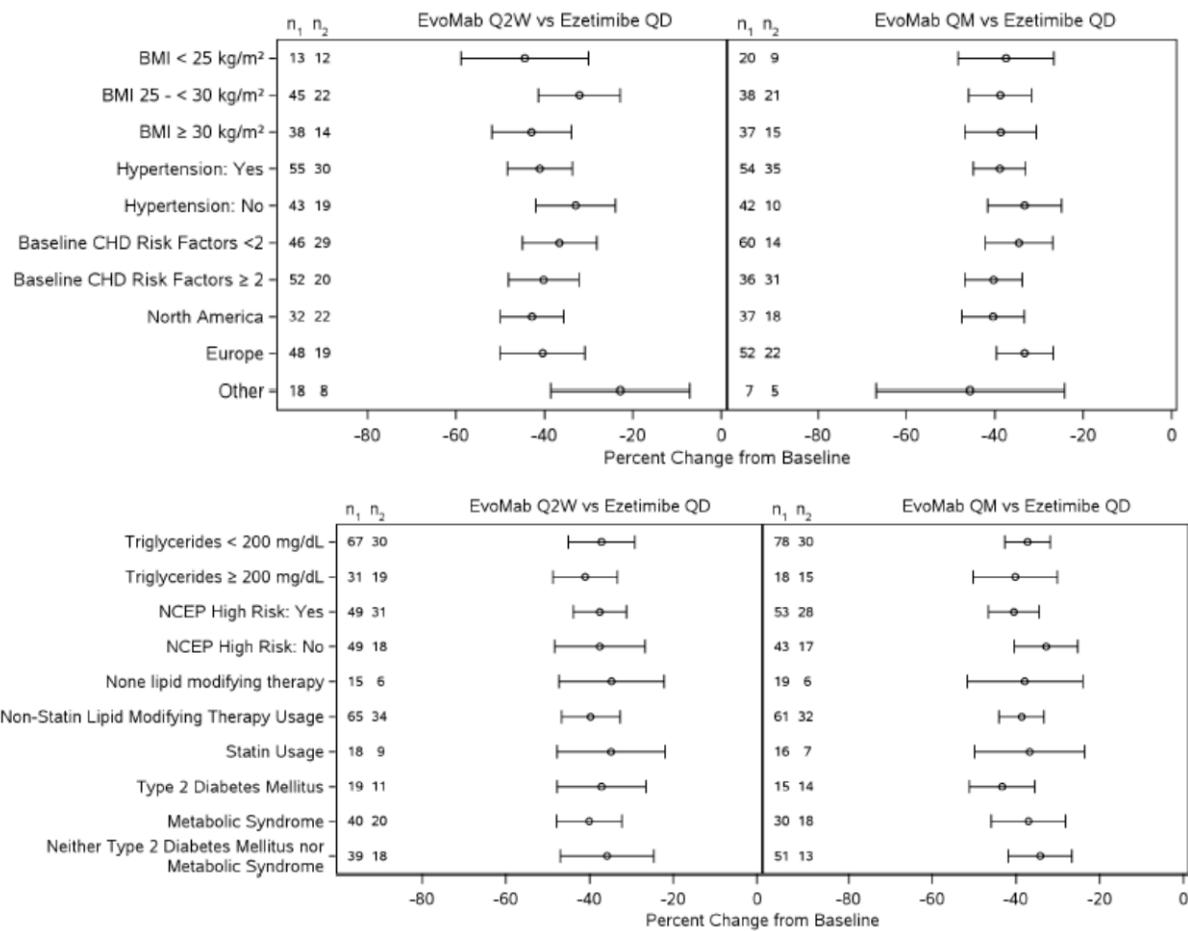
Compared with ezetimibe, evolocumab treatment resulted in statistically significant reductions in reflexive LDL-C from baseline to week 12 in both the Q2W and QM treatment groups (treatment differences [standard error; SE]: 38% [3%] and 38% [2%], respectively). Compared with ezetimibe, evolocumab also resulted in

statistically significant reductions in reflexive LDL-C from baseline to the mean of weeks 10 and 12 in the Q2W and QM treatment groups (treatment differences [SE]: 37% [3%] and 39% [2%], respectively).

Evolocumab Q2W and QM were effective in reducing LDL-C in all subgroups (eg, by sex, age, and race) relative to ezetimibe, with no notable differences between subgroups (see forest plots).

Figure 5: Forest Plot of Treatment Differences in Percent Change from Baseline in LDL-C at Week 12 - Subgroup Analyses Trial 20110116 (Full Analysis Set)





BMI = body mass index; CHD = coronary heart disease; CI = confidence interval; EvoMab = Evolocumab; LDL-C = low-density lipoprotein cholesterol; n<sub>1</sub> = number of subjects in the subgroup of interest included in the repeated measures model receiving EvoMab; n<sub>2</sub> = number of subjects in the subgroup of interest included in the repeated measures model receiving ezetimibe; NCEP = National Cholesterol Education Program; QD = once a day (oral tablet); Q2W = once every 2 weeks; QM = once monthly.

When the calculated LDL-C is < 40 mg/dL, or triglycerides are > 400 mg/dL, calculated LDL-C will be replaced with ultracentrifugation LDL-C from the same blood sample, if available.

Least squares mean differences and 95% CI are from the repeated measures model. No imputation is used for missing values.

Source: Modified from applicant's Figure 14-4.6.1 and Figure 10-3 from CSR: 20110116

### Secondary Efficacy Outcomes

The co-secondary efficacy endpoints were at week 12 and at the mean of weeks 10 and 12 for:

- tier 1
  - change from baseline in LDL-C
  - percent of subjects with LDL-C < 70 mg/dL
  - percent change from baseline in non-HDL-C
  - percent change from baseline in ApoB
  - percent change from baseline in the total cholesterol/HDL-C ratio

- percent change from baseline in ApoB/ApoA1 ratio
- tier 2
  - percent change from baseline in Lp(a)
  - percent change from baseline in triglycerides: not significant (NS)
  - percent change from baseline in VLDL-C: NS
  - percent change from baseline in HDL-C: NS

As shown in the following table, treatment with evolocumab Q2W and QM resulted in significant changes compared with ezetimibe (all multiplicity adjusted p-values < 0.001) for all tier 1 co-secondary efficacy endpoints.

Table 31: Summary of Treatment Difference in Tier 1 Co-secondary Endpoints: Trial 20110116 (Full Analysis Set)

	EvoMab 140 mg Q2W vs Ezetimibe QD	EvoMab 420 mg QM vs Ezetimibe QD
<b>Reflexive LDL-C</b>		
Change from baseline at week 12 (95% CI) – mg/dL	-69.7 (-82.0, -57.5)	-68.8 (-79.2, -58.4)
Mean change from baseline at weeks 10 and 12 (95% CI) – mg/dL	-66.3 (-77.9, -54.7)	-70.6 (-80.5, -60.7)
Change from baseline at week 12 (95% CI) – mmol/L	-1.806 (-2.125, -1.488)	-1.781 (-2.051, -1.511)
Mean change from baseline at weeks 10 and 12 (95% CI) – mmol/L	-1.717 (-2.018, -1.416)	-1.827 (-2.084, -1.571)
Least significant p-value	<0.001	<0.001
Adjusted p-value	<0.001	<0.001
<b>Percent of subjects with reflexive LDL-C &lt; 70 mg/dL [1.8 mmol/L]</b>		
At week 12 (95% CI)	47.96 (34.95, 57.81)	37.50 (25.53, 47.49)
Mean of weeks 10 and 12 (95% CI)	43.54 (30.90, 53.38)	42.00 (30.27, 51.79)
Least significant CMH p-value	<0.001	<0.001
Adjusted p-value	<0.001	<0.001
<b>Non-HDL-C</b>		
% change from baseline at week 12 (95% CI)	-32.09 (-37.28, -26.90)	-32.99 (-37.19, -28.79)
Mean % change from baseline at weeks 10 and 12 (95% CI)	-31.53 (-36.34, -26.73)	-34.58 (-38.63, -30.54)
Least significant p-value	<0.001	<0.001
Adjusted p-value	<0.001	<0.001
<b>ApoB</b>		
% change from baseline at week 12 (95% CI)	-32.86 (-38.04, -27.68)	-33.10 (-38.04, -28.17)
Mean % change from baseline at weeks 10 and 12 (95% CI)	-32.20 (-36.92, -27.49)	-34.99 (-39.59, -30.39)
Least significant p-value	<0.001	<0.001
Adjusted p-value	<0.001	<0.001
<b>Total cholesterol/HDL-C ratio</b>		
% change from baseline at week 12 (95% CI)	-26.28 (-31.42, -21.15)	-28.66 (-33.88, -23.43)
Mean % change from baseline at weeks 10 and 12 (95% CI)	-27.39 (-32.11, -22.67)	-29.94 (-34.72, -25.17)
Least significant p-value	<0.001	<0.001
Adjusted p-value	<0.001	<0.001
<b>Total cholesterol <sup>a</sup></b>		
% change from baseline at week 12 (95% CI)	-25.01 (-29.32, -20.70)	-25.21 (-28.73, -21.69)
Mean % change from baseline at weeks 10 and 12 (95% CI)	-24.37 (-28.40, -20.34)	-26.26 (-29.61, -22.91)
Least significant p-value	<0.001	<0.001
<b>ApoB/ApoA1 ratio</b>		
% change from baseline at week 12 (95% CI)	-34.53 (-40.05, -29.00)	-34.13 (-39.87, -28.39)
Mean % change from baseline at weeks 10 and 12 (95% CI)	-34.86 (-39.84, -29.88)	-36.37 (-41.73, -31.01)
Least significant p-value	<0.001	<0.001
Adjusted p-value	<0.001	<0.001

ApoA1 = apolipoprotein A-1; ApoB = apolipoprotein B; CI = confidence interval; CMH = Cochran-Mantel-Haenszel; EvoMab = Evolocumab (AMG 145); HDL-C = high-density lipoprotein cholesterol; IVRS = interactive voice response system; LDL-C = low-density lipoprotein cholesterol; QD = once a day (oral tablet); Q2W = once every 2 weeks; QM = once monthly

When the calculated LDL-C is < 40 mg/dL, or triglycerides are > 400 mg/dL, calculated LDL-C will be replaced with ultracentrifugation LDL-C from the same blood sample, if available.

<sup>a</sup> Analysis of the component of the total cholesterol/HDL-C ratio

Treatment differences are within each dose frequency using subcutaneous placebo + ezetimibe as reference

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 Repatha (evolocumab)

Treatment difference is from the repeated measures model which includes treatment group, stratification factors (from IVRS), scheduled visit and the interaction of treatment with scheduled visit as covariates for all endpoints except LDL-C achievement where the treatment difference is from the CMH model stratified by the stratification factors

Adjusted p-value is based on a combination of sequential testing, the Hochberg procedure, the fallback procedure to control the overall significance level for all primary and secondary endpoints. Each individual adjusted p-value is compared to 0.05 to determine statistical significance.

Source: Modified from Table 14-4.19.1 and Table 10-6 from CSR: 20110116

Compared with ezetimibe, evolocumab treatment resulted in statistically significant improvements (multiplicity adjusted  $p < 0.001$ ) in Lp(a) at week 12 and at the mean of weeks 10 and 12 for both the Q2W and QM treatment groups. The added clinical significance of these Lp(a) changes, in the setting of robust LDL-C lowering, is not clear. There were no statistically significant improvements in other co-secondary tier 2 endpoints of HDL-C, triglycerides, and VLDL-C relative to ezetimibe (see table).

Table 32: Summary of Treatment Difference in Tier 2 Co-secondary Endpoints: Trial 20110116 (Full Analysis Set)

	EvoMab 140 mg Q2W vs Ezetimibe QD	EvoMab 420 mg QM vs Ezetimibe QD
<b>Lp(a)</b>		
% change from baseline at week 12 (95% CI)	-25.29 (-33.26, -17.33)	-27.88 (-39.21, -16.56)
Mean % change from baseline at weeks 10 and 12 (95% CI)	-23.90 (-31.27, -16.54)	-25.26 (-33.75, -16.77)
Joint p-value <sup>a</sup>	<0.001	<0.001
Adjusted p-value	<0.001	<0.001
<b>Triglycerides</b>		
% change from baseline at week 12 (95% CI)	1.58 (-8.14, 11.31)	-4.69 (-17.04, 7.67)
Mean % change from baseline at weeks 10 and 12 (95% CI)	-2.59 (-11.38, 6.20)	-6.42 (-16.55, 3.71)
Joint p-value <sup>a</sup>	0.28	0.33
Adjusted p-value	0.97	0.33
<b>HDL-C</b>		
% change from baseline at week 12 (95% CI)	3.57 (-1.49, 8.63)	4.83 (-0.16, 9.81)
Mean % change from baseline at weeks 10 and 12 (95% CI)	5.15 (0.74, 9.56)	5.74 (1.23, 10.24)
Joint p-value <sup>a</sup>	0.011	0.022
Adjusted p-value	0.068	0.13
<b>VLDL-C</b>		
% change from baseline at week 12 (95% CI)	-0.67 (-9.96, 8.61)	0.08 (-11.24, 11.39)
Mean % change from baseline at weeks 10 and 12 (95% CI)	-1.84 (-10.43, 6.75)	-3.53 (-13.12, 6.06)
Joint p-value <sup>a</sup>	0.84	0.32
Adjusted p-value	0.97	0.33

CI = confidence interval; CMH = Cochran-Mantel-Haenszel; EvoMab = Evolocumab (AMG 145); HDL-C = high-density lipoprotein cholesterol; IVRS = interactive voice response system; Lp(a) = lipoprotein(a); Q2W = once every 2 weeks; QD = once a day (oral tablet); QM = once monthly; VLDL-C = very low-density lipoprotein cholesterol

<sup>a</sup> The p-value from the union-intersection test

Treatment differences are within each dose frequency using subcutaneous placebo + ezetimibe as reference  
 Treatment difference is from the repeated measures model which includes treatment group, stratification factors (from IVRS), scheduled visit and the interaction of treatment with scheduled visit as covariates for all endpoints except LDL-C achievement where the treatment difference is from the CMH model stratified by the stratification factors

Adjusted p-value is based on a combination of sequential testing, the Hochberg procedure, the fallback procedure to control the overall significance level for all primary and secondary endpoints. Each individual adjusted p-value is compared to 0.05 to determine statistical significance.

Source: Modified from Applicant's Table 14-4.19.1 and Table 10-7 from CSR: 20110116

**Safety Data:**

**Deaths**

No deaths occurred during the study.

#### *Serious Adverse Events*

Six (2.9%) subjects in the overall evolocumab group and 4 (3.9%) subjects in the overall ezetimibe group reported serious adverse events. The SAEs reported by the 6 subjects in the evolocumab group were: hepatic enzyme increased, back pain, bladder transitional cell cancer, lipoma, neuroendocrine carcinoma, cartilage graft and osteotomy. The SAEs reported by the 4 subjects in the evolocumab group were: spinal decompression, gastrointestinal motility disorder, inguinal hernia and kidney infection.

#### *Adverse Events that Led to Study Drug Withdrawal*

Thirty subjects discontinued IP (SC and/or PO) due to adverse events, including 17 (8.3%) in the overall evolocumab group and 13 (12.7%) in the overall ezetimibe group. Myalgia was the most frequently reported adverse event that led to discontinuation of IP and occurred in 4 (2.0%) subjects in the overall evolocumab group and 4 (3.9%) subjects in the overall ezetimibe group. Other frequently reported AEs that led to withdrawal include the preferred terms of back pain, muscle spasms, and pain in extremity each reported by 2 (1.0%) in the overall evolocumab group and 0 (0%) in the overall ezetimibe group; abdominal pain reported by 2 (1.0%) in the overall evolocumab group and 2 (2.0%) in the overall ezetimibe group; and nausea reported by 2 (1.0%) in the overall evolocumab group and 1 (1.0%) in the overall ezetimibe group. One subject in the evolocumab group discontinued IP due to a serious adverse event (metastatic neuroendocrine carcinoma).

#### *Adverse Events*

The subject incidence of adverse events was numerically lower for the evolocumab group as compared to the ezetimibe group and was also lower in the Q2W dosing group as compared to the QM group for both evolocumab and ezetimibe. The incidence was 65.9% for the overall evolocumab group (61.2% evolocumab Q2W and 70.6% evolocumab QM) and 72.5% for the overall ezetimibe group (68.6% ezetimibe + placebo Q2W and 76.5% ezetimibe + placebo QM).

Adverse events reported by  $\geq 5\%$  of subjects who received evolocumab or ezetimibe were headache (7.8%, 8.8%), myalgia (7.8%, 17.6%), pain in extremity (6.8%, 1.0%), muscle spasms (6.3%, 3.9%), fatigue (4.4%, 9.8%), nausea (4.4%, 6.9%), and diarrhea (2.4%, 6.9%). Of note, there was a greater incidence of myalgia in the ezetimibe group vs evolocumab but the incidence for pain in extremity and muscle spasms was greater in the evolocumab group vs ezetimibe. The table below shows the adverse events reported by  $\geq 2\%$  of subjects in the overall evolocumab or ezetimibe group. Adverse events reported in  $\geq 2\%$  of subjects in the overall evolocumab group with a  $\geq 2\%$  higher subject incidence in the overall evolocumab group than the overall ezetimibe group included (evolocumab, ezetimibe) pain in extremity (6.8%, 1.0%), muscle spasms (6.3%, 3.9%), and constipation (2.9%, 0%). Treatment emergent adverse events reported in  $\geq 2\%$  of subjects in the overall

ezetimibe group with a  $\geq 2\%$  higher incidence in the overall ezetimibe group than the overall evolocumab group included (evolocumab, ezetimibe) myalgia (7.8%, 17.6%), fatigue (4.4%, 9.8%), nausea (4.4%, 6.9%), diarrhea (2.4%, 6.9%), parasthesia (1.0%, 4.9%), depression (0.5%, 2.9%), influenza (0.5%, 2.9%), rash (0.5%, 2.9%), pain (0.0%, 2.9%), and pruritus (0.0%, 3.9%).

Table 33: Treatment Emergent Adverse Events by Preferred Term in Descending Order of Frequency of Preferred Terms Reported for  $\geq 2\%$  of Subjects in the Overall Evolocumab or Overall Ezetimibe Group: Trial 20110116 (Full Analysis Set - Actual Treatment)

Preferred Term	Ezetimibe			EvoMab		
	Placebo Q2W + Ezetimibe QD (N=51) n (%)	Placebo QM + Ezetimibe QD (N=51) n (%)	Ezetimibe Overall (N=102) n (%)	140 mg Q2W + Placebo QD (N=103) n (%)	420 mg QM + Placebo QD (N=102) n (%)	EvoMab Overall (N=205) n (%)
Number of subjects reporting treatment emergent adverse events	35 (68.6)	39 (76.5)	74 (72.5)	63 (61.2)	72 (70.6)	135 (65.9)
Headache	3 (5.9)	6 (11.8)	9 (8.8)	4 (3.9)	12 (11.8)	16 (7.8)
Myalgia	7 (13.7)	11 (21.6)	18 (17.6)	7 (6.8)	9 (8.8)	16 (7.8)
Pain In Extremity	0 (0.0)	1 (2.0)	1 (1.0)	2 (1.9)	12 (11.8)	14 (6.8)
Muscle Spasms	3 (5.9)	1 (2.0)	4 (3.9)	5 (4.9)	8 (7.8)	13 (6.3)
Back Pain	1 (2.0)	2 (3.9)	3 (2.9)	4 (3.9)	5 (4.9)	9 (4.4)
Fatigue	4 (7.8)	6 (11.8)	10 (9.8)	3 (2.9)	6 (5.9)	9 (4.4)
Nausea	2 (3.9)	5 (9.8)	7 (6.9)	3 (2.9)	6 (5.9)	9 (4.4)
Arthralgia	2 (3.9)	2 (3.9)	4 (3.9)	5 (4.9)	3 (2.9)	8 (3.9)
Nasopharyngitis	3 (5.9)	0 (0.0)	3 (2.9)	5 (4.9)	2 (2.0)	7 (3.4)
Abdominal Distension	1 (2.0)	0 (0.0)	1 (1.0)	4 (3.9)	2 (2.0)	6 (2.9)
Constipation	0 (0.0)	0 (0.0)	0 (0.0)	2 (1.9)	4 (3.9)	6 (2.9)
Diarrhoea	3 (5.9)	4 (7.8)	7 (6.9)	3 (2.9)	2 (2.0)	5 (2.4)
Dizziness	0 (0.0)	2 (3.9)	2 (2.0)	3 (2.9)	2 (2.0)	5 (2.4)
Musculoskeletal Stiffness	0 (0.0)	1 (2.0)	1 (1.0)	2 (1.9)	3 (2.9)	5 (2.4)
Sinusitis	2 (3.9)	0 (0.0)	2 (2.0)	1 (1.0)	4 (3.9)	5 (2.4)
Abdominal Pain	1 (2.0)	1 (2.0)	2 (2.0)	1 (1.0)	3 (2.9)	4 (2.0)
Hypertension	1 (2.0)	1 (2.0)	2 (2.0)	2 (1.9)	2 (2.0)	4 (2.0)
Injection Site Erythema	0 (0.0)	3 (5.9)	3 (2.9)	2 (1.9)	2 (2.0)	4 (2.0)
Vomiting	1 (2.0)	1 (2.0)	2 (2.0)	3 (2.9)	1 (1.0)	4 (2.0)
Abdominal Pain Upper	0 (0.0)	2 (3.9)	2 (2.0)	1 (1.0)	2 (2.0)	3 (1.5)
Chest Pain	0 (0.0)	2 (3.9)	2 (2.0)	2 (1.9)	1 (1.0)	3 (1.5)
Musculoskeletal Pain	1 (2.0)	2 (3.9)	3 (2.9)	1 (1.0)	2 (2.0)	3 (1.5)
Urinary Tract Infection	1 (2.0)	1 (2.0)	2 (2.0)	1 (1.0)	2 (2.0)	3 (1.5)
Cough	0 (0.0)	2 (3.9)	2 (2.0)	1 (1.0)	1 (1.0)	2 (1.0)
Cystitis	0 (0.0)	2 (3.9)	2 (2.0)	0 (0.0)	2 (2.0)	2 (1.0)
Oedema Peripheral	2 (3.9)	1 (2.0)	3 (2.9)	0 (0.0)	2 (2.0)	2 (1.0)
Paraesthesia	1 (2.0)	4 (7.8)	5 (4.9)	0 (0.0)	2 (2.0)	2 (1.0)
Pyrexia	1 (2.0)	1 (2.0)	2 (2.0)	1 (1.0)	1 (1.0)	2 (1.0)
Chest Discomfort	0 (0.0)	2 (3.9)	2 (2.0)	1 (1.0)	0 (0.0)	1 (0.5)

Preferred Term	Ezetimibe			EvoMab		
	Placebo Q2W + Ezetimibe QD (N = 51) n (%)	Placebo QM + Ezetimibe QD (N = 51) n (%)	Overall (N = 102) n (%)	140 mg Q2W + Placebo QD (N = 103) n (%)	420 mg QM + Placebo QD (N = 102) n (%)	Overall (N = 205) n (%)
Depression	1 (2.0)	2 (3.9)	3 (2.9)	0 (0.0)	1 (1.0)	1 (0.5)
Hypoaesthesia	1 (2.0)	1 (2.0)	2 (2.0)	0 (0.0)	1 (1.0)	1 (0.5)
Influenza	3 (5.9)	0 (0.0)	3 (2.9)	1 (1.0)	0 (0.0)	1 (0.5)
Lethargy	0 (0.0)	2 (3.9)	2 (2.0)	0 (0.0)	1 (1.0)	1 (0.5)
Rash	1 (2.0)	2 (3.9)	3 (2.9)	1 (1.0)	0 (0.0)	1 (0.5)
Sleep Disorder	2 (3.9)	0 (0.0)	2 (2.0)	0 (0.0)	1 (1.0)	1 (0.5)
Injection Site Haematoma	0 (0.0)	2 (3.9)	2 (2.0)	0 (0.0)	0 (0.0)	0 (0.0)
Kidney Infection	0 (0.0)	2 (3.9)	2 (2.0)	0 (0.0)	0 (0.0)	0 (0.0)
Malaise	2 (3.9)	0 (0.0)	2 (2.0)	0 (0.0)	0 (0.0)	0 (0.0)
Nephrolithiasis	1 (2.0)	1 (2.0)	2 (2.0)	0 (0.0)	0 (0.0)	0 (0.0)
Pain	1 (2.0)	2 (3.9)	3 (2.9)	0 (0.0)	0 (0.0)	0 (0.0)
Pruritus	1 (2.0)	3 (5.9)	4 (3.9)	0 (0.0)	0 (0.0)	0 (0.0)
Pruritus Generalised	0 (0.0)	2 (3.9)	2 (2.0)	0 (0.0)	0 (0.0)	0 (0.0)

EvoMab = Evolocumab (AMG 145); N = number of subjects randomized and dosed in the full analysis set; Q2W = every 2 weeks (subcutaneous); QD = once a day (oral tablet); QM = monthly (subcutaneous). Coded using MedDRA version 16.1.

Source: Modified from Applicant's Table 14-6.2.2 and Table 12-2 from CSR: 20110116 and confirmed by JMP analysis

Six subjects (4 [2.0%] receiving evolocumab, 2 [2.0%] receiving ezetimibe) experienced Common Terminology Criteria for Adverse Events (CTCAE) grade  $\geq$  3 treatment emergent adverse events, including 1 subject (evolocumab Q2W) who experienced a grade 4 adverse event of transitional cell bladder cancer.

Nine (2.9%) subjects had at least 1 device related treatment emergent adverse event; the most common events were consistent with injection site reactions and included injection erythema (3 subjects) and injection site bruising (2 subjects). No device related adverse event led to discontinuation of IP.

*Adverse Events of Interest: (events associated with other lipid lowering therapies (ie, diabetes events, liver events, muscle events), other injectable protein therapies (ie, hypersensitivity events, injection site reactions), and with PCSK9 inhibition/LDL receptor upregulation (ie, hepatitis C events) :*

The review of various adverse events categorized in MedDRA SMQs and Amgen search strategies to identify AEs of interest for this BLA are summarized in the table below and do not show important differences between the evolocumab and ezetimibe treatment groups. Of note, there was a greater number of liver test abnormalities with evolocumab as compared to ezetimibe [3 (1.5%) vs 0 (0%)]. Not unexpectedly, there was a greater number of hypersensitivity events with evolocumab as compared to ezetimibe [12 (5.9%) vs 4 (3.9%)].

Table 34: Summary of Treatment Emergent Adverse Events Using Narrow Search Strategy for Potential Hepatitis C Infections and Adverse Events Potentially Associated with Lipid Lowering Therapies or Injectable Protein Therapies: Trial 20110116 (Full Analysis Set - Actual Treatment)

Category High Level Term Preferred Term	Ezetimibe			EvoMab		
	Placebo Q2W + Ezetimibe QD (N = 51) n (%)	Placebo QM + Ezetimibe QD (N = 51) n (%)	Overall (N = 102) n (%)	140 mg Q2W + Placebo QD (N = 103) n (%)	420 mg QM + Placebo QD (N = 102) n (%)	Overall (N = 205) n (%)
Number of subjects reporting treatment emergent adverse events	2 (3.9)	10 (19.6)	12 (11.8)	10 (9.7)	8 (7.8)	18 (8.8)
POTENTIAL DIABETES EVENTS	1 (2.0)	1 (2.0)	2 (2.0)	0 (0.0)	0 (0.0)	0 (0.0)
Carbohydrate tolerance analyses (incl diabetes)	1 (2.0)	0 (0.0)	1 (1.0)	0 (0.0)	0 (0.0)	0 (0.0)
Blood Glucose Increased	1 (2.0)	0 (0.0)	1 (1.0)	0 (0.0)	0 (0.0)	0 (0.0)
Hyperglycaemic conditions NEC	0 (0.0)	1 (2.0)	1 (1.0)	0 (0.0)	0 (0.0)	0 (0.0)
Hyperglycaemia	0 (0.0)	1 (2.0)	1 (1.0)	0 (0.0)	0 (0.0)	0 (0.0)
POTENTIAL HEPATITIS C	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Category High Level Term Preferred Term	Placebo Q2W + Ezetimibe QD (N = 51) n (%)	Placebo QM + Ezetimibe QD (N = 51) n (%)	Overall (N = 102) n (%)	140 mg Q2W + Placebo QD (N = 103) n (%)	420 mg QM + Placebo QD (N = 102) n (%)	Overall (N = 205) n (%)
POTENTIAL HYPERSENSITIVITY EVENTS	1 (2.0)	3 (5.9)	4 (3.9)	5 (4.9)	7 (6.9)	12 (5.9)
Allergies to foods, food additives, drugs and other chemicals	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	0 (0.0)	1 (0.5)
Drug Hypersensitivity	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	0 (0.0)	1 (0.5)
Dermatitis and eczema	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	1 (1.0)	2 (1.0)
Dermatitis	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	1 (0.5)
Eczema	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	0 (0.0)	1 (0.5)
Erythemas	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	1 (0.5)
Rash Erythematous	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	1 (0.5)
Injection site reactions	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	2 (2.0)	3 (1.5)
Injection Site Rash	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (2.0)	2 (1.0)
Injection Site Urticaria	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	0 (0.0)	1 (0.5)
Category High Level Term Preferred Term	Placebo Q2W + Ezetimibe QD (N = 51) n (%)	Placebo QM + Ezetimibe QD (N = 51) n (%)	Overall (N = 102) n (%)	140 mg Q2W + Placebo QD (N = 103) n (%)	420 mg QM + Placebo QD (N = 102) n (%)	Overall (N = 205) n (%)
POTENTIAL HYPERSENSITIVITY EVENTS (Cont'd)						
Oral soft tissue swelling and oedema	0 (0.0)	1 (2.0)	1 (1.0)	0 (0.0)	0 (0.0)	0 (0.0)
Oedema Mouth	0 (0.0)	1 (2.0)	1 (1.0)	0 (0.0)	0 (0.0)	0 (0.0)
Pruritus NEC	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	0 (0.0)	1 (0.5)
Rash Pruritic	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	0 (0.0)	1 (0.5)
Rashes, eruptions and exanths NEC	1 (2.0)	2 (3.9)	3 (2.9)	1 (1.0)	2 (2.0)	3 (1.5)
Rash Macular	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (2.0)	2 (1.0)
Rash	1 (2.0)	2 (3.9)	3 (2.9)	1 (1.0)	0 (0.0)	1 (0.5)
Urticarias	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	1 (0.5)
Urticaria	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	1 (0.5)
POTENTIAL INJECTION SITE REACTION EVENTS	0 (0.0)	7 (13.7)	7 (6.9)	3 (2.9)	3 (2.9)	6 (2.9)

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Category High Level Term Preferred Term	Ezetimibe			EvoMab		
	Placebo Q2W + Ezetimibe QD (N = 51) n (%)	Placebo QM + Ezetimibe QD (N = 51) n (%)	Overall (N = 102) n (%)	140 mg Q2W + Placebo QD (N = 103) n (%)	420 mg QM + Placebo QD (N = 102) n (%)	Overall (N = 205) n (%)
<b>POTENTIAL INJECTION SITE REACTION EVENTS (Cont'd)</b>						
Injection site reactions	0 (0.0)	7 (13.7)	7 (6.9)	3 (2.9)	3 (2.9)	6 (2.9)
Injection Site Erythema	0 (0.0)	3 (5.9)	3 (2.9)	2 (1.9)	2 (2.0)	4 (2.0)
Injection Site Pain	0 (0.0)	0 (0.0)	0 (0.0)	2 (1.9)	1 (1.0)	3 (1.5)
Injection Site Rash	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (2.0)	2 (1.0)
Injection Site Bruising	0 (0.0)	1 (2.0)	1 (1.0)	0 (0.0)	1 (1.0)	1 (0.5)
Injection Site Irritation	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	0 (0.0)	1 (0.5)
Injection Site Swelling	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	1 (0.5)
Injection Site Urticaria	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	0 (0.0)	1 (0.5)
Injection Site Haematoma	0 (0.0)	2 (3.9)	2 (2.0)	0 (0.0)	0 (0.0)	0 (0.0)
Injection Site Vesicles	0 (0.0)	1 (2.0)	1 (1.0)	0 (0.0)	0 (0.0)	0 (0.0)

Category High Level Term Preferred Term	Ezetimibe			EvoMab		
	Placebo Q2W + Ezetimibe QD (N = 51) n (%)	Placebo QM + Ezetimibe QD (N = 51) n (%)	Overall (N = 102) n (%)	140 mg Q2W + Placebo QD (N = 103) n (%)	420 mg QM + Placebo QD (N = 102) n (%)	Overall (N = 205) n (%)
<b>POTENTIAL MUSCLE EVENTS</b>						
	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
<b>TRANSAMINASE ELEVATIONS AND POTENTIAL HEPATIC DISORDERS</b>						
Liver function analyses	0 (0.0)	0 (0.0)	0 (0.0)	3 (2.9)	0 (0.0)	3 (1.5)
Liver Function Test Abnormal	0 (0.0)	0 (0.0)	0 (0.0)	2 (1.9)	0 (0.0)	2 (1.0)
Hepatic Enzyme Increased	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.0)	0 (0.0)	1 (0.5)

N = number of subjects randomized and dosed in the full analysis set; EvoMab = Evolocumab (AMG 145); Q2W = every 2 weeks (subcutaneous); QM = monthly (subcutaneous); QD = once a day (oral tablet)

Event categories are defined using preferred terms (PT) from MedDRA and either Standard MedDRA Queries (SMQ) or internal groupings. Each event category is defined by a unique set of PT while one PT can be categorized into more than one event category.

Coded using MedDRA version 16.1

Source: Applicant's Table 14-6.8.1 from CSR: 20110116 and confirmed with JMP analysis

No subject experienced a positively adjudicated clinical endpoint event or a non-coronary revascularization during this study.

### Laboratory Parameters

#### Creatinine Kinase

A shift in increased CK from baseline to postbaseline occurred in 2 subjects in the evolocumab group (grade 0 to 3 [QM] and grade 1 to 3 [QM]) and 3 subjects in the ezetimibe group (grade 0 to 4 [Q2W] and grade 1 to 3 [Q2W], and grade 2 to 3 [Q2W]).

The subject incidence of CK elevations was similar across treatment groups. Four subjects (2 subjects [1.0%] evolocumab and 2 subjects [2.0%] ezetimibe) had CK elevations > 5 x upper limit of normal (ULN) but < 10 x ULN; 3 of the 4 subjects reportedly had strenuous exertion associated with their CK elevations, and the fourth subject had a history of CK elevations. One subject (1.0%) in the ezetimibe group had CK elevations > 10 x ULN. No subjects discontinued IP as a result of CK elevations. Two subjects (1 evolocumab and 1 ezetimibe) had adverse events of myalgia

concurrent with the CK elevation.

Four of the 5 subjects who had CK elevations  $> 5 \times$  ULN at any postbaseline visit had physical activity associated with their CK elevations and the fifth subject had chronically elevated CK. For 2 subjects, CK returned to  $< 5 \times$  ULN by the next assessment, and the other 3 subjects did not have repeat assessments available because their elevated CK occurred at week 12. All subjects completed IP treatment. An adverse event of CK increased was reported for 1 subject (ezetimibe [Q2W]) with CK  $> 5 \times$  ULN at baseline but was not reported for any subject with a postbaseline CK  $> 5 \times$  ULN; however, myalgia was reported as an adverse event in 2 subjects (1 subject receiving ezetimibe and 1 subject receiving evolocumab).

#### Liver Related Tests

No subjects had liver related test abnormalities of alanine aminotransferase (ALT) or aspartate aminotransferase (AST)  $> 3 \times$  ULN or total bilirubin  $> 2 \times$  ULN at any postbaseline visit.

#### Anti-evolocumab Antibodies

Of the 204 subjects in the combined evolocumab groups who were tested for the presence of anti-evolocumab antibodies on study (194 at baseline and 201 postbaseline), no subject tested positive for anti-evolocumab antibodies.

#### *Vital Signs*

There were no notable changes from baseline in vital signs (systolic and diastolic blood pressure, heart rate) for either the evolocumab or ezetimibe group.

#### *ECG*

No subject had a QTcB value  $> 480$  msec reported at any postbaseline visit and no subject had an increase from baseline that was  $> 60$  msec for QTcB. An increase from baseline  $> 30$  msec for QTcB was reported for 1 (0.5%) subject in the overall evolocumab group. No subject had a maximum postbaseline QTcF value  $> 480$  msec, and 1 (0.5%) subject in the overall evolocumab group had an increase from baseline  $> 30$  msec for QTcF.

#### **Conclusions:**

One of the limitations of this trial is that the participants were not required to have failed at least one statin at the lowest starting daily dose— e.g., a patient who could tolerate atorvastatin 10 mg per day could be considered “statin-intolerant” by the applicant’s definition.

Another important limitation is that this trial did not include a statin rechallenge arm, which the Division strongly recommended as a method to test whether the inclusion criteria appeared to enroll a “statin intolerant” population successfully. In such a design, one would expect that there would be more adverse events and a higher discontinuation rate for the adverse event of interest in the statin arm.

Another limitation of Trial 20110116 is the rather short duration of study—12 weeks. It is difficult to consider a marketing claim based on data demonstrating that a serious adverse event, especially a rare event such as rhabdomyolysis, did not occur during a 12-week trial. In addition, when studying a statin-intolerant population, we are interested in the incidence rate of and treatment withdrawal from *all* adverse events that lead to treatment withdrawal. Twelve weeks does not provide an adequate time to assess long-term adherence to therapy.

Data from this trial show the following:

- Compared with ezetimibe, evolocumab treatment resulted in statistically significant reductions in reflexive LDL-C from baseline to week 12 in both the Q2W and QM treatment groups (treatment differences: 38% and 38%, respectively).
- Treatment emergent adverse events with evolocumab were similar to those with ezetimibe, including the incidence and severity of adverse events, the incidence of adverse events leading to treatment discontinuation, and the incidence of serious adverse events. Of note, this trial was not designed to demonstrate similarity with regard to safety endpoints.
- No trends indicative of clinically important treatment related laboratory abnormalities were observed, there was no evidence of anti-evolocumab antibodies, and the overall safety assessment of evolocumab was not changed after the review of the events of interest.

## 6 Review of Efficacy

Efficacy Summary

Refer to Section 1.2 Efficacy Summary

### 6.1 Indication

The applicant proposes indications for primary hyperlipidemia and mixed dyslipidemia as well as HoFH.

#### Primary Hyperlipidemia and Mixed Dyslipidemia

The applicant is seeking the following indication in primary hyperlipidemia and mixed dyslipidemia: Evolocumab is indicated in adults with primary hyperlipidemia (heterozygous familial and nonfamilial) or mixed dyslipidemia, as an adjunct to diet to reduce low-density lipoprotein cholesterol (LDL-C), total cholesterol (TC), apolipoprotein B (ApoB), non-high-density lipoprotein cholesterol (non-HDL-C), TC/HDL-C, ApoB/apolipoprotein A1 (ApoA1), very low density lipoprotein cholesterol

(VLDL-C), triglycerides(TG) and lipoprotein (a) (Lp[a]), and to increase HDL-C and ApoA1:

- in combination with a statin or statin with other lipid lowering therapies (e.g., ezetimibe), or
- alone or in combination with other lipid-lowering therapies in patients who are statin-intolerant, or
- alone or in combination with other lipid-lowering therapies in patients for whom a statin is not considered clinically appropriate.

The proposed dosing regimens are 140 mg SC Q2W or 420 mg SC QM. The applicant proposes that these 2 doses provide dosing flexibility for patients while delivering an “equivalent clinical response.” One limitation of this approach is that it does not allow for down-titration of the evolocumab dose based on tolerability or safety issues or because adequate LDL-C lowering has been achieved. For example, if a patient achieves a low LDL-C level (for example, < 25 mg/dL) on the combination of statin plus evolocumab and the physician does not want to push the LDL-C to such a low level, there is no option to lower the dose of evolocumab; only the statin dose can be reduced or titrated to achieve the desired LDL level. This concern was discussed with the applicant at the End-of-Phase 2 meeting in July 2012, but the applicant chose not to study additional dosing regimens in phase 3.

#### Homozygous Familial Hypercholesterolemia

The applicant is seeking the following indication for HoFH: Evolocumab is indicated in adults and adolescents aged 12 years and over with homozygous familial hypercholesterolemia (HoFH) to reduce LDL-C, TC, ApoB, and non-HDL-C in combination with other lipid lowering therapies (e.g., statins, LDL apheresis).

In HoFH patients, the proposed dosing regimens are 420 mg Q2W or 420 mg QM. The initial proposed dose is 420 mg QM for non-apheresis subjects and 420 mg Q2W for apheresis subjects with the ability to titrate to the more or less frequent dose based on clinical response.

#### 6.1.1 Methods

For the primary hyperlipidemia indication, the integrated efficacy analysis consisted of four 12-week, phase 3 trials in participants with primary hyperlipidemia that utilized the to-be-marketed formulation and dose (trials 20110114/MENDEL-2, 20110115/LAPLACE-2, 20110116/GAUSS-2, and 20110117/RUTHERFORD-2).

These four trials explored the use of evolocumab in four different patient populations: (1) monotherapy in a population at low CV risk (10-year Framingham risk score of 10% or less); (2) in combination with statins; (3) in ‘statin-intolerance’; and, (4) in heterozygous familial hypercholesterolemia (HeFH).

In all four trials at screening, participants had to have fasting triglycerides  $\leq$  400 mg/dL. The entry criteria for LDL-C varied among the 4 trials:

- 114: fasting LDL-C  $\geq$  100 mg/dL and  $<$  190 mg/dL
- 115: subjects on an intensive statin: fasting LDL-C  $\geq$  80 mg/dl; subjects on a non-intensive statin: fasting LDL-C  $\geq$  100 mg/dl; subjects not taking a statin: fasting LDL-C  $\geq$  150 mg/dl.
- 116: LDL-C  $\geq$  100 mg/dL with CHD or CHD risk equivalent, LDL-C  $\geq$  130 mg/dL without diagnosed CHD or risk equivalent and  $\geq$ 2 risk factors, LDL-C  $\geq$  160 mg/dL without diagnosed CHD or risk equivalent and with 1 risk factor, LDL-C  $\geq$  190 mg/dL without diagnosed CHD or risk equivalent and with no risk factors.
- 117: LDL-C  $\geq$  100 mg/dL

In the phase 3 trial 20110116, statin intolerance was defined as the inability to tolerate at least 2 statins at any dose, or an increase in statin dose above a total weekly maximum due to intolerable myopathy (ie, myalgia, myopathy, rhabdomyolysis), and having a history of symptom improvement or resolution with statin discontinuation.

*MO Comment: For the statin-intolerant population, the applicant chose not to use the Division's working definition of statin intolerance. This is discussed at length in Sections 2.6 Other Relevant Background Information and 5.3.2 Trial 20110116: GAUSS-2.*

*This reviewer finds the monotherapy at low CV risk population problematic because a low CV risk population may not need lipid-lowering medication and may be appropriately treated with lifestyle changes. If drug therapy is deemed appropriate, statins would be the first-line choice given the wealth of efficacy and safety data with statins as well as proven CV morbidity and mortality reduction. I believe it would be inappropriate to indicate a PCSK9 inhibitor for the general population at low-to-moderate CV risk, with the possible exception of the truly statin-intolerant population, before cardiovascular (CV) outcomes data are available (although it is reasonable to study this population prior to CVOT results). The monotherapy group also represents a different safety population than the population who would likely use evolocumab if it is approved. This reviewer expects that the greatest benefit for evolocumab, and PCSK9 inhibitors in general, is in the highest risk population; for example, as add-on therapy in patients with HeFH or patients at significant CV risk despite maximally tolerated lipid lowering therapy. These patients will likely have more concomitant medications and other CV risk factors, such as CVD, diabetes and hypertension, as compared to the healthier monotherapy group.*

*For the population in the evolocumab added on to background statin therapy trials, patients should have been enrolled who were not at goal despite taking the maximally tolerated dose of statin, with or without other lipid-modulating agents. In my opinion,*

*this would have reflected an appropriate use of evolocumab for patients who need additional treatment beyond statin therapy. In trial 20110115, this was not the case and it was entirely possible for a patient to be randomized to a less-intensive statin regimen than what the patient was taking prior to the trial. For example, a subject with known coronary heart disease could be assigned to simvastatin 40 mg + placebo despite taking atorvastatin 80 mg + ezetimibe at screening.*

The four, 12-week, phase 3 trials (20110114, 20110115, 20110116, and 20110117) shared the following characteristics:

- international, multicenter
- Phase 3, to-be-marketed formulation and device
- double-blind and randomized
- placebo (SC/PO) controlled and/or ezetimibe (PO) controlled (double-dummy)
- 12-week treatment durations
- 140 mg Q2W and 420 mg QM SC administration of evolocumab
- endpoints and LDL-C measurement methodology
- schedule of assessments
- data monitoring and clinical endpoints committees
- Design
  - During screening, SC administration of placebo was performed to confirm tolerability of SC administration prior to randomization. All participants received placebo SC that corresponded to the QM dose volume (3.0 mL) using 3 consecutively administered autoinjector/pens (AI/pens).
  - Participants returned to the study center at Weeks 2, 8, 10, and 12 for collection of study assessments, including blood samples for the determination of lipid parameters. Blood samples for determination of evolocumab and PCSK9 serum concentrations were collected at Day 1 and at Weeks 2, 10, and 12.
  - The end of study occurred at the study center at Week 12 for participants randomized to the QM IP schedule, and by phone call at week 14 for participants randomized to the Q2W IP schedule.
  - The co-primary endpoints were:
    - percent change from baseline in LDL-C at week 12
    - percent change from baseline in LDL-C at the mean of weeks 10 and 12
  - For all analyses related to LDL-C, unless specified otherwise, a reflexive approach was used, where the calculated LDL-C<sup>45</sup> was used unless the

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<sup>45</sup> Calculated LDL-C was determined based on the Friedewald equation which states that LDL-C equals total cholesterol minus (VLDL-C plus HDL-C), where VLDL-C is estimated by the concentration of triglycerides divided by 5 (when using conventional mass based units)

- calculated LDL-C was < 40 mg/dL or triglycerides were > 400 mg/dL, in which case ultracentrifugation LDL-C<sup>46</sup> was used.
- Analyses of Co-primary Endpoints
    - To assess the co-primary endpoints of the percent change from baseline at week 12 and the percent change in LDL-C from baseline at the mean of weeks 10 and 12, a repeated measures linear effects model was used within each dose frequency to compare the efficacy of evolocumab with placebo and ezetimibe. The repeated measures model included terms for treatment group, stratification factor, scheduled visit and the interaction of treatment with scheduled visit. Missing values were not imputed when the repeated measures linear effects model was used.
  - Safety Analyses
    - Adverse events were coded using Version 16.1 of the Medical Dictionary for Regulatory Activities (MedDRA).
    - Events of death, myocardial infarction, hospitalization for unstable angina, coronary revascularization, stroke, TIA, and hospitalization for heart failure were adjudicated by an independent clinical events committee.
  - Inclusion criteria
    - Men and women ≥ 18 to ≤ 80 years of age, with fasting triglycerides ≤ 400 mg/dL by central laboratory at screening
  - Exclusion criteria
    - Lipid-regulating drug in the last 6 weeks (3 months for 20110114) prior to LDL-C screening
    - Uncontrolled serious cardiac arrhythmia defined as recurrent and highly symptomatic ventricular tachycardia, atrial fibrillation with rapid ventricular response, or supraventricular tachycardia that are not controlled by medications, in the past 3 months prior to randomization
    - NYHA III or IV heart failure, or last known left ventricular ejection fraction < 30% (NYHA II - IV heart failure for 20110114)
    - Uncontrolled hypertension defined as sitting systolic blood pressure (SBP) > 160 mmHg or diastolic BP (DBP) > 100 mmHg

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46 Since the Friedewald calculation only estimates VLDL-C by assuming the ratio of triglycerides to cholesterol in VLDL-C is 5:1 (mass/mass), any situation in which VLDL-C composition is altered can introduce error in the LDL-C estimation. For the most accurate LDL-C measurement, VLDL-C needs to be removed prior to measuring LDL-C. The applicant states that VLDL-C was separated from the other lipoproteins by UC. Preparative UC separates lipoproteins by density, and direct measurement of each sub-fraction can occur. Following UC, VLDL-C is found in the top fraction of the tube while the other lipoproteins are found in the bottom fraction. LDL-C is then determined by measuring the cholesterol in the bottom fraction and subtracting HDL-C from this value. The UC method of assessing LDL-C eliminates the inaccuracies introduced when VLDL-C is estimated by triglycerides divided by 5. VLDL-C can then also be quantified by measuring cholesterol in the top fraction.

- Uncontrolled hypothyroidism or hyperthyroidism as defined by thyroid stimulating hormone (TSH) < 1.0 time the lower limit of normal (LLN) or > 1.5 times the ULN, respectively, at screening
- Moderate to severe renal dysfunction, defined as an estimated glomerular filtration rate (eGFR) < 30 ml/min/1.73m<sup>2</sup> at screening
- Active liver disease or hepatic dysfunction, defined as aspartate aminotransferase (AST) or alanine aminotransferase (ALT) > 2 x ULN
- CK > 3 times the ULN at screening
- Known active infection or major hematologic, renal, metabolic, gastrointestinal or endocrine dysfunction in the judgment of the investigator
- Diagnosis of deep vein thrombosis or pulmonary embolism within 3 months prior to randomization
- Female subject who has either (1) not used at least 1 highly effective method of birth control for at least 1 month prior to screening or (2) is not willing to use such a method during treatment and for an additional 15 weeks after the end of treatment, unless the subject is sterilized or postmenopausal
- Subject is pregnant or breast feeding, or planning to become pregnant during treatment and/or within 15 weeks after the end of treatment
- Malignancy (except non-melanoma skin cancers, cervical in-situ carcinoma, breast ductal carcinoma in situ, or stage 1 prostate carcinoma) within the last 5 years

The unique characteristics of each of the four Phase 3 trials for primary hyperlipidemia are summarized below.

20110114: A Double-blind, Randomized, Placebo- and Ezetimibe-controlled, Multicenter Study to Evaluate Safety and Efficacy of Lipid Lowering Monotherapy With AMG 145 in Subjects With a 10-Year Framingham Risk Score of 10% or Less

Publication: Koren MJ, Lundqvist P, Bolognese M, et al. Anti-PCSK9 Monotherapy for Hypercholesterolemia The MENDEL-2 Randomized, Controlled Phase III Clinical Trial of Evolocumab. J Am Coll Cardiol 2014;63:2531–40)

Design: double-blind, randomized, double-dummy, placebo-and ezetimibe-controlled, parallel-group study evaluating the effect of 12 weeks of evolocumab SC compared with placebo when administered as monotherapy Q2W or QM on percent change from baseline in LDL-C in hyperlipidemic participants with a 10-year Framingham risk score of 10% or less. After the 6 week screening period, eligible participants were randomized with an allocation ratio of 2:2:1:1:1:1 into 6 treatment groups as shown below. Randomization was stratified on the basis of screening LDL-C concentration (< 130 mg/dL or ≥ 130 mg/dL).

Treatment Group	Investigational Product and Dose	Planned Number of Subjects
Evolocumab Q2W	Evolocumab 140 mg SC Q2W and placebo PO QD	150
Evolocumab QM	Evolocumab 420 mg SC QM and placebo PO QD	150
Ezetimibe (Q2W)	Placebo SC Q2W and ezetimibe 10 mg PO QD	75
Ezetimibe (QM)	Placebo SC QM and ezetimibe 10 mg PO QD	75
Placebo Q2W	Placebo SC Q2W and placebo PO QD	75
Placebo QM	Placebo SC QM and placebo PO QD	75

SC = subcutaneously; Q2W = once every 2 weeks; PO = orally; QD = once daily; QM = once monthly.

Main Inclusion Criteria: Fasting LDL-C  $\geq$  100 mg/dL and  $<$  190 mg/dL and at screening, and a National Cholesterol Education Program (NCEP) Adult Treatment Panel III Framingham risk score of 10% or less.

Main Exclusion Criteria:

- History of coronary heart disease (CHD) or CHD risk-equivalent disease as per NCEP ATP III
- Diabetes mellitus or fasting serum glucose at screening  $\geq$  126 mg/dL or HbA1c  $\geq$  6.5%

20110115: A Double-blind, Randomized, Placebo and Ezetimibe Controlled, Multicenter Study to Evaluate Safety, Tolerability and Efficacy of AMG 145 on LDL-C in Combination With Statin Therapy in Subjects With Primary Hypercholesterolemia and Mixed Dyslipidemia

Publications: Robinson JG, Rogers WJ, Nedergaard BS, et al. Rationale and Design of LAPLACE-2: A Phase 3 Randomized, Double-Blind, Placebo- and Ezetimibe-Controlled Trial Evaluating the Efficacy and Safety of Evolocumab in Subjects With Hypercholesterolemia on Background Statin Therapy. Clin Cardiol. 2014 Jan 30.

Design: Double-blind, randomized, double-dummy, placebo- and ezetimibe-controlled, parallel group study designed to evaluate the effect of 12 weeks of evolocumab SC administered Q2W or QM, compared with placebo, in combination with a statin on percent change from baseline in LDL-C in hyperlipidemic participants.

After the screening period, eligible participants were first randomized to 1 of 5 open-label statin cohorts (atorvastatin 10 mg or 80 mg, rosuvastatin 5 mg or 40 mg, or simvastatin 40 mg) for the lipid stabilization period. Randomization into the statin dose cohorts was stratified by entry statin therapy (no statin use vs non-intensive statin use vs intensive statin use).

After the lipid stabilization period, eligible participants were randomized within each statin dose cohort to blinded investigational product (IP): evolocumab 140 mg SC Q2W or 420 mg QM; placebo SC and/or oral (PO); or ezetimibe 10 mg PO (for the atorvastatin cohorts only). Within each statin dose cohort, 100 participants were planned to be randomized to each evolocumab group and 50 participants to each placebo and ezetimibe group (ezetimibe group was only in the atorvastatin cohorts).

**Main Inclusion Criteria:** Participants already receiving intensive statin therapy were required to have fasting LDL-C at screening of  $\geq 80$  mg/dL (i.e., before the lipid stabilization period). Participants receiving non-intensive statin therapy were required to have a fasting LDL-C at screening of  $\geq 100$  mg/dL. Participants not receiving a statin at screening were required to have a fasting LDL-C of  $\geq 150$  mg/dL.

**Main Exclusion Criteria:**

- Current or prior history of statin intolerance (as determined by investigator), or any intolerance to rosuvastatin, atorvastatin, or simvastatin.
- Subject, who in the opinion of the investigator, requires maximal statin therapy
- Personal or family history of hereditary muscular disorders
- Myocardial infarction, unstable angina, percutaneous coronary intervention (PCI), coronary artery bypass graft (CABG) or stroke within 6 months prior to randomization
- Planned cardiac surgery or revascularization
- Type 1 diabetes, poorly controlled type 2 diabetes (HbA1c  $> 8.5\%$ ), newly diagnosed type 2 diabetes (within 6 months of randomization), or laboratory evidence of diabetes during screening (fasting serum glucose  $\geq 126$  mg or HbA1c  $\geq 6.5\%$ ) without prior diagnosis of diabetes)

20110116: A Double-blind, Randomized, Multicenter Study to Evaluate Safety and Efficacy of AMG 145, Compared With Ezetimibe, in Hypercholesterolemic Subjects Unable to Tolerate an Effective Dose of a HMG-CoA Reductase Inhibitor

Publications: Cho L, Rocco M, Colquhoun D, et al. Design and Rationale of the GAUSS-2 Study Trial: A Double-Blind, Ezetimibe-Controlled Phase 3 Study of the Efficacy and Tolerability of Evolocumab (AMG 145) in Subjects With Hypercholesterolemia Who Are Intolerant of Statin Therapy. Clin Cardiol. 2014 Jan 29.

This trial is described in detail in Section 5.3.2 Trial 20110116: GAUSS-2.

20110117: A Double-blind, Randomized, Placebo-controlled, Multicenter Study to Evaluate Safety, Tolerability and Efficacy of AMG 145 on LDL-C in Subjects With Heterozygous Familial Hypercholesterolemia (RUTHERFORD-2)

Publication: Raal FJ, Stein EA, Dufour R, et al. PCSK9 inhibition with evolocumab (AMG 145) in heterozygous familial hypercholesterolaemia (RUTHERFORD-2): a randomised, double-blind, placebo-controlled trial. The Lancet - 2 October 2014  
DOI: 10.1016/S0140-6736(14)61399-4

Design: Double-blind, randomized, placebo-controlled, parallel-group study was designed to evaluate the effect of 12 weeks of evolocumab SC compared with placebo when administered Q2W or QM on percent change from baseline in LDL-C in subjects with HeFH on stable doses of a statin and other lipid-lowering therapies.

After the 6 week screening period, eligible subjects were randomized with an allocation ratio of 2:2:1:1 into 4 treatment groups, as shown below. Randomization was stratified on the basis of screening LDL-C concentration (< 160 mg/dL vs ≥ 160 mg/dL) and ezetimibe use at baseline (yes vs no).

Treatment Group	Investigational Product and Dose	Planned Number of Subjects
Evolocumab Q2W	Evolocumab 140 mg SC Q2W	100
Evolocumab QM	Evolocumab 420 mg SC QM	100
Placebo Q2W	Placebo SC Q2W	50
Placebo QM	Placebo SC QM	50

SC = subcutaneously; Q2W = once every 2 weeks; QM = once monthly.

Main Inclusion Criteria:

- Diagnosis of HeFH by the diagnostic criteria of the Simon Broome Register Group (SBRG) (Scientific Steering Committee, 1991) as defined by the documentation of one of the following in the patient's past medical record:
  1. A total cholesterol concentration > 290 mg/dL in adulthood or a total cholesterol concentration > 260 mg/dL in childhood at an age of less than 16 years, or a LDL-C concentration > 190 mg/dL in adulthood or > 155 mg/dL in childhood AND tendinous xanthomas in the patient or first- or second-degree relative
  2. Deoxyribonucleic acid (DNA)-based evidence of mutation in the LDLR, ApoB, or PCSK9 gene
  3. A total cholesterol concentration > 290 mg/dL in adulthood or a total cholesterol concentration > 260 mg/dL in childhood at an age of less than 16 years, or a LDL-C concentration > 190 mg/dL in adulthood or > 155 mg/dL in childhood AND family history of myocardial infarction before age 50 years in a second-degree relative or before age 60 years in a first-degree relative
  4. A total cholesterol concentration > 290 mg/dL in adulthood or a total cholesterol concentration > 260 mg/dL in childhood at an age of less than 16 years, or a LDL-C concentration > 190 mg/dL in adulthood or > 155 mg/dL in childhood AND family history of raised total cholesterol

- concentration > 290 mg/dL in a first or second-degree adult relative or > 260 mg/dL in child, brother, or sister aged younger than 16 years
- On a stable dose(s) of a statin and other allowed lipid-regulating drugs for at least 4 weeks before LDL-C screening, with fasting LDL-C  $\geq$  100 mg/dL

Main Exclusion Criteria:

- Homozygous familial hypercholesterolemia
- LDL or plasma apheresis within 4 months prior to randomization
- Myocardial infarction, unstable angina, percutaneous coronary intervention (PCI), coronary artery bypass graft (CABG) or stroke within 6 months prior to randomization
- Planned cardiac surgery or revascularization
- Type 1 diabetes, poorly controlled type 2 diabetes (HbA1c > 8.5%), newly diagnosed type 2 diabetes (within 6 months of randomization), or laboratory evidence of diabetes during screening (fasting serum glucose  $\geq$  126 mg or HbA1c  $\geq$  6.5%) without prior diagnosis of diabetes)
- Subject requires up-titration of their current statin dose (these subjects can be up-titrated and rescreened one month later)

HoFH

The indication for HoFH is supported by 2 trials, trial 20110233 and ongoing trial 20110271. Trial 20110233 used an evolocumab dose of 420 mg QM and ongoing trial 20110271 is using doses of 420 mg QM and 420 mg Q2W. The duration of exposure is 12 weeks in trial 20110233 and up to 84 weeks in trial 20110271.

Trial 20110233 was a 2-part phase 2/3 study and is complete. Part A was a phase 2, open-label, single-arm pilot HoFH trial in which all participants (N = 8) received evolocumab 420 mg QM for 12 weeks. Part B was a phase 3, double-blind, randomized, placebo-controlled HoFH trial in which participants (N = 49) were randomized to receive either evolocumab 420 mg QM or placebo QM for 12 weeks. Participants were on background statin therapy with or without ezetimibe and not receiving apheresis. The diagnosis of HoFH was made by genetic confirmation or a clinical diagnosis based on a history of an untreated LDL-C concentration > 500 mg/dL together with either xanthoma before 10 years of age or evidence of heterozygous familial hypercholesterolemia in both parents.

Trial 20110271 is an ongoing, phase 2/3, open-label extension trial in participants with HoFH or FH. This trial enrolled 96 HoFH participants in the interim analysis set (based on a data cutoff date of 01 April 2014) who completed Part A or Part B of Study 20110233 as well as participants who rolled over from other parent trials or had not received treatment in any parent trial (referred to as non-parent). Participants on apheresis initiated Study 20110271 with 420 mg evolocumab Q2W, while participants not on apheresis initiated treatment with 420 mg QM. Participants were subsequently allowed to switch between the evolocumab 420 mg Q2W and QM dose, depending on their response to the current dose.

### 6.1.2 Demographics

#### Primary Hyperlipidemia

The four Phase 3 trials that evaluated the efficacy of evolocumab were heterogeneous and varied in terms of baseline CHD risk, CV risk factors (such as diabetes and hypertension) and baseline LDL-C. This is detailed in Table 36: Demographics of the Integrated Four Phase 3 Trials in the ISE.

In the integrated analysis of efficacy of the four 12-week trials for primary hyperlipidemia, participants were from Europe, North America, and Asia Pacific. The mean (SD) age at baseline in the integrated efficacy analysis population was 58 (11) years, and approximately 49% of participants were female. Most participants were white (92%) and non-Hispanic (95%). Approximately 52% of participants were enrolled at sites in Europe, 40% in North America and 8% Asia Pacific. Approximately 30% (n = 958) of participants were ≥ 65 years old.

Approximately 20% and 10% of participants in the integrated efficacy analysis population had a prior diagnosis of CAD and cerebrovascular or peripheral arterial disease, respectively. Approximately 300 (9.6%) participants had a history of myocardial infarction; only 69 (2.2%) participants had a history of stroke at baseline. Approximately 4% (136) had a history of congestive heart failure of which 1.7% of participants had CHF NYHA class I and 2.6% had CHF NYHA class II.

Additional baseline characteristics include 12% had Type 2 diabetes mellitus; 49% had hypertension; approximately 34% were high, 10% were moderately-high and 29% were moderate risk by NCEP ATP-III risk categories. Thus, less than 45% (1370 participants) were at moderate-high or higher CHD risk at baseline.

In the integrated efficacy analysis population, 72.4% of the participants were on statins. Approximately 33% of participants were using high-intensity statin therapy (per ACC/AHA definition statins such as atorvastatin 80 mg or rosuvastatin 40 mg) and 38% were using moderate intensity statin therapy (such as atorvastatin 20 mg, rosuvastatin 10 mg, simvastatin 20-40 mg). Twenty-eight percent were not on any statin therapy. Participants who received ezetimibe were less likely to use high-intensity statin therapy, this was due to the parent study designs in which ezetimibe was used as a randomized active control in trials 20110114, 20110115 (atorvastatin cohorts), and 20110116. Statin use was lower in the ezetimibe group (50.3%) compared with the placebo (74.2%) and evolocumab (81.1%) groups in part because ezetimibe was used as the active control in trial 20110116 (statin intolerance); this trial did not have a placebo arm. Trials 20110114 (monotherapy) and 20110115 (combination therapy) had both placebo and ezetimibe controls, although the ezetimibe control in trial 20110115 was limited to the atorvastatin cohorts. In addition to statins, subjects were using other lipid-regulating medications (as allowed by individual parent study criteria) such as fish oil (4%), niacin (0.3%), and bile acid sequestrants (1.3%) which were similar across the treatment groups.

Mean (SD) serum concentration of LDL C at baseline in the integrated efficacy analysis population was 128.7 (49.1) mg/dL, mean HDL-C was 54.1 mg/dL and median triglyceride was 119.0 mg/dL.

Table 35: Demographics of the Integrated Four Phase 3 Trials in the ISE

	Control				EvoMab		Total
	Placebo SC Q2W (N =411) n (%)	Placebo SC QM (N = 410) n (%)	Placebo SC Q2W+Eze. (N = 240) n (%)	Placebo SC QM +Eze. (N =237) n (%)	140 mg Q2W (N=921) n (%)	420 mg QM (N=927) n (%)	(N=3146) n (%)
Sex	207	204	133	130	432	447	1553
Female	(50.4)	(49.8)	(55.4)	(54.9)	(46.9)	(48.2)	(49.4)
Age (yrs)	57.6	56.9	58.7	58.2	57.4	58.2	57.8
Mean							
Age ≥ 65 years	116	118	87	71	272	294	958
	(28.2)	(28.8)	(36.3)	(30.0)	(29.5)	(31.7)	(30.5)
White	376	382	218	205	839	858	2878
	(91.5)	(93.2)	(90.8)	(86.5)	(91.1)	(92.6)	(91.5)
Asian	14 (3.4)	12 (2.9)	10 (4.2)	16 (6.8)	28 (3.0)	28 (3.0)	108 (3.4)
Black	14 (3.4)	13 (3.2)	10 (4.2)	12 (5.1)	41 (4.5)	34 (3.7)	124 (3.9)
Europe	229	224	112	116	462	496	1639
	(55.7)	(54.6)	(46.7)	(48.9)	(50.2)	(53.5)	(52.1)
North America	151	160	106	103	385	366	1271
	(36.7)	(39.0)	(44.2)	(43.5)	(41.8)	(39.5)	(40.4)
Asia Pacific	31 (7.5)	26 (6.3)	22 (9.2)	18 (7.6)	74 (8.0)	65 (7.0)	236 (7.5)
National cholesterol education program (NCEP) CHD risk categories							
<i>High</i>	121	136	76	77	333 (36.2)	319 (34.4)	1062
	(29.4)	(33.2)	(31.7)	(32.5)			(33.8)
<i>Mod-high</i>	44	31	19	25	84	105 (11.3)	308
	(10.7)	(7.6)	(7.9)	(10.5)	(9.1)		(9.8)
<i>Moderate</i>	116	112	76	70	278 (30.2)	250 (27.0)	902
	(28.2)	(27.3)	(31.7)	(29.5)			(28.7)
<i>Low</i>	130	131	69	65	226 (24.5)	253 (27.3)	874
	(31.6)	(32.0)	(28.8)	(27.4)			(27.8)
Coronary artery disease	78	72	35	32	197 (21.4)	208 (22.4)	622
	(19.0)	(17.6)	(14.6)	(13.5)			(19.8)
<i>Angina</i>	42	41	17	20	96	112 (12.1)	328
	(10.2)	(10.0)	(7.1)	(8.4)	(10.4)		(10.4)
<i>Myocardial infarction</i>	38	33	15	13	101 (11.0)	102 (11.0)	302
	(9.2)	(8.0)	(6.3)	(5.5)			(9.6)
<i>Coronary artery bypass graft</i>	20 (4.9)	18 (4.4)	11 (4.6)	4 (1.7)	63 (6.8)	72 (7.8)	188 (6.0)
<i>Percutaneous coronary intervention</i>	48	42	18	25	119 (12.9)	118 (12.7)	370
	(11.7)	(10.2)	(7.5)	(10.5)			(11.8)
Cerebrovascular or peripheral arterial disease	370	41	25	13	93	102 (11.0)	307
	(11.8)	(10.0)	(10.4)	(5.5)	(10.1)		(9.8)
<i>Transient</i>	8 (1.9)	9 (2.2)	2 (0.8)	6 (2.5)	17 (1.8)	22 (2.4)	64 (2.0)

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	Control				EvoMab		Total
	Placebo SC Q2W (N =411) n (%)	Placebo SC QM (N = 410) n (%)	Placebo SC Q2W+Eze. (N = 240) n (%)	Placebo SC QM +Eze. (N =237) n (%)	140 mg Q2W (N=921) n (%)	420 mg QM (N=927) n (%)	(N=3146) n (%)
<i>ischemic attack</i>							
<i>Stroke</i>	9 (2.2)	11 (2.7)	6 (2.5)	4 (1.7)	18 (2.0)	21 (2.3)	69 (2.2)
<i>Carotid or vertebral artery disease</i>	9 (2.2)	19 (4.6)	9 (3.8)	2 (0.8)	44 (4.8)	45 (4.9)	133 (4.2)
<i>Peripheral arterial disease</i>	9 (2.2)	11 (2.7)	9 (3.8)	5 (2.1)	37 (4.0)	32 (3.5)	105 (3.3)
Current cigarette use	48 (11.7)	66 (16.1)	37 (15.4)	35 (14.8)	135 (14.7)	118 (12.7)	439 (14.0)
Type 2 diabetes mellitus	35 (8.5)	49 (12.0)	27 (11.3)	44 (18.6)	128 (13.9)	97 (10.5)	380 (12.1)
Hypertension	189 (46.0)	188 (45.9)	111 (46.3)	123 (51.9)	482 (52.3)	455 (49.1)	1548 (49.2)
LDL-C (mg/dL) Mean	117.7	122.1	140.0	137.3	129.5	130.6	128.7
LDL-C (mg/dL) Q1, Q3	90.0, 139.5	93.0, 143.0	106.5, 162.5	104.0, 162.0	93.0, 154.0	95.0, 156.0	95.0, 153.0
HDL-C (mg/dL) Mean	54.6	55.2	54.4	52.5	53.0	54.8	54.1
TG (mg/dL) Median	112.0	113.0	131.3	125.0	121.0	118.0	119.0
Lp(a) (nmol/L)† Median	34.0	36.5	36.0	28.0	36.0	35.0	34.0
Lp(a) <sup>a</sup> mg/dL	0.9	1.0	1.0	0.8	1.0	1.0	0.9
hsCRP (mg/L) <sup>∞</sup> Median	1.29	1.34	1.36	1.76	1.42	1.40	1.40
Statin therapy intensity per ACC/AHA definition*							
<i>High</i>	149 (36.3)	152 (37.1)	56 (23.3)	55 (23.2)	305 (33.1)	307 (33.1)	1024 (32.5)
<i>Moderate</i>	181 (44.0)	179 (43.7)	60 (25.0)	59 (24.9)	360 (39.1)	365 (39.4)	1204 (38.3)
<i>Low</i>	5 (1.2)	0	5 (2.1)	5 (2.1)	16 (1.7)	16 (1.7)	47 (1.5)
<i>Unknown</i>	0	0	0	0	2 (0.2)	0	2 (0.1)
<i>None</i>	76 (18.5)	79 (19.3)	119 (49.6)	118 (49.8)	238 (25.8)	16 (1.7)	869 (27.6)
<p>CHD = coronary heart disease; EvoMab = Evolocumab (AMG 145); QD = once a day; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous; CHD = coronary heart disease;</p> <p>*ACC = American College of Cardiology; AHA = American Heart Association; High-Intensity Statin Therapy (such as atorvastatin 80 mg or rosuvastatin 40 mg) and Moderate-Intensity Statin Therapy (such as atorvastatin 20 mg, rosuvastatin 10 mg, simvastatin 20-40 mg).; Amgen definition: intensive if atorvastatin ≥ 40 mg QD, rosuvastatin ≥ 20 mg QD, simvastatin ≥ 80 mg QD, or any statin use with concurrent ezetimibe use and nonintensive is any statin use not classified as intensive.</p> <p>† Most studies and meta-analyses show an increase in CVD risk starting at Lp(a) &gt;25 mg/dl; (Lipoprotein(a) as a Potential Causal Genetic Risk Factor; Tsimikas and Hall; JACC Vol. 60, No. 8, 2012, August 21, 2012:716–21.</p> <p><sup>a</sup>For an approximate unit conversion of Lp(a), 1 mg/dL = 0.0357 μmol/L or 35.7 nmol/L</p> <p><sup>∞</sup> According to the American Heart Association: Low risk of developing cardiovascular disease if hs-CRP level is &lt; 1.0mg/L; average risk of developing cardiovascular disease if levels are between 1.0 and 3.0 mg/L; high risk for</p>							

	Control				EvoMab		Total
	Placebo SC Q2W (N =411) n (%)	Placebo SC QM (N = 410) n (%)	Placebo SC Q2W+Eze. (N = 240) n (%)	Placebo SC QM +Eze. (N =237) n (%)	140 mg Q2W (N=921) n (%)	420 mg QM (N=927) n (%)	(N=3146) n (%)
cardiovascular disease if hs-CRP level is > 3.0 mg/L.							
Includes the following studies: 20110114, 20110115, 20110116, 20110117.							
Subjects from countries with an undefined risk are classed as low risk.							
Source: Modified from ISE Table 14-2.1.1, ISE Table 14-2.4.1, ISE Table 14-2.6.1, ISE Table 14-2.7.1., ISE Table 14-2.5.401.and ISE Table 14-2.2.1							

The table below summarizes the demographics of the four Phase 3 trials presented by trial. Some notable differences among the trials is that the monotherapy trial (20110114) is primarily comprised of individuals at low or moderate CHD risk and has only a small percentage of individuals with risk factors such as diabetes and hypertension. The statin-intolerant trial (20110116) and the HeFH trial (20110117) have a higher percentage of individuals with high CHD risk. Baseline LDL values are lowest in the statin combination trial (20110115) and highest in the statin intolerant trial.

Baseline statin therapy varied among the trials. No participants received statin therapy at baseline in the monotherapy trial 20110114 and participants were randomized to statin therapy at baseline in the combination trial 20110115. Prior to enrollment in trial 20110115, intensive statin therapy was used by 29% of participants, nonintensive statin by 41%, and 30% were using no statin. In trial 20110116, which evaluated evolocumab compared with ezetimibe in participants with statin intolerance, the majority of participants (252 of 307 [82%] total; 82% evolocumab, 81% ezetimibe) were not receiving a statin at baseline. A total of 13 participants (4%; 7 [3.4%] evolocumab, 6 [5.9%] ezetimibe) were taking moderate-intensity statins and 40 participants (13%; 28 [13.7%] evolocumab, 12 [11.8%] ezetimibe) were taking low-intensity statins. In trial 20110117, which evaluated evolocumab in participants with HeFH, the majority of participants in each treatment group (170 [77%] evolocumab, 80 [73%] placebo) were taking high-intensity statins. Moderate intensity statins were taken by 47 participants (21%) in the evolocumab group and 25 participants (23%) in the placebo group. Less than 1% and 4% of participants in the evolocumab group (2 [0.9%]) and placebo group (4 subjects [3.7%]), respectively, were taking low-intensity statins.

Table 36: Demographics of the Individual Four Phase 3 Trials in the ISE

	20110114 (Monotherapy)	20110115 (Statin Combination)	20110116 (Statin-Intolerant)	20110117 (HeFH)
	Total (N = 614) n (%)	Total (N = 1896) n (%)	Total (N=307) n (%)	Total (N=329) n (%)
Sex	405 (66.0)	868 (45.8)	141 (45.9)	139 (42.2)

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	20110114 (Monotherapy)	20110115 (Statin Combination)	20110116 (Statin-Intolerant)	20110117 (HeFH)
	Total (N = 614) n (%)	Total (N = 1896) n (%)	Total (N=307) n (%)	Total (N=329) n (%)
Female				
Age (yrs) Mean	55.1	59.8	61.5	51.2
Age ≥ 65 years	111 (18.1)	671 (35.4)	127 (41.4)	49 (14.9)
White	510 (83.1)	1782 (94.0)	287 (93.5)	296 (90.0)
Asian	58 (9.4)	25 (1.3)	10 (3.3)	16 (4.9)
Black	40 (6.5)	75 (4.0)	7 (2.3)	3 (0.9)
Europe	199 (32.4)	1108 (58.4)	154 (50.2)	178 (54.1)
North America	351 (57.2)	734 (38.7)	112 (36.5)	74 (22.5)
Asia Pacific	64 (10.4)	54 (2.8)	41 (13.4)	77 (23.4)
National cholesterol education program (NCEP) CHD risk categories				
<i>High</i>	7 (1.1)	740 (39.0)	173 (56.4)	142 (43.2)
<i>Mod-high</i>	30 (4.9)	214 (11.3)	45 (14.7)	19 (5.8)
<i>Moderate</i>	226 (36.8)	533 (28.1)	53 (17.3)	90 (27.4)
<i>Low</i>	351 (57.2)	409 (21.6)	36 (11.7)	78 (23.7)
Coronary artery disease	2 (0.3)	427 (22.5)	90 (29.3)	103 (31.3)
<i>Angina</i>	2 (0.3)	223 (11.8)	43 (14.0)	60 (18.2)
<i>Myocardial infarction</i>	0	212 (11.2)	45 (14.7)	45 (13.7)
<i>Coronary artery bypass graft</i>	0	108 (5.7)	28 (9.1)	52 (15.8)
<i>Percutaneous coronary intervention</i>	0	248 (13.1)	60 (19.5)	62 (18.8)
Cerebrovascular or peripheral arterial disease	4 (0.7)	198 (10.4)	49 (16.0)	56 (17.0)
<i>Transient ischemic attack</i>	1 (0.2)	38 (2.0)	12 (3.9)	13 (4.0)
<i>Stroke</i>	1(0.2)	48 (2.5)	10 (3.3)	10 (3.0)
<i>Carotid or vertebral artery disease</i>	3 (0.5)	77 (4.1)	17 (5.5)	36 (10.9)
<i>Peripheral arterial disease</i>	0	72 (3.8)	18 (5.9)	15 (4.6)
Current cigarette use	72 (11.7)	291 (15.3)	24 (7.8)	52 (15.8)
Type 2 diabetes mellitus	1 (0.2)	293 (15.5)	62 (20.2)	24 (7.3)
Hypertension	176 (28.7)	1084 (57.2)	181 (59.0)	107 (32.5)
LDL-C (mg/dL) Mean	142.9	After lipid stabilization period	193.1	155.5

	20110114 (Monotherapy)	20110115 (Statin Combination)	20110116 (Statin-Intolerant)	20110117 (HeFH)
	Total (N = 614) n (%)	Total (N = 1896) n (%)	Total (N=307) n (%)	Total (N=329) n (%)
		109.1		
LDL-C (mg/dL) Q1, Q3	126.5, 159.0	83.0, 124.0	154.0, 215.0	123.5, 174.0
HDL-C (mg/dL) Mean	58.5	53.5	51.8	51.1
TG (mg/dL) Median	115.3	116.0	152.0	110.0
Lp(a) (nmol/L) Median	25.0	34.0	32.0	65.0
hsCRP (mg/L) Median	1.37	1.45	1.71	0.99
Baseline Statin Therapy	0%	100% Assigned by protocol	17.9%	100%
<i>Atorvastatin</i>	0%	46.5%	2.0%	35.0%
<i>Rosuvastatin</i>	0%	35.8%	8.8%	48.9%
<i>Simvastatin</i>	0%	17.8%	2.3%	12.2%
<i>Other statins</i>	0%	0%	4.8%	3.9%
CHD = coronary heart disease; EvoMab = Evolocumab (AMG 145); QD = once a day; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous; CHD = coronary heart disease; Includes the following studies: 20110114, 20110115, 20110116, 20110117. Subjects with an undefined risk are classed as low risk. Source: Modified from CSR 20110114: Tables 9-3, 14-2.4.1, 14-2.5.1; CSR 20110115: Tables 14-2.2.2, 14-2.4.1, 14-2.5.2; CSR 20110116: Tables 14-2.2.1, 14-2.4.1, 14-2.5.1 and CSR 20110117: Tables 14-2.2.1, 14-2.4.1, 14-2.5.1 and 14-2.7.1				

### HoFH

For the trial 20110233 (TESLA), Part B, the mean age was 31 years (range, 13-57 years), with 10 (20%) participants younger than 18 years. The mean (SD) baseline LDL-C, determined directly by ultracentrifugation, was 349 (137) mg/dL despite all 49 participants being on statins at baseline (48 on intensive statin, defined as atorvastatin  $\geq$  40 mg daily or equivalent or any statin plus ezetimibe; and 1 participant on atorvastatin 20 mg).

As the HoFH population was the same for the integrated efficacy as well as the integrated safety evaluation, the demographics of this HoFH population is described in more detail in Section 7.2.1.2 Demographics in the Safety Population.

### 6.1.3 Subject Disposition

#### Primary Hyperlipidemia

Of the 3152 participants randomized, 3146 (99.8%) received IP:

- 1848 evolocumab (921 evolocumab SC Q2W, 927 evolocumab SC QM)
- 821 placebo (411 placebo SC Q2W, 410 placebo SC QM)

- 477 ezetimibe (240 ezetimibe [placebo SC Q2W], 237 ezetimibe [placebo SC QM])

In these trials of 12 weeks duration, a total of 3026 (96.0%) participants completed the study. A total of 126 (4.0%) participants discontinued the study: 65 (2.1%) participants discontinued the trial early primarily due to ‘consent withdrawn’ and ‘lost-to-follow up’, and 57 (1.8%) participants enrolled into extension studies without completing the final follow-up visit in the parent trials. This last group made up the majority of the 60 participants who left the trial due to ‘decision by sponsor’. This is summarized in the following table which presents the disposition data for each of the four Phase 3 trials and the combined cohort of the 4 trials.

Table 37: Participant Disposition- Phase 3 Parent Trial and Integrated Cohort

Category	20110114 (Monotherapy)	20110115 (Statin Combination Therapy)	20110116 (Statin- Intolerant)	20110117 (HeFH)	Integrated Cohort (Combined parent trials)
Randomized, n	615	1899	307	331	3152
Received IP, n (%)	614 (99.8)	1896 (99.8)	307 (100.0)	329 (99.4)	3146 (99.8)
Completed IP, n (%)	581 (94.5)	1807 (95.2)	293 (95.4)	324 (97.9)	3005 (95.3)
Completed Study, n (%)	598 (97.2)	1826 (96.2)	290 (94.5)	312 (94.3)	3026 (96.0)
Discontinued Study, n (%)	17 (2.8)	73 (3.8)	17 (5.5)	19 (5.7)	126 (4.0)
Withdrawal of consent	3 (0.5)	40 (2.1)	3 (1.0)	6 (1.8)	52 (1.6)
Death	-	1 (0.1)	-	-	1 (0.03)
Decision by sponsor	8 (1.3)	26 (1.4)	13 (4.2)	13 (3.9)	60 (1.9)
For enrollment into extension study	8 (1.3)	23 (1.2)	13 (4.2)	13 (3.9)	57 (1.8)
Lost to follow-up	6 (1.0)	6 (0.3)	1 (0.3)	-	13 (0.4)
HeFH = heterozygous familial hypercholesterolemia; IP = investigational product; EvoMab = Evolocumab; Q2W = every 2 weeks (subcutaneous), QM = monthly (subcutaneous); QD = once a day (oral tablet); Source: Modified from ISE Table 14-1.1.1, Table 14-1.1.1 of Study 20110114, Table 14-1.1.2 of Study 20110115, Table 14-1.1.1 of Study 20110116, Table 14-1.1.1 of Study 20110117, Table 13 (2.7.3).					

In these four trials of 12 weeks duration, a total of 3005 (95.3%) completed IP, which was balanced among the different treatment groups. The table below summarizes the disposition for the integrated cohort of four Phase 3 trials. A total of 141 (4.5%) participants discontinued IP, primarily due to adverse reactions and subject request. Adverse reactions as a reason to discontinue IP were numerically greater in the EvoMab Q2W dosing compared to EvoMab QM dosing (2.2% and 1.1%, respectively), and compared to the Placebo Q2W dosing (2.0%) and Placebo QM dosing (1.5%).

Table 38: Disposition in the Integrated Cohort of Phase 3 Trials for Primary Hyperlipidemia Indication

	Control				EvoMab		All Total
	Placebo SC Q2W (N=413) n (%)	Placebo SC QM (N=411) n (%)	Placebo SC Q2W+Eze (N=240) n (%)	Placebo SC QM +Eze (N=237) n (%)	140 mg Q2W (N=924) n (%)	420 mg QM (N=927) n (%)	(N=3152) n (%)
<b>Study Completion Accounting</b>							
Completed study	386 (93.5)	406 (98.8)	222 (92.5)	234 (98.7)	868 (93.9)	910 (98.2)	3026 (96.0)
<b>Discontinued study</b>	27 (6.5)	5 (1.2)	18 (7.5)	3 (1.3)	56 (6.1)	17 (1.8)	126 (4.0)
<i>Consent withdrawn</i>	13(3.2)	4 (1.0)	6 (2.5)	2 (0.8)	14 (1.5)	13 (1.4)	52 (1.6)
<i>Death</i>	1(0.2)	0	0	0	0	0	1 (0.03)
<i>Decision by sponsor*</i>	11(2.7)	0	11(4.6)	0	37 (4.0)	1 (0.1)	60 (1.9)
<i>Lost to follow-up</i>	2 (0.5)	1(0.2)	1 (0.4)	1(0.4)	5 (0.5)	3 (0.3)	13 (0.4)
<b>Investigational Product Accounting</b>							
Never received IP	2	1	1	0	3	0	6
Received IP	411	410	240	237	921	927	3146 (99.8)
Completed IP	389 (94.6)	392 (95.6)	221 (92.1)	227 (95.8)	879 (95.4)	897 (96.8)	3005 (95.3)
<b>Discontinued IP</b>	<b>22 (5.4)</b>	<b>18 (4.4)</b>	<b>19 (7.9)</b>	<b>10 (4.2)</b>	<b>42 (4.6)</b>	<b>30 (3.2)</b>	<b>141 (4.5)</b>
<i>Adverse reaction</i>	8 (1.9)	6 (1.5)	8 (3.3)	5 (2.1)	20 (2.2)	10 (1.1)	57 (1.8)
<i>Pregnancy</i>	0	0	0	0	0	0	0
<i>Death</i>	0	0	0	0	0	0	0
<i>Subject request</i>	9 (2.2)	6 (1.5)	6 (2.5)	4 (1.7)	12 (1.3)	12 (1.3)	49 (1.6)
<i>Decision by sponsor</i>	0	0	0	0	1 (0.1)	2 (0.2)	3 (0.1)
<i>Physician decision</i>	0	1 (0.2)	2 (0.8)	0	0	0	3 (0.1)

<i>Lost to follow-up</i>	2 (0.5)	1 (0.2)	1 (0.4)	1 (0.4)	4 (0.4)	1 (0.1)	10 (0.3)
<i>Other</i>	3 (0.7)	4 (1.0)	2 (0.8)	0	5 (0.5)	5 (0.5)	19 (0.6)

Includes the following studies: 20110114, 20110115, 20110116, 20110117.  
 N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab;  
 Eze=ezetimibe; QD = once a day; Q2W = every 2 weeks; QM = monthly;  
 SC = subcutaneous; IP = investigational product;  
 \*Majority of subjects labeled as decision by sponsor enrolled in the extension study prior to completing the last follow up visit in this study.  
 Source: Table1-2 IR response 06Nov2014

#### HoFH

Because the HoFH population was the same for the integrated efficacy and integrated safety evaluation, the disposition of this HoFH population is described in Section 7.2.1.1 Disposition in the Safety Population.

#### 6.1.4 Analysis of Primary Endpoint(s)

##### Primary Hyperlipidemia

The percent change from baseline in LDL-C at Week 12 was used by the applicant as a co-primary endpoint in the phase 3 trials 20110114, 20110115, 20110116 and 20110117. The other co-primary endpoint used by the applicant was the percent change in LDL-C at the mean of Weeks 10 and 12 to convey information about time-averaged LDL-C reduction with evolocumab therapy over the dosing interval. In the phase 3 trials, the repeated measures linear effects model was used in each dose frequency (Q2W and QM) to compare the efficacy of evolocumab with control groups (placebo or ezetimibe). Multiplicity adjustment method used Hochberg and the fall back procedure to control the familywise error rate at 0.05 for the co-primary endpoints.

The calculation of LDL-C by the Friedewald equation can return underestimated values (ie, greater estimated reductions) when calculated LDL-C concentrations are < 40 mg/dL or triglycerides are high. In the phase 3 trials, the applicant used a reflexive approach, where the calculated LDL-C was used unless the calculated LDL-C was < 40 mg/dL or triglycerides were > 400 mg/dL, in which case LDL-C by preparative ultracentrifugation (UC) was determined and utilized.

Because LDL-C by UC is not routinely used in clinical practice, the applicant proposes to use the calculated LDL-C value for labeling as this method of LDL-C measurement is the more common way in which LDL-C is assessed in the clinical setting.

The table below provides a summary of the primary endpoint efficacy results for the four Phase 3 trials that make up the integrative efficacy assessment. Across the

different patient populations and background therapies, evolocumab provides significant LDL-C reduction as compared to placebo and ezetimibe in these trials of 12 weeks duration. In the monotherapy trial 20110114, evolocumab use yielded LDL-C reduction ranging from 55% for the 420 mg QM dose to 57% for the 140 mg Q2W dose. For comparison, high-intensity statins (atorvastatin 40-80 mg; rosuvastatin 20-40 mg) yield LDL-C reductions ranging from 48% to 64%, according to their prescribing information, and some of these doses have proven benefit in CV outcomes trials.

Table 39: Summary of Evolocumab Efficacy Results from the Four Individual Phase 3 Trials in Primary Hyperlipidemia and Mixed Dyslipidemia

Study	Description	Efficacy Results																																												
<b>20110114</b> (N = 615)	<u>Monotherapy (12 weeks):</u> <ul style="list-style-type: none"> <li>EvoMab 140 mg SC Q2W + placebo PO QD</li> <li>EvoMab 420 mg SC QM + placebo PO QD</li> <li>placebo SC Q2W + placebo PO QD</li> <li>placebo SC QM + placebo PO QD</li> <li>placebo SC Q2W + 10 mg ezetimibe PO QD</li> <li>placebo SC QM + 10 mg ezetimibe PO QD</li> </ul>	<u>LDL-C percent change from baseline to week 12</u> (relative to placebo and ezetimibe) <sup>a</sup> (p value is significant after adjustment for multiplicity; p < 0.001 for all): <ul style="list-style-type: none"> <li>140 mg SC Q2W:                Reflexive: -57 vs placebo; -39 vs ezetimibe                Calculated: -59 vs placebo; -40 vs ezetimibe</li> <li>420 mg SC QM:                Reflexive: -55 vs placebo; -38 vs ezetimibe                Calculated: -57 vs placebo; -38 vs ezetimibe</li> </ul>																																												
<b>20110115</b> (N = 1899)	<u>Combination with atorvastatin, rosuvastatin, or simvastatin (12 weeks):</u> <ul style="list-style-type: none"> <li>EvoMab 140 mg SC Q2W</li> <li>EvoMab 420 mg SC QM</li> <li>Placebo SC Q2W</li> <li>Placebo SC QM</li> </ul> For atorvastatin arms, added ezetimibe 10 mg or placebo PO QD	<u>LDL-C percent change from baseline to week 12</u> (relative to placebo and ezetimibe) <sup>a, b</sup> (p value is significant after adjustment for multiplicity; p < 0.001 for all): <table border="1" data-bbox="790 1318 1435 1829"> <thead> <tr> <th rowspan="2"></th> <th colspan="2">140 mg Q2W</th> <th colspan="2">420 mg QM</th> </tr> <tr> <th>Reflex.</th> <th>Calc.</th> <th>Reflex.</th> <th>Calc.</th> </tr> </thead> <tbody> <tr> <td>Atorv 10 mg (vs pbo)</td> <td>-71</td> <td>-74</td> <td>-59</td> <td>-61</td> </tr> <tr> <td>Atorv 10 mg (vs eze)</td> <td>-40</td> <td>-44</td> <td>-41</td> <td>-43</td> </tr> <tr> <td>Atorv 80 mg (vs pbo)</td> <td>-76</td> <td>-80</td> <td>-71</td> <td>-74</td> </tr> <tr> <td>Atorv 80 mg (vs eze)</td> <td>-47</td> <td>-50</td> <td>-39</td> <td>-41</td> </tr> <tr> <td>Rosu 5 mg (vs pbo)</td> <td>-68</td> <td>-71</td> <td>-65</td> <td>-66</td> </tr> <tr> <td>Rosu 40 mg (vs pbo)</td> <td>-68</td> <td>-71</td> <td>-55</td> <td>-59</td> </tr> <tr> <td>Simv 40 mg (vs pbo)</td> <td>-71</td> <td>-74</td> <td>-60</td> <td>-62</td> </tr> </tbody> </table>		140 mg Q2W		420 mg QM		Reflex.	Calc.	Reflex.	Calc.	Atorv 10 mg (vs pbo)	-71	-74	-59	-61	Atorv 10 mg (vs eze)	-40	-44	-41	-43	Atorv 80 mg (vs pbo)	-76	-80	-71	-74	Atorv 80 mg (vs eze)	-47	-50	-39	-41	Rosu 5 mg (vs pbo)	-68	-71	-65	-66	Rosu 40 mg (vs pbo)	-68	-71	-55	-59	Simv 40 mg (vs pbo)	-71	-74	-60	-62
	140 mg Q2W			420 mg QM																																										
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Rosu 40 mg (vs pbo)	-68	-71	-55	-59																																										
Simv 40 mg (vs pbo)	-71	-74	-60	-62																																										
<b>20110116</b> (N = 307)	<u>In 'statin-intolerant' subjects (12 weeks):</u>	<u>LDL-C percent change from baseline to week 12</u>																																												

	<ul style="list-style-type: none"> <li>• EvoMab 140 mg SC Q2W and placebo PO QD</li> <li>• EvoMab 420 mg SC QM and placebo PO QD</li> <li>• placebo SC Q2W and 10 mg ezetimibe PO QD</li> <li>• placebo SC QM and 10 mg ezetimibe PO QD</li> </ul>	(relative to ezetimibe) <sup>a</sup> (p value is significant after adjustment for multiplicity; p < 0.001 for all): <ul style="list-style-type: none"> <li>• 140 mg SC Q2W: Reflexive: -38 vs ezetimibe Calculated: -39 vs ezetimibe</li> <li>• 420 mg SC QM: Reflexive: -38 vs ezetimibe Calculated: -38 vs ezetimibe</li> </ul>
<b>20110117</b> (N = 331)	<u>In HeFH subjects (12 weeks):</u> <ul style="list-style-type: none"> <li>• EvoMab 140 mg SC Q2W</li> <li>• EvoMab 420 mg SC QM</li> <li>• Placebo SC Q2W</li> <li>• Placebo SC QM</li> </ul>	<u>LDL-C percent change from baseline to week 12</u> (relative to placebo) <sup>a</sup> (p value is significant after adjustment for multiplicity; p < 0.001 for all): <ul style="list-style-type: none"> <li>• 140 mg SC Q2W: Reflexive: -59 vs placebo Calculated: -61 vs placebo</li> <li>• 420 mg SC QM: Reflexive: -61 vs placebo Calculated: -60 vs placebo</li> </ul>
<p>a Least squares mean estimate (95% CI) from the repeated measures model which included treatment group, stratification factor (from IVRS), scheduled visit and the interaction of treatment with scheduled visit as covariates for all endpoints except LDL-C achievement where the treatment difference is from the CMH model stratified by the stratification factor.</p> <p>b atorvastatin arms only</p> <p>N = number of subjects randomized</p> <p>Source: Modified from applicant's Table 10; Module 2.7.3-Summary of Clinical Efficacy</p>		

The efficacy results for the four integrated Phase 3 trials demonstrate that treatment with evolocumab yielded statistically significant reductions in reflexive LDL-C for both co-primary endpoints (percent change from baseline in LDL-C at week 12 and percent change at mean of weeks 10 and 12). The integrated analyses demonstrated statistically significant reductions in LDL-C for both dosing regimens (evolocumab 140 mg Q2W and 420 mg QM dosing) (multiplicity-adjusted p < 0.001), with random-effects treatment differences (SE) that ranged from reductions of 60.4% (2.1%) for the 420 mg QM dose to 66.7% (2.8%) for the 140 mg Q2W dose compared with placebo, and 38.2% (1.3%) for the 420 mg QM dose to 39.6% (1.4%) for the 140 mg Q2W dose, compared with ezetimibe.

#### HoFH

Trial 20110233 (part B): The percent change from baseline in LDL-C at Week 12 was used as the primary efficacy endpoint in Study 20110233 part B for the indication of HoFH.

A repeated measures linear effects model was used on participants randomized and receiving at least one dose of IP. The primary analysis model included terms for

treatment group, stratification factor, scheduled visit, and the interaction of treatment with scheduled visit, to compare evolocumab with placebo.

In Part A of Trial 20110233, which was a phase 2, open-label pilot study in 8 participants with HoFH, the mean (SD) serum concentration of UC LDL-C at baseline was 442 (113) mg/dL. LDL-C percent change from baseline to Week 12 was -17% for both methods of LDL-C measurement: calculation of LDL-C by the Friedewald equation or by preparative ultracentrifugation (UC).

Part B of Trial 20110233 was a phase 3, double-blind, placebo-controlled trial in 49 participants (33 evolocumab, 16 placebo). Mean (SD) serum concentration of UC LDL-C at baseline was 349 (137) mg/dL. Compared with placebo, evolocumab resulted in statistically significant reductions in LDL-C of approximately 31% at Week 12. Compared to baseline, the mean (SE) percent change in UC LDL-C was -23.1 (3.8) % for evolocumab and 7.9 (5.3)% for placebo.

Trial 20110271 is an ongoing, phase 2/3, open-label long-term extension trial in participants with FH (including, but not limited to, HoFH). Participants with HoFH who completed trial 20110233 and those with FH who rolled over from other parent trials or had not received treatment in any parent trial were eligible to participate in this extension trial. Participants on apheresis as well as those not on apheresis were eligible for this trial. The efficacy evaluation in this section is based on the HoFH interim analysis set which includes all HoFH participants (n=96) enrolled in this trial at the time of the data cutoff date (4/1/14) who have received at least 1 dose of evolocumab. Mean (SD) UC LDL-C at baseline was 321 (131) mg/dL. Evolocumab resulted in UC LDL-C reductions of 19% at Week 12 and 23% at Week 24 in the HoFH analysis set. If serum unbound PCSK9 was  $\geq 100$  ng/mL with QM dosing, the participant could switch to evolocumab 420 mg Q2W treatment. Increasing the frequency of dosing from 420 mg QM to 420 mg Q2W in participants with HoFH resulted in approximately 6% greater reduction of LDL-C. Of note, the participants who are being treated with apheresis appear to have a reduced response to evolocumab compared to the non-apheresis participants. The reasons for this potential difference are only speculative at the moment – but possibilities include differences in baseline characteristics (apheresis participants may have been more refractory to other therapies, such as statins, perhaps as a result of greater functional defects in LDLR) or an interaction between the apheresis procedure itself with drug PK.

The results from part B of trial 20110233 and the open-label trial 20110271 are summarized in the table below.

Table 40: Summary of Evolocumab Efficacy Results from Individual Trials in HoFH

Study	Description	Efficacy Results																				
<b>20110223</b> Part B (N = 49)	Phase 2/3 HoFH trial (12 weeks): Phase 3 randomized, double-blind, placebo-controlled • EvoMab 420 mg QM • placebo QM	UC and calculated LDL-C percent change from baseline to week 12 (relative to placebo) ( <b>Bold font</b> indicates p < 0.001): <table border="1"> <thead> <tr> <th></th> <th>Placebo (n=16)</th> <th>EvoMab (n=33)</th> <th>Treatment difference (n=49)</th> </tr> </thead> <tbody> <tr> <td>UC LDL-C</td> <td>7.9</td> <td>-23.1</td> <td><b>-31†</b></td> </tr> <tr> <td>Calc LDL-C</td> <td>9.0</td> <td>-23.1</td> <td><b>-32*</b></td> </tr> </tbody> </table>		Placebo (n=16)	EvoMab (n=33)	Treatment difference (n=49)	UC LDL-C	7.9	-23.1	<b>-31†</b>	Calc LDL-C	9.0	-23.1	<b>-32*</b>								
	Placebo (n=16)	EvoMab (n=33)	Treatment difference (n=49)																			
UC LDL-C	7.9	-23.1	<b>-31†</b>																			
Calc LDL-C	9.0	-23.1	<b>-32*</b>																			
<b>20110271</b> (N = 96) ongoing; interim analysis based on 01 April 2014	Phase 2/3 HoFH open label, long-term study (5 years): • EvoMab 420 mg Q2W • EvoMab 420 mg QM	UC and calculated LDL-C percent change from baseline <sup>a</sup> to week 24: <table border="1"> <thead> <tr> <th rowspan="2"></th> <th rowspan="2">Overall HoFH (n=46)</th> <th rowspan="2">Apheresis (n=13)</th> <th rowspan="2">Non-apheresis (N=33)</th> <th colspan="2">EvoMab 420 mg Titration<sup>b</sup> (Non-apheresis)(n=25)</th> </tr> <tr> <th>QM</th> <th>Q2W</th> </tr> </thead> <tbody> <tr> <td>UC LDL-C:</td> <td>-23</td> <td>-20</td> <td>-25</td> <td>-16</td> <td>-22</td> </tr> <tr> <td>Calc LDL-C:</td> <td>-23</td> <td>-20</td> <td>-24</td> <td>-15</td> <td>-21</td> </tr> </tbody> </table>		Overall HoFH (n=46)	Apheresis (n=13)	Non-apheresis (N=33)	EvoMab 420 mg Titration <sup>b</sup> (Non-apheresis)(n=25)		QM	Q2W	UC LDL-C:	-23	-20	-25	-16	-22	Calc LDL-C:	-23	-20	-24	-15	-21
	Overall HoFH (n=46)	Apheresis (n=13)					Non-apheresis (N=33)	EvoMab 420 mg Titration <sup>b</sup> (Non-apheresis)(n=25)														
			QM	Q2W																		
UC LDL-C:	-23	-20	-25	-16	-22																	
Calc LDL-C:	-23	-20	-24	-15	-21																	

N = number of subjects randomized  
 a Baseline values for parent study rollover subjects are defined at parent study baseline.  
 b The 25 subjects in this set initially received ≥ 12 weeks of EvoMab 420 mg QM and then switched to ≥ 12 weeks of EvoMab 420 mg Q2W. Percent change is from baseline to OLE week 12.  
 † adjusted p-value <0.001  
 \*no formal statistical testing performed, nominal p-value < 0.001  
 Source: Modified from applicant's Table 12; Module 2.7.3-Summary of Clinical Efficacy

A larger LDL-C reduction was seen in participants with LDL receptor (LDLR) defective HoFH as compared to participants that were not identified as LDLR defective (ie, those with indeterminate/negative LDLR functional status). Based on the type of mutation identified, 28 participants (20 evolocumab, 8 placebo) were considered LDLR-defective (some residual function) in one or both alleles (i.e., as opposed to LDLR-negative, little to no residual function). Among these participants, UC LDL-C was reduced a mean 40.8% from baseline to Week 12, compared to placebo. Those known to have two LDLR-defective alleles had a greater response than those known to have one LDLR-defective paired with one LDLR-negative allele, as summarized in the table below.

Table 41: Percent Change from Baseline in UC LDL-C by Receptor Mutation Status

Mutation Status (partial list)	Mean Change in UC LDL-C from Baseline to Week 12		
	Evolocumab	Placebo	Treatment Difference
Defective in 1 or both alleles	-29.6% (n=20)	+11.2% (n=8)	-40.8% (P<0.001)
Defective/defective	-31.8%	+15.1%	-46.9%

	(n=8)	(n=5)	(P<0.001)
Defective/negative	-21.0% (n=6)	+3.5% (n=3)	-24.5% (P=0.013)
Negative/negative	+10.3% (n=1)	-	-

Note: For 6 pts in evolocumab arm, one LDLR was defective and the other was unknown.  
 Source: Modified from applicant's Appendix 5, supporting document 339, dated 6/18/14 under IND 105188

The clinical team noted that despite two of the eight participants subjects being reported as LDLR-negative in Part A of trial 20110233 (presumably negative/negative given the apparent lack of response to drug), only 1 of the 49 participants in Part B was reported to be negative/negative; this individual did not show a reduction in LDL-C. Although the clinical team is not aware of detailed genotype/phenotype epidemiological data for HoFH patients in the United States, there was a recent publication that investigated the spectrum of mutations and phenotypic expression in patients with HeFH and HoFH in Italy that found that 11 of 40 patients with HoFH had LDLR-negative phenotypes. Furthermore, approximately 50% of nearly 800 patients with HeFH had a LDLR-negative mutation<sup>47</sup>. In addition, the treatment effect reported in Part B of 20110233 is larger than the effect described from the interim analysis of Study 20110271. The randomized HoFH trial (Part B) may have been enriched (using available genetic information or a previous known response to statin therapy or by chance) with participants who would be expected to have an effect (i.e., LDLR-defective as opposed to LDLR-negative). Although this does not negate the potential benefit that these patients with HoFH may achieve from the drug, it is likely that treatment of the entire HoFH population – where assessment of genetic mutations and their functional significance is not widely performed or understood – would yield a lesser treatment effect, on average.

### 6.1.5 Secondary Endpoint(s)

#### Primary Hyperlipidemia

Secondary endpoints in trials 20110114, 20110115, 20110116 and 20110117 were assessed at the mean of Weeks 10 and 12 and at Week 12:

Tier 1 co-secondary endpoints:

- change from baseline in LDL-C
- percent change from baseline in non-HDL-C, ApoB, total cholesterol/HDL-C ratio, total cholesterol, ApoB/ApoA1 ratio

Tier 2 co-secondary endpoints:

- percent change from baseline in Lp(a), triglycerides, HDL-C, VLDL-C

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47 Bertolini S, Pisciotta L, Rabacchi C, et al. Spectrum of mutations and phenotypic expression in patients with autosomal dominant hypercholesterolemia identified in Italy. *Atherosclerosis*. 2013;227:342-348.

These endpoints were analyzed using a repeated measures linear effects model as used in the primary or co-primary endpoints analysis. Multiplicity adjustment method used Hochberg and the fall back procedure to control the familywise error rate at 0.05 for the primary (or co-primary) and secondary (or co-secondary) endpoints.

As shown in the tables below, the integrated analyses show that evolocumab 140 mg Q2W and 420 mg QM yielded statistically significant differences in the tier 1 and tier 2 co-secondary endpoints compared with placebo (multiplicity-adjusted  $p < 0.001$ ). It is not known if some of the endpoint changes, such as the modest changes in triglyceride and HDL-C, are clinically meaningful. For the comparison with ezetimibe, triglycerides and VLDL-C did not reach statistically significant differences but the other tier 1 and 2 endpoints did.

Table 42: Summary of Treatment Differences Compared with Placebo and Ezetimibe in Co-Secondary Endpoints Integrated Phase 3 Parent Trials Cohort (Full Analysis Set)

	EvoMab 140 mg Q2W vs Placebo Q2W	EvoMab 420 mg QM vs Placebo QM	EvoMab 140 mg Q2W vs Ezetimibe QD	EvoMab 420 mg QM vs Ezetimibe QD
<b>Tier 1 Secondary Endpoints</b>				
<b>Reflexive LDL-C</b>				
Mean change <sup>a</sup> at weeks 10 and 12 (95% CI) – mg/dL	-76.7 (-85.6, -67.9)	-76.2 (-86.3, -66.1)	-52.9 (-61.4, -44.3)	-55.0 (-66.3, -43.7)
Change <sup>a</sup> at week 12 (95% CI) – mg/dL	-77.6 (-86.1, -69.1)	-71.3 (-81.0, -61.6)	-54.8 (-63.3, -46.3)	-52.1 (-63.9, -40.3)
Mean change <sup>a</sup> at weeks 10 and 12 (95% CI) – mmol/L	-1.987 (-2.216, -1.757)	-1.974 (-2.236, -1.712)	-1.369 (-1.591, -1.147)	-1.425 (-1.716, -1.133)
Change <sup>a</sup> at week 12 (95% CI) – mmol/L	-2.010 (-2.230, -1.791)	-1.846 (-2.098, -1.595)	-1.420 (-1.640, -1.200)	-1.350 (-1.655, -1.044)
Least significant p-value	<0.001	<0.001	<0.001	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
<b>ApoB</b>				
Mean % change <sup>a</sup> at weeks 10 and 12 (95% CI)	-53.36 (-56.99, -49.73)	-54.27 (-56.37, -52.17)	-33.40 (-35.69, -31.11)	-36.55 (-39.31, -33.80)
% change <sup>a</sup> at week 12 (95% CI)	-54.04 (-57.96, -50.13)	-49.87 (-51.97, -47.78)	-34.32 (-36.79, -31.84)	-33.54 (-36.01, -31.06)
Least significant p-value	<0.001	<0.001	<0.001	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
<b>Total cholesterol/HDL-C ratio</b>				
Mean % change <sup>a</sup> at weeks 10 and 12 (95% CI)	-43.91 (-46.68, -41.14)	-46.09 (-48.09, -44.10)	-28.08 (-30.15, -26.01)	-29.01 (-31.27, -26.75)
% change <sup>a</sup> at week 12 (95% CI)	-43.91 (-46.44, -41.37)	-43.21 (-45.64, -40.78)	-28.27 (-30.53, -26.01)	-27.13 (-29.65, -24.60)
Least significant p-value	<0.001	<0.001	<0.001	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>

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	EvoMab 140 mg Q2W vs Placebo Q2W	EvoMab 420 mg QM vs Placebo QM	EvoMab 140 mg Q2W vs Ezetimibe QD	EvoMab 420 mg QM vs Ezetimibe QD
<b>Triglycerides</b>				
Mean % change <sup>a</sup> at weeks 10 and 12 (95% CI)	-15.65 (-22.37, -8.94)	-21.14 (-24.94, -17.34)	-4.29 (-8.62, 0.03)	-7.96 (-13.20, -2.73)
% change <sup>a</sup> at week 12 (95% CI)	-15.03 (-20.45, -9.61)	-19.90 (-25.53, -14.27)	-3.33 (-8.34, 1.68)	-6.44 (-13.72, 0.85)
Least significant p-value	<0.001	<0.001	0.19	0.083
<b>Adjusted p-value</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>0.77</b>	<b>0.33</b>
<b>VLDL-C</b>				
Mean % change <sup>a</sup> at weeks 10 and 12 (95% CI)	-16.34 (-23.31, -9.38)	-20.98 (-25.26, -16.69)	-3.09 (-7.29, 1.11)	-6.90 (-12.59, -1.22)
% change <sup>a</sup> at week 12 (95% CI)	-15.80 (-22.04, -9.56)	-19.68 (-26.64, -12.73)	-1.96 (-6.67, 2.76)	-4.18 (-12.04, 3.67)
Least significant p-value	<0.001	<0.001	0.42	0.30
<b>Adjusted p-value</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>0.83</b>	<b>0.59</b>
<b>HDL-C</b>				
Mean % change <sup>a</sup> at weeks 10 and 12 (95% CI)	6.39 (4.97, 7.82)	8.63 (7.04, 10.23)	6.43 (4.60, 8.26)	6.23 (3.86, 8.59)
% change <sup>a</sup> at week 12 (95% CI)	6.17 (4.51, 7.83)	8.02 (6.26, 9.77)	6.71 (4.50, 8.93)	5.47 (3.27, 7.68)
Least significant p-value	<0.001	<0.001	<0.001	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
<b>Total cholesterol</b>				
Mean % change <sup>a</sup> at weeks 10 and 12 (95% CI)	-40.11 (-43.02, -37.21)	-39.73 (-41.89, -37.57)	-24.22 (-26.07, -22.37)	-25.55 (-27.26, -23.85)
% change <sup>a</sup> at week 12 (95% CI)	-40.63 (-43.44, -37.82)	-37.00 (-39.00, -35.01)	-24.71 (-26.73, -22.69)	-23.94 (-25.79, -22.10)
Least significant p-value	<0.001	<0.001	<0.001	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
<b>Non-HDL-C</b>				
Mean % change <sup>a</sup> at weeks 10 and 12 (95% CI)	-57.88 (-62.36, -53.40)	-58.59 (-61.86, -55.32)	-34.27 (-36.61, -31.94)	-35.93 (-38.04, -33.82)
% change <sup>a</sup> at week 12 (95% CI)	-58.51 (-63.06, -53.95)	-54.24 (-56.86, -51.62)	-34.88 (-37.36, -32.41)	-33.70 (-36.00, -31.39)
Least significant p-value	<0.001	<0.001	<0.001	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
<b>ApoB/ApoA1 ratio</b>				
Mean % change <sup>a</sup> at weeks 10 and 12 (95% CI)	-54.59 (-57.80, -51.38)	-56.62 (-58.66, -54.58)	-35.81 (-38.28, -33.34)	-39.02 (-42.36, -35.68)
% change <sup>a</sup> at week 12 (95% CI)	-54.64 (-57.95, -51.34)	-52.43 (-54.84, -50.03)	-36.91 (-39.56, -34.27)	-35.42 (-38.22, -32.62)
Least significant p-value	<0.001	<0.001	<0.001	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
<b>Tier 2 Secondary Endpoints</b>				
<b>Lp(a)</b>				
Mean % change <sup>a</sup> at weeks 10 and 12 (95% CI)	-29.34 (-33.24, -25.45)	-27.72 (-30.61, -24.84)	-26.28 (-30.60, -21.96)	-27.93 (-35.24, -20.62)
% change <sup>a</sup> at week 12 (95% CI)	-30.90 (-34.77, -27.03)	-26.07 (-29.22, -22.93)	-27.29 (-31.40, -23.19)	-28.15 (-33.16, -23.14)
Least significant p-value	<0.001	<0.001	<0.001	<0.001
<b>Adjusted p-value</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>

ApoA1 = apolipoprotein A1; ApoB = apolipoprotein B; EvoMab = Evolocumab (AMG 145); HDL-C = high-density lipoprotein cholesterol; LDL-C = low-density lipoprotein cholesterol; Lp(a) = lipoprotein(a); non-HDL-C = non-high-density lipoprotein cholesterol; Q2W = every 2 weeks (subcutaneous); QD = once a day (oral tablet); QM = monthly (subcutaneous); VLDL-C = very low density lipoprotein cholesterol.

Note: results are based on the random effects meta analysis. When the calculated LDL-C is <40 mg/dL, or triglycerides are > 400 mg/dL, calculated LDL-C is replaced with ultracentrifugation LDL-C from the same blood sample, if available. Treatment difference is within each dose frequency group using placebo in the same group as the reference. Adjusted p-values are based on a combination of sequential testing, the Hochberg procedure, and the fallback procedure to control the overall significance level for all primary and secondary endpoints. Each individual adjusted p-value is compared to 0.05 to determine statistical significance.

<sup>a</sup>Change from baseline.

Source: Modified from Summary of Clinical Efficacy Table 20, ISE Table 14-4.1.41 and ISE Table 14-4.1.42.

#### HoFH

Trial 20110233 (part B):

- Percent change from baseline in LDL-C, ApoB and Lp(a) at the mean of Weeks 6 and 12<sup>48</sup>
- Percent change from baseline in ApoB and Lp(a) at Week 12

Secondary endpoints were analyzed using a repeated measures linear effects model as used in the analysis of the primary endpoint. Multiplicity adjustment method used Hochberg and the fall back procedure to control the familywise error rate at 0.05 for the primary and secondary endpoints.

As shown in the following table, evolocumab treatment resulted in statistically significant percent reductions in UC and calculated LDL-C at the mean of Weeks 6 and 12, compared with placebo, in the FAS. The changes in ApoB reached statistical significance but changes in Lp(a) did not.

Table 43: Treatment Differences (SE) in Percent Change in Lipid Parameters from Baseline Compared With Placebo in Participants with HoFH: Trial 20110233 (Part B)

Endpoints	Evolocumab 420 mg QM	
	FAS (N = 49)	Age < 18 (N = 10)

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<sup>48</sup> The mean of weeks 6 and 12 were used instead of weeks 10 and 12 because the week 10 visit was not compulsory and some subjects did not have an LDL-C assessment done.

Secondary endpoints		
UC LDL-C ( mean at weeks 6/12)	-29.8 (5.5)	-26.2 (14.9)
p-Value	<0.001	0.13
Calculated LDL-C ( mean at weeks 6/12)	-31.0 (5.6)	NA
p-Value	<0.001	
ApoB ( week 12)	-23.1 (5.8)	-16.3 (17.4)
p-Value	<0.001	0.39
ApoB ( mean at weeks 6/12)	-22.9 (5.4)	-19.1 (15.2)
p-Value	<0.001	0.25
Lp(a) ( week 12)	-11.8 (6.8)	17.3 (22.4)
p-Value	0.088	0.47
Lp (a) ( mean at weeks 6/12)	-11.3 (5.9)	3.4 (22.3)
p-Value	0.061	0.89

ApoB = apolipoprotein B; HoFH = homozygous familial hypercholesterolemia; Lp(a) = lipoprotein(a); QM = monthly (subcutaneous); SE = standard error; UC = ultracentrifugation.

Source: Modified from Applicant's Table 33 Summary of Clinical Efficacy

### 6.1.6 Other Endpoints

#### Primary Hyperlipidemia

Tertiary endpoint in phase 3 parent trials cohort and in each subpopulation (change from baseline at week 12 and at the mean of weeks 10 and 12):

- Percent change in ApoA1

The tertiary endpoint was analyzed in the same way as the co-primary and co-secondary endpoints. However, no multiplicity adjustment was used for the tertiary endpoints.

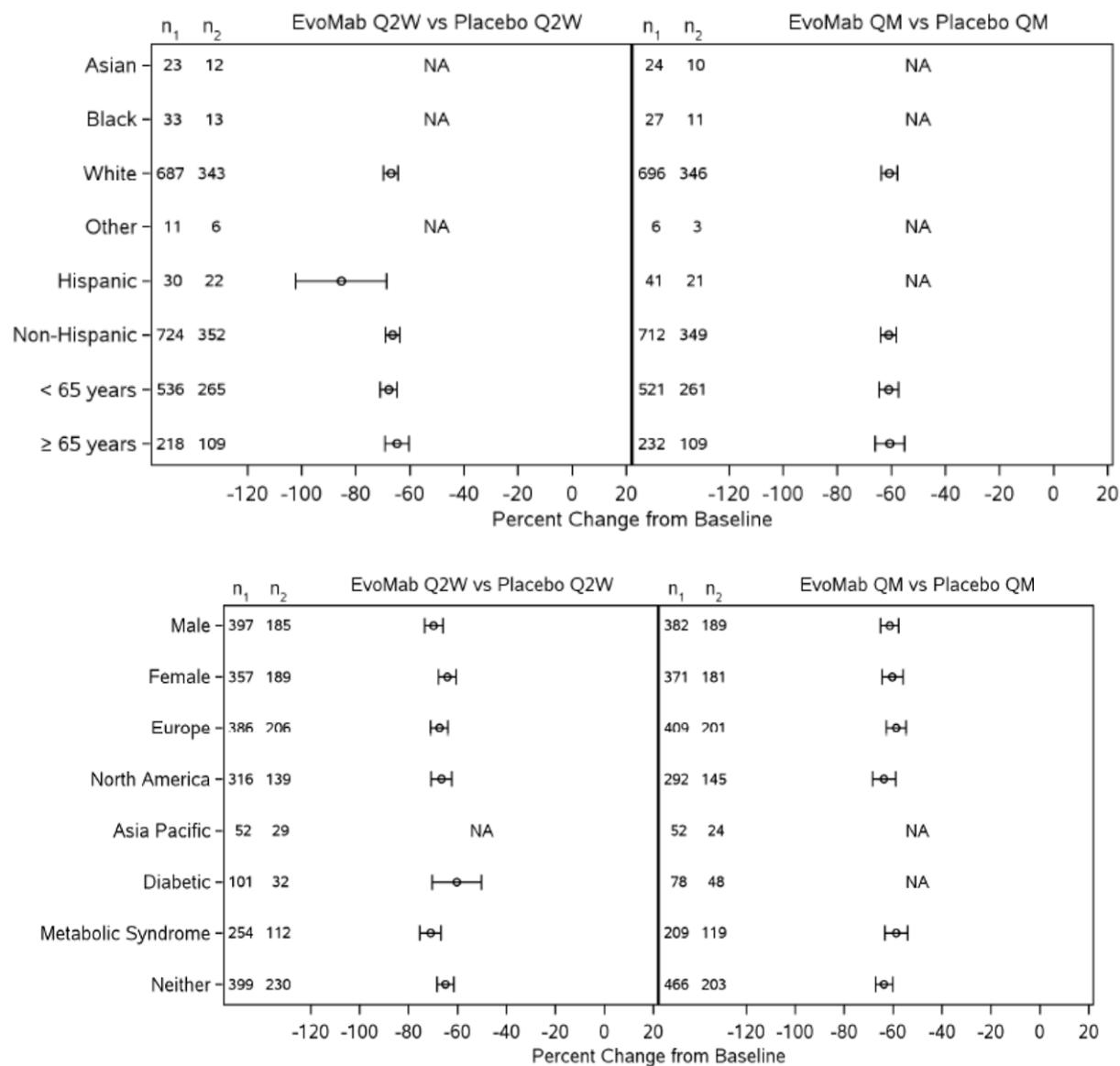
A slight increase in ApoA1 was observed for evolocumab Q2W and QM compared with placebo (4% to 5%) and ezetimibe (4% to 6%) in the integrated analysis but this did not reach statistical significance.

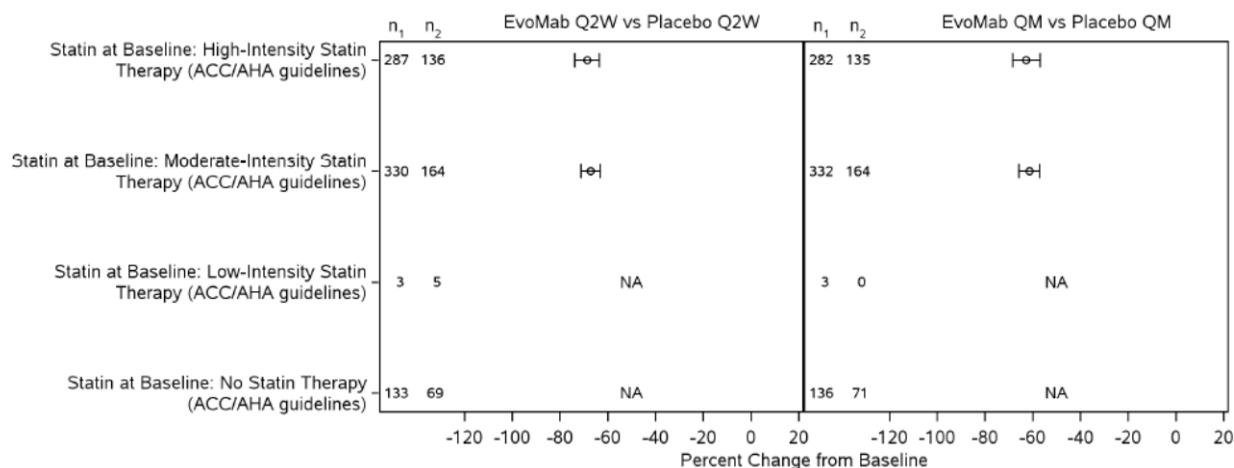
### 6.1.7 Subpopulations

Evolocumab 140 mg Q2W and 420 mg QM in the integrated phase 3 parent trials cohort were more effective than placebo and ezetimibe in subgroups that were

evaluated (race, ethnicity, gender, age, region, glucose tolerance, and statin intensity at baseline). Some of the subgroups were small which limits the interpretability of the comparison. Results of the subgroup analyses of the co-primary endpoint of percent change in LDL-C from baseline at Week 12 compared with placebo are summarized in the following figures.

Figure 6: Forest Plots of Treatment Differences against Placebo in Percent Change from Baseline in LDL-C at Week 12- Subgroup Analyses, Phase 3 Parent Trials Cohort (Full Analysis Set)





Includes Studies 20110114, 20110115 and 20110117; n<sub>1</sub> = number of subjects in the subgroup of interest included in the repeated measures model receiving EvoMab; n<sub>2</sub> = number of subjects in the subgroup of interest included in the repeated measures model receiving placebo; Q2W = every 2 weeks (subcutaneous); QM = monthly (subcutaneous); EvoMab = Evolocumab (AMG 145)  
 When the calculated LDL-C is < 40 mg/dL or triglycerides are > 400 mg/dL, calculated LDL-C will be replaced with ultracentrifugation LDL-C from the same blood sample, if available.  
 Least squares mean differences and 95% CI are from the repeated measures model. No imputation is used for missing values.  
 NA indicates the treatment differences were non-estimable.  
 Source: Applicant's Figure 14-4.6.401; Module 5.3.5.3.- Integrated Summary of Efficacy

### 6.1.8 Analysis of Clinical Information Relevant to Dosing Recommendations

The evolocumab 140 mg Q2W dose and the 420 mg QM dose yield similar LDL-C reductions but different pharmacodynamic profiles over the dosing interval (QM results in a sawtooth pattern compared to the more-stable LDL C reduction achieved with Q2W dosing). The two different dosing regimens were designed by the applicant to cater to the patient's preference of taking the injectable every two weeks versus every four weeks and not to allow titration of the magnitude of LDL-C reduction.

The applicant also contends, and this reviewer agrees, that the safety and tolerability profiles of the 140 mg Q2W and 420 mg QM dosing regimens were consistent with those of the other 4 regimens tested in the phase 2 studies (70 and 105 mg Q2W and 280 and 350 mg QM), and there were no notable increased incidence of adverse events with the different doses or dosing frequency of evolocumab in these short-term trials.

For the HoFH population, 420 mg QM was selected as the dose for the non-apheresis participants entering trial 20110233. The 420 mg Q2W dose was evaluated in the open-label extension study 20110271. In the HoFH subjects with unbound PCSK9 ≥ 100 ng/mL with QM dosing who switched to evolocumab 420 mg Q2W treatment, increasing the frequency of dosing from 420 mg QM to 420 mg Q2W resulted in approximately 6% greater reduction of LDL-C. The applicant proposes that the initial

recommended dose is 420 mg QM for non-apheresis patients and 420 mg Q2W for apheresis patients (to coincide with their apheresis schedule), with the option of apheresis and non-apheresis patients to titrate to the more or less frequent dose (Q2W or QM) based on clinical response.

### 6.1.9 Discussion of Persistence of Efficacy and/or Tolerance Effects

#### Primary Hyperlipidemia

The persistence of efficacy was explored in trial 20110109, described in detail in Section 5.3.1 Trial 20110109: DESCARTES. The percent change in LDL-C from baseline to Week 52 for evolocumab 420 mg QM compared with placebo QM using UC, reflexive LDL-C, or calculated LDL-C values resulted in treatment differences of -57.0%, -58.0%, and -59.3%, ( $p < 0.001$ ), respectively, when added to 1 of the 4 background lipid-lowering therapies. Overall, 97.8% of participants had at least 1 post baseline UC LDL-C value. Approximately 3% and 10% of participants had a missing UC LDL-C value at weeks 12 and 52, respectively.

The ongoing phase 2 trial 20110110 (data up to Week 112) and phase 3 trial 20120138 (data up to Week 24) are open-label extension trials. Based on a data cutoff date of 01 April 2014, reductions of approximately 50% to 60% in LDL-C concentrations were observed in both trials and maintained in participants receiving long-term administration of evolocumab regardless of parent trial treatment assignment (namely, evolocumab or control).

#### HoFH

The table below shows the mean LDL-C reduction over time, compared with each participant's baseline, among HoFH participants in the open-label extension trial. Although limited by being an uncontrolled study with variable degrees of follow-up over time, the mean LDL-C observed among non-apheresis patients appears to be approximately 25% whereas that observed among apheresis patients is approximately 18% and appears to be largely consistent over time. It is unfortunate that the patients on apheresis, who would likely benefit from an alternative therapy the most, appear to have the most modest response to this drug. This may be an indication of the severity of their underlying LDLR defect

Table 44: Mean (SE) Percent Change from Baseline in UC LDL C by Study Visit: Subjects with HoFH Study 20110271 (data cutoff date of 01 April 2014)

		OLE Week 4	OLE Week 8	OLE Week 12	OLE Week 16	OLE Week 20	OLE Week 24	OLE Week 36	OLE Week 48
HoFH Interim Analysis Set (N = 96)	n	71	67	68	61	51	45	29	11
	Mean	-23.8	-21.9	-19.0	-23.7	-22.9	-23.1	-26.2	-19.1
	(SE)	(3.2)	(2.9)	(3.0)	(2.9)	(4.0)	(3.6)	(4.5)	(7.6)
	Median	-21.5	-22.3	-15.6	-22.8	-20.6	-24.1	-27.8	-18.6

	Range	-90.4, 53.7	-90.7, 71.9	-89.1, 47.3	-83.0, 20.8	-83.1, 33.4	-67.8, 43.1	-72.4, 44.9	-62.7, 22.8
Non- apheresis subjects (N = 65)	n	43	43	44	40	35	32	26	9
	Mean (SE)	-27.2 (3.8)	-24.7 (3.0)	-20.4 (3.3)	-26.7 (3.2)	-25.3 (4.4)	-24.5 (4.2)	-27.2 (4.6)	-21.3 (9.2)
	Median	-23.4	-24.0	-18.2	-25.7	-22.1	-23.1	-29.0	-21.7
	Range	-90.4, 53.7	-73.9, 16.9	-80.4, 23.9	-83.0, 15.0	-83.1, 31.5	-67.8, 39.9	-72.4, 44.9	-62.7, 22.8
Apheresis subjects (N = 31)	n	28	24	24	21	16	13	3	2
	Mean (SE)	-18.6 (5.5)	-16.8 (6.0)	-16.6 (6.1)	-18.0 (5.6)	-17.8 (8.4)	-19.5 (7.3)	-17.7 (19.9)	-9.1 (5.1)
	Median	-14.1	-16.3	-14.9	-15.1	-12.0	-24.1	-12.1	-9.1
	Range	-88.3, 31.7	-90.7, 71.9	-89.1, 47.3	-78.2, 20.8	-78.7, 33.4	-59.5, 43.1	-54.6, 13.7	-14.2, -4.0

HoFH = homozygous familial hypercholesterolemia; LDL-C = low-density lipoprotein cholesterol; n = number of subjects with observed values at specific visit; OLE = open-label extension; SE = standard error; UC = ultracentrifugation.

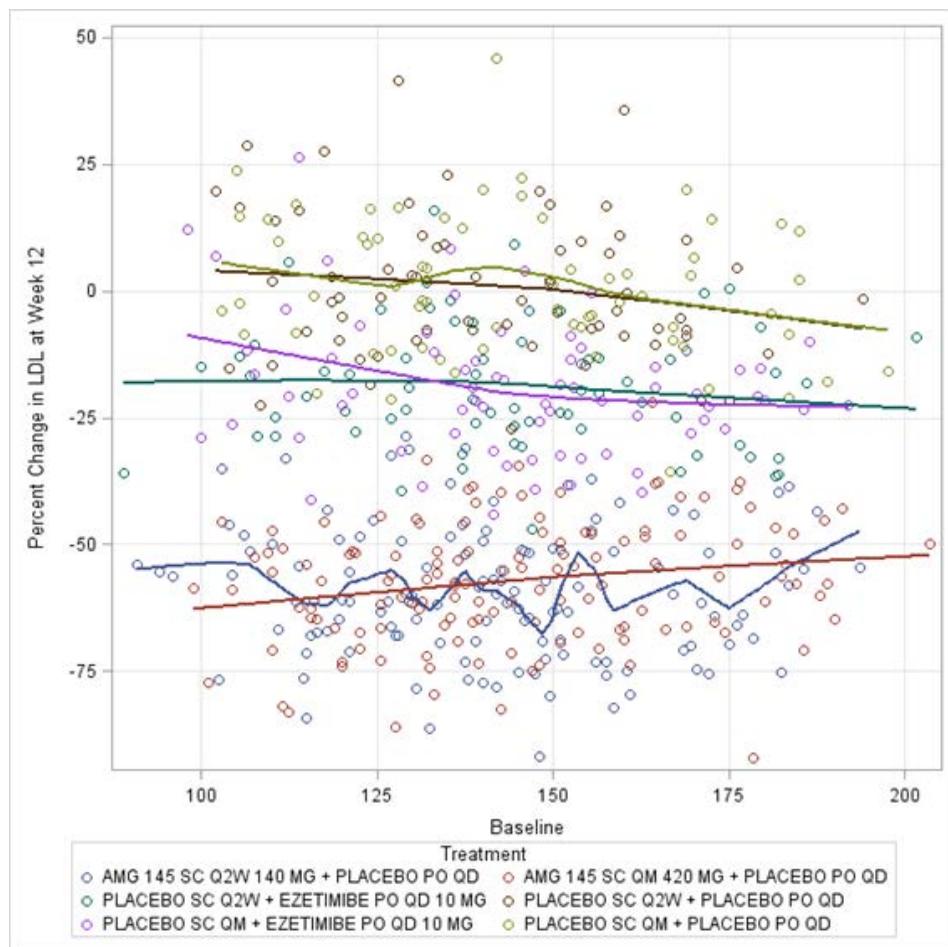
Source: Modified from Table 10-1 from CSR-20110271

### 6.1.10 Additional Efficacy Issues/Analyses

#### LDL-C Reduction: Percent Change versus Absolute Change

The clinical team explored whether the mean percent reduction in LDL-C with evolocumab is relatively independent of baseline LDL-C. The figure below shows baseline LDL-C on the x-axis versus percent change in LDL-C at Week 12 on the y-axis, using data from trial 20110114. The two uppermost lines are placebo given Q2W and QM. The two lines in the middle are ezetimibe given Q2W and QM. The two lowermost lines are evolocumab given Q2W and QM. The slope of all three sets of lines is fairly horizontal suggesting that the percent reduction in LDL-C for placebo, ezetimibe and evolocumab is relatively independent of baseline LDL-C.

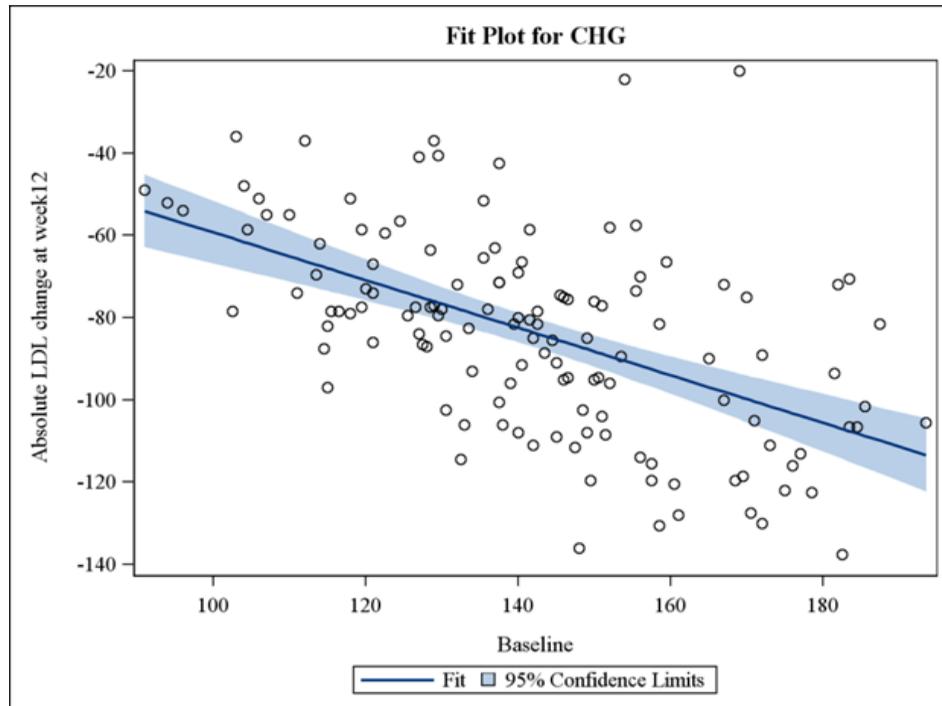
Figure 7: Scatter Plot of LDL-C Percent Change at Week 12 vs Baseline LDL-C (Trial 20110114)



Source: Courtesy of Dr. Shuxian Sinks, FDA statistical reviewer

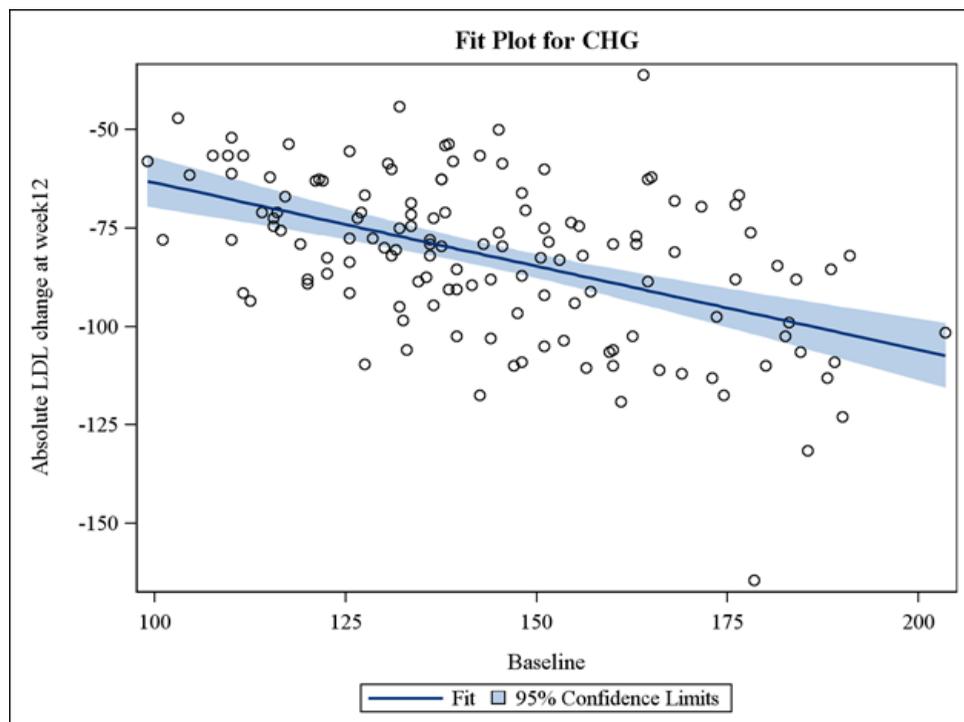
As expected, therefore, higher baseline LDL-C levels are associated with greater reductions in absolute LDL-C, as shown in the following two figures.

Figure 8: Scatter Plot of LDL-C Absolute Change at Week 12 vs Baseline LDL-C for Evolocumab 140 mg Q2W (Trial 20110114)



Source: Courtesy of Dr. Shuxian Sinks, FDA statistical reviewer

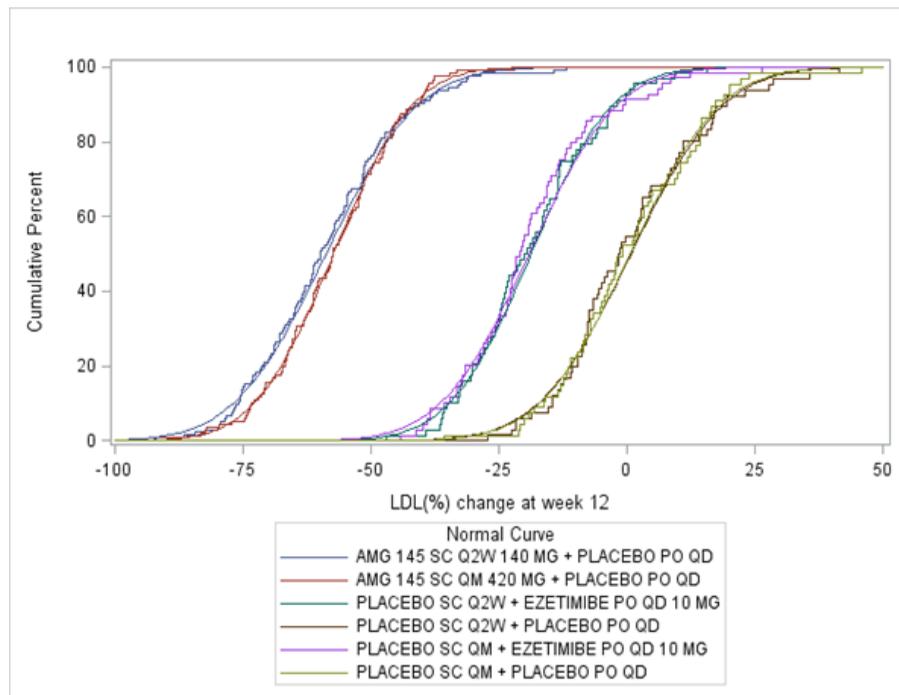
Figure 9: Scatter Plot of LDL-C Absolute Change at Week 12 vs Baseline LDL-C for Evolocumab 420 mg QM (Trial 20110114)



Source: Courtesy of Dr. Shuxian Sinks, FDA statistical reviewer

The following figure is a cumulative distribution plot of LDL-C to examine the distribution of effect of the three treatment arms [evolocumab 140 mg Q2W and 420 mg QM, ezetimibe + SC placebo (given Q2W or QM), and SC placebo (given Q2W or QM)]. Evolocumab has a robust effect on reducing LDL-C as compared to ezetimibe and placebo.

Figure 10: Cumulative Distribution of LDL-C Percent Change at Week 12 (Trial 20110114)



Source: Courtesy of Dr. Shuxian Sinks, FDA statistical reviewer

## 7 Review of Safety

### Safety Summary

Refer to Section 1.3 Safety Summary

### 7.1 Methods

#### 7.1.1 Studies/Clinical Trials Used to Evaluate Safety

##### Primary Hyperlipidemia

Trials included in the integrated safety analysis set for the indication of primary hyperlipidemia (heterozygous familial and nonfamilial) and mixed dyslipidemia are 8 phase 2 and phase 3 lipid-lowering trials, a phase 2 trial done in Japan, 2 device clinical home-use studies, one 52-week placebo-controlled trial (20110109) and two open-label extension studies (20110110 and 20120138). After participating in any of the phase 2 or phase 3 trials, participants could enroll in a phase 2 (Study 20110110)

or phase 3 (Study 20120138) open-label extension trial, respectively. In these trials, participants were randomized (2:1) for the first year of the study to a standard of care (SoC) plus evolocumab versus SoC control arm, followed by open-label evolocumab therapy for all participants beginning in the second year. In Study 20110110, participants received either evolocumab 420 mg QM plus SoC or SoC alone in Year 1, and then switched to evolocumab 420 mg QM starting in Year 2. In Study 20120138, participants received either evolocumab (140 mg Q2W or 420 mg QM) plus SoC or SoC alone in Year 1, and then switched to evolocumab 140 mg Q2W or 420 mg QM starting in Year 2.

The applicant has used three integrated analysis sets to describe the data for the safety analysis of evolocumab:

- The Integrated Parent Analysis Set (**IPAS**) comprises integrated data from the 12-week phase 2 and phase 3 trials in addition to the 52-week study (20110109)
- The Integrated Extension Standard of Care (SoC)-Controlled Period Analysis Set (**IECAS**) comprises integrated data from year 1 (the controlled period) of the open-label extension (OLE) studies.
- The Integrated Extension All-Investigational Product (IP) Period Analysis Set (**IEAAS**) comprises integrated data from year 2+ (the all-IP period) of the open-label, long-term extension studies

Table 45: Safety Analysis Sets in the Integrated Primary Hyperlipidemia and Mixed Dyslipidemia Trials

Analysis Set	Description	Data Source	Additional Information
Integrated Parent Analysis Set (IPAS)  N=6026  [3946 Any EvoMab (3201 to-be-marketed doses); 2080 Any Control]	12-week phase 2 and phase 3 trials + the 52-week trial	<ul style="list-style-type: none"> <li>• primary hyperlipidemia and mixed dyslipidemia trials (12-wk, R, controlled, DB, Phase 2 dose-ranging: 20101154†, 20101155*, 20090158*, 20090159^; 12-wk, R, controlled, DB, Phase 3: 20110114†, 20110115*†, 20110116^, 20110117*)</li> <li>• device home-use studies (20120348 and 20120356)</li> <li>• 12-wk, R, controlled, DB, Phase 2, Japanese subjects in trial 20110231*</li> <li>• 52-wk, DB, PC, long-term trial (20110109*)</li> </ul>	<ul style="list-style-type: none"> <li>• Trials 20110114, 20110115, 20110116, and 20110117 in the IPAS were used to analyze device-related adverse events with the AI/pen.</li> <li>• The analyses of change from baseline in ECG intervals excluded device home-use Studies 20120348 and 20120356 because these studies had ECG data at screening only.</li> </ul>
Integrated	year 1 of the OLE	Participants randomized in	•Both trials are ongoing with

Extension SoC-Controlled Period Analysis Set (IECAS)  N=4252  (2833 EvoMab+SoC; 1419 SoC)	trials (controlled period)	year 1 of the long-term, controlled, OLE Studies 20110110 and 20120138	a 01 April 2014 data cutoff date for the submission.  • Does not include subjects in Study 20120138 with <12 weeks of potential follow-up time
Integrated Extension All-Investigational Product Period Analysis Set (IEAAS)  N=954 all on EvoMab	year 2+ of the OLE trials (open label period)	Participants who were on study at the start of the all-IP period in Trial 20110110 and 20120138 and dosed at least once in that period.	Analysis set primarily comprises participants from trial 20110110.
*Randomized, placebo-controlled trials ^ Enrolled “statin-intolerant” subjects and used a randomized ezetimibe control † Randomized, ezetimibe and placebo as controls (20110155 atorvastatin cohort)			

*MO Comment: This reviewer believes that the safety data from the 52-week trial (20110109) and the short-term trials (up to 12 weeks in duration) should be presented separately and not combined together when describing adverse reaction incidence and treatment duration in the label (if approved). The applicant has provided, as background information, safety tables that remove the 52-week trial adverse events from the combined short-term trial data.*

#### Homozygous Familial Hypercholesterolemia (HoFH)

Safety analyses from the 2 HoFH studies are presented separately from the primary integrated analysis set. In HoFH trial 20110233 part A (open-label, pilot phase 2 study) there were 9 HoFH subjects; in trial 20110233 part B (randomized, double-blind, placebo-controlled phase 3 study) there were 49 HoFH subjects, and in HoFH trial 20110271 (OLE, long-term study) there were 99 HoFH subjects. Safety in Trial 20110271 was also assessed in those subjects who had apheresis or non-apheresis at enrollment.

*MO Comment: This reviewer concurs with the applicant’s rationale to analyze these participants with HoFH separately based on the genetic component of the disease, which results in much higher LDL-C levels compared with the primary hyperlipidemia and mixed dyslipidemia populations. These trial participants are younger and on more lipid-lowering agents at baseline than the participants in the primary hyperlipidemia trials.*

### 7.1.2 Categorization of Adverse Events

A data cutoff of 01 April 2014 was used for all ongoing studies included in the marketing application. Adverse events in the integrated analyses were coded using Version 17.0 of the Medical Dictionary for Regulatory Activities (MedDRA). All adverse events in the integrated analyses are treatment emergent adverse events. Adverse events in the individual studies were coded using the most current version of MedDRA at the time of database lock.

An adverse event belongs to the parent study period if the event occurs after the first dose of IP in the parent study and on or prior to the parent study end of study (EOS) date. This definition is consistent with the treatment emergent reporting in parent trials. Post treatment CK, LFT, and ECG abnormalities follow the same period definition. An adverse event belongs to the open label extension period if the event occurs after the later of the informed consent date of the open label extension study and parent EOS date and on or prior to the open label extension study EOS date. Within the open label extension period, event or laboratory data belongs to the SoC-controlled period if they were observed before the All-IP period start date. Any data observed on or after the All-IP period start date will belong to the All-IP period.

Adverse events of special interest for this application include the following categories: adverse events associated with other lipid-lowering therapies (such as diabetes, liver, and muscle events), those associated with other injectable protein therapies (such as hypersensitivity events, injection site reactions), those occurring in participants with LDL-C levels < 40 mg/dL and those that could theoretically be associated with PCSK9 inhibition/LDL receptor upregulation (hepatitis C events). Neurocognitive events in the clinical trials were identified using MedDRA High Level Group Terms.

Potential cardiovascular endpoints (including death) during the phase 2 and phase 3 trials were identified and adjudicated by an Independent Clinical Endpoint Committee (CEC). The CEC coordinator compiled an endpoint event packet consisting of the subject profile and supporting source documentation (eg, relevant electrocardiogram (ECGs), hospitalization records, imaging). Each complete endpoint event packet was randomly assigned to 2 CEC adjudicators who independently reviewed each potential endpoint. If the adjudication results determined by each independent CEC adjudicator were concordant, then the adjudication of that potential endpoint was considered to be complete. If the adjudication results determined by each independent CEC adjudicator were discordant, then the CEC adjudicators discussed the potential endpoint at a moderated CEC meeting until they came to consensus or agreed that they were unable to reach final consensus. If consensus could not be reached, then the case was submitted to the chairperson of the CEC to determine the final adjudication result. The CV endpoints for the Phase 3 trials were death, myocardial infarction, hospitalization for unstable angina, coronary revascularization, hospitalization for heart failure and cerebrovascular events (transient ischemic attack, stroke). The Phase 2 trials also included non-coronary revascularization. Endpoint

definitions in the phase 3 program were updated from the definitions used in the phase 2 program based on an updated draft of the “Standardized Definitions for Cardiovascular and Stroke End Point Events in Clinical Trials and the Third Universal Definition of Myocardial Infarction.”<sup>49</sup> The subject incidence of adjudicated (positive and negative) cardiovascular events and noncoronary revascularizations was summarized by overall incidence by study period.

### 7.1.3 Pooling of Data Across Studies/Clinical Trials to Estimate and Compare Incidence

For the primary hyperlipidemia indication, the applicant’s Integrated Summary of Safety (ISS) includes data from the nine 12-week phase 2 and phase 3 trials, the 8- and 12-week device home-use studies and the 52-week trial (20110109). This reviewer believes that the adverse reaction data from the trials that are up to 12 weeks in duration should also be presented separately and not combined with the 52-week trial in order to explore whether there are differences in adverse event profiles for short-term vs longer duration trials.

## 7.2 Adequacy of Safety Assessments

### 7.2.1 Overall Exposure at Appropriate Doses/Durations and Demographics of Target Populations

#### Overall Safety Database

Approximately 6800 participants administered evolocumab (alone or in combination with statins), placebo, or any control (including ezetimibe and SoC) are included in the overall safety analysis. The overall safety database includes a total of 5710 participants exposed to any dose of evolocumab. At the time of database cutoff (1 April 2014), 5416 evolocumab-dosed participants had been on study for at least 3 months, 1824 evolocumab-dosed participants had been on study for at least 12 months, and 614 evolocumab-dosed participants had been on study for 2 years or more.

Participants with the following conditions have been treated with any evolocumab for at least 1 year:

- 345 participants with established CVD
- 504 participants at NCEP high risk for CVD
- 147 participants at NCEP moderately-high risk for CVD
- 183 participants with diabetes

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49 Hicks KA, Hung HM, Mahaffey KW, Mehran R, Nissen SE, Stockbridge NL, Targum SL, Temple R; on behalf of the Standardized Data Collection for Cardiovascular Trials Initiative. Standardized Definitions for Cardiovascular and Stroke End Point Events in Clinical Trials and the Third Universal Definition of Myocardial Infarction. 2012

- 463 participants on concomitant high intensity statin; 560 participants on concomitant, moderate intensity statin
- 439 participants ≥ 65 years old

Table 46: Overall Summary of Trial Exposure (Phases 1, 2, 3)

Overall	Control		EvoMab		All Unique Participants
	Any Placebo	Any Control <sup>a</sup>	EvoMab 140 mg Q2W or 420 mg QM or 420mg Q2W <sup>b</sup>	Any EvoMab	
Number of Participants	1578	3079	5456	5710	6801
≥ 3 months	1553	3040	5169	5416	6521
≥ 6 months	294	1444	3340	3350	4638
≥ 12 months	287	718	1787	1824	2462
≥ 24 months	0	1	601	614	923
<b>Primary Hyperlipidemia and Mixed Dyslipidemia (Phase 2 and 3) ISS</b>					
	Control		EvoMab		All Unique Participants
	Any Placebo	Any Control <sup>a</sup>	EvoMab 140 mg Q2W or 420 mg QM or 420mg Q2W <sup>b</sup>	Any EvoMab	
Number of Participants	1526	3027	4783	4971	6026
≥ 3 months	1501	2988	4654	4839	5904
≥ 6 months	294	1444	3276	3286	4571
≥ 12 months	287	718	1760	1797	2430
≥ 24 months	0	1	598	611	920
<b>Homozygous Familial Hypercholesterolemia</b>					
	Control		EvoMab		All Unique Participants
	Any Placebo	Any Control <sup>a</sup>	EvoMab 140 mg Q2W or 420 mg QM or 420mg Q2W <sup>b</sup>	Any EvoMab	
Number of Participants <sup>c</sup>	16	16	99	99	99
≥ 3 months	16	16	81	81	85
≥ 6 months	0	0	56	56	59
≥ 12 months	0	0	23	23	28
≥ 24 months	0	0	3	3	3
EvoMab = Evolocumab (AMG 145); Q2W = every 2 weeks; QM = monthly; ISS = Integrated Summary of Safety					
Primary hyperlipidemia and mixed dyslipidemia phase 2 and 3 (ISS) studies include 20090158,					

20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356, 20110110, and 20120138.  
 Homozygous familial hypercholesterolemia includes subjects from studies 20110233 and 20110271.  
 Ongoing studies include: 20110110, 20120138, and 20110271 (data cutoff date 01 April 2014).  
 a Includes placebo, ezetimibe or standard of care.  
 b Includes 140 mg or 420 mg single dose in phase 1 studies. Subjects in Study 20110271 could switch between 420 mg QM and 420 mg Q2W per protocol.  
 c Includes 14 adolescent subjects: 13 subjects were enrolled to the extension Study 20110271 (10 subjects were from study 20110233), and 1 subject participated in Study 20110233 but did not continue into Study 20110271.  
 Patients can contribute data to more than 1 treatment group per designs of parent studies and their extension studies.  
 Source: Applicant's ISS Table 14-5.1.1 and Table 3(2.7.4)

### Primary Hyperlipidemia

A total of 6026 participants were included in the integrated parent analysis set for the primary hyperlipidemia indication; of these, 4431 (73.5%) were in the combination therapy trials. The remaining participants were in the monotherapy trials (1131 [18.8%]) and the statin-intolerant trials (464 [7.7%]) (see table below). The majority of participants were on background statin therapy, which is appropriate as evolocumab, if approved, would be most clinically relevant in participants at higher CV risk not adequately controlled by maximally tolerated statin therapy. The number of participants on high and moderate-intensity statin therapy is described in Table 55.

Table 47: Number of Participants and Treatment Cohort Designation in the Integrated Parent Analysis Set (IPAS)

Cohort	Control			EvoMab				Total (N=6026) n (%)
	Placebo SC Q2W (N = 586) n (%)	Placebo SC QM (N = 940) n (%)	Ezetimibe QD (N = 554) n (%)	Other EvoMab Dose (N = 715) n (%)	140 mg Q2W (N =1245) n (%)	420 mg QM (N =1956) n (%)	420 mg QM + Eze. QD (N = 30) n (%)	
Monotherapy	121 (20.6)	160 (17.0)	199 (35.9)	181 (25.3)	198 (15.9)	272 (13.9)	NA	1131 (18.8)
Combination with Statins	465 (79.4)	780 (83.0)	221 (39.9)	471 (65.9)	944 (75.8)	1550 (79.2)	NA	4431 (73.5)
Statin Intolerant	NA	NA	134 (24.2)	63 (8.8)	103 (8.3)	134 (6.9)	30 (100.0)	464 (7.7)

Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356.  
 N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; Eze=ezetimibe; QD = once a day; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous; IP = investigational product; IPAS = Integrated Parent Analysis Set.  
 Source Data: adam.adsl; Applicant Table 13 (2.7.4)

The population included in the integrated parent studies has a mean age of 58 years and is approximately 51% female. Approximately 19% and 8% have a prior diagnosis of CAD and cerebrovascular or peripheral arterial disease, respectively. Approximately 13% have Type II diabetes mellitus while approximately one-third have

mixed dyslipidemia. Approximately 44% of subjects were high and moderately-high risk by ATP-III; 30% were moderate risk. Approximately 63% of the population studied was on statin lipid-lowering therapy, and 20% received ezetimibe. The exposure to IP and to trial is presented in the following table. The mean duration of evolocumab exposure in the 140 mg Q2W and 420 mg QM treatment groups was 2.6 months and 5.3 months, respectively. The greater duration of exposure in the QM dose was due to trial 20110109 which was 52 weeks in duration and participants were administered only the 420 mg QM dose. The median duration of evolocumab exposure in the 140 mg Q2W and 420 mg QM treatment groups was 2.8 months.

Table 48: Summary of Exposure During the Integrated Parent Studies (IPAS)

	Control			EvoMab			
	Placebo SC Q2W (N = 586)	Placebo SC QM (N = 940)	Ezetimibe QD (N = 554)	Other EvoMab Dose (N = 715)	140 mg Q2W (N=1245)	420 mg QM (N=1956)	420 mg QM + Eze. QD (N = 30)
Duration of SC IP exposure (months)							
n	586	940	509	715	1245	1956	30
Mean	2.7	5.5	2.7	2.7	2.6	5.3	2.8
Median	2.8	2.8	2.8	2.8	2.8	2.8	2.8
Min, Max	0.3, 3.4	0.1, 12.3	0.5, 3.4	0.5, 3.3	0.0, 3.3	0.4, 12.3	1.9, 2.9
Duration of trial exposure (months)							
n	586	940	554	715	1245	1956	30
Mean	3.2	5.7	3.0	3.0	3.1	5.6	2.8
Median	3.3	2.8	2.9	2.9	3.3	2.8	2.8
Min, Max	0.3, 4.8	0.1, 17.5	0.5, 5.5	1.0, 6.2	0.0, 5.6	0.4, 17.6	2.6, 3.0
Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356. N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; QD = once a day; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous; IP = investigational product; IPAS = Integrated Parent Analysis Set. Source Data: adam.adsl; Applicant Table 4 (2.7.4)							

The exposure to IP and to trial for the year 1 SoC-controlled period is presented in the following table. For participants assigned to control during the parent trial and evolocumab plus SoC during the extension trial, the mean duration of evolocumab exposure was 8.1 months and the median duration was 7.3 months. The mean duration of evolocumab exposure for participants who received evolocumab during the parent trial and evolocumab plus SoC during the extension trial was 8.4 months and the median duration was 7.4 months. It is important to note that the safety information and adverse incidence data from this year 1 SoC-controlled period reflects a median exposure of 7 months (not 12 months) of controlled but open-label data.

Table 49: Summary of Exposure During the Year 1 SoC-Controlled Period of the Extension Studies (IECAS)

	Control in Parent Trial		EvoMab in Parent Trial	
	SoC (N = 472)	EvoMab + SoC (N = 943)	SoC (N = 947)	EvoMab + SoC (N = 1890)
Duration of SC IP exposure (months)				
n	472	940	947	1890
Mean	0	8.1	0	8.4
Median	0	7.3	0	7.4
Min, Max	0, 0	0, 13.1	0, 0	0.1, 13.1
Duration of trial exposure (months)				
n	472	943	947	1890
Mean	8.1	8.2	8.6	8.6
Median	7.2	7.4	7.8	7.6
Min, Max	0, 13.1	0, 13.1	0, 13.1	0.1, 13.1
Includes the following studies: 20110110, 20120138. N = number of subjects randomized in the integrated extension SoC-controlled period analysis set; EvoMab = Evolocumab; SoC= standard of care; SC = subcutaneous; IP = investigational product; Source Data: adam.adsl; Applicant Table 5 (2.7.4)				

The exposure to IP and to trial for the Year 2+ OLE period is presented in the following table. The total mean and median duration of evolocumab exposure for the year 2+ OLE period was 12.6 and 12.9 months, respectively.

Table 50: Summary of Exposure During the Year 2+ OLE Period of the Extension Studies (IEAAS)

	SoC in SoC-Controlled period	EvoMab + SoC in SoC-Controlled period	<b>Total</b>
	EvoMab + SoC (N = 312)	EvoMab + SoC (N = 642)	(N = 954)
Duration of SC IP exposure (months)			
n	312	642	954
Mean	12.6	12.7	12.6
Median	12.9	13.0	12.9
Min, Max	0, 16.8	0, 16.9	0, 16.9
Duration of trial exposure (months)			
n	312	642	954
Mean	12.8	12.9	12.8
Median	12.9	13.1	13.0
Min, Max	0, 16.8	0, 16.9	0, 16.9
Includes the following studies: 20110110, 20120138. N = number of subjects randomized in the integrated extension all-IP period analysis set; EvoMab = Evolocumab; SoC= standard of care; IP = investigational product; Source Data: adam.adsl; Applicant Table 6 (2.7.4)			

### Exposure in Specific Subpopulations

The extent of exposure in evolocumab-treated participants with  $\geq 3$  months of evolocumab exposure (combined parent and OLE study periods, regardless of adherence to evolocumab, is denoted in parentheses in the table) and various baseline demographics is presented below. Of note, the exclusion criteria in the phase 2 and 3 trials included poorly controlled or newly diagnosed diabetes; New York Heart Association CHF class III or IV; uncontrolled serious cardiac arrhythmia; uncontrolled hypertension; hypo/hyperthyroidism; severe hepatic impairment (Child-Pugh class C); estimated glomerular filtration rate (eGFR)  $< 30\text{mL}/\text{min}/1.73\text{m}^2$ ; ALT/AST  $> 2 \times \text{ULN}$ ; creatine kinase (CK)  $> 3 \times \text{ULN}$ ; myocardial infarction, unstable angina, percutaneous coronary intervention, coronary artery bypass, or stroke within 3 months prior to randomization; and malignancy (except non-melanoma skin cancers, cervical in-situ carcinoma, breast ductal carcinoma in situ, or stage 1 prostate carcinoma) within the last 5 years. Thus, individuals with these conditions were not represented in the trials.

Table 51: Exposure in Subpopulations on Evolocumab and (in trial regardless of adherence to evolocumab)

<b>Number of Subjects</b>	<b>EvoMab 140 mg Q2W or 420 mg QM</b>
<b>Subjects with Established Arteriosclerotic Cardiovascular Disease</b>	
$\geq 3$ months	1074
$\geq 6$ months	726 (740)
$\geq 12$ months	345 (366)
$\geq 18$ months	176 (180)
$\geq 24$ months	145 (147)
$\geq 30$ months	8 (8)
<b>Subjects with Congestive Heart Failure or Ischemic Cardiomyopathy</b>	
$\geq 3$ months	198
$\geq 6$ months	122 (125)
$\geq 12$ months	58 (62)
$\geq 18$ months	33 (34)
$\geq 24$ months	31 (31)
$\geq 30$ months	2 (2)
<b>Subjects with NCEP/ATP III High Risk</b>	
$\geq 3$ months	1550
$\geq 6$ months	1052 (1074)
$\geq 12$ months	504 (534)
$\geq 18$ months	233 (239)
$\geq 24$ months	192 (195)
$\geq 30$ months	11 (12)
<b>Subjects with NCEP/ATP III Moderately High Risk</b>	
$\geq 3$ months	455
$\geq 6$ months	317 (319)
$\geq 12$ months	147 (158)
$\geq 18$ months	85 (85)
$\geq 24$ months	60 (61)
$\geq 30$ months	5 (6)

Subjects with Type II Diabetes Mellitus	
≥ 3 months	600
≥ 6 months	397 (408)
≥ 12 months	183 (197)
≥ 18 months	69 (72)
≥ 24 months	55 (56)
≥ 30 months	5 (6)
Subjects with High-Intensity Statin Therapy at Study Day 1	
≥ 3 months	1410
≥ 6 months	962 (984)
≥ 12 months	463 (497)
≥ 18 months	235 (237)
≥ 24 months	148 (149)
≥ 30 months	8 (10)
Subjects with Moderate-Intensity Statin Therapy at Study Day 1	
≥ 3 months	1762
≥ 6 months	1198 (1226)
≥ 12 months	560 (600)
≥ 18 months	303 (308)
≥ 24 months	204 (207)
≥ 30 months	13 (13)
Subjects ≥ 65 Years Old	
≥ 3 months	1357
≥ 6 months	944 (963)
≥ 12 months	439 (470)
≥ 18 months	222 (225)
≥ 24 months	160 (162)
≥ 30 months	30 (17)
<p>N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; NCEP/ATP = National Cholesterol Education Program Adult Treatment Panel; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous</p> <p>Statin therapy intensity defined using the ACC/AHA definition in Table 5 in "ACC/AHA Guideline on the Assessment of Cardiovascular Risk: A Report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines" in Circulation (2013). High-Intensity Statin Therapy (such as atorvastatin 80 mg or rosuvastatin 40 mg) and Moderate-Intensity Statin Therapy (such as atorvastatin 20 mg, rosuvastatin 10 mg, simvastatin 20-40 mg).</p> <p>Subjects with Established Arteriosclerotic Cardiovascular Disease defined as any of angina due to atherosclerotic coronary disease, prior myocardial infarction, any coronary artery bypass graft or percutaneous coronary intervention, transient ischemic attack, stroke/cerebral infarction, carotid or vertebral artery disease, or peripheral arterial disease.</p> <p>Subjects with Congestive Heart Failure or Ischemic Cardiomyopathy where Ischemic cardiomyopathy is defined as left ventricular ejection fraction &lt; 50%.</p> <p>Subjects can contribute data to more than 1 treatment group.</p> <p>Source: Modified from Table 9 of 2.7.4; ISS Table 14-5.4.402; ISS Table 14-5.4.403; ISS Table 14-5.4.404; ISS Table 14-5.4.405; ISS Table 14-5.4.406; ISS Table 14-5.4.407; ISS Table 14-5.4.408; ISS Table 14-5.4.409; ISS Table 14-5.4.410; ISS Table 14-5.4.413; ISS Table 14-5.4.414; and Table 4-1 response to 06Nov2014 IR</p>	

*MO Comment: The overall duration of exposure to evolocumab in this primary hyperlipidemic population, including the proportions of participants with CVD, diabetes, increased age, female sex, concomitant high intensity statin, and in the high and moderate-risk NCEP/ATP risk categories, adequately represents the intended target population and is adequate to make a safety assessment for this indication. More long-term data in patients with CVD and other co-morbidities on maximally tolerated baseline statin therapy in a trial that is blinded and placebo or active agent controlled would have been appreciated and informative.*

#### Evolocumab Exposure in HoFH Trials

Safety data includes 99 participants (96 from trial 20110271 and 3 from trial 20110233 who did not continue into trial 20110271). The population of the 99 HoFH participants included in the HoFH safety analysis set was younger (mean age of approximately 34 years of age at baseline) and presented with higher concentrations of LDL-C than the primary hyperlipidemia population. The HoFH population was exposed to evolocumab at a dose of 420 mg SC either Q2W or QM in trials 20110233 and 20110271; 31 participants received evolocumab 420 mg Q2W and 68 participants received 420 mg QM for the first dose. Across the 2 HoFH trials, 81 HoFH participants received evolocumab for at least 3 months, 56 HoFH participants received evolocumab for at least 6 months, and 23 HoFH participants received evolocumab for at least 12 months. Trial 20110233 included 11 subjects who were  $\geq 12$  to  $< 18$  years old and trial 20110271 included 10 of those 11 subjects plus an additional 3 subjects; all 14 subjects were diagnosed with HoFH. In Study 20110271, 31 subjects with HoFH were receiving apheresis at enrollment. The exposure to IP for Trial 20110233 is presented in the following table. For Part B, the total mean and median duration of evolocumab exposure was 2.7 and 2.8 months, respectively.

Table 52: Summary of Exposure in Trial 20110233

	20110233 Part A	20110233 Part B	
	EvoMab 420 mg QM (N = 8)	Placebo QM (N = 16)	EvoMab 420 mg QM (N = 33)
Duration of SC IP exposure (months) <sup>a</sup>			
n	8	16	33
Mean	2.8	2.8	2.7
Median	2.7	2.8	2.8
Min, Max	2.7, 2.8	2.8, 2.8	1.0, 2.9
For part A, N = number of subjects enrolled and dosed; for part B, N = number of subjects randomized and dosed in the full analysis set; EvoMab = Evolocumab (AMG 145); QM = monthly; IP = investigational product a For QM subjects in part A: IP Exposure Period = [min(end of EOIP date + 28 days, EOS date) - Study day 1 Date + 1] / 365.25 * 12. For QM subjects in part B: [min>Last dose date + 28 days, EOS date) - First dose date + 1] / 365.25 * 12 Source: Modified from Table 14-5.1.1 Part A of Study 20110233 and Table 14-5.1.1 Part B of Study 20110233 and Applicant Table 7 (2.7.4)			

The exposure to IP for Trial 20110271 is presented in the following table and includes exposure from both the parent study combined with 20110271. The total mean and median duration of evolocumab exposure was 6.4 and 5.1 months, respectively. Exposure to evolocumab for  $\geq 12$  weeks occurred in 69 participants and for  $\geq 24$  weeks in 47 participants. A summary of exposure for HoFH participants  $\geq 12$  to  $< 18$  years old (data not shown in the table) is mean and median exposure to evolocumab of 7.5 months and 10.6 months, respectively. Exposure to evolocumab for  $\geq 12$  weeks occurred in 11 participants and  $\geq 24$  weeks in 8 participants.

Table 53: Summary of Exposure in Study 20110271 (HoFH Interim Analysis Set, 01 April 2014 data cutoff date)

	20110233 HoFH Parent Study Rollover			20110271 HoFH Non-Parent / Other Parent Study Rollover			Total (N = 96)
	Part A EvoMab (N = 8)	Part B EvoMab (N = 30)	Part B Placebo (N = 16)	Apheresis at Enrollment (N = 31)	Non- apheresis at Enrollment (N = 11)	Total (N = 42)	
Duration of SC IP exposure (months)							
n	8	30	16	31	11	42	96
Mean	18.0	6.3	5.6	5.0	3.8	4.7	6.4
Median	17.3	5.3	4.9	4.6	2.0	4.2	5.1
Min, Max	11.9, 21.1	0.6, 10.6	1.2, 10.6	0.4, 13.9	0.1, 15.4	0.1, 15.4	0.1, 21.1
Participants with Duration of IP exposure (%)							
$\geq 12$ weeks	8 (100.0)	22 (73.3)	11 (68.8)	24 (77.4)	4 (36.4)	28 (66.7)	69 (71.9)
$\geq 24$ weeks	8 (100.0)	15 (50.0)	8 (50.0)	13 (41.9)	3 (27.3)	16 (38.1)	47 (49.0)
N = number of HoFH subjects enrolled and dosed in Study 20110271; HoFH=Homozygous Familial Hypercholesterolemia; IP = investigational product; EvoMab=Evolocumab (AMG 145); SD = standard deviation Data cutoff date 01APR2014. Source Data: Table 14-5.1.2 of Study 20110271 and Applicant Table 8 (2.7.4)							

#### Exposure to the 420 mg Q2W Dose

Two groups of participants in Study 20110271 received evolocumab 420 mg Q2W:

1. non-apheresis participants who began the study on 420 mg QM and subsequently had their dose uptitrated to 420 mg Q2W as allowed by protocol, and
2. apheresis participants, all of whom began on the 420 mg Q2W dose.

Non-apheresis HoFH participants: Of the 96 participants in the HoFH Interim Analysis Set of the open-label extension Study 20110271, as of the 01 April 2014 data cutoff date, 25 participants received  $\geq 12$  weeks of evolocumab 420 mg once monthly (QM) and then switched to  $\geq 12$  weeks of evolocumab 420 mg Q2W. As of the 01 July 2014 data cutoff date for the 120-day Safety Update, 100 participants were included in the HoFH Interim Analysis Set and 28 participants had been switched 420 mg Q2W.

01 April 2014 data cutoff date: 198 participants with HoFH and HeFH were included in the Interim Analysis Set of Study 20110271. Of these, 65 participants ever received evolocumab 420 mg Q2W (of which 61 were participants with HoFH).

01 July 2014 data cutoff date: 242 participants with HoFH and HeFH were included in the Interim Analysis Set of Study 20110271. Of these, 93 participants ever received evolocumab 420 mg Q2W (of which 75 were participants with HoFH).

The number of participants who used the 420 mg Q2W dose and the duration of exposure are summarized in the following two tables.

Table 54: Participants Who Received Evolocumab by Actual Dose Received Study 20110271 (Interim Analysis Set)

Subjects Receiving Evolocumab for Any Duration	As of 01 April 2014 (Original BLA) (N = 198)				As of 01 July 2014 (120-day Safety update) (N = 242)			
	Any Q2W				Any Q2W			
	Q2W Only <sup>a</sup>	QM and Q2W <sup>b</sup>	Total	QM only <sup>c</sup>	Q2W Only <sup>a</sup>	QM and Q2W <sup>b</sup>	Total	QM only <sup>c</sup>
All subjects	30	35	65	133	43	50	93	149
HoFH subjects	27	34	61	35	28	47	75	25
Non-apheresis subjects (began on QM)	0	30	30	35	0	41	41	25
Apheresis subjects (began on Q2W)	27	4	31	0	28	6	34	0
Severe HeFH subjects <sup>d</sup>	3	1	4	98	15	3	18	124
Non-apheresis subjects (began on QM)	0	1	1	98	0	2	2	124
Apheresis subjects (began on Q2W)	3	0	3	0	15	1	16	0

HoFH = homozygous familial hypercholesterolemia; HeFH = heterozygous familial hypercholesterolemia; OLE = open-label extension; Q2W = every 2 weeks; QM = once monthly.

a Apheresis subjects who did not switch from their initial dose of 420 mg Q2W.

b Apheresis subjects who switched from their initial dose of 420 mg Q2W to 420 mg QM, or non-apheresis subjects who switched from their initial dose of 420 mg QM to 420 mg Q2W.

c Non-apheresis subjects who did not switch from their initial dose of 420 mg QM.

d Subjects in OLE Study 20110271 who did not meet the study criteria for HoFH.

Source: Applicant's response to Feb 2015 Information Request.

Table 55: Exposure in Study 20110271 by Dosing Regimen (420 mg QM only, 420 mg Q2W only, or 420 mg QM and Q2W); (Data cutoff date 01JUL2014)

	20110271: HoFH			20110271: HeFH		
	Q2W Only <sup>a</sup>	QM and Q2W <sup>b</sup>	QM Only <sup>c</sup>	Q2W Only <sup>a</sup>	QM and Q2W <sup>b</sup>	QM Only <sup>c</sup>
Total Number of participants	28	47	25	15	3	124
Median Exposure (months)	7.1	12.5	5.5	2.5	3.3	3.5
Mean Exposure (months)	6.7	11.5	6.3	2.4	4.3	4.5

Data cutoff date 01JUL2014. HeFH = heterozygous familial hypercholesterolemia; HoFH = homozygous familial hypercholesterolemia; Q2W = once every 2 weeks; QM = once monthly.

a Apheresis subjects who did not switch from their initial dose of 420 mg Q2W.

b Non-apheresis subjects who switched from their initial dose of 420 mg QM to 420 mg Q2W, and apheresis subjects who switched from their initial dose of 420 mg Q2W to 420 mg QM.

c Non-apheresis subjects who did not switch from their initial dose of 420 mg QM.

Source: Applicant's response to Feb 2015 Information Request.

The number of participants exposed to the 420 mg Q2W and the duration of exposure at this dose is quite limited. The safety profile of the 420 mg Q2W dose is discussed in Section 7.7.1 120-Day Safety Update for BLA: Primary Hyperlipidemia/Mixed Dyslipidemia and HoFH Populations

The applicant was asked to provide information on the estimated percentage of evolocumab that is cleared by apheresis. The applicant responded that there were 31 participants with HoFH on apheresis who had received evolocumab in OLE Study 20110271 as of the 01 April 2014 data cutoff date for the original BLA. These participants visited the site every 2 weeks and were placed on an evolocumab 420 mg SC Q2W. Unbound serum evolocumab concentrations were measured immediately before and immediately after each apheresis session, followed by evolocumab dosing. The following table shows the mean evolocumab serum concentrations pre- and post-apheresis by visit from week 2 through week 12 as well as the estimated dose lost by apheresis. The applicant states that the mean serum unbound evolocumab concentrations assessed at trough (ie, 2 weeks after administration) were approximately 20% to 30% lower as a result of apheresis, representing an estimated 30 to 60 mg of evolocumab (8% to 15% of the dose).

Table 56: Mean Pre- and Post-Apheresis Unbound Evolocumab Concentrations in Participants with HoFH through Week 12 Receiving Evolocumab 420 mg SC Q2W (Post-Apheresis) in Study 20110271

Study Week	Pre-Apheresis		Post-Apheresis		Concentration Difference (µg/mL)	Estimated Drug Loss <sup>a</sup> (mg)	Estimated Dose Lost (%)
	N	Unbound Evolocumab Concentration (µg/mL)	N	Unbound Evolocumab Concentration (µg/mL)			
2	29	31.1	27	21.5	9.6	32	8
4	27	49.5	25	34.4	15.1	50	12
6	27	61.9	24	43.6	18.3	61	15
8	25	63.4	21	45.8	17.6	59	14
10	24	69.0	20	49.7	19.3	64	15
12	22	77.0	16	61.3	15.7	52	12

01 April 2014 data cutoff date

<sup>a</sup> Estimated using volume of distribution from Phase 1 IV 420 mg dose = 3.34 L

Source: Table 12 from Feb 2015 Information Request Response.

#### Device-Exposure

The PFS and the Al/pen provide a single SC administration of 140 mg evolocumab. The Al/pen was used in the majority of the phase 3 trials with 3 consecutively administered (within 30 minutes) Al/pens to deliver the 420 mg dose. The initial drug substance (Process 1) was administered via the vial and syringe. The 2 devices

(PFS, and AI/pen) administered the proposed commercialized drug substance (Process 2). The device exposures with EvoMab 140 mg Q2W or 420 mg QM (IPAS, IECAS, and IEAAS) are as follows:

- AI/pen: 3165 subjects (1595 patient-years); 1680 at  $\geq 6$  months; 105 at  $\geq 12$  months
- PFS: 75 subjects (12 patient-years); 0 at  $\geq 3$  months
- Vial and syringe: 1827 subjects (2497 patient-years) ; 1615 at  $\geq 6$  months; 1564 at  $\geq 12$  months (note: the applicant has not requested approval consideration for this method of administration)

#### Device Exposure in HoFH Trials

In the HoFH trials, most of the participants used the vial and syringe. However, in trial 20110233, a total of 14 participants (9 evolocumab, 5 placebo) used the AI/pen at least once and 8 participants (6 evolocumab, 2 placebo) used the AI/pen at every visit (ie, 9 AI/pens over the course of the trial). One adolescent participant (15 years old; 23371001001) used the AI/pen at each visit; all other participants who used the AI/pen were adults.

In the long-term OLE trial 20110271, of the 96 HoFH participants, evolocumab was administered with an AI/pen in 37 (38.5%) participants. One adolescent participant (15 years old; 27158005001) used AI/pen; all other participants who used the AI/pen were adults.

#### 7.2.1.1 Disposition in the Safety Population

##### Disposition in Primary Hyperlipidemia and Mixed Dyslipidemia Trials

Discontinuation of investigational product in the IPAS was similar across treatment groups and dosing frequency. Adverse events and subject request were the most common reasons for discontinuation of investigational product across control and EvoMab treatment groups.

Table 57: Summary of Disposition in Primary Hyperlipidemia and Mixed Dyslipidemia Studies: Integrated Parent Studies (IPAS)

	Control			EvoMab			
	Placebo Q2W (N=589) n (%)	Placebo QM (N=945) n (%)	EZ QD (N=556) n (%)	Other EvoMab Dose (N=721) n (%)	140 mg Q2W (N=1248) n (%)	420 mg QM (N=1960) n (%)	420 mg QM + EZ QD (N=31) n (%)
Subjects who never received IP	3 (0.5)	5 (0.5)	1 (0.2)	6 (0.8)	3 (0.2)	4 (0.2)	1 (3.2)
Subjects who received IP	586 (99.5)	940 (99.5)	509 (99.8)	715 (99.2)	1245 (99.8)	1956 (99.8)	30 (96.8)
Subjects who	557	885	478	695	1190	1833	29

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completed IP	(94.6)	(93.7)	(93.7)	(96.4)	(95.4)	(93.5)	(93.5)
Subjects who discontinued IP	29 (4.9)	55 (5.8)	31 (6.1)	20 (2.8)	55 (4.4)	123 (6.3)	1 (3.2)
Full consent withdrawn	0	10 (1.1)	0	1 (0.1)	2 (0.2)	9 (0.5)	0
Adverse event	9 (1.5)	12 (1.3)	15 (2.9)	3 (0.4)	26 (2.1)	27 (1.4)	1 (3.2)
Pregnancy	0	0	0	0	0	1 (0.1)	0
Death	0	0	0	0	0	2 (0.1)	0
Subject request	11 (1.9)	17 (1.8)	10 (2.0)	6 (0.8)	16 (1.3)	43 (2.2)	0
Administrative decision by sponsor	0	1 (0.1)	0	0	16 (1.3)	6 (0.3)	0
Physician decision	0	2 (0.2)	2 (0.4)	2 (0.3)	0	1 (0.1)	0
Lost to follow-up	3 (0.5)	3 (0.3)	2 (0.4)	0	4 (0.3)	13 (0.7)	0
Other	6 (1.0)	10 (1.1)	2 (0.4)	8 (1.1)	6 (0.5)	21 (1.1)	0

Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356.  
 N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab;  
 EZ=ezetimibe; QD = once a day; Q2W = every 2 weeks; QM = monthly;  
 SC = subcutaneous; IP = investigational product; IPAS = Integrated Parent Analysis Set.  
 Source: Table 3-1 response to 06Nov2014 IR

### Disposition in HoFH Trials

In trial 20110233 part A, a total of 8 subjects were enrolled and all 8 subjects completed treatment with open-label evolocumab and completed the trial. In trial 20110233 part B, a total of 50 subjects were randomized to the evolocumab (n = 33) and placebo (n = 17) groups. All 33 (100%) subjects in the evolocumab group and 16 (94%) subjects in the placebo group received IP. Two (6.1%) subjects in the evolocumab group discontinued IP, both listed as due to subject request although there were some adverse events occurring around the time of withdrawal.<sup>50</sup> No subject in the placebo group discontinued IP. All 49 subjects who received IP completed the study. All but 3 (n=46) participants continued into trial 20110271.

In trial 20110271, a total of 96 HoFH subjects were enrolled. All 96 HoFH subjects received evolocumab, including 87 (90.6%) subjects who were still receiving evolocumab as of the data cutoff date. Of the 9 (9.4%) subjects who discontinued evolocumab, 5 (5.2%) subjects discontinued due to physician decision, 2 (2.1%)

<sup>50</sup> For Subject 23356002004, the reason was listed as: Mother attributed adverse event of Achilles tendonitis to IP and did not wish her child to continue; patient did not wish bloods taken or injections to be given. Of note, only the first dose of drug was given. In the AE dataset, AE for Achilles tendonitis is listed as "not related" on day 24-31.

For Subject 23356002005 the reason was listed as: Mother attributed adverse events of upper respiratory tract infection (URI) and atypical chest pain to IP and did not wish her son to receive more IP. Subject received drug at day 1 and 29; the URI ["not related" was day 37-43 (along with gastroenteritis)] and the non-cardiac chest pain was on days 66-68.

subjects due to subject request, and 1 (1.0%) subject each due to adverse event and pregnancy. One (1.0%) subject discontinued from the study due to “other” reason.

Table 58: Participant Disposition with Discontinuation Reason for HoFH Participants in Trial 20110271 (HoFH Interim Analysis Set) Data cutoff date 01APR2014

	20110233 HoFH Parent Trial Rollover			20110271 HoFH Non-Parent / Other Parent Study Rollover			
	Part A EvoMab (N = 8) n (%)	Part B EvoMab (N = 30) n (%)	Part B Placebo (N = 16) n (%)	Apheresis at Enrollment (N = 31) n (%)	Non-apheresis at Enrollment (N = 11) n (%)	Total (N = 42) n (%)	Total (N = 96) n (%)
Participants who discontinued IP	1 (12.5)	2 (6.7)	1 (6.3)	5 (16.1)	0	5 (11.9)	9 (9.4)
Adverse reaction	0	1 (3.3)	0	0	0	0	1 (1.0)
Pregnancy	0	0	1 (6.3)	0	0	0	1 (1.0)
Subject request	1 (12.5)	0	0	1 (3.2)	0	1 (2.4)	2 (2.1)
Physician decision	0	1 (3.3)	0	4 (12.9)	0	4 (9.5)	5 (5.2)

N = number of HoFH subjects enrolled and dosed in Study 20110271; HoFH=Homozygous Familial Hypercholesterolemia; EvoMab = Evolocumab; IP = investigational product  
 Source Data: adam.adsl; Applicant Table 16 (2.7.4)

### 7.2.1.2 Demographics in the Safety Population

#### Demographics in Primary Hyperlipidemia and Mixed Dyslipidemia Trials

In the integrated parent studies analysis set (see table below), the mean (SD) age was 57.5 (11.2) years; 1779 (29.5%) participants were ≥ 65 years of age and 223 (3.7%) were ≥ 75 years. No pediatric participants (< 18 years of age) were enrolled. A total of 3044 (50.5%) participants were women. Eighty-three percent of participants were white of which only 5% were Hispanic/Latino, 9% were Asian, 6% were black, and 49% were from North America, 39% from Europe, and 12% from Asia Pacific.

Additional baseline characteristics include 1141 (18.9%) participants had coronary artery disease, with 541 (9.1%) having a history of myocardial infarction. Only 145 (2.4%) participants had a history of stroke at baseline. There were 803 (13.3%) participants with type 2 diabetes, 3100 (51.4%) with hypertension, 909 (15.1%) participants with current cigarette use, and 674 (11.2%) participants with renal impairment (eGFR < 60 mL/min/1.73m<sup>2</sup>). Only 1.7% of participants had CHF NYHA class I, 1.7% had CHF NYHA class II and one participant had class III CHF.

Approximately one-third of participants were at high CHD risk according to National Cholesterol Education Program Adult Treatment Panel coronary heart disease risk categories whereas < 10% were at moderate-high risk. Thus, less than 50% (2619 participants) were at moderate-high or higher CHD risk at baseline.

At baseline, approximately 30% of participants were using high-intensity statin therapy (per ACC/AHA definition statins such as atorvastatin 40-80 mg or rosuvastatin 20-40 mg) and 38% were using moderate intensity statin therapy (such as atorvastatin 10-20 mg, rosuvastatin 5-10 mg, simvastatin 20-40 mg). Twenty-five percent were not on any statin therapy. Participants who received ezetimibe were less likely to use high-intensity statin therapy; this was due to the parent study designs in which ezetimibe was used as an active control in trials 20110114, 20110115 (atorvastatin cohorts), and 20110116.

Across the IPAS, baseline LDL-C and other lipid parameters were generally similar and well-controlled between the evolocumab and control groups, with the following exceptions: (1) baseline LDL-C was somewhat higher for the ezetimibe groups due to differences in parent study designs and background therapy (no statin background therapy and statin-intolerance trials used ezetimibe as a control); (2) the “other evolocumab dose” were phase 2 trials that enrolled subjects with higher LDL values; and (3) the baseline LDL-C was markedly higher in the evolocumab 420 mg QM plus ezetimibe group as this randomized treatment paradigm was used as an arm of the statin-intolerance Study 20090159 (GAUSS-1) where the mean baseline LDL-C was 193 mg/dL.

Table 59: Key Baseline Characteristics (IPAS)

	Control			EvoMab				Total (N=6026) n (%)
	Placebo SC Q2W (N =586) n (%)	Placebo SC QM (N = 940) n (%)	Ezetimibe QD (N = 554) n (%)	Other EvoMab Dose (N =715) n (%)	140 mg Q2W (N=1245) n (%)	420 mg QM (N=1956) n (%)	420 mg QM + Eze. QD (N = 30) n (%)	
Sex	290	484	307	383	595	962	23	3044
Female	(49.5)	(51.5)	(55.4)	(53.6)	(47.8)	(49.2)	(76.7)	(50.5)
Age (yrs) Mean	57.8	56.5	58.0	57.1	58.1	57.5	62.0	57.5
Age ≥ 65 years	163 (27.8)	249 (26.5)	174 (31.4)	214 (29.9)	392 (31.5)	574 (29.3)	13 (43.3)	1779 (29.5)
Age ≥ 75 years	25 (4.3)	27 (2.9)	13 (2.3)	28 (3.9)	63 (5.1)	67 (3.4)	0	223 (3.7)
White	483 (82.4)	785 (83.5)	486 (87.7)	522 (73.0)	1065 (85.5)	1659 (84.8)	24 (80.0)	5024 (83.4)
Asian	68 (11.6)	87 (9.3)	29 (5.2)	121 (16.9)	93 (7.5)	136 (7.0)	5 (16.7)	539 (8.9)
Black	28	45	33	58	72	116	1	353

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	Control			EvoMab				Total (N=6026) n (%)
	Placebo SC Q2W (N =586) n (%)	Placebo SC QM (N = 940) n (%)	Ezetimibe QD (N = 554) n (%)	Other EvoMab Dose (N =715) n (%)	140 mg Q2W (N=1245) n (%)	420 mg QM (N=1956) n (%)	420 mg QM + Eze. QD (N = 30) n (%)	
	(4.8)	(4.8)	(6.0)	(8.1)	(5.8)	(5.9)	(3.3)	(5.9)
Europe	262 (44.7)	365 (38.8)	249 (44.9)	212 (29.7)	489 (39.3)	732 (37.4)	15 (50.0)	2324 (38.6)
North America	240 (41.0)	438 (46.6)	261 (47.1)	380 (53.1)	628 (50.4)	998 (51.0)	11 (36.7)	2956 (49.1)
Asia Pacific	84 (14.3)	137 (14.6)	44 (7.9)	123 (17.2)	128 (10.3)	226 (11.6)	4 (13.3)	746 (12.4)
National cholesterol education program (NCEP) CHD risk categories								
<i>High</i>	183 (31.2)	289 (30.7)	168 (30.3)	253 (35.4)	477 (38.3)	648 (33.1)	10 (33.3)	2028 (33.7)
<i>Mod-high</i>	2028 (33.7)	84 (8.9)	49 (8.8)	73 (10.2)	117 (9.4)	211 (10.8)	1 (3.3)	591 (9.8)
<i>Moderate</i>	173 (29.5)	271 (28.8)	172 (31.0)	205 (28.7)	363 (29.2)	578 (29.6)	11 (36.7)	1773 (29.4)
<i>Low</i>	174 (29.7)	296 (31.5)	165 (29.8)	184 (25.7)	288 (23.1)	519 (26.5)	8 (26.7)	1634 (27.1)
Coronary artery disease	115 (19.6)	158 (16.8)	77 (13.9)	126 (17.6)	274 (22.0)	385 (19.7)	6 (20.0)	1141 (18.9)
<i>Angina</i>	67 (11.4)	96 (10.2)	43 (7.8)	89 (12.4)	139 (11.2)	211 (10.8)	5 (16.7)	650 (10.8)
<i>Myocardial infarction</i>	53 (9.0)	79 (8.4)	30 (5.4)	52 (7.3)	145 (11.6)	187 (9.6)	0	546 (9.1)
<i>Coronary artery bypass graft</i>	29 (4.9)	47 (5.0)	16 (2.9)	46 (6.4)	80 (6.4)	136 (7.0)	2 (6.7)	356 (5.9)
<i>Percutaneous coronary intervention</i>	68 (11.6)	88 (9.4)	45 (8.1)	72 (10.1)	168 (13.5)	192 (9.8)	3 (10.0)	636 (10.6)
Cerebrovascular or peripheral arterial disease	48 (8.2)	66 (7.0)	39 (7.0)	61 (8.5)	120 (9.6)	174 (8.9)	1 (3.3)	509 (8.4)
<i>Transient ischemic attack</i>	10 (1.7)	11 (1.2)	9 (1.6)	16 (2.2)	26 (2.1)	43 (2.2)	0	115 (1.9)
<i>Stroke</i>	17 (2.9)	21 (2.2)	10 (1.8)	27 (3.8)	28 (2.2)	42 (2.1)	0	145 (2.4)
<i>Carotid or vertebral artery disease</i>	17 (2.9)	27 (2.9)	11 (2.0)	17 (2.4)	52 (4.2)	74 (3.8)	0	198 (3.3)
<i>Peripheral arterial disease</i>	17 (2.9)	19 (2.0)	14 (2.5)	22 (3.1)	47 (3.8)	49 (2.5)	1 (3.3)	169 (2.8)
Current cigarette use	82 (14.0)	153 (16.3)	84 (15.2)	126 (17.6)	187 (15.0)	275 (14.1)	2 (6.7)	909 (15.1)
Type 2 diabetes	60	121	74	107	199	240	2	803

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	Control			EvoMab				Total (N=6026) n (%)
	Placebo SC Q2W (N =586) n (%)	Placebo SC QM (N = 940) n (%)	Ezetimibe QD (N = 554) n (%)	Other EvoMab Dose (N =715) n (%)	140 mg Q2W (N=1245) n (%)	420 mg QM (N=1956) n (%)	420 mg QM + Eze. QD (N = 30) n (%)	
mellitus	(10.2)	(12.9)	(13.4)	(15.0)	(16.0)	(12.3)	(6.7)	(13.3)
Hypertension	301 (51.4)	445 (47.3)	265 (47.8)	388 (54.3)	692 (55.6)	995 (50.9)	14 (46.7)	3100 (51.4)
Statin therapy intensity per ACC/AHA definition*								
<i>High</i>	165 (28.2)	347 (36.9)	111 (20.0)	132 (18.5)	358 (28.8)	676 (34.6)	0	1789 (29.7)
<i>Moderate</i>	250 (42.7)	385 (41.0)	121 (21.8)	233 (32.6)	515 (41.4)	806 (41.2)	1 (3.3)	2311 (38.4)
<i>Low</i>	50 (8.5)	47 (5.0)	14 (2.5)	113 (15.8)	86 (6.9)	87 (4.4)	3 (10.0)	400 (6.6)
<i>Unknown</i>	0	0	0	1 (0.1)	3 (0.2)	0	0	4 (0.1)
<i>None</i>	121 (20.6)	161 (17.1)	308 (55.6)	236 (33.0)	283 (22.7)	387 (19.8)	26 (86.7)	1522 (25.3)
Baseline Lipid Parameters (mean [SD])								
<i>LDL-C (mg/dL)</i>	122.8 (37.7)	119.4 (38.5)	141.3 (49.7)	137.3 (36.7)	128.2 (45.7)	121.6 (44.0)	193.6 (59.7)	126.8 (43.7)
<i>Total cholesterol (mg/dL)</i>	203.6 (42.5)	199.2 (42.6)	223.9 (56.4)	218.2 (41.4)	208.8 (50.2)	201.3 (48.4)	279.7 (65.3)	207.3 (48.4)
<i>HDL-C (mg/dL)</i>	54.6 (17.0)	54.1 (16.5)	53.6 (16.2)	53.6 (16.4)	53.0 (15.6)	53.4 (16.0)	59.9 (19.2)	53.6 (16.2)
<i>Triglycerides(mg/dL)</i>	132.0 (66.8)	130.2 (68.9)	144.8 (74.4)	137.3 (63.6)	138.9 (73.1)	131.9 (69.8)	130.9 (55.5)	134.9 (69.9)
<i>ApoB (mg/dL)</i>	97.5 (24.6)	96.8 (24.4)	108.4 (31.3)	109.9 (24.0)	101.5 (29.6)	97.9 (27.4)	138.8 (33.1)	101.1 (27.7)
<i>ApoA1 (mg/dL)</i>	152.7 (29.1)	153.1 (28.6)	151.7 (28.3)	154.6 (28.2)	150.9 (28.1)	152.0 (27.8)	163.1 (29.0)	152.4 (28.3)
<i>non-HDL-C (mg/dL)</i>	149.0 (40.3)	145.1 (42.6)	170.3 (55.2)	164.6 (40.4)	155.9 (49.5)	147.9 (47.6)	219.8 (60.5)	153.6 (47.5)
<i>Lp(a) (nmol/L)</i>	79.5 (94.2)	87.8 (106.5)	80.8 (92.3)	80.1 (99.7)	83.2 (103.7)	87.5 (107.1)	105.3 (120.9)	84.4 (102.9)
<p>N = number of subjects randomized in the integrated parent analysis set;            CHD = coronary heart disease; EvoMab = Evolocumab (AMG 145); IPAS = Integrated Parent Analysis Set; QD = once a day;            Q2W = every 2 weeks; QM = monthly; SC = subcutaneous; CHD = coronary heart disease;            *ACC = American College of Cardiology; AHA = American Heart Association; High-Intensity Statin Therapy (such as atorvastatin 80 mg or rosuvastatin 40 mg) and Moderate-Intensity Statin Therapy (such as atorvastatin 20 mg, rosuvastatin 10 mg, simvastatin 20-40 mg).; Amgen definition: intensive if atorvastatin ≥ 40 mg QD, rosuvastatin ≥ 20 mg QD, simvastatin ≥ 80 mg QD, or any statin use with concurrent ezetimibe use and nonintensive is any statin use not classified as intensive.            Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356.            Subjects from countries with an undefined risk are classed as low risk.            Source: Modified from ISS Table 14-2.1.1, ISS Table 14-2.7.401, ISS Table 14-2.8.401, ISS Table 14-2.2.1, Table 17 (2.7.4) and Table 6-1 response to 06Nov2014 IR</p>								

### Demographics in the Integrated Extension Standard of Care (SoC)-Controlled Period Analysis Set (IECAS)

The IECAS comprises integrated data from year 1 (the controlled period) of the open-label extension (OLE) trials. In the IECAS analysis set (see table below), the mean age was 57.9 years; 31% of participants were  $\geq 65$  years of age and 3.8% were  $\geq 75$  years. No pediatric participants ( $< 18$  years of age) were enrolled. A total of 2111 (50.0%) participants were women. Eighty-six percent of participants were white of which only 5% were Hispanic/Latino, 8% were Asian, 5% were black, and 48% were from North America, 40% from Europe, and 12% from Asia Pacific. This is very similar to the demographics of the IPAS dataset.

Additional baseline characteristics include 815 (19.2%) participants had coronary artery disease, with 381 (9.0%) having a history of myocardial infarction. Only 2.7% (116) of participants had a history of stroke at baseline. There were 560 (13.2%) participants with type 2 diabetes, 2189 (51.5%) with hypertension, and 656 (15.4%) participants with current cigarette use. Only 1.6% of participants had CHF NYHA class I, 1.2% had CHF NYHA class II and one participant had class III CHF. The number of participants is smaller than what was available in the IPAS dataset but the proportions of participants in each category is similar.

As in the IPAS dataset, approximately one-third of participants were at high CHD risk according to National Cholesterol Education Program Adult Treatment Panel coronary heart disease risk categories and approximately 10% were at moderate-high risk. Thus, less than 50% (2619 participants) were at moderate-high or higher CHD risk at baseline.

As seen in the IPAS dataset, approximately 30% of participants were using high-intensity statin therapy (per ACC/AHA definition statins such as atorvastatin 80 mg or rosuvastatin 40 mg) and 38% were using moderate intensity statin therapy (such as atorvastatin 20 mg, rosuvastatin 10 mg, and simvastatin 20-40 mg). Twenty-five percent were not on any statin therapy.

Across the IECAS, baseline LDL-C and other lipid parameters were generally similar and well-controlled between the evolocumab and control groups.

Table 60: Baseline Demographics in the Integrated Extension SoC-Controlled Period Analysis Set (IECAS)

	Control in Parent Trial		EvoMab in Parent Trial		All		Total (N=4252) n (%)
	SoC (N=472) n (%)	EvoMab+ SoC (N = 943) n (%)	SoC N = 947 n (%)	EvoMab+ SoC (N =1890) n (%)	SoC (N=1419) n (%)	EvoMab+ SoC (N=2833) n (%)	
Sex	247	481	447	936	694	1417	2111

	Control in Parent Trial		EvoMab in Parent Trial		All		Total
	SoC (N=472) n (%)	EvoMab+ SoC (N = 943) n (%)	SoC N = 947 n (%)	EvoMab+ SoC (N =1890) n (%)	SoC (N=1419) n (%)	EvoMab+ SoC (N=2833) n (%)	(N=4252) n (%)
Female	(52.3)	(51.0)	(47.2)	(49.5)	(48.9)	(50.0)	(49.6)
Age (yrs) Mean	58.5	57.3	58.1	58.1	58.2	57.8	57.9
Age ≥ 65 years	147 (31.1)	271 (28.7)	302 (31.9)	581 (30.7)	449 (31.6)	852 (30.1)	1301 (30.6)
Age ≥ 75 years	24 (5.1)	23 (2.4)	34 (3.6)	82 (4.3)	58 (4.1)	105 (3.7)	163 (3.8)
White	413 (87.5)	822 (87.2)	793 (83.7)	1615 (85.4)	1206 (85.0)	2437 (86.0)	3643 (85.7)
Asian	37 (7.8)	72 (7.6)	85 (9.0)	159 (8.4)	122 (8.6)	231 (8.2)	353 (8.3)
Black	15 (3.2)	37 (3.9)	57 (6.0)	95 (5.0)	72 (5.1)	132 (4.7)	204 (4.8)
Europe	227 (48.1)	402 (42.6)	343 (36.2)	744 (39.4)	570 (40.2)	1146 (40.5)	1716 (40.4)
North America	190 (40.3)	431 (45.7)	492 (52.0)	929 (49.2)	682 (48.1)	1360 (48.0)	2042 (48.0)
Asia Pacific	55 (11.7)	110 (11.7)	112 (11.8)	217 (11.5)	167 (11.8)	327 (11.5)	494 (11.6)
National cholesterol education program (NCEP) CHD risk categories							
<i>High</i>	149 (31.6)	294 (31.2)	348 (36.7)	669 (35.4)	497 (35.0)	963 (34.0)	1460 (34.3)
<i>Mod-high</i>	47 (10.0)	90 (9.5)	100 (10.6)	195 (10.3)	147 (10.4)	285 (10.1)	432 (10.2)
<i>Moderate</i>	150 (31.8)	270 (28.6)	268 (28.3)	572 (30.3)	418 (29.5)	842 (29.7)	1260 (29.6)
<i>Low</i>	126 (26.7)	289 (30.6)	231 (24.4)	454 (24.0)	357 (25.2)	743 (26.2)	1100 (25.9)
Coronary artery disease	86 (18.2)	156 (16.5)	194 (20.5)	379 (20.1)	280 (19.7)	535 (18.9)	815 (19.2)
<i>Angina</i>	38 (8.1)	93 (9.9)	104 (11.0)	216 (11.4)	142 (10.0)	309 (10.9)	451 (10.6)
<i>Myocardial infarction</i>	40 (8.5)	69 (7.3)	90 (9.5)	182 (9.6)	130 (9.2)	251 (8.9)	381 (9.0)
<i>Coronary artery bypass graft</i>	28 (5.9)	37 (3.9)	70 (7.4)	129 (6.8)	98 (6.9)	166 (5.9)	264 (6.2)
<i>Percutaneous coronary intervention</i>	50 (10.6)	94 (10.0)	107 (11.3)	208 (11.0)	157 (11.1)	302 (10.7)	459 (10.8)
Cerebrovascular or peripheral arterial disease	44 (9.3)	65 (6.9)	86 (9.1)	188 (9.9)	130 (9.2)	253 (8.9)	383 (9.0)
<i>Transient ischemic attack</i>	7 (1.5)	12 (1.3)	15 (1.6)	48 (2.5)	22 (1.6)	60 (2.1)	82 (1.9)

	Control in Parent Trial		EvoMab in Parent Trial		All		Total
	SoC (N=472) n (%)	EvoMab+ SoC (N = 943) n (%)	SoC N = 947 n (%)	EvoMab+ SoC (N =1890) n (%)	SoC (N=1419) n (%)	EvoMab+ SoC (N=2833) n (%)	(N=4252) n (%)
<i>Stroke</i>	10 (2.1)	32 (3.4)	26 (2.7)	48 (2.5)	36 (2.5)	80 (2.8)	116 (2.7)
<i>Carotid or vertebral artery disease</i>	22 (4.7)	18 (1.9)	37 (3.9)	75 (4.0)	59 (4.2)	93 (3.3)	152 (3.6)
<i>Peripheral arterial disease</i>	16 (3.4)	17 (1.8)	31 (3.3)	60 (3.2)	47 (3.3)	77 (2.7)	124 (2.9)
Current cigarette use	70 (14.8)	147 (15.6)	143 (15.1)	296 (15.7)	213 (15.0)	443 (15.6)	656 (15.4)
Type 2 diabetes mellitus	60 (12.7)	110 (11.7)	141 (14.9)	249 (13.2)	201 (14.2)	359 (12.7)	560 (13.2)
Hypertension	229 (48.5)	464 (49.2)	504 (53.2)	992 (52.5)	733 (51.7)	1456 (51.4)	2189 (51.5)
Statin therapy intensity per ACC/AHA definition*							
<i>High</i>	141 (29.9)	296 (31.4)	289 (30.5)	558 (29.5)	430 (30.3)	854 (30.1)	1284 (30.2)
<i>Moderate</i>	168 (35.6)	358 (38.0)	351 (37.1)	67 (7.1)	519 (36.6)	1082 (38.2)	1601 (37.7)
<i>Low</i>	26 (5.5)	50 (5.3)	67 (7.1)	151 (8.0)	93 (6.6)	201 (7.1)	294 (6.9)
<i>Unknown</i>	0	0	0	3 (0.2)	0	3 (0.1)	3 (0.1)
<i>None</i>	137 (29.0)	239 (25.3)	240 (25.3)	454 (24.0)	377 (26.6)	693 (24.5)	1070 (25.2)
Baseline Lipid Parameters							
<i>LDL-C (mg/dL)</i>	127.0 (44.4)	126.6 (43.0)	130.5 (46.4)	129.4 (45.7)	129.4 (45.8)	128.5 (44.8)	128.8 (45.1)
<i>Total cholesterol (mg/dL)</i>	209.4 (49.8)	209.4 (49.8)	210.9 (51.0)	210.0 (49.8)	210.4 (50.6)	209.0 (49.0)	209.5 (49.5)
<i>HDL-C (mg/dL)</i>	54.8 (16.8)	53.8 (16.3)	53.8 (16.3)	53.8 (16.3)	53.5 (16.2)	53.8 (16.3)	53.7 (16.3)
<i>Triglycerides (mg/dL)</i>	138.7 (81.4)	135.1 (66.4)	139.3 (78.0)	134.3 (65.2)	139.1 (79.1)	134.5 (65.6)	136.1 (70.4)
<i>ApoB (mg/dL)</i>	100.6 (27.9)	100.9 (27.2)	104.1 (28.9)	103.2 (28.5)	102.9 (28.6)	102.4 (28.1)	102.6 (28.3)
<i>ApoA1 (mg/dL)</i>	153.9 (28.4)	152.5 (28.2)	151.5 (28.3)	153.5 (27.8)	152.3 (28.4)	153.1 (27.9)	152.9 (28.1)
<i>non-HDL-C (mg/dL)</i>	154.6 (48.9)	153.3 (47.1)	158.1 (50.2)	156.2 (49.0)	156.9 (49.8)	155.2 (48.4)	155.8 (48.8)
<i>Lp(a) (nmol/L)</i>	82.0 (99.5)	87.0 (103.6)	84.2 (106.6)	84.1 (100.6)	83.4 (104.1)	85.1 (101.7)	84.5 (102.5)
N = number of subjects randomized in the integrated extension SoC-controlled period analysis set; SoC = Standard of Care.; CHD = coronary heart disease; EvoMab = Evolocumab (AMG 145); *ACC = American College of Cardiology; AHA = American Heart Association; High-Intensity Statin Therapy (such as							

	Control in Parent Trial		EvoMab in Parent Trial		All		Total
	SoC (N=472) n (%)	EvoMab+ SoC (N = 943) n (%)	SoC N = 947 n (%)	EvoMab+ SoC (N =1890) n (%)	SoC (N=1419) n (%)	EvoMab+ SoC (N=2833) n (%)	(N=4252) n (%)
atorvastatin 80 mg or rosuvastatin 40 mg) and Moderate-Intensity Statin Therapy (such as atorvastatin 20 mg, rosuvastatin 10 mg, simvastatin 20-40 mg).; Amgen definition: intensive if atorvastatin ≥ 40 mg QD, rosuvastatin ≥ 20 mg QD, simvastatin ≥ 80 mg QD, or any statin use with concurrent ezetimibe use and nonintensive is any statin use not classified as intensive. Includes the following studies: 20110110, 20120138Subjects from countries with an undefined risk are classed as low risk. Source: Modified from ISS Table 14-2.2.3, ISS Table 14-2.1.3, ISS Table 14-2.8.401 and Table 6-2 response to 06Nov2014 IR							

As was discussed with the applicant at the End of Phase 2 meeting, the experience with evolocumab, especially the long-term experience in controlled trials, needs to include a heterogeneous population with respect to demographics, high- and moderately-high risk for CVD, concomitant high and moderate-high intensity statin therapy, diabetes, established cardiovascular disease, and CHF or ischemic cardiomyopathy to ensure sufficient representation from the types of patients expected to use evolocumab if approved. The demographics of the IPAS and IECAS datasets show that the trials do provide a good representation of women, North Americans and participants who are 65 years or older. The majority of participants are white and non-Hispanic; there is an under representation of Hispanic, Asian and black populations. Given that patients at higher CV risk are the most appropriate population for evolocumab, there is a good representation of participants with hypertension but underrepresentation of participants with cardiovascular disease, myocardial infarction, congestive heart failure, stroke, moderately high NCEP CHD risk category and diabetes. Approximately 30% of participants were on high intensity statins and 38% were on moderate intensity statin therapy. While this is adequate, this reviewer believes that a greater proportion of participants on high intensity statin would have been more informative for the purposes of the safety evaluation.

#### Demographics in HoFH Trials

##### Study 20110233 Part A

All 8 participants were white and non-Hispanic and most (75%) were men. Mean (SD) age of participants at baseline was 34.3 (12.4) years, with a range from 14 to 54 years. There was one participant younger than 18 years at baseline (age 14). Four (50%) participants had coronary artery disease, and 1 (13%) had cerebrovascular or peripheral arterial disease. Three participants had homozygous genetic defects and 5 participants had compound heterozygous genetic defects. All participants were using a statin (atorvastatin ≥ 40 mg QD or rosuvastatin ≥ 10 mg QD) and ezetimibe at baseline. Two (25.0%) participants were using a bile acid sequestrant and 2 (25.0%) were using nicotinic acid at baseline. All participants were using acetylsalicylic acid at baseline.

##### Study 20110233 Part B

Baseline demographics were similar between the placebo and evolocumab groups. Approximately one half of the participants (25, 51.0%) were men. Race was white (44, 89.8%), Asian (2, 4.1%), or other (3, 6.1%). Ethnicity was Hispanic for 1 (2.0%) participant. Mean (SD) age of participants at baseline was 30.9 (12.8) years, with a range from 13 years to 57 years. Ten (20.4%) participants were  $\geq 13$  to  $< 18$  years of age at baseline. Twenty-one (43%) participants had coronary artery disease, and 4 (8%) had cerebrovascular or peripheral arterial disease. Twenty-four (49%) participants had homozygous genetic defects, 24 (49%) participants had compound heterozygous genetic defects and one had heterozygous genetic defects. Baseline therapy included a statin for all participants and the doses were rosuvastatin  $\geq 10$  mg QD or atorvastatin  $\geq 40$  mg QD for all except 1 in the evolocumab group. Overall, 45 (91.8%) subjects were receiving ezetimibe and 2 (4.1%) subjects were receiving a bile acid sequestrant. Only 3 (19%) participants in the placebo group and 11 (33%) in the evolocumab group were using acetylsalicylic acid at baseline.

#### Trial 20110271

There were 96 HoFH participants in this trial, which consisted of 46.9% women and the mean (SD) age at baseline was 33.7 (14.3) years (see table below). Eighty-three (86.5%) participants were  $\geq 18$  years of age and 13 (13.5%) were less than 18 years of age. Most participants were white (81%), followed by Asian (14%), Japanese (7%), other (4%), and American Indian or Alaskan native (1%). Ethnicity was Hispanic or Latino in 2% of participants. Not unexpectedly, more apheresis participants than non-apheresis participants with HoFH had a history of coronary artery disease (51.6% vs 43.1%), cerebrovascular or peripheral arterial disease (32.3% vs 7.7%). One (33.3%) adolescent participant (with apheresis at enrollment) had a history of coronary artery disease and no adolescent participant had a history of cerebrovascular or peripheral arterial disease. Mean (SD) serum concentration of UC LDL-C at baseline in subjects with HoFH was 339 (139) mg/dL in non-apheresis participants and 283 (103) mg/dL in apheresis participants.

Table 61: Baseline Demographics in Trial 20110271 (HoFH Interim Analysis Set, data cutoff 01APR14)

	20110233 HoFH Parent Trial Rollover			20110271 HoFH Non-Parent / Other Parent Study Rollover			
	Part A EvoMab (N = 8) n (%)	Part B EvoMab (N = 30) n (%)	Part B Placebo (N = 16) n (%)	Apheresis at Enrollment (N = 31) n (%)	Non-apheresis at Enrollment (N = 11) n (%)	Total (N = 42) n (%)	Total (N = 96) n (%)
Sex Female	2 (25.0)	15 (50.0)	8 (50.0)	13 (41.9)	7 (63.6)	20 (47.6)	45 (46.9)
Age (yrs) Mean	34.3	31.4	32.1	34.5	40.0	35.9	33.7
Age $\geq 18$ years	7 (87.5)	24 (80.0)	13 (81.3)	28 (90.3)	11 (100.0)	39 (92.9)	83 (86.5)

	20110233 HoFH Parent Trial Rollover			20110271 HoFH Non-Parent / Other Parent Study Rollover			
	Part A EvoMab (N = 8) n (%)	Part B EvoMab (N = 30) n (%)	Part B Placebo (N = 16) n (%)	Apheresis at Enrollment (N = 31) n (%)	Non-apheresis at Enrollment (N = 11) n (%)	Total (N = 42) n (%)	Total (N = 96) n (%)
Age < 18 years	1 (12.5)	6 (20.0)	3 (18.8)	3 (9.7)	0	3 (7.1)	13 (13.5)
White	8 (100.0)	27 (90.0)	15 (93.8)	21 (67.7)	7 (63.6)	28 (66.7)	78 (81.3)
Asian	0	1 (3.3)	1 (6.3)	7 (22.6)	4 (36.4)	11 (26.2)	13 (13.5)
Black	0	0	0	0	0	0	0
Coronary artery disease	4 (50.0)	15 (50.0)	6 (37.5)	16 (51.6)	3 (27.3)	19 (45.2)	44 (45.8)
Angina	3 (37.5)	10 (33.3)	5 (31.3)	11 (35.5)	2 (18.2)	13 (31.0)	31 (32.3)
Myocardial infarction	0	3 (10.0)	3 (18.8)	3 (9.7)	2 (18.2)	5 (11.9)	11 (11.5)
Coronary artery bypass graft	2 (25.0)	8 (26.7)	4 (25.0)	11 (35.5)	1 (9.1)	12 (28.6)	26 (27.1)
Percutaneous coronary intervention	3 (37.5)	7 (23.3)	2 (12.5)	7 (22.6)	1 (9.1)	8 (19.0)	20 (20.8)
Cerebrovascular or peripheral arterial disease	1 (12.5)	4 (13.3)	0	10 (32.3)	0	10 (23.8)	15 (15.6)
Transient ischemic attack	0	3 (10.0)	0	1 (3.2)	0	1 (2.4)	4 (4.2)
Stroke	0	0	0	0	0	0	0
Carotid or vertebral artery disease	1 (12.5)	1 (3.3)	0	10 (32.3)	0	10 (23.8)	12 (12.5)
Peripheral arterial disease	1 (12.5)	1 (3.3)	0	2 (6.5)	0	2 (4.8)	4 (4.2)
Current cigarette use	0	5 (16.7)	1 (6.3)	1 (3.2)	0	1 (2.4)	7 (7.3)
Type 2 diabetes mellitus	0	1 (3.3)	1 (6.3)	0	1 (9.1)	1 (2.4)	3 (3.1)
Hypertension	1 (12.5)	3 (10.0)	1 (6.3)	5 (16.1)	4 (36.4)	9 (21.4)	14 (14.6)

N = number of HoFH subjects enrolled and dosed in Study 20110271; HoFH=Homozygous Familial Hypercholesterolemia; EvoMab = Evolocumab;  
 Baseline demographics for parent study rollover subjects are defined at the parent study baseline  
 Data cutoff date 01APR2014.  
 Source: Modified from Table 14-2.2.2 of Study 20110271 and Table 14-2.5.3 of Study 20110271;  
 adam.adsl; Applicant Table 19 (2.7.4)

### 7.2.2 Explorations for Dose Response

The evolocumab dosing regimens of a fixed 140 mg dose administered SC Q2W or a fixed 420 mg dose administered SC QM proposed were selected for evaluation in phase 3 trials based on phase 1 studies of evolocumab in healthy subjects and patients with primary hyperlipidemia and mixed dyslipidemia. Pharmacokinetic sampling evaluated a range of fixed SC doses from 7 to 420 mg and dosing regimens (QW, Q2W, or QM administration). Data from the phase 1 and 2 studies (20101154, 20101155, 20090158, 20090159, and 20110231) explored a total of 6 fixed SC doses (70 to 420 mg) and 2 dosing intervals (Q2W and QM) in patients with primary hyperlipidemia and mixed dyslipidemia.

In the phase 2 studies, there was no notable increased incidence of adverse events with any dose or dosing frequency of evolocumab. Doses up to 420 mg Q2W have been tested in clinical studies in subjects with HoFH with a mean C<sub>max</sub> of 105 µg/mL (Study 20110271). No clinical data are available for doses exceeding 420 mg Q2W.

In the integrated parent studies, the incidence of adverse events in the evolocumab 140 mg Q2W (43.6%) and the placebo Q2W groups (41.0%) was similar as was the incidence of adverse events for evolocumab 420 mg QM group (54.0%) and placebo QM groups (54.6%). The QM dosing, regardless if the IP is placebo or EvoMab, has a higher AE incidence than the Q2W dosing because the QM dosing regimen includes the data from the 52-week trial which only used the QM dosing frequency. The incidence of serious AEs, AEs that led to discontinuation and fatal AEs was similar between the evolocumab Q2W and QM dose as well as in the 2 dosing regimens for placebo. The table below shows the AE incidences with the 52-week trial excluded.

Table 62: Incidence of Adverse Events During the Integrated Parent Studies (IPAS) with the 52-week Trial 20110109 Excluded

	Control			EvoMab		
	Placebo SC Q2W (N = 586)	Placebo SC QM (N = 638)	Eze. QD (N = 554)	140 mg Q2W (N=1245)	420 mg QM (N=1357)	420 mg QM + Eze. QD (N = 30)
All adverse events (AE)	240 (41.0)	289 (45.3)	278 (50.2)	543 (43.6)	608 (44.8)	20 (66.7)
Serious AE	12 (2.0)	11 (1.7)	7 (1.3)	36 (2.9)	26 (1.9)	0
Lead to discontinuation of IP	10 (1.7)	11 (1.7)	24 (4.3)	29 (2.3)	28 (2.1)	1 (3.3)

Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356. The 52-week trial, 20110109, is excluded  
 N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; Eze=ezetimibe; IP=investigational product; QD = once a day; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous; IPAS = Integrated Parent Analysis Set. Coded using MedDRA version 17.0.  
 Source Data: adam.adsl; ISS Tables 14-6.4.3, 14-6.2.3, 14-6.5.3

The incidences of adverse events when data from trial 20110109 were excluded are provided in greater detail in Appendix 9.4 Adverse Events in the Integrated Parent Analysis Set Excluding Trial 20110109. There was a numerical increase in adverse events in the QM dose group in injection site reactions (pain, bruising), pain in extremity, peripheral edema, and CPK increase as compared to Q2W dosing which was seen for study drug and placebo groups. There was a numerical increase in adverse events in the QM dose group for nasopharyngitis, headache and fatigue as compared to Q2W dosing which was seen for the study drug group but not for the placebo group. The incidence of serious adverse events when data from trial 20110109 was excluded is described in Section 9.5 Serious Adverse Events in the Integrated Parent Analysis Set Excluding Trial 20110109. The incidence of adverse events leading to discontinuation of IP when trial 20110109 was excluded is in Section 9.6 Adverse Events that Led to Discontinuation in the Integrated Parent Analysis Set Excluding Trial 20110109.

Excluding trial 20110109 allows for a similar trial duration to be compared across different dosing regimens. However, when one excludes the long-term data component, you are also reducing the number of injection episodes that you are recording. For example, with the QM dosing regimen in a 12-week trial, there are only 3 dosing episodes during the trial which limits the value of the safety data for a product that will be administered chronically. In conclusion, there does not appear to be a notable safety difference between the Q2W and QM dosing regimen in the short-term trials.

### 7.2.3 Special Animal and/or In Vitro Testing

Not applicable.

### 7.2.4 Routine Clinical Testing

The central laboratory assessments as well as data collection times are summarized for Trial 20110114 below. The schedule of assessments was similar for trials 20110115, 20110116 and 20110117.

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Table 63: Schedule of Assessments - Trial 20110114 (All Subjects)

Study Day / Time Point <sup>a</sup>	Screening	D1 Visit	Week 2 Visit	Week 4	Week 6	Week 8 Visit	Week 10 Visit	Week 12 Visit (QM EOS)	Week 14 <sup>b</sup> (Q2W EOS)
<b>General Procedures</b>									
Informed consent	X								
Medical history	X								
Vital Signs (sitting BP, HR)	X	X	X			X	X	X	
Review for AEs/SAEs/CV events	X <sup>c</sup>	X	X			X	X	X	X
Concomitant therapy	X	X	X			X	X	X	
Dietary instruction	X	X	X			X	X		
Physical exam	X							X	
Body weight, waist circumference		X						X	
Body height	X								
12 lead ECG	X						X	X	
Randomization		X <sup>d</sup>							
<b>Central Laboratory</b>									
Fasting lipids	X	X	X			X	X	X	
ApoA1, ApoB, Lp(a)		X					X	X	
PK (evolocumab) <sup>e</sup> , PCSK9		X	X				X	X	
Chemistry	X <sup>f</sup>	X				X		X	
Hematology	X	X				X		X	
hsCRP		X						X	
HbA1c	X							X	
TSH	X								
Biomarkers (blood) <sup>g</sup>		X					X	X	
Anti-evolocumab antibodies		X						X	
HCV antibodies	X <sup>h</sup>								
HCV viral load		X <sup>h</sup>	X <sup>h</sup>			X <sup>h</sup>		X <sup>h</sup>	
Serum pregnancy; FSH <sup>i</sup>	X							X	
Urine pregnancy		X	X			X			
Urinalysis		X						X	
<b>Investigational Product</b>									
Placebo	X								
PO IP dispensation		X	X			X			
PO IP tablet count			X			X		X <sup>j</sup>	
Autoinjector/pen instruction	X	X	X						
Q2W SC IP on-site		X	X			X	X		
Q2W SC IP home-use setting				X	X				
QM SC IP on-site		X				X			
QM SC IP home-use setting				X					
SC IP dispense			X						
SC IP reconcile						X			

AEs = adverse events; ApoA1 = apolipoprotein A1; ApoB = apolipoprotein B; BP = blood pressure; CV = cardiovascular;

D1 = study day 1; ECG = electrocardiogram; EOS = end of study; FSH = follicle stimulating hormone;

HbA1c = hemoglobin A1c; HCV = hepatitis C virus; HR = heart rate; hsCRP = high-sensitivity C-reactive protein;

IP = investigational product; Lp(a) = lipoprotein(a); PCSK9 = proprotein convertase subtilisin/kexin type 9;

PK = pharmacokinetics; PO = oral (per os); Q2W = once every 2 weeks; QM = once monthly; SAEs = serious adverse events;

SC = subcutaneous(ly); TSH = thyroid stimulating hormone; W = study week.

<sup>a</sup> D1 = day of first administration of IP; a visit window of ± 3 days applied to all other visits.

<sup>b</sup> Subjects on Q2W SC IP schedule; subject was contacted by the site at week 14 (eg, by phone call).

<sup>c</sup> Only AEs possibly related to study procedures and SAEs were collected during the screening period.

<sup>d</sup> Randomization occurred within 5 - 10 days of the screening LDL-C sample that determined eligibility.

<sup>e</sup> PK samples were taken prior to IP administration, if applicable.

<sup>f</sup> Includes fasting glucose.

<sup>g</sup> If the subject consented to pharmacogenetics analyses, DNA was extracted from some of the blood samples, eg, biomarker samples.

<sup>h</sup> HCV antibodies only in high risk subjects or if ALT or AST > 2x ULN at any time during screening; viral load only in subjects positive for HCV.

<sup>i</sup> Pregnancy testing in females of childbearing potential, FSH only if applicable per exclusion criterion.

<sup>j</sup> All subjects took oral IP (ezetimibe or placebo) daily until the week 12 visit.

### Immunogenicity

Validated assays for detecting anti-evolocumab antibodies were used in the clinical trials. Serum samples were collected and tested for anti-evolocumab antibodies per study protocols (tested at day 1 and at week 12 in subjects receiving EvoMab). Samples were tested for anti-evolocumab binding antibodies using an electrochemiluminescent bridging immunoassay. If positive, samples were then tested for neutralizing antibodies using a receptor binding assay based on the binding of proprotein convertase subtilisin/kexin type 9 (PCSK9) to low-density lipoprotein receptor (LDLR).

### 7.2.5 Metabolic, Clearance, and Interaction Workup

See Clinical Pharmacology, Section 4.4

### 7.2.6 Evaluation for Potential Adverse Events for Similar Drugs in Drug Class

Not applicable; evolocumab is first-in-class.

## 7.3 Major Safety Results

The table below presents a summary of the adverse event findings in the four 12-week Phase 3 trials. Of note, the statin-intolerant trial had the highest percentage of AEs and AEs that led to discontinuation of investigational product—regardless of treatment group.

Table 64: Summary of Subject Incidence of Adverse Events in the Four Phase 3 Trials

	20110114 (Monotherapy)			20110115 (Statin Combination)			20110116 (Statin-Intolerant)		20110117 (HeFH)	
	Total (N = 614)			Total (N = 1896)			Total (N=307)		Total (N=329)	
	Placebo	Eze.	EvoMab	Placebo	Eze	EvoMab	Eze	EvoMab	Placebo	EvoMab
	N=154	N=154	N=306	N=558	N=221	N=1117	N=102	N=205	N=109	N=220
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
<b>Adverse Events*</b>	68 (44.2)	70 (45.5)	134 (43.8)	219 (39.2)	89 (40.3)	406 (36.3)	74 (72.5)	135 (65.9)	53 (48.6)	124 (56.4)
Grade ≥ 2	29 (18.8)	30 (19.5)	52 (17.0)	95 (17.0)	44 (19.1)	152 (13.6)	28 (27.5)	57 (27.8)	15 (13.8)	34 (15.5)
Grade ≥ 3	3 (1.9)	1 (0.6)	6 (2.0)	23 (4.1)	7 (3.2)	29 (2.6)	2 (2.0)	4 (2.0)	3 (2.8)	6 (2.7)
Grade ≥ 4	1 (0.6)	0	1 (0.3)	3 (0.5)	0	6 (0.5)	0	1 (0.5)	2 (1.8)	1 (0.5)
<b>SAEs</b>	1 (0.6)	1 (0.6)	4 (1.3)	13 (2.3)	2 (0.9)	23 (2.1)	4 (3.9)	6 (2.9)	5 (4.6)	7 (3.2)
<b>AEs that led to D/C of IP</b>	6 (3.9)	5 (3.2)	7 (2.3)	12 (2.2)	4 (1.8)	21 (1.9)	13 (12.7)	17 (8.3)	0	0
Serious	0	0	2 (0.7)	4 (0.7)	0	6 (0.5)	0	1 (0.5)	0	0
Non-serious	6 (3.9)	5 (3.2)	6 (2.0)	9 (1.6)	4 (1.8)	17 (1.5)	13 (12.7)	17 (8.3)	0	0
<b>Fatal AEs</b>	0	0	0	1 (0.2)	0	0	0	0	0	0
<b>Device related Adverse Events</b>	5 (3.2)	2 (1.3)	8 (2.6)	6 (1.1)	1 (0.5)	11 (1.0)	3 (2.9)	6 (2.9)	5 (4.6)	14 (6.4)

N = number of subjects randomized and dosed in the full analysis set; EvoMab = Evolocumab; Eze=ezetimibe; IP=investigational product  
 \*These are treatment emergent adverse events which are adverse events occurring between the first dose of Investigational Product and End of Study.  
 Source: modified from CSR 20110114: Table 12-1; CSR 20110115: Tables 14-6.1.1., 14-6.1.3., 14-6.1.2.; CSR 20110116: Table 12-1.; CSR 20110117: Table 12-1.

The table below presents a summary of the adverse event findings in the 12 (phase 2 + phase 3) trials that make up the integrated parent analysis set by detailed treatment groups.

Table 65: Summary of Subject Incidence of Adverse Events During the Parent Studies by Treatment Groups (Integrated Parent Analysis Set)

	Control			EvoMab			
	Placebo SC Q2W (N = 586) n (%)	Placebo SC QM (N = 940) n (%)	Ezetimibe QD (N = 554) n (%)	Other EvoMab Dose (N = 715) n (%)	140 mg Q2W (N = 1245) n (%)	420 mg QM (N = 1956) n (%)	420 mg QM + Ezetimibe QD (N = 30) n (%)
<b>Adverse Events*</b>	240 (41.0)	513 (54.6)	278 (50.2)	397 (55.5)	543 (43.6)	1056 (54.0)	20 (66.7)
Grade $\geq$ 2	104 (17.7)	263 (28.0)	120 (21.7)	158 (22.1)	224 (18.0)	489 (25.0)	7 (23.3)
Grade $\geq$ 3	18 (3.1)	36 (3.8)	12 (2.2)	20 (2.8)	46 (3.7)	79 (4.0)	2 (6.7)
Grade $\geq$ 4	2 (0.3)	4 (0.4)	0	4 (0.6)	10 (0.8)	10 (0.5)	0
<b>SAEs</b>	12 (2.0)	24 (2.6)	7 (1.3)	15 (2.1)	36 (2.9)	59 (3.0)	0
<b>AEs that led to D/C of IP</b>	10 (1.7)	14 (1.5)	24 (4.3)	3 (0.4)	29 (2.3)	42 (2.1)	1 (3.3)
Serious	1 (0.2)	3 (0.3)	0	0	9 (0.7)	7 (0.4)	0
Non-serious	9 (1.5)	12 (1.3)	24 (4.3)	3 (0.4)	24 (1.9)	35 (1.8)	1 (3.3)
<b>Fatal AEs</b>	1 (0.2)	0	0	0	1 (0.1)	2 (0.1)	0

\*These are treatment emergent adverse events which are adverse events occurring between the first dose of Investigational Product and End of Study. Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356.  
 N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab (AMG 145); QD = once a day; Q2W = every 2 weeks; QM =monthly; SC = subcutaneous.  
 Coded using MedDRA version 17.0.  
 Source: modified from ISS Table 14-6.1.1.

The table below presents a summary of the adverse event findings in the 12 (phase 2 + phase 3) trials that make up the integrated parent analysis set by combined treatment groups.

Table 66: Summary of Subject Incidence of Adverse Events During the Parent Studies by Combined Treatment Groups (Integrated Parent Analysis Set)

	<b>Any Placebo (N = 1526) n (%)</b>	<b>Any Control (N = 2080) n (%)</b>	<b>EvoMab 140 mg Q2W or 420 mg QM (N = 3201) n (%)</b>	<b>Any EvoMab (N = 3946) n (%)</b>
<b>Adverse Events*</b>	753 (49.3)	1031 (49.6)	1599 (50.0)	2016 (51.1)
Grade $\geq$ 2	367 (24.0)	487 (23.4)	713 (22.3)	878 (22.3)
Grade $\geq$ 3	54 (3.5)	66 (3.2)	125 (3.9)	147 (3.7)
Grade $\geq$ 4	6 (0.4)	6 (0.3)	20 (0.6)	24 (0.6)
<b>SAEs</b>	36 (2.4)	43 (2.1)	95 (3.0)	110 (2.8)
<b>AEs that led to D/C of IP</b>	24 (1.6)	48 (2.3)	71 (2.2)	75 (1.9)
Serious	4 (0.3)	4 (0.2)	16 (0.5)	16 (0.4)
Non-serious	21 (1.4)	45 (2.2)	59 (1.8)	63 (1.6)
<b>Fatal AEs</b>	1 (0.1)	1 (<0.1)	3 (0.1)	3 (0.1)

\*These are treatment emergent adverse events which are adverse events occurring between the first dose of Investigational Product and End of Study.  
 Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356.  
 N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab (AMG 145).  
 IP=investigational product  
 Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects.  
 Any EvoMab includes any subject with EvoMab as a component of investigational product.  
 Coded using MedDRA version 17.0.  
 Source: modified from ISS Table 14-6.1.2.

### 7.3.1 Deaths

There were 15 deaths reported during the clinical program. Six deaths occurred during the parent trials [4 deaths during the trial and 2 deaths after the end of the parent trial (one death 43 days after last placebo SC injection and one death 50 days after last EvoMab injection)], 7 deaths occurred during the year 1 SoC-controlled period, and 2 deaths occurred during the year 2+ OLE period.

In the integrated parent trials, 3/3231 (0.09%) deaths were reported in the evolocumab group (1 death in the 140 mg Q2W group, 2 deaths in the evolocumab 420 mg QM group), and 1/1526 (0.07%) death was reported in the placebo Q2W group.

In the year 1 SoC-controlled period, 2 (0.11%) deaths were reported in participants who received evolocumab in the parent trial and evolocumab plus SoC in year 1; 3 (0.32%) deaths were reported in those who received evolocumab in the parent trial and SoC alone in year 1; 1 (0.11%) death was reported in a participant who received placebo in the parent trial and evolocumab plus SoC in year 1; and 1 (0.21%) death was reported in a participant who received placebo in the parent trial and in year 1. Thus, 1 death occurred in the group that never received EvoMab (1/472, 0.21%) and 6 deaths occurred in those that had received EvoMab in either the parent trial or the extension trial (6/3780, 0.16%).

In the year 2+ OLE period, 2 (0.31%) deaths were reported in subjects who received evolocumab plus SoC in year 1 and year 2+. Two deaths occurred after the end of study. No deaths were reported in Phase 1 or the HoFH trials.

Table 67: Summary of Deaths

Subject ID	Age (yrs) at Start/ Race/ Sex	Trial & Treatment	Parent Study Day	Days Since First Dose	Days Since Last Dose	Cause of Death (Preferred Term)	Relevant Other Medications
							Relevant Other Conditions/History
<b>Deaths in Subjects Who Ever Received Evolocumab</b>							
10923201053	60 /W/ male	Parent (20110109) EvoMab 420 mg QM	131	131	47	Cardiac failure	atorvastatin, dexamethasone, verapamil, zolpidem, oxycodone, betamethasone, levocetirizine, aminophylline, betahistine, combination of fenoterol and ipratropium, paracetamol and tramadol, dyslipidemia, hypertension, current cigarette use, asthma, chronic bronchitis, peripheral edema, vertigo, varicose ulceration in lower extremities. The subject received the first dose of atorvastatin in April 2012 and of evolocumab in (b) (6). In June 2012, the subject developed sideropenic anemia. On the same day, ultrasound investigation revealed diffuse hepatopathy. Approximately one month later, in July 2012, the subject developed edema of left lower extremity. The subject's last dose of evolocumab prior to the event was in August 2012. Approximately 4 months and 1 week after receiving first dose of evolocumab, in (b) (6) the subject died due to cardiac failure. An autopsy was performed. The cause of death according to the autopsy was heart failure caused by chronic heart insufficiency. Underlying diseases included grade 3 central atherosclerosis, advanced coronary atherosclerosis with multiple stenosis (> 75%) and exacerbation of chronic atrophic bronchitis (acute purulent bronchitis). Complications reported included disperse myofibrosis corresponding to clinical diagnosis of chronic ischemic heart disease, vascular nephrosclerosis, chronic heart insufficiency (cyanotic induration of organs) and evidence of acute circulatory failure (pulmonary edema, brain edema and acute venostasis in organs). Reviewer note: class II-IV CHF was an exclusion criterion, and this patient does not appear to have been on any medications for CHF at baseline. Question if whether this patient's asthma/COPD may have been partly cardiogenic in nature. Not aware of any mechanism where EvoMab could precipitate acute/chronic cardiac decompensation.
10923201092	67 /W/ male	Parent (20110109) EvoMab 420 mg QM	13	13	13	Myocardial infarction	atorvastatin, tamsulosin hydrochloride hypercholesterolemia, obesity (weight of 101.5 kg and height of 176 cm), family history of premature coronary heart disease. The subject received the first dose of atorvastatin in (b) (6) and approximately one month later received the first dose of evolocumab on (b) (6). Twelve days later after the evolocumab dose in (b) (6), the subject died. Resuscitation was performed. An autopsy was performed. Autopsy: cause of death as myocardial

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Subject ID	Age (yrs) at Start/ Race/ Sex	Trial & Treatment	Parent Study Day	Days Since First Dose	Days Since Last Dose	Cause of Death (Preferred Term)	Relevant Other Medications
							Relevant Other Conditions/History
							infarction complicated with cardiac tamponade. Direct cause of death as heart tamponade, with complications of generalized atherosclerosis of Grade 1-3, predominantly on coronary arteries, and acute myocardial infarction of left ventricular posterior wall, and thrombosis of the right coronary artery.
15566056006	66 /B/ male	Parent (20101155) EvoMab 140 mg Q2W	71	71	2	Cardiac failure congestive	<p>simvastatin, acetylsalicylic acid, isosorbide, metoprolol, glyceryl trinitrate, clopidogrel, losartan, procaterol, amiodarone, benazepril, carvedilol, furosemide, fluticasone / salmeterol, bupropion, ipratropium / albuterol/ albutamol</p> <hr/> <p>dyslipidemia, asthma, COPD, depression, hypertension, angina, MI, percutaneous coronary intervention, peripheral arterial disease, TIA, CHF NY Heart Association (NYHA) Class II, current cigarette user, family history of premature coronary heart disease. Approximately two months after initiation of first dose, the subject had no complaints. The subject did not have any sign or symptoms of pneumonia. The subject left his sister's house and did not return home. His brother informed the site that the subject crashed into bushes, hit a tree and was found dead the next morning. The subject was taken to the hospital and an autopsy was performed. Autopsy: cause of death as CHF due to ischemic heart disease and broncho-pneumonia; COPD was contributing factor. Pathological findings: severe coronary artery atherosclerosis, nutmeg congestion of the liver, minimal pitting edema of the ankles, prostate hypertrophy and pulmonary edema with patchy consolidation.</p> <p>Reviewer note: At baseline, the subject had eGFR 30.2 mL/min/1.73m<sup>2</sup>. Other baseline lab values were normal except for Cr 2.3 mg/dL and BUN 30 mg/dL. Creatinine ranged 2.3 to 2.6 mg/dL during the study. The subject had no history of diabetes. Potassium levels were normal during the study (4.1 at baseline and 3.9-4.0 through week 8). Urinalysis results were unremarkable. Other than lipid parameters, most safety labs were last drawn at week 8 (November 2011). With the exception of stable moderately severe renal dysfunction, other labs of interest include LDLC values of 64, 18, and 23 mg/dL at weeks 8, 9, and 10, respectively. While the cause of death is adjudicated as cardiac failure congestive, this case is suggestive of sudden cardiac death given the lack of clinical symptoms on the morning of his death and the extent of his CV disease.</p>
11521012010	69/W	Parent (20110115)	129	129	≤49	Sudden	rosuvastatin, pantoprazole, amlodipine, citalopram, hydrochlorothiazide, <u>valsartan, captopril, and dihydrocodeine</u> hyperlipidemia, metabolic syndrome, elevated C reactive protein (5.26mg/L),

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Subject ID	Age (yrs) at Start/ Race/ Sex	Trial & Treatment	Parent Study Day	Days Since First Dose	Days Since Last Dose	Cause of Death (Preferred Term)	Relevant Other Medications
							Relevant Other Conditions/History
	female	EvoMab 420 mg QM Extension (20120138) EvoMab + SoC				death	<p>hypertension, depression.</p> <p>The subject received evolocumab in the parent study (Study 20110115) from (b) (6) to (b) (6) and received the first dose of evolocumab in Study 20120138 in (b) (6). Approximately 6 weeks later, in (b) (6) the subject died suddenly. Diagnostic tests were not performed at the time of the event. Information regarding the clinical sequence of events leading to death was unknown. Investigational product had not been discontinued at the time of the subject's death. The visiting physician considered acute myocardial infarction as the possible cause of death. However, the final cause of death was not determined. An autopsy was not performed.</p>
15566012006	45 /W/ male	Parent (20101155) EvoMab 70 mg Q2W Extension (20110110) EvoMab+ SoC	259	259	18	Myocardial infarction	<p>rosuvastatin, acetylsalicylic acid, glyceryl trinitrate, diltiazem, prasugrel hydrochloride</p> <p>hyperlipidemia, increased lipoprotein A, CAD, hypertension, angioplasty, stent placement, coronary arteriospasm, coronary artery restenosis, unstable angina, coronary artery bypass, vascular graft, family history of coronary artery disease, former tobacco user</p> <p>Treatments:          Parent Study: Evolocumab SC Q2W 70 mg          Year 1 treatment: Evolocumab SC Q4W 420 mg + SoC          Report: Myocardial infarction (2 events), Unstable angina</p> <p>The subject participated in the parent study, Study 20101155, from November 2011 to (b) (6) and received the last dose on February 2012. The subject received the first dose of evolocumab in Study 20110110 on (b) (6). On the day of completion of parent study, (b) (6) the subject presented to the emergency room (ER) with intermittent left sided chest pain radiating to the left jaw and associated with mild shortness of breath which was not relieved by nitro. He was diagnosed with unstable angina and non-Q-wave myocardial infarction. Balloon angioplasty and deployment of stent in Feb 2012. Approximately 3 months after the first dose of evolocumab in Study 20110110, on (b) (6) the subject presented to the emergency room with unstable angina, recurrent chest pain, and chest discomfort and was hospitalized. A catheterization was performed and demonstrated total occlusion of right coronary vein graft stent and indicated that no interventional procedure could be performed. The detailed report of coronary anatomy showed unchanged left main which was diffusely diseased; the circumflex was diffusely diseased with 89% proximal lesions and calcified; no retrograde filling at obtuse marginals; the large atrial branch with collateral to</p>

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Subject ID	Age (yrs) at Start/ Race/ Sex	Trial & Treatment	Parent Study Day	Days Since First Dose	Days Since Last Dose	Cause of Death (Preferred Term)	Relevant Other Medications
							Relevant Other Conditions/History
							right coronary, unchanged; the rest of the obtuse marginals was totally occluded. The LAD was 100% occluded in its proximal portion, and the right was 100% occluded in its mid portion. As the subject was not a candidate for any type of revascularization, he was started on maximal medical therapy that included glyceryl trinitrate. Discharge medications included diltiazem, acetylsalicylic acid, prasugrel, rosuvastatin, and nitroglycerin. The investigator reported "the subject stated that he had trouble buying medication in terms of the cost, therefore, the expensive medication was held until the follow up visit". On July 2012, the subject was started on enhanced external counterpulsation therapy (EECP). The subject had resumed smoking after the stent placement in February 2012. Approximately 5 months after the first dose of evolocumab in Study 20110110, on (b) (6) the subject was found dead. The cause of death was reported as myocardial infarction. No relevant laboratory or diagnostic results were reported. The subject's last dose of evolocumab prior to death was on July 2012.
11416001003	48 /W/ female	Parent (20110114) placebo SC Q2W + ezetimibe 10 mg PO QD Extension (20120138) EvoMab+ SoC	299	299	196	Myocardial infarction	hydrochlorothiazide, losartan, salbutamol, venlafaxine hydrochloride, <u>aripiprazole (ezetimibe in parent study)</u> dyslipidemia, hypertension, depression, smoking The subject received placebo and ezetimibe in the parent study from March 2013 to May 2013. She received the first (and only) dose of evolocumab in Study 20120138 in (b) (6). Approximately 6 months later, in (b) (6), the subject experienced a myocardial infarction with the outcome reported as fatal. The subject's last dose of evolocumab prior to the event (and only dose) was in (b) (6). The investigator reported that there was not a reasonable possibility that the fatal event of myocardial infarction may have been caused by investigational drug or device. According to the investigator, the etiological or predisposing factors associated with the event of myocardial infarction were cigarette smoking and hypertension.
11566045004	73 /W/ male	Parent (20110115) EvoMab 420 mg QM + Placebo PO QD Extension	141	141	85	Clostridium difficile infection	atorvastatin, diclofenac, metoprolol, isosorbide, nifedipine, acetylsalicylic acid hypertension, atherosclerosis, angina pectoris, myocardial infarction, coronary artery disease, osteoarthritis, obesity The subject received evolocumab and placebo in the parent study (Study 20110115) from April 2013 to July 2013. The subject was randomized to the standard of care arm in Study 20120138 in July 2013. The subject developed Clostridium difficile infection in (b) (6) and was hospitalized on for further evaluation and treatment. According to a family member the subject had a viral eye infection, was placed on antibiotics, and developed Clostridium difficile

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Subject ID	Age (yrs) at Start/ Race/ Sex	Trial & Treatment	Parent Study Day	Days Since First Dose	Days Since Last Dose	Cause of Death (Preferred Term)	Relevant Other Medications
							Relevant Other Conditions/History
		(20120138) SoC alone					colitis. He had been hospitalized previously in (b) (6) and readmitted at the beginning of (b) (6). The subject developed ascites and the abdominal fluid was removed once. He was evaluated for cancerous cells and lymph nodes were to be evaluated. The subject died in (b) (6) and the cause of death was reported as Clostridium difficile colitis.
15566037007	40 /B/ female	Parent (20101155) EvoMab 140 mg Q2W Extension (20110110) SoC alone	397	397	327	Pulmonary embolism	simvastatin, escitalopram, lisinopril hypertension, hyperlipidemia, obesity, pulmonary hypertension, anemia, dyspnea, depression, suicide attempt. Parent Study: Evolocumab SC Q2W 140 mg: (b) (6) (last dose EvoMab end of Jan 2012) Year 1 treatment: SoC: (b) (6) - Approximately 10 months after the randomization into Study 20110110, on (b) (6) the subject sent a text message to her friend indicating that she might harm herself; she was advised to contact suicide hotline. Later, on the same day, the subject felt dizzy and she called for the ambulance. The subject had difficulty in breathing and an elevated heart rate. The subject stated that she had a history of hypertension and psychiatric illness, and was not taking her medications. Subsequently, while being transferred from the ambulance stretcher to the emergency room bed, the subject became unresponsive. Resuscitation efforts were made; however, they were unsuccessful and the subject died. Autopsy: pulmonary thromboemboli obstructing pulmonary trunk and arteries within both lungs. No injury was visible on or within the body. Toxicological analysis was negative for ethanol or drugs of abuse.
10957201014	66 /mixed race/ female	Parent (20110109) EvoMab 420 mg QM Extension (20120138) SoC alone	500	500	165	Lung neoplasm malignant	amlodipine, atenolol, isosorbide mononitrate, isosorbide dinitrate, paracetamol, acetylsalicylic acid, carbamazepine, atorvastatin, ezetimibe, tramadol, losartan, ischemic heart disease, hypertension, COPD, heavy smoking The subject received evolocumab in the parent study from August 2012 to July 2013. The subject was randomized to the standard of care treatment arm in Study 20120138 in November 2013. Approximately 3 weeks later in December 2013, the subject was found to have pleural effusion and diagnosed with metastatic lung cancer (lymph, lung, cerebral involvement). The subject underwent 2 fine needle aspiration biopsies of the lung that confirmed small cell carcinoma of lung (OAT cell type) and squamous cell carcinoma with necrosis. The subject was discharged to home 9 days after entering the hospital (end of (b) (6)). In (b) (6) the subject died due to metastatic lung cancer. The subject's last dose of evolocumab was in the parent study (July 2013).

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Subject ID	Age (yrs) at Start/ Race/ Sex	Trial & Treatment	Parent Study Day	Days Since First Dose	Days Since Last Dose	Cause of Death (Preferred Term)	Relevant Other Medications
							Relevant Other Conditions/History
15516046016	65 /Asian/ male	Parent (20101155) EvoMab 280 mg QM Extension (20110110) EvoMab+ SoC	722	217	135	Cholangio-carcinoma	simvastatin, dutasteride, omeprazole, tamsulosin <hr/> chronic hepatitis B carrier, antrectomy/vagotomy for peptic ulcer disease, enlarged prostate, elevated prostate-specific antigen The subject was in the parent study from September 2011 to December 2011 and received the last dose of evolocumab in the parent study on November 2011. The subject received the first dose of evolocumab in Study 20110110 on January 2012. Approximately 1 year and 2 months later, on February 2013, the subject developed right upper quadrant pain. On April 2013, the computerized tomogram (CT) scan showed a large lesion spanning segment 8 and measuring 8.1 cm transverse, 8.5 cm anteroposterior, and 9 cm in the axial plane. Nine days later, the subject was diagnosed with cholangiocarcinoma. In July 2013, the subject was diagnosed to have intrathoracic pulmonary metastatic disease and right paracardiac lymph node metastases. The investigator reported that the subject died in (b) (6) due to progressive metastatic carcinoma. The last dose of evolocumab prior to the event was in April 2013, and the subject discontinued from the study at that point.
15522001033	68 /W/ female	Parent (20101155) Placebo SC QM Extension (20110110) EvoMab 420 QM+ SoC	755	279	34	Peripheral ischemia	Simvastatin, amlodipine, mometasone, cyanocobalamin, furosemide, potassium chloride, thiamazole, etodolac, nebivolol, ibuprofen, and estradiol <hr/> hyperlipidemia, vitamin B complex deficiency, hypertension, pharyngeal edema, edema, current smoker, family history of premature coronary heart disease The subject received her first dose of evolocumab in Study 20110110 on February 2012. Approximately 2 months after the first dose of evolocumab, in April 2012, the subject experienced pain in right lower leg. On October 2013, a computed tomography (CT) lower extremity angiography revealed occlusion of infra-renal abdominal aorta, common iliac artery and internal and external iliac artery bilaterally. Multifocal stenosis of the superficial femoral artery and popliteal artery bilaterally was detected. Multifocal stenosis corresponding to deep femoral artery bilaterally, particularly on left side was noted. On (b) (6), the subject developed limb ischemia, and was hospitalized on the same day. Treatment included furosemide, metoprolol, dalteparin, amiodarone, acetazolamide, hydrocortisone sodium succinate, ondansetron, potassium chloride, heparin, combination of fenoterol and ipratropium, loperamide, cefuroxime, and levofloxacin. The subject underwent elective surgery (aortobifemoral bypass). Reportedly, the subject experienced several complications after surgery. Atrial fibrillation was diagnosed and was medically treated. Renal insufficiency was treated with dialysis and medications.

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Subject ID	Age (yrs) at Start/ Race/ Sex	Trial & Treatment	Parent Study Day	Days Since First Dose	Days Since Last Dose	Cause of Death (Preferred Term)	Relevant Other Medications
							Relevant Other Conditions/History
							Respiratory failure was treated with mechanical respiratory support. Pleural exudate was treated with drainage. Infection was treated with unspecified antibiotics. Pacemaker was inserted due to bradycardia. On (b) (6), the subject's husband reported that the subject had died. It was reported that the subject died due to complications after surgery. The subject's last dose of the evolocumab prior to death was on 31 October 2013.
<b>Deaths in Subjects Who Received Placebo and/or SoC</b>							
11529002014	48 /W/ male	Parent (20110115) Placebo SC Q2W	32	32	17	Acute Myocardial infarction	rosuvastatin, acetylsalicylic acid, metoprolol, ramipril hyperlipidemia, Angina, atherosclerotic coronary disease, hypertension, left ventricular systolic function, myocardial infarction, percutaneous coronary intervention, smoking, family history of premature coronary heart disease. Autopsy: acute myocardial infarction of the anterior wall
15416017006	53 /W/ male	Parent (20101154) Placebo SC Q2W Extension (20110110) SoC alone	335	221	Pbo=265	Death	paracetamol with codeine, perindopril with indapamide, fluticasone, salbutamol, bupivacaine, docusate, quetiapine, amlodipine hypertension, depression, panic disorder, hepatitis A, back surgery, drug hypersensitivity (to sulfa and morphine drugs). Approximately 7 months after the subject was randomized, on (b) (6) the subject was found dead at home. The investigator reported that an autopsy was performed and the cause of death was reported as unascertained. No further details were provided.
<b>Deaths That Occurred After the End of Study</b>							
11521005031	65/W/ female	Parent (20110115) Placebo SC QM + Ezetimibe 10 mg PO QD	99	-	Eze=11, PBO=43	Cerebro-vascular Accident; pulmonary embolism	Ezetimibe, fenoterol/ ipratropium, acetylsalicylic acid, gliclazide, escin, aminophylline, beclometasone, levothyroxine, perindopril arginine, metoprolol (atorvastatin during lipid stabilization phase) hyperlipidemia, coronary artery disease status post myocardial infarction, type 2 diabetes mellitus, cigarette use, chronic bronchitis, severe COPD, severe obstructive ventilation disorder, nodular struma with normal thyroid function, asymptomatic atrio ventricular node reentry tachycardia. Autopsy: pulmonary emphysema, chronic pulmonary heart, and hemorrhage to brain and subarachnoid as causes of death; no emboli were found as thrombolytic therapy had been administered.
10957204007	51 /mixed	Parent (20110109)	389	-	50	Sudden cardiac	atorvastatin, ezetimibe, amlodipine, furosemide, acetylsalicylic acid, isosorbide mononitrate, fluoxetine, salbutamol, budesonide, theophylline, paracetamol,

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Subject ID	Age (yrs) at Start/ Race/ Sex	Trial & Treatment	Parent Study Day	Days Since First Dose	Days Since Last Dose	Cause of Death (Preferred Term)	Relevant Other Medications
							Relevant Other Conditions/History
	race/ female	EvoMab 420 mg QM				death	metformin, amitriptyline <hr/> hyperlipidemia, peripheral vascular disorder, asthma, type 2 diabetes mellitus, depression, myocardial ischemia, angina pectoris, coronary angioplasty At the end of the study, the subject reported that she was still having angina pectoris, relatively frequently with effort, and using sublingual nitrates regularly. Approximately 3 weeks after the last dose of the evolocumab, the subject suddenly collapsed and was found unarousable. The subject was taken to the hospital and was pronounced dead on arrival. No resuscitation was attempted; nor interventions were carried out neither treatment medications were administered. No relevant laboratory or diagnostic test results were reported. The investigator reported that the subject had been having increasing frequency of angina pectoris with effort, no rest pain, and that the family of the subject informed them of the subject's death. The family reported she had been having angina pectoris in her usual patterns in the days prior to death. The investigator reported the features of the event were strongly suggestive of sudden cardiac death due to suspected myocardial infarction.

EvoMab = Evolocumab; QD = once a day; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous  
 Parent Study Day is from the start of the parent study. Period Study Day is from the start of the period with the event. Subjects with events not occurring during a study period had the event occur after the end of the study in the parent study.  
 Coded using MedDRA version 17.0.  
 Source: Modified from ISS Listing 16-2.7.1 and narratives in the individual CSRs and ISS Appendix 2; Table 38 (2.7.4)

All deaths were reviewed and adjudicated by the CEC as cardiovascular or non-cardiovascular deaths. A total of 11 deaths were deemed to be cardiovascular: 1 (0.2%) death in the placebo Q2W group, 1 (0.2%) death in the ezetimibe group, 1 death in the evolocumab 140 mg Q2W group, and 3 (0.2%) deaths in the evolocumab 420 mg QM group of the integrated parent studies; 3 (0.1%) death in the evolocumab plus SoC group and 1 (0.1%) deaths in the SoC alone group of the year 1+ SoC-controlled period; and 1 (0.1%) death in the year 2+ OLE period.

Table 68: Incidence of Positively Adjudicated Cardiovascular Deaths and Non-cardiovascular Deaths

	Integrated Parent Studies <sup>a</sup> (placebo and active-controlled)		Year 1 SoC-controlled Period <sup>b</sup> (year 1 of OSLER1 and OSLER2)		Year 2+ OLE Period <sup>c</sup> (year 2+ of OSLER1 and OSLER2)
	Any Control (N = 2080) n (%)	Any EvoMab (N = 3946) n (%)	SoC (N = 1419) n (%)	EvoMab + SoC (N = 2833) n (%)	EvoMab + SoC (N = 954) n (%)
Death	2 (0.1)	4 (0.1)	4 (0.3)	3 (0.1)	2 (0.2)
<i>Cardiovascular</i>	2 (0.1)	4 (0.1)	1 (0.1)	3 (0.1)	1 (0.1)
<i>Non-cardiovascular</i>	0	0	2 (0.1)	0	1 (0.1)
<i>Undetermined</i>	0	0	1 (0.1)	0	0

N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; OLE = open-label extension; SoC = standard of care  
 a Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356. Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects. Any EvoMab includes any subject with EvoMab as a component of investigational product..  
 b Includes the following studies: 20110110, 20120138  
 c Includes the following studies: 20110110, 20120138  
 Some cases from the phase 2 and phase 3 lipid lowering clinical studies had lipid values present in the adjudication package  
 Source: Modified from ISS Table 14-8.1.2, ISS Table 14-8.1.3, ISS Table 14-8.1.4, ISS Table 14-8.1.402, ISS Table 14-8.1.403, and ISS Table 14-8.1.404, and Table 43 (2.7.4)

Of the 15 deaths to date, the majority are cardiovascular in nature (11, 73%). The small number of deaths makes it difficult to make any conclusion regarding EvoMab and mortality; there does not appear to be any meaningful imbalances in the incidence of death among treatment groups based on this limited sample.

HoFH

No deaths were reported in trials involving participants with HoFH (trials 20110233 or 20110271).

*Deaths in the 120-day Safety Update (01 July 2014 data cutoff)*

In addition to the 15 deaths as of 1 April 2014, there were 4 additional deaths before the 1 July 2014 data cutoff for the safety update: 2 in the Year 1 dataset and 2 in the Year 2+ dataset— which are summarized in the table below. All together there were 4 (0.1%) deaths reported in the evolocumab plus SoC group and 5 (0.3%) deaths reported in the SoC alone group, of which 2 deaths were newly reported in the 120-day Safety Update: gastric cancer in the evolocumab plus SoC group in a participant who received placebo in the parent study and evolocumab plus SoC in year 1, and pneumonia in the SoC alone group in a participant who received placebo in the parent study and SoC alone in year 1. Of note, the adjudication of 3 of the 4 deaths (gastric cancer, malignant lung neoplasm, and pneumonia) that were newly reported in the 120-day Safety Update were not completed at the time of the data cutoff date of 01 July 2014.

Table 69: Incidence of Positively Adjudicated Cardiovascular Deaths and Non-cardiovascular Deaths (as of 1 July 2014)

	Integrated Parent Studies <sup>a</sup> (placebo and active-controlled)		Year 1 SoC-controlled Period <sup>b</sup> (year 1 of OSLER1 and OSLER2)		Year 2+ OLE Period <sup>c</sup> (year 2+ of OSLER1 and OSLER2)
	Any Control (N = 2080) n (%)	Any EvoMab (N = 3946) n (%)	SoC (N = 1489) n (%)	EvoMab + SoC (N = 2976) n (%)	EvoMab + SoC (N = 1675) n (%)
Death	2 (0.1)	4 (0.1)	5 (0.3)	4 (0.1)	4 (0.2)
Cardiovascular	2 (0.1)	4 (0.1)	1 (0.1)	3 (0.1)	2 (0.1)
Non-cardiovascular	0	0	2 (0.2)	0	1 (0.1)
Undetermined	0	0	1 (0.1) <sup>g</sup>	0	0
Not yet adjudicated			1 (0.1) <sup>f</sup>	1 (0) <sup>e</sup>	1 (0.1) <sup>d</sup>

N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; OLE = open-label extension; SoC = standard of care  
 a Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356. Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects. Any EvoMab includes any subject with EvoMab as a component of investigational product..  
 b Includes the following studies: 20110110, 20120138  
 c Includes the following studies: 20110110, 20120138  
 Some cases from the phase 2 and phase 3 lipid lowering clinical studies had lipid values present in the adjudication package  
 d Malignant lung neoplasm  
 e Gastric cancer in the evolocumab plus SoC group in a participant who received placebo in the parent study and evolocumab plus SoC in year 1  
 f Pneumonia in the SoC alone group in a participant who received placebo in the parent study and SoC alone in year 1  
 g Found dead at home. Autopsy: cause of death: unascertained

### 7.3.2 Nonfatal Serious Adverse Events

In the integrated parent studies, serious adverse events (SAEs) were reported by 95 (3.0%) participants in the EvoMab Q2W/QM group, 36 (2.4%) participants in the Any Placebo group, and 43 (2.1%) participants in the Any Control groups. The incidences in the evolocumab 140 mg Q2W group (36, 2.9%) and 420 mg QM group (59, 3.0%) were numerically greater than the corresponding placebo group [2.0% (12) for placebo Q2W and 2.6% (24) for placebo QM]. The most common SAEs (any evolocumab and any control groups, respectively) were myocardial infarction (0.1% and 0%), angina pectoris (0.1% in both groups), and pneumonia (0.1% and 0%). Of note, although the numbers are small, there is a numeric increase in the evolocumab group in the incidence of cardiac disorders (particularly angina and myocardial infarction), pancreatitis, appendicitis, pneumonia and back pain—as denoted by gray highlight in table below.

Table 70: Serious Adverse Events During the Integrated Parent Trials by System Organ Class and Preferred Term: Selected to Include Most Frequent PTs in EvoMab Groups

SYSTEM ORGAN CLASS Preferred Term	CONTROL			EVOLOCUMAB			
	Placebo Q2W (N = 586) n (%)	Placebo QM (N = 940) n (%)	Ezetimibe (N = 554) n (%)	Other EvoMab Dose (N = 715) n (%)	140 mg Q2W (N = 1245) n (%)	420 mg QM (N = 1956) n (%)	420 mg QM + Ezetimibe (N = 30) n (%)
No. Subjects Reporting Adverse Events	12 (2.0)	24 (2.6)	7 (1.3)	7 (1.3)	36 (2.9)	59 (3.0)	0
<b>BLOOD AND LYMPHATIC SYSTEM</b>	0	0	0	0	1 (0.1)	0	0
Anaemia	0	0	0	0	1 (0.1)	0	0
<b>CARDIAC DISORDERS</b>	2 (0.3)	3 (0.3)	0	3 (0.4)	10 (0.8)	11 (0.6)	0
Angina Pectoris	0	2 (0.2)	0	0	1 (0.1)	3 (0.2)	0
Angina Unstable	0	0	0	1 (0.1)	0	2 (0.1)	0
Myocardial Infarction	0	0	0	1 (0.1)	2 (0.2)	2 (0.1)	0
Palpitations	0	0	0	0	0	2 (0.1)	0
Ventricular Extrasystoles	0	0	0	0	0	2 (0.1)	0
Acute Myocardial Infarction	1 (0.2)	0	0	0	2 (0.2)	1 (0.1)	0
Atrial Fibrillation	0	1 (0.1)	0	1 (0.1)	0	1 (0.1)	0
Cardiac Failure	0	0	0	0	1 (0.1)	1 (0.1)	0
Coronary Artery Disease	1 (0.2)	0	0	0	1 (0.1)	1 (0.1)	0
Acute Coronary Syndrome	0	0	0	0	1 (0.1)	0	0
Cardiac Failure Congestive	0	0	0	0	1 (0.1)	0	0
Sinus Bradycardia	0	1 (0.1)	0	0	0	0	0
Tachycardia	0	0	0	0	1 (0.1)	0	0
Ventricular Fibrillation	0	0	0	0	1 (0.1)	0	0
<b>EAR AND LABYRINTH</b>	0	0	0	0	0	3 (0.2)	0
Vertigo Positional	0	0	0	0	0	2 (0.1)	0

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Exostosis Of External Ear Canal	0	0	0	0	0	1 (0.1)	0
<b>GASTROINTESTINAL DISORDERS</b>	<b>3 (0.5)</b>	<b>1 (0.1)</b>	<b>2 (0.4)</b>	<b>1 (0.1)</b>	<b>2 (0.2)</b>	<b>4 (0.2)</b>	<b>0</b>
Pancreatitis Acute	0	0	0	1 (0.1)	0	2 (0.1)	0
Gastritis	0	0	0	0	0	1 (0.1)	0
Haemorrhoids	0	0	0	0	0	1 (0.1)	0
Abdominal Pain Upper	0	0	0	0	1 (0.1)	0	0
Gastrointestinal Haemorrhage	1 (0.2)	1 (0.1)	0	0	0	0	0
Gastrointestinal Motility Disorder	0	0	1 (0.2)	0	0	0	0
Gastrooesophageal Reflux Disease	0	0	0	0	1 (0.1)	0	0
Hiatus Hernia	1 (0.2)	0	0	0	0	0	0
Inguinal Hernia	1 (0.2)	0	1 (0.2)	0	0	0	0
<b>HEPATOBIILIARY DISORDERS</b>	<b>1 (0.2)</b>	<b>1 (0.1)</b>	<b>0</b>	<b>0</b>	<b>1 (0.1)</b>	<b>3(0.2)</b>	<b>0</b>
Biliary Tract Disorder	0	0	0	0	0	1 (0.1)	0
Cholecystitis	0	0	0	0	1 (0.1)	1 (0.1)	0
Cholelithiasis	0	0	0	0	1 (0.1)	1 (0.1)	0
Cholecystitis Acute	1 (0.2)	0	0	0	0	0	0
Drug-Induced Liver Injury	0	1 (0.1)	0	0	0	0	0
<b>INFECTIONS/INFESTATIONS</b>	<b>3 (0.5)</b>	<b>2 (0.2)</b>	<b>1 (0.2)</b>	<b>1 (0.1)</b>	<b>6 (0.5)</b>	<b>5 (0.3)</b>	<b>0</b>
Appendicitis	0	0	0	0	1 (0.1)	2 (0.1)	0
Pneumonia	0	0	0	1 (0.1)	1 (0.1)	2 (0.1)	0
Postoperative Wound Infection	0	0	0	0	0	1 (0.1)	0
Skin Infection	0	0	0	0	0	1 (0.1)	0
Campylobacter Infection	0	0	0	0	1 (0.1)	0	0
Cellulitis	0	0	0	1 (0.1)	1 (0.1)	0	0
Gastroenteritis	1 (0.2)	0	0	0	0	0	0
Herpes Simplex	1 (0.2)	0	0	0	0	0	0

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Meningoencephalitis								
Infected Bites	0	0	0	0	1 (0.1)	0	0	
Kidney Infection	0	0	1 (0.2)	0	0	0	0	
Pneumonia Mycoplasmal	0	1 (0.1)	0	0	0	0	0	
Pyelonephritis Acute	0	0	0	0	1 (0.1)	0	0	
Urinary Tract Infection	1 (0.2)	0	0	0	0	0	0	
Urinary Tract Infection Bacterial	0	1 (0.1)	0	0	0	0	0	
INVESTIGATIONS	0	2 (0.2)	1 (0.2)	0	2 (0.2)	3 (0.2)	0	
Alanine Aminotransferase Increased	0	0	0	0	0	1 (0.1)	0	
Aspartate Aminotransferase Increased	0	0	0	0	0	1 (0.1)	0	
Blood Creatine Phosphokinase Increased	0	1 (0.1)	0	0	0	1 (0.1)	0	
Colonoscopy Abnormal	0	0	0	0	0	1 (0.1)	0	
Endoscopy Gastrointestinal Abnormal	0	0	0	0	0	1 (0.1)	0	
Hepatic Enzyme Increased	0	1 (0.1)	0	0	2 (0.2)	0	0	
Troponin Increased	0	0	1 (0.2)	0	0	0	0	
MUSCULOSKELETAL DISORDERS	0	2 (0.2)	0	1 (0.1)	2 (0.2)	6 (0.3)	0	
Back Pain	0	0	0	0	1 (0.1)	2 (0.1)	0	
Arthralgia	0	0	0	0	0	1 (0.1)	0	
Intervertebral Disc Protrusion	0	0	0	0	0	1 (0.1)	0	
Osteoarthritis	0	0	0	0	0	1 (0.1)	0	
Spinal Pain	0	0	0	0	0	1 (0.1)	0	
Myalgia	0	1 (0.1)	0	0	0	0	0	
Rotator Cuff Syndrome	0	0	0	0	1 (0.1)	0	0	
Spinal Osteoarthritis	0	1 (0.1)	0	0	0	0	0	
Spondylolisthesis	0	0	0	1 (0.1)	0	0	0	
NERVOUS SYSTEM DISORDERS	3 (0.5)	2 (0.2)	0	3 (0.4)	4 (0.3)	4 (0.2)	0	

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Cerebrovascular Accident	1 (0.2)	0	0	0	1 (0.1)	1 (0.1)	0
Epilepsy	0	0	0	0	0	1 (0.1)	0
Migraine With Aura	0	0	0	0	0	1 (0.1)	0
Syncope	1 (0.2)	0	0	1 (0.1)	0	1 (0.1)	0
Coma	0	0	0	0	1 (0.1)	0	0
Convulsion	0	1 (0.1)	0	0	0	0	0
Grand Mal Convulsion	1 (0.2)	0	0	0	0	0	0
Ischaemic Stroke	0	0	0	0	1 (0.1)	0	0
Neurological Symptom	0	0	0	0	1 (0.1)	0	0
Peripheral Sensory Neuropathy	0	1 (0.1)	0	0	0	0	0
Transient Ischemic Attack	0	0	0	2 (0.3)	0	0	0
RESPIRATORY, THORACIC AND MEDIASTINAL DISORDERS	1 (0.2)	3 (0.3)	0	1 (0.1)	3 (0.2)	4 (0.2)	0
Pulmonary Embolism	0	1 (0.1)	0	1 (0.1)	0	2 (0.1)	0
Asthma	0	1 (0.1)	0	0	1 (0.1)	1 (0.1)	0
Pleurisy	0	0	0	0	0	1 (0.1)	0
Chronic Obstructive Pulmonary Disease	1 (0.2)	1 (0.1)	0	0	0	0	0
Pleural Effusion	0	0	0	0	1 (0.1)	0	0
Pulmonary Oedema	0	0	0	0	1 (0.1)	0	0
Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356. N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab (AMG 145); QD = once a day; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous. Coded using MedDRA version 17.0.							

When evaluating only the four 12-week phase 3 trials, no serious adverse event (by preferred term) occurred in more than 3 participants in any treatment group. Serious adverse events that occurred in 2 or more participants in the evolocumab group were acute myocardial infarction (3, 0.2% versus 1, 0.1% in the any control group), angina pectoris (2, 0.1% versus 0), acute pancreatitis (2, 0.1% versus 0), and increased hepatic enzyme (2, 0.1% versus 0). In the any control group, inguinal hernia occurred in 2 (0.2%) participants and 0 participants in the evolocumab group.

In the year 1 SoC-controlled period, 153 (5.4%) in the evolocumab plus SoC group and 82 (5.8%) participants in the SoC alone group reported an SAE. The most common SAEs (evolocumab plus SoC and SoC alone groups, respectively) were osteoarthritis (0.3% and 0.1%), angina pectoris (0.2% and 0.1%), and myocardial infarction (0.2% and 0.2%).

In the year 2+ OLE period, 76 (8.0%) participants reported a serious adverse event. Of note, a comparison of incidences of adverse events in the different treatment periods is problematic due to differences in exposure of IP. The most common SAEs were non-cardiac chest pain (0.4%), pneumonia (0.4%), and angina pectoris (0.3%).

#### HoFH

No serious adverse events were reported in part A or part B of Study 20110233.

For trial 20110271, seven (7.3%) participants with HoFH reported a serious adverse event (angina pectoris, aortic stenosis, aortic valve disease, chest pain, coronary artery disease, coronary artery occlusion, hematuria and non-cardiac chest pain). Six of the 7 participants were in the 20110233 parent trial. No serious adverse event was reported in > 1 participant.

### 7.3.3 Dropouts and/or Discontinuations

In the integrated parent studies, the incidence of adverse events leading to permanent study drug discontinuation was slightly higher in the EvoMab group as compared to the placebo group for both dosing frequencies: 29 (2.3%) participants in the EvoMab 140mg Q2W group vs. 10 (1.7%) participants in the placebo Q2W group, and 42 (2.1%) participants in the EvoMab 420mg QM group vs. 14 (1.5%) participants in the placebo QM group. The only adverse events leading to discontinuation of IP (any evolocumab and any control groups, respectively) occurring in  $\geq 0.2\%$  participants were myalgia (11, 0.3% and 10, 0.5%), nausea (6, 0.2% and 3, 0.1%), and dizziness (1, 0% and 4, 0.2%).

The following table summarizes discontinuations in the combined groups of any placebo, any control (includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo participants), to-be-marketed doses of evolocumab and any

evolocumab (includes all participants who received any EvoMab dose in the analysis set). The discontinuations are small in number and, for the most part, balanced among the groups.

Table 71: Adverse Events Leading to Discontinuation of Investigational Product during the Parent Studies by System Organ Class and Preferred Term (Integrated Parent Analysis Set)

System Organ Class <i>Preferred Term</i>	Control		EvoMab	
	Any Placebo (N = 1526) n (%)	Any Control (N = 2080) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N = 3201) n (%)	Any EvoMab (N = 3946) n (%)
# of subjects reporting AE that led to d/c of IP	24 (1.6)	48 (2.3)	71 (2.2)	75 (1.9)
Cardiac Disorder	1 (0.1)	1 (<0.1)	4 (0.1)	4 (0.1)
<i>Cardiac Failure</i>	0	0	2 (0.1)	2 (0.1)
<i>Myocardial Infarction (MI)</i>	0	0	2 (0.1)	2 (0.1)
<i>Supraventricular Extrasystoles</i>	0	0	1 (<0.1)	1 (<0.1)
<i>Ventricular Fibrillation</i>	0	0	1 (<0.1)	1 (<0.1)
<i>Acute MI</i>	1 (0.1)	1 (<0.1)	0	0
Ear & Labyrinth Dis.	0	1 (0.0)	2 (0.1)	2 (0.1)
Eye Disorders	0	0	2 (0.1)	2 (0.1)
<i>Eye irritation</i>	0	0	1 (<0.1)	1 (<0.1)
<i>Vision blurred</i>	0	0	1 (<0.1)	1 (<0.1)
Gastrointestinal Dis.	2 (0.1)	7 (0.3)	13 (0.4)	13 (0.3)
<i>Nausea</i>	1 (0.1)	3 (0.1)	6 (0.2)	6 (0.2)
<i>Abdominal Pain</i>	0	2 (0.1)	2 (0.1)	2 (0.1)
<i>Abdominal Pain Upper</i>	0	1 (<0.1)	2 (0.1)	2 (0.1)
General Disorders	1 (0.1)	4 (0.2)	10 (0.3)	10 (0.3)
<i>Fatigue</i>	1 (0.1)	3 (0.1)	3 (0.1)	3 (0.1)
Hepatobiliary Dis.	1 (0.1)	1 (<0.1)	1 (0.0)	2 (0.1)
Immune System Dis.	0	0	1 (<0.1)	1 (<0.1)
Infections/Infestation	2 (0.1)	2 (0.1)	2 (0.1)	2 (0.1)
Investigations	5 (0.3)	5 (0.2)	5 (0.2)	6 (0.2)
<i>CPK increased</i>	1 (0.1)	1 (<0.1)	4 (0.1)	4 (0.1)
Metabolism/Nutrition	0	1 (<0.1)	1 (<0.1)	1 (<0.1)
Musculoskeletal and Connective Tissue	7 (0.5)	18 (0.9)	24 (0.7)	26 (0.7)
<i>Myalgia</i>	4 (0.3)	10 (0.5)	11 (0.3)	12 (0.3)
<i>Pain in Extremity</i>	1 (0.1)	1 (<0.1)	4 (0.1)	4 (0.1)
Neoplasms	0	0	5 (0.2)	5 (0.1)
Nervous System Dis	4 (0.3)	9 (0.4)	12 (0.4)	12 (0.3)
<i>Headache</i>	1 (0.1)	3 (0.1)	3 (0.1)	3 (0.1)

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Psychiatric Disorder	2 (0.1)	3 (0.1)	4 (0.1)	4 (0.1)
Renal/Urinary Dis.	0	0	3 (0.1)	3 (0.1)
Reproductive System & Breast Dis	0	0	1 (<0.1)	1 (<0.1)
Respiratory Disorder	0	1 (<0.1)	3 (0.1)	3 (0.1)
Skin/Subcutaneous Tissue Disorders	1 (0.1)	8 (0.4)	4 (0.1)	4 (0.1)
Vascular Disorders	0	2 (0.1)	0	0

Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356.  
 N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; Eze=ezetimibe; IP=investigational product; QD = once a day; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous; CPK= creatine phosphokinase; Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects. Any EvoMab includes any subject with EvoMab as a component of investigational product. Coded using MedDRA version 17.0.  
 Source Data: modified from ISS Table 14-6.5.2

When looking at discontinuations for the four Phase 3 trials, the discontinuations were highest in the Ezetimibe group and were similar in the placebo and EvoMab groups. However, it was the statin-intolerant trial 20110116 that contributed the greatest percentage of adverse events and AEs that led to IP discontinuation, regardless of assignment to ezetimibe or EvoMab (see Table 64: Summary of Subject Incidence of Adverse Events in the Four Phase 3 Trials). This likely contributed to the imbalance in the ezetimibe group. There were no notable increases in adverse events (either by system organ class or preferred term) in the EvoMab group as compared to the placebo group.

Table 72: Adverse Events Leading to Discontinuation of Investigational Product for the Four Phase 3 Trials: 20110114, 20110115, 20110116 and 20110117

	Control			EvoMab	
	Placebo SC Q2W (N =411) n (%)	Placebo SC QM (N = 410) n (%)	Ezetimibe QD (N = 477) n (%)	140 mg Q2W (N=921) n (%)	420 mg QM (N=927) n (%)
# of subjects reporting AEs	9 (2.2)	9 (2.2)	22 (4.6)	23 (2.5)	22 (2.4)

Includes the following studies: 20110114, 20110115, 20110116, 20110117.  
 Data cutoff date 01APR2014.  
 N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; QD = once a day; Q2W = every 2 weeks; QM =monthly; SC = subcutaneous.  
 Coded using MedDRA version 17.0. and confirmed with JMP

In the year 1 SoC-controlled period, 58 (2.0%) participants in the evolocumab plus SoC group reported an adverse event leading to discontinuation of EvoMab compared to 0% in the SOC only group. The only adverse events leading to discontinuation of IP occurring in  $\geq 0.2\%$  participants in the evolocumab plus SoC group was myalgia (7, 0.2%).

In the year 2+ OLE period, 10 (1.0%) subjects reported an adverse event leading to discontinuation of IP. The preferred terms, incidence was 1 (0.1) for each PT, were hypogonadism, dyspepsia, fatigue, malaise, blood testosterone decreased, weight increased, arthralgia, esophageal carcinoma, anxiety, angioedema, drug eruption and pruritus. Some of the cases are summarized below:

- Subject 15466024006 enrolled in study 20110110 was a 47 year old female. Subject received the first dose of 420 mg EvoMab on November, 2012 and last dose on March, 2013. In March, 2013 (20 days after the last dose of EvoMab), the subject experienced angioedema leading to withdrawal of EvoMab. The event resolved on the same day. The relevant medical history included food allergy. The relevant concomitant medication included diphenhydramine, methylprednisolone acetate, dexamethasone, epinephrine, fexofenadine hydrochloride, famotidine, montelukast sodium, cetirizine hydrochloride, and prednisone. The investigator reported the event unrelated to EvoMab.
- Subject 15466024021 enrolled in study 20110110 was a 44 year old male diagnosed with hypercholesterolemia. Subject received the first dose of 420 mg EvoMab on December, 2012 and last dose on June, 2013. In March, 2013 (3 months after the first dose of EvoMab), the subject experienced blood testosterone decreased. In June, 2013 (18 days prior to the last dose of EvoMab), the subject experienced hypogonadism. Both events led to withdrawal of EvoMab. The event blood testosterone decreased resolved on December, 2013. The event hypogonadism was continuing. Relevant medical history included prostatitis. The relevant concomitant medication included tadalafil. In June, 2013 the subject discontinued EvoMab. The investigator reported the events as unrelated to EvoMab.
- Subject 15516046015 enrolled in study 20110110 was a 44 year old male. Subject received the first dose of 420 mg EvoMab in December, 2011 and last dose in August, 2013. On August, 2013 (1 day after the last dose of EvoMab), the subject experienced malaise leading to withdrawal of EvoMab. The event was continuing. There was no relevant medical history. There was no relevant concomitant medication. In August, 2013 the subject discontinued EvoMab. The investigator reported the event related to AMG 145.
- Subject 15529003004 enrolled in study 20110110 was a 60 year old male. Subject received the first and last dose of 420 mg EvoMab in March, 2013. On the same day of the last dose of EvoMab, the subject experienced pruritus leading to withdrawal of EvoMab. The event resolved the next day. There was no relevant medical history. The relevant concomitant medication included loratadine. In March, 2013 the subject discontinued EvoMab and the investigator reported the event related to EvoMab.
- Subject 15466062001 enrolled in study 20110110 was a 54 year old male. Subject received the first dose of 140 mg EvoMab in January, 2013 and last dose date is unknown. On March, 2014 (approximately 1 year and 2 months after first dose), the subject experienced anxiety. The next day, the subject experienced dyspepsia. Both events led to the withdrawal of EvoMab. The subject received alprazolam for the treatment of anxiety and antacids for the treatment of dyspepsia. The event dyspepsia resolved one month later, April, 2014. The event anxiety resolved ~ 6 weeks later in April 2014. Relevant medical history included anxiety, chronic anxiety and indigestion. There was no relevant concomitant medication. The investigator reported both events as related to EvoMab.

- Subject 1556603005 enrolled in study 20110110 was a 59 year old male. Subject received the first dose of 420 mg EvoMab on February 2012 and last dose on January 2014. On February 2014 (29 days after the last dose of EvoMab), the subject experienced an event of drug eruption leading to withdrawal of EvoMab. The subject received prednisone, clobetasol, hydroxyzine, and cephalexin for the treatment of rash. The event was continuing. There was no relevant medical history. There was no relevant concomitant medication. On January 2014 the subject discontinued EvoMab. The investigator assessed the event as unrelated to AMG 145.

#### HoFH

No participant in part A or part B of trial 20110233 discontinued study treatment due to an adverse event.

In trial 20110271, 1 (2.4%) HoFH participant discontinued study treatment due to an adverse event of rash. The rash improved after evolocumab withdrawal, although intermittent flares without evolocumab exposure continued to occur. Because of the temporal association of the rash and evolocumab administration, the investigator deemed that there was a reasonable possibility that the adverse event of severe skin rash was related to evolocumab. As of the 01 April 2014 data cutoff date, evolocumab had not been restarted.

#### 7.3.4 Significant Adverse Events

##### Adjudicated Cardiovascular Events and Noncoronary Revascularizations:

##### Primary Hyperlipidemia and Mixed Dyslipidemia Trials

During the adjudication process, the applicant states that there were no discordant results between adjudicators and no instances when the CEC chairperson had to determine the final adjudication result.

In the integrated parent studies, the incidence of participants with positively adjudicated cardiovascular events was low across the groups but slightly greater in the any evolocumab group (25, 0.6%) compared with any control group (9, 0.4%) (see table). The incidences between the evolocumab 140 mg Q2W (0.8%) group (0.7% for corresponding placebo) and 420 mg QM (0.6%) group (0.3% for corresponding placebo) were similar and slightly greater than the associated placebo groups. Six deaths (4 [0.1%] in the any evolocumab group and 2 [0.1%] in the any control group) were positively adjudicated to be cardiovascular deaths; thus, the incidence of cardiovascular deaths was the same between the two treatment groups. Myocardial infarction was reported in 8 (0.2%) participants in the any evolocumab group and 2 (0.1%) participants in the any control group (1 event in the any control group was fatal). Stroke was reported in 3 (0.1%) participants in the any evolocumab group and 3 (0.1%) participants in the any control group (the hemorrhagic stroke event in the any control group was fatal). Three participants had positively adjudicated cardiovascular events of heart failure, and all were in the evolocumab group.

1. Subject 15566018006: 59-year old white male with a history of coronary artery disease, ischemic cardiomyopathy, myocardial infarction, coronary artery bypass grafting, congestive cardiac failure, decreased ejection fraction, hypertension, cerebrovascular accident, chronic obstructive pulmonary disease, chronic renal failure, hypokalemia, type 2 diabetes, and tobacco and alcohol use. Relevant concomitant medications included nitroglycerin, carvedilol, amlodipine, lisinopril, hydralazine, furosemide, clopidogrel, potassium, acetylsalicylic acid, and insulin. In the parent study the subject was randomized to evolocumab 140 mg Q2W. Approximately 3 weeks after the first dose of evolocumab, the subject presented with shortness of breath, chest discomfort, and 2+ pitting edema in his legs. The subject reportedly was noncompliant with his medications for 1 week prior to symptom onset. A chest x-ray was consistent with congestive heart failure. Serial troponins peaked at 0.1 ng/mL; there were no ischemic changes on electrocardiogram (ECG). The subject was hospitalized and treated with nitroglycerin, furosemide, and oxygen, and was started on isosorbide. The subject's symptoms resolved and he was discharged the following day. No action was taken with evolocumab.
2. Subject 11528001022: 77-year old Asian female with a history of ischemic heart disease, percutaneous transluminal coronary angioplasty with stent placement, and hypertension. Concomitant medications included losartan, atenolol, atorvastatin, and acetylsalicylic acid. Approximately 3 months after the first dose of evolocumab 420 mg QM in Study 20110115, the subject had chest discomfort and palpitations for 1 week. Heart rate was 76 bpm and an ECG showed premature ventricular complexes. She was treated with furosemide 20 mg and her symptoms improved. Cardiac catheterization revealed right coronary artery stent stenosis 40% to 50% and no obvious stenosis in the left main, left anterior descending, and left circumflex arteries. Chest x-ray was clear. There was no elevated jugular venous pressure, no hepatosplenomegaly, and no lower extremity edema. An echocardiogram showed mild aortic regurgitation, normal left ventricular systolic function, and mild decrease in left ventricular diastolic function (no previous echocardiogram was noted in the discharge summary or medical history). B-type natriuretic peptide was elevated at 592 pg/mL and troponin was normal. The subject was discharged after 3 days with no change to her medications. Discharge diagnoses included ischemic heart disease, congestive heart failure NYHA class II, hypertension, and premature ventricular beats. Evolocumab was continued.
3. Subject 11551706003: 59-year old white male with a history of atherosclerotic coronary artery disease, angina pectoris, congestive heart failure, coronary artery bypass graft, pacemaker placement, hypertension, carotid artery disease, stroke, transient ischemic attack, peripheral artery disease, and type 2 diabetes mellitus. Relevant concomitant medications included metoprolol, bisoprolol, isosorbide, carvedilol, amlodipine, captopril, clopidogrel, acetylsalicylic acid, and atorvastatin. In the parent study the subject was randomized to evolocumab 140 mg Q2W. Five days after the first dose of evolocumab, the subject presented with shortness of breath and non-radiating chest pain. An ECG showed an acute inferolateral wall myocardial infarction (MI). The subject was hospitalized and treated with nitroglycerin, furosemide, and morphine. One week later, while still in hospital, the subject had a second acute MI with acute left ventricular failure and pulmonary edema. He had ventricular fibrillation (which was successfully defibrillated) and corresponding cerebral anoxia with loss of consciousness. The ventricular fibrillation and loss of consciousness resolved the same day. The MI and pulmonary edema resolved 1 week later and the subject was discharged. The subject discontinued from study therapy and study participation.

In the year 1 SoC-controlled period, a slightly smaller percentage of participants had positively adjudicated cardiovascular events in the evolocumab plus SoC group (22 [0.8%]) compared with the SoC alone group (19 [1.3%]) (see table). There were three deaths (3 [0.1%] in the evolocumab plus SoC group, of which all were CV deaths, and 4 [0.3%] in the SoC alone group, of which one was adjudicated as a CV death. As

shown in the table, myocardial infarction, coronary revascularization, cerebrovascular event and heart failure event occurred in a numerically smaller percentage of participants in the evolocumab plus SoC group as compared to the SoC alone group.

While the number of adjudicated events is too small to make any reliable conclusions regarding CV risk reduction, across the two study periods with control groups, the incidence of participants with positively adjudicated events, including deaths, was similar in the evolocumab group as compared to the control group. One limitation of the open label phase of these trials is that nonfatal events could be subject to reporting bias, by either patients or investigators.

Table 73: Participant Incidence of Positively Adjudicated Cardiovascular Events and Noncoronary Revascularizations

	Integrated Parent Studies <sup>a</sup> (placebo and active-controlled)		Year 1 SoC-controlled Period <sup>b</sup> (year 1 of OSLER1 and OSLER2)		Year 2+ OLE Period <sup>c</sup> (year 2+ of OSLER1 and OSLER2)
	Any Control (N = 2080) n (%)	Any EvoMab (N = 3946) n (%)	SoC (N = 655) n (%)	EvoMab + SoC (N = 1314) n (%)	EvoMab + SoC (N = 954) n (%)
Number of participants with any positively adjudicated clinical event	9 (0.4)	25 (0.6)	19 (1.3)	22 (0.8)	12 (1.3)
Death	2 (0.1)	4 (0.1)	4 (0.3)	3 (0.1)	2 (0.2)
Cardiovascular	2 (0.1)	4 (0.1)	1 (0.1)	3 (0.1)	1 (0.1)
Non-cardiovascular	0	0	2 (0.1)	0	1 (0.1)
Undetermined	0	0	1 (0.1)	0	0
Myocardial infarction	2 (0.1)	8 (0.2)	5 (0.4)	6 (0.2)	3 (0.3)
Fatal	1 (0.0)	0	0	0	0
Non-fatal	1 (0.0)	8 (0.2)	5 (0.4)	6 (0.2)	3 (0.3)
Hospitalization for unstable angina	0	2 (0.1)	2 (0.1)	2 (0.1)	1 (0.1)
Coronary Revascularization	5 (0.2)	11 (0.3)	8 (0.6)	11 (0.4)	7 (0.7)
Cerebrovascular Event	3 (0.1)	5 (0.1)	5 (0.4)	3 (0.1)	3 (0.3)
Transient ischemic attack	0	2 (0.1)	4 (0.3)	1 (0.0)	1 (0.1)
Stroke (fatal and non-fatal)	3 (0.1)	3 (0.1)	1 (0.1)	2 (0.1)	2 (0.2)
Fatal Stroke	1 (0.0)	0	0	0	0
Ischemic	0	0	0	0	0
Ischemic with hemorrhagic conversion	0	0	0	0	0
Hemorrhagic stroke	1 (0.0)	0	0	0	0
Type Undetermined	0	0	0	0	0
Non-Fatal Stroke	2 (0.1)	3 (0.1)	1 (0.1)	2 (0.1)	2 (0.2)
Ischemic	2 (0.1)	3 (0.1)	0	1 (0.0)	2 (0.2)
Ischemic with hemorrhagic conversion	0	0	0	0	0
Hemorrhagic stroke	0	0	1 (0.1)	1 (0.0)	0
Type Undetermined	0	0	0	0	0
Heart failure event	0	3 (0.1)	1 (0.1)	0	1 (0.1)

	Integrated Parent Studies <sup>a</sup> (placebo and active-controlled)		Year 1 SoC-controlled Period <sup>b</sup> (year 1 of OSLER1 and OSLER2)		Year 2+ OLE Period <sup>c</sup> (year 2+ of OSLER1 and OSLER2)
	Any Control (N = 2080) n (%)	Any EvoMab (N = 3946) n (%)	SoC (N = 655) n (%)	EvoMab + SoC (N = 1314) n (%)	EvoMab + SoC (N = 954) n (%)
Non-coronary revascularization	1 (0.0)	1 (0.0)	3 (0.2)	5 (0.2)	2 (0.2)

N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; OLE = open-label extension; SoC = standard of care  
 a Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356. Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects. Any EvoMab includes any subject with EvoMab as a component of investigational product. Some cases from the phase 2 and phase 3 lipid lowering clinical studies had lipid values present in the adjudication package which may have led to unblinding  
 b Includes the following studies: 20110110, 20120138  
 c Includes the following studies: 20110110, 20120138  
 Source: Modified from Summary Clinical Safety, Table 43

#### HoFH Trials

In Trials 20110233 and 20110271, no participant experienced a positively adjudicated cardiovascular event or a non-coronary revascularization.

### 7.3.5 Submission Specific Primary Safety Concerns

#### 7.3.5.1 Primary Hyperlipidemia Trials

##### Cardiovascular Disorders

In the integrated parent studies, adverse events for the Cardiac Disorders system organ class were reported in 77 (2.4%) participants in the evolocumab group (140 mg Q2W or 420 mg QM) and 29 (1.4%) participants in the any control group. The most common adverse events in the evolocumab group and any control group were palpitations (0.6% and 0.3%), angina pectoris (0.3% and 0.2%), and ventricular extrasystoles (0.3% and 0.1%). Serious cardiac adverse events were reported in 21 (0.7%) participants in the evolocumab group and 5 (0.2%) participants in the any control group. The most common serious cardiac events in the evolocumab group and any control group were myocardial infarction (0.1% and 0%), angina pectoris (0.1% and 0.1%), and acute myocardial infarction (0.1% and 0%).

In the integrated parent studies, 131 (4.1%) participants in the evolocumab group (Q2W or QM) and 74 (3.6%) participants in the any control group reported an adverse event potentially associated with prolongation of cardiac repolarization or proarrhythmia as identified by preferred term. No events of torsades de pointes or ventricular tachycardia were reported. However, there was a case of "paroxysm of

ventricular fibrillation” reported in the setting of an acute MI (20110115-11551706003); refer to narrative #3 in previous section (7.3.4: Significant Adverse Events). Syncope was reported in 12 (0.4%) participants in the evolocumab group (serious in 2 cases) and 6 (0.3%) participants in the any control group (serious in 1 case).

In the year 1 SoC-controlled period, 69 (2.4%) participants and 41 (2.9%) participants reported an adverse event in the evolocumab plus SoC group and SoC alone group, respectively. The most common adverse event in the evolocumab plus SoC group and SoC alone group was angina pectoris (0.6% and 0.7%). For serious cardiac events, 25 (0.9%) participants and 19 (1.3%) participants reported an event in the evolocumab plus SoC group and SoC alone group, respectively. In the year 1 SoC-controlled period, 118 (4.2%) participants in the evolocumab plus SoC group and 49 (3.5%) participants in the SoC group reported an adverse event potentially associated with prolongation of cardiac repolarization or proarrhythmia.

In the year 2+ OLE period, 47 (4.9%) participants reported an adverse event, and the most common adverse event was angina pectoris (1.3%). Sixteen (1.7%) participants reported a serious cardiac adverse event.

In the integrated parent trials, adverse events for the Vascular Disorders system organ class were reported in 96 (2.4%) participants in the any evolocumab group and 55 (2.6%) participants in the any control group. The most frequently reported adverse events in the any evolocumab group and any control group were hypertension (1.4% and 1.3%), flushing (0.2% and <0.1%), and hot flush (0.2% and 0.4%). In the year 1 SoC-controlled period, 145 (5.1%) participants and 49 (3.5%) participants reported an adverse event in the evolocumab plus SoC group and SoC alone group, respectively. The most common adverse events in the evolocumab plus SoC group and the SoC alone group were hypertension (3.1% and 2.7%) and hypotension (0.3% and 0%).

#### Gastrointestinal Disorders

In the integrated parent studies, adverse events for the Gastrointestinal Disorders system organ class were reported in 306 (9.6%) participants in the Q2W or QM evolocumab group and 208 (10.0%) participants in the any control group. The most common adverse events in the evolocumab group and any control group were nausea (2.1% and 1.8%), diarrhea (2.0% and 2.4%), and constipation (1.0% and 0.8%).

In the year 1 SoC-controlled period, 327 (11.5%) participants and 126 (8.9%) participants reported an adverse event in the evolocumab plus SoC and SoC alone groups, respectively. The most common adverse events in the evolocumab plus SoC group and the SoC alone group were diarrhea (2.2% and 1.5%), nausea (1.6% and 0.9%), and vomiting (1.2% and 0.6%). In the year 2+ OLE period, 160 (16.8%)

participants reported an adverse event, and the most common adverse events were diarrhea (3.5%), nausea (2.3%), and dyspepsia (1.8%).

#### *Pancreatitis*

Evolocumab increases hepatic uptake of LDL particles from the circulation due to increased LDLR expression on the surface of hepatocytes, with an increase in the delivery of lipid components of LDL, such as cholesterol, to the liver.

One potential issue is whether this mode of action could lead to increased metabolism and excretion of cholesterol metabolites resulting in changes in bile lithogenicity and associated increased gallstone risk. This could potentially have an impact on the development of gallstone pancreatitis. The applicant contends that three mechanisms, reduction in endogenous cholesterol biosynthesis, excretion directly into the bile as free cholesterol (via ABCG5/G8 transporters), and conversion of cholesterol into bile acids (regulated by CYP7A1) and excretion into bile, have been shown to compensate for increased hepatic LDLR activity in PCSK9 knockout mice, where liver triglyceride, cholesterol, and bile acid content was equivalent to wild-type mice<sup>51</sup> and following treatment with a statin.<sup>52</sup>

In cynomolgus monkeys treated with evolocumab, gallbladder hypertrophy/hyperplasia (mild) was observed in 1 out of 3 female monkeys at 134 times the maximum recommended human dose of 420 mg Q2W with six weeks of once-weekly dosing (of note, a mild finding in only a single animal is generally considered to be a spontaneous/incidental lesion). No effects were observed at a similarly high exposure multiple at six months of dosing in cynomolgus monkeys or at three months with rosuvastatin in cynomolgus monkeys. No effects on liver or on gallstone formation were observed in hamsters with evolocumab, although alkaline phosphatase was slightly increased in male hamsters at 28 days at 20 times the maximum recommended human dose of 420 mg Q2W. Alkaline phosphatase was not affected at longer durations of dosing in hamsters at any dose. There were no drug-related pancreas lesions observed with evolocumab in any species.

Overall, 6 participants reported 7 events of pancreatitis (reported as pancreatitis or acute pancreatitis) as of the BLA data cutoff date of 01 April 2014. At the 120-Day Safety Update with a data cut-off date of 01 July 2014, there were 7 participants with 8 events of pancreatitis. Six events occurred while the participants were receiving evolocumab or evolocumab plus SoC (3 events in the parent studies, 2 events in the year 1 SoC-controlled period, and 1 event in the year 2+ OLE period), and 2 events occurred while the subjects were receiving SoC alone (both during the year 1 SoC-

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51 Rashid S, Curtis DE, Garuti R, Anderson N, Bashmakov Y, Ho YK, Hammer RE, Moon Y, Horton JD. Decreased plasma cholesterol and hypersensitivity to statins in mice lacking PCSK9. PNAS 2005; 102(15):5374-5379

52 Parker RA, Garcia R, Ryan CS, Liu X, Shipkova P, Livanov V, Patel P, Ho SP. Bile acid and sterol metabolism with combined HMG-CoA reductase and PCSK9 suppression. Journal of Lipid Research. 2013; 54:2400-2409

controlled period). No cases of chronic pancreatitis were reported. All events were reported as serious requiring hospitalization. None of the 8 events were fatal.

A summary of these cases follows:

- Three participants (Subjects 11466042002, 15966006002, and 11521014006) were withdrawn from investigational product due to the event.
- All 7 participants recovered from the 8 events. Among the participants who had an event while taking EvoMab, 3 resolved despite continuing to receive EvoMab, 2 resolved within a month of the last dose of EvoMab, and 1 resolved 3.5 month after the last dose. For the 2 participants who had an event while on SoC alone, both resolved while continuing to receive SoC.
- One participant (15916004001) reported two adverse events of pancreatitis. The first event of acute pancreatitis occurred approximately 19 days after receiving the first dose of evolocumab (280 mg QM) in the parent study. No etiology was determined and the event resolved after 9 days. Six months later, this same participant reported a second event (as gallstone pancreatitis) while on SoC alone in the extension study. A cholecystectomy was done, the participant continued on SoC, and the event resolved.
- For 1 event of acute pancreatitis the investigator reported that there was a reasonable possibility the event was related to study drug. The participant (11466042002) was hospitalized with abdominal pain 20 days after the second dose of evolocumab 420 mg QM in the parent study. Amylase was reported as 103 IU/L on an undocumented date. The subject's condition improved overnight with intravenous fluids and bowel rest. A computerized tomogram was reported as negative with slight dilatation of ducts noted. The investigator reported that pancreatitis was a single episode that was mild. The participant's history of cholecystectomy was reported as a risk factor for the reported event. The participant's concomitant medication, valproate semisodium, had recently been increased. This was subsequently discontinued because of a black box warning for pancreatitis. This episode of pancreatitis resolved approximately 4 months later. The participant discontinued investigational product due to the event.
- Of the other 5 participants, 2 had concurrent alcohol use, 2 had diabetes, 1 had gallstones, 1 had a scheduled endoscopic procedure and puncture of a pancreatic cyst, and 5 had concomitant medications associated with pancreatitis.

Table 74: Summary of Pancreatitis Serious Adverse Events

Subject # Study	Age	Treatment at time of Event (Parent treatment)		Preferred Term/ Verbatim Term	Time to onset from 1 <sup>st</sup> dose from last dose	Outcome	Medical History
	Sex	Grade	Serious	Comment	Related	Discontinued	Concurrent Medications
<b>Pancreatitis acute Cases in the Parent Studies</b>							
15916004001 20090159	66	Evolocumab 280 mg QM		Pancreatitis acute/Grade 3	19 days 19 days	Resolved in 10 days	Cholecystitis, diabetes mellitus, right shoulder tendonitis, prostatitis, duodenal ulcer, allergic rhinitis, gastroesophageal reflux disease, non-cardiac chest pain, left testicle cyst and decreased hearing
	M	3	Yes	Resolved on evolocumab; diagnosed with gallbladder adenomyomatosis; confounded by history of cholecystitis and diabetes mellitus; confounding concomitant medications ramipril, dexamethasone, paracetamol/codeine	No	No	ezetimibe, metformin, ramipril, rabeprazole sodium, paracetamol/codeine, ciprofloxacin/dexamethasone
11466042002 20110114	62	Evolocumab 420 mg QM + oral placebo		Pancreatitis acute/Grade 3	47 days 20 days	Resolved in 113 days	Cholecystectomy, hypertension, GERD, depression, obstructive sleep apnea, mitral regurgitation, generalised osteoarthritis, bladder suspension, irritable bowel syndrome; consumed alcohol (2 to 3 glasses of wine daily) chronically
	F	3	Yes	Resolved after withdrawal; confounding factors history of cholecystectomy, chronic alcohol use, irritable bowel syndrome; confounding concomitant medication valproate semisodium (black box warning for pancreatitis)	Yes	Yes	irbesartan, hydrochlorothiazide, guaifenesin, sertraline, bupropion hydrochloride, lorazepam, fexofenadine hydrochloride, omeprazole, valproate semisodium
11756003010 20110117	51	Evolocumab 420 mg QM		Pancreatitis acute/Grade 3	75 days 14 days	Resolved in 4 days	Recurrent tonsillitis, reactive arthritis, moderate alcohol use
	M	3	Yes	Resolved on evolocumab; confounding factors alcohol use and rosuvastatin	No	No	ezetimibe and rosuvastatin
<b>Pancreatitis Cases in the SoC-controlled Period</b>							
15916004001 20110110 (second event)	66	SoC only (Parent: Evolocumab 280 mg QM)		Pancreatitis/Grade 2	180 days 93 days	Resolved in 85 days	Chronic cholecystitis, diabetes mellitus, right shoulder tendonitis, prostatitis, duodenal ulcer, allergic rhinitis, gastroesophageal reflux disease, non-cardiac chest pain, left testicle cyst and decreased hearing
	M	2	Yes	Diagnosed as gallstone pancreatitis; cholecystectomy performed Resolved on SoC confounded by history of cholecystitis and diabetes mellitus; confounding concomitant medications: ramipril, dexamethasone, and paracetamol/codeine	No	No	ezetimibe, metformin, ramipril, rabeprazole sodium, paracetamol/codeine, ciprofloxacin/dexamethasone, triamcinolone acetonide
15966006002 20110110	71	Evolocumab 420 mg QM + SoC (Parent: Evolocumab 280 mg QM)		Pancreatitis/Grade 3	173 days 5 days	Resolved in 13 days	Type 2 diabetes mellitus (uncontrolled)
	M	3	Yes	Resolved within 3 weeks of last QM dose of evolocumab Confounding factors: simvastatin, lisinopril, losartan, and uncontrolled diabetes mellitus; during the event fasting blood glucose was 912 mg/dL and 482 mg/dL	No	Yes	simvastatin, insulin aspart, insulin glargine, glimepiride, lisinopril, terazosin, losartan/hydrochlorothiazide

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 BLA 125522  
 Repatha (evolocumab)

Subject # Study	Age	Treatment at time of Event (Parent treatment)		Preferred Term/ Verbatim Term	Time to onset from 1 <sup>st</sup> dose from last dose	Outcome	Medical History
	Sex	Grade	Serious	Comment	Related	Discontinued	Concurrent Medications
<b>Pancreatitis acute Cases in the SoC-controlled Period</b>							
11521014006 20120138	59	Evolocumab 140 mg Q2W (Parent: Evolocumab 140 mg Q2W + SoC)		Pancreatitis acute/Grade 3	193 days 10 days	Resolved in 10 days	Hypertension, smoking, occasional alcohol use, laparoscopic surgeries for inguinal hernia, cortical cysts in both kidneys.
	M	3	Yes	Resolved within 3 weeks of Q2W last dose Confounding factors uncontrolled diabetes: fasting blood glucose levels were 212 mg/dL during event, confounding concomitant medications indapamide, perindopril, rosuvastatin and prior exposure to fenofibrate and simvastatin	No	Yes	amlodipine, metoprolol, indapamide, perindopril, and atorvastatin; fenofibrate March 2009 to March 2013; atorvastatin March 2009 to May 2013; simvastatin May to September 2013; atorvastatin May 2013 to pancreatitis event December 2013
11613005012 <sup>b</sup> 20120138	68	SoC only (Parent: Evolocumab 420 mg QM)		Pancreatitis acute/Grade 3	331 days 241 days	Resolved in 8 days	Predisposing factor associated with the event was an endoscopic procedure and puncture of the cyst
	M	3	Yes	Resolved on SoC Confounding factor endoscopic procedure and puncture of pancreatic cyst; prior confounding concomitant medications clarithromycin and doxycycline	No	No	ezetimibe; 3 courses of antibiotics to treat bronchitis one month prior to pancreatic cyst puncture procedure: amoxicillin / clavulanate; clarithromycin; doxycycline
<b>Pancreatitis Case in the year 2+ Period</b>							
15566032029 20110110	78	Evolocumab 350 mg QM (Parent: Evolocumab 420 mg QM + SoC)		Pancreatitis/Grade 2	856 days 14 days	Resolved in 4 days	Ultrasound of abdomen showed sludge and small stones within gall bladder lumen
	F	2	Yes	Resolved on evolocumab Confounding concomitant medications simvastatin and amlodipine	No	No	ezetimibe/simvastatin; escitalopram oxalate, metoprolol, ramelteon, hydrochlorothiazide, rabeprazole sodium, solifenacin succinate, olmesartan, acetylsalicylic acid, medoxomil/amlodipine/hydrochlorothiazide

F = Female; M = Male; Q2W = every 2 weeks; QM = monthly; SoC = Standard of care.

a Period 1 = Parent study; Period 2 = year 1 SoC-controlled period; Period 3 = year 2+ open label extension.

b This case had a data cutoff of 01 July 2014. All others had a data cutoff date of 01 April 2014.

Source: Table 2 from Feb 2015 Clinical Information Request Response

Thus, the incidence of pancreatitis in the clinical development program was low overall but numerically greater in the evolocumab group in the parent studies. Cases were confounded by histories of gallstones, cholecystitis or diabetes; concomitant medications associated with pancreatitis such as valproate therapy; and alcohol use.

#### Hepatobiliary Disorders

In the integrated parent trials, adverse events for the Hepatobiliary Disorders system organ class were reported in 13 (0.3%) participants in the any evolocumab group and

9 (0.4%) participants in the any control group. The most common adverse events in the any evolocumab group and any control group were cholelithiasis (0.1% and 0.2%), hepatic steatosis (0.1% and < 0.1%), and biliary colic (0.1% and 0%).

In the integrated parent studies, serious adverse events for the Hepatobiliary Disorders system organ class were reported in 4 (0.1%) participants in the any evolocumab group (AE preferred terms: cholecystitis, cholelithiasis and biliary tract disorder) and 2 (0.1%) participants in the any control group (AE preferred terms: acute cholecystitis and drug-induced liver injury).

In the year 1 SoC-controlled period, 15 (0.5%) participants and 8 (0.6%) participants reported an adverse event for the Hepatobiliary Disorders system organ class in the evolocumab plus SoC group and the SoC alone group, respectively. The most common adverse events in the evolocumab plus SoC group and the SoC alone group were hepatic steatosis (0.2% in both groups), cholelithiasis (0.1% and 0.2%), and hepatic function abnormal (0.1% and 0%).

In the year 1 SoC-controlled period, 3 (0.1%) participants and 1 (0.1%) participant reported a serious adverse event in the evolocumab plus SoC group [AE preferred terms: cholelithiasis, hepatic function abnormal and hepatotoxicity (both cases described below)] and SoC alone group (AE preferred terms: bile duct stone and chronic cholecystitis), respectively. In the year 2+ OLE period, 5 (0.5%) participants reported a serious adverse event (AE preferred terms: biliary dyskinesia, cholecystitis, cholecystitis acute, cholelithiasis and hepatic lesion).

#### SAE of hepatotoxicity:

Subject 15466039016 was a 38-year-old white woman participating in Study 20110110 who experienced hepatotoxicity (reported term: liver toxicity). The subject's medical history included hypercholesterolemia, hypertension, gastritis and obesity. The subject received the first dose of evolocumab in Study 20110110 in October 2011. Baseline values obtained on August 2011 revealed slightly elevated ALT 70 U/L/AST 42 U/L, and normal total bilirubin 0.2 mg/dL. In January 2012, laboratory tests revealed ALT 48 U/L and AST 27 U/L. In April 2012, the subject had symptoms of nausea, mild epigastric pain after eating, vomiting, upper abdominal distention, gas, and poor appetite. In April 2012, laboratory tests showed: ALT 147 U/L (4.3xULN) and AST 90 U/L (2.7xULN). Clinical laboratory investigations in May showed positive test for *Helicobacter pylori* was positive. Treatment medication included combination of amoxicillin trihydrate, clarithromycin, and lansoprazole. The subject started feeling better and liver function tests improved after one week of therapy. The subject's last dose of evolocumab prior to the event of hepatotoxicity was in April 2012 after which the evolocumab was withheld and again started in June 2012. Evolocumab was continued. As of the data cutoff date of 01 April 2014, the last dose of evolocumab was in May 2013. The event of hepatotoxicity was reported to have resolved in July 2012. In April 2013, liver function tests were normal. Abnormal liver tests not likely related to evolocumab. *Helicobacter pylori* infection likely contributed to the elevated transaminases and dyspepsia. This case is discussed in greater detail in Section 9.8.

SAE of hepatic function abnormality:

Subject 11565005001 was a 66-year-old white woman participating in Study 20120138 who developed abnormal hepatic function (reported term: liver function abnormality). The subject's medical history included primary hyperlipidemia, unexplained jaundice event in 2007, and recurrent urinary tract infections. Concomitant medications included candesartan, nitrofurantoin in chronic (6 months before the event) use for recurrent urinary infections, ramipril, gabapentin, diclofenac, omeprazole, codeine, paracetamol, salbutamol, budesonide, pseudoephedrine, fexofenadine, and mometasone. She received the first dose of evolocumab in Study 20120138 on 09 October 2013. On 09 December 2013, the subject experienced dyspepsia. Ten days later, the subject developed liver function abnormality with ALT 794 U/L (23xULN), AST 562 U/L (17xULN), and alkaline phosphatase 158 U/L. Evolocumab and simvastatin were discontinued. On 22 January 2014, the subject underwent endoscopy and gastroscopy due to dysphagia, nausea and/or vomiting, which showed a normal upper gastrointestinal tract. On 30 January 2014, laboratory values included immunoglobulin A of 5.2 g/L, iron of 37 µmol/L, total bilirubin of 230 µmol/L, ALT of 636 U/L, AST of 833 U/L, ALP of 266 U/L, transferrin saturation of 64%, albumin of 28 g/L, and alpha fetoprotein of 39 Ku/L. On 07 February 2014, the subject underwent a liver biopsy. The features were those of acute hepatitis with confluent necrosis and focal bridging necrosis with exclusion of viral and autoimmune etiology. The clinical diagnosis was drug-induced acute hepatitis. In April 2014, liver function tests were normalized with values of ALT 21 U/L, AST 27 U/L, albumin 33 g/L, alkaline phosphatase 108 U/L, and total bilirubin 20 µmol/L. Evolocumab and simvastatin continued to be withheld. The subject's last dose of evolocumab prior to the event was on 04 December 2013. As of the data cutoff date of 01 April 2014, evolocumab was still being withheld and the subject was continuing in the study. The investigator reported that there was a reasonable possibility that the event of abnormal liver function was related to evolocumab. The investigator noted that medications such as simvastatin, nitrofurantoin, diclofenac and ramipril may have contributed to the liver dysfunction. This reviewer concurs. This case is discussed in greater detail in Section 9.8.

Narratives of select hepatic-related SAEs are described in Section 9.8 A Selection of Narratives of Hepatic-related Serious Adverse Events

A narrow search strategy [a sub-SMQ of a MedDRA 17.0 Hepatic disorder (SMQ)] was used to evaluate hepatic safety risks with evolocumab therapy. The incidence of reported adverse events related to transaminase elevations and potential hepatic disorders was low in the integrated parent studies (any evolocumab: 0.9%; any control 0.8%), the year 1 SoC-controlled period (evolocumab plus SoC: 1.1%; SoC alone: 1.2%), and the year 2+ OLE period (1.4%).

Laboratory analyses of liver-related tests are presented in the following tables. The participant incidence of transaminase and bilirubin abnormalities was low and similar in the parent and extension trials for both the control and EvoMab groups.

In the integrated parent studies, 13 (0.4%) participants in the evolocumab group (140 mg Q2W or 420 mg QM) and 20 (1.0%) participants in the any control group had ALT or AST > 3 x ULN at any postbaseline visit. No participant had both (ALT or AST > 3 x ULN) and (total bilirubin > 2 x ULN or INR > 1.5) at any study visit. Of note, more participants in the control group had baseline AST/ALT elevations than in the EvoMab group which affected the number of postbaseline abnormalities. However, among participants with normal baseline transaminases, 6 (0.2%) participants in the any evolocumab group and 4 (0.2%) participants in the any control group had ALT or AST > 3 x ULN at any postbaseline visit.

Table 75: Participant Incidence of Liver Related Test Abnormality (Integrated Parent Analysis Set)

	CONTROL			EVOLOCUMAB				TOTAL	
	Pbo Q2W (N= 586) n (%)	Pbo QM (N=940) n (%)	Eze. (N = 554) n (%)	Other EvoMab dose (N = 715) n (%)	140 mg Q2W (N =1245) n (%)	420 mg QM (N =1956) n (%)	420 mg QM+Eze. (N = 30) n (%)	Any Control (N=2080) n (%)	EvoMab 140 Q2W or 420 mg QM (N = 3201) n (%)
<b>Baseline</b>									
ALT or AST > 3 x ULN	3 (0.5)	3 (0.3)	5 (0.9)	1 (0.1)	1 (0.1)	3 (0.2)	0	11 (0.5)	4 (0.1)
ALT or AST > 5 x ULN	3 (0.5)	1 (0.1)	1 (0.2)	0	0	1 (0.1)	0	5 (0.2)	1 (0.0)
Total bilirubin > 2 x ULN	0	1 (0.1)	0	0	1 (0.1)	1 (0.1)	0	1 (0.0)	2 (0.1)
(ALT or AST > 3 x ULN) and (Total bilirubin > 2 x ULN or INR>1.5)	0	0	0	0	0	0	0	0	0
<b>Any Postbaseline Visit</b>	579	928	548	712	1227	1924	30	2055	3151
ALT or AST > 3 x ULN	6 (1.0)	9 (1.0)	5 (0.9)	4 (0.6)	5 (0.4)	8 (0.4)	0	20 (1.0)	13 (0.4)
ALT or AST > 5 x ULN	3 (0.5)	4 (0.4)	0	1 (0.1)	1 (0.1)	4 (0.2)	0	7 (0.3)	5 (0.2)
Total bilirubin > 2 x ULN	0	2 (0.2)	1 (0.2)	1 (0.1)	0	5 (0.3)	0	3 (0.1)	5 (0.2)
(ALT or AST > 3 x ULN) and (Total bilirubin > 2 x ULN or INR>1.5)	0	0	0	0	0	0	0	0	0

	CONTROL			EVOLOCUMAB			TOTAL		
	Pbo Q2W (N= 586) n (%)	Pbo QM (N=940) n (%)	Eze. (N = 554) n (%)	Other EvoMab dose (N = 715) n (%)	140 mg Q2W (N =1245) n (%)	420 mg QM (N =1956) n (%)	420 mg QM+Eze. (N = 30) n (%)	Any Control (N=2080) n (%)	EvoMab 140 Q2W or 420 mg QM (N = 3201) n (%)
Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356.									
N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; ULN = upper limit of normal; ALT = alanine aminotransferase; AST = aspartate aminotransferase; INR=international normalized ratio.									
Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects. Any EvoMab includes any subject with EvoMab as a component of investigational product.									
Source: Modified from ISS Tables 14-7.3.1 and 14-7.3.2									

In the year 1 SoC-controlled period, 27 (1.0%) participants in the evolocumab plus SoC group and 17 (1.2%) participants in the SoC alone group had ALT or AST > 3 x ULN at any postbaseline visit. Nine (0.3%) participants in the evolocumab plus SoC group and 3 (0.2%) participants in the SoC alone group had ALT or AST > 5 x ULN at any postbaseline visit. Eight (0.3%) participants in the evolocumab plus SoC group and 2 (0.1%) participants in the SoC alone group had total bilirubin > 2 x ULN at any postbaseline visit. Three (0.1%) participants in the evolocumab plus SoC group of the year 1 SoC-controlled period had transaminase levels 3 x ULN and total bilirubin > 2 x ULN or INR > 1.5:

- Case 15466045017: the LFT abnormalities occurred 3 days after the participant admitted himself to rehabilitation for alcohol detoxification.
- Case 11565005001: The participant (who had a medical history of unexplained jaundice and chronic kidney disease, stage 3) had significant elevations in ALT, AST and total bilirubin. The participant was being treated for a urinary tract infection with nitrofurantoin. Nitrofurantoin was suspected as a possible cause of the LFT abnormalities per the investigator, as well as simvastatin, diclofenac, ramipril, and evolocumab.<sup>53</sup> Liver biopsy in this participant was consistent with drug-induced hepatitis. LFTs normalized after suspending nitrofurantoin, evolocumab, simvastatin and other medications. See detailed description in previous section on hepatobiliary disorders adverse events and in Section 9.8 A Selection of Narratives of Hepatic-related Serious Adverse Events.
- Case 23134087003: the participant's bilirubin was normal; the participant was also taking warfarin. Additionally, this participant's transaminases declined despite continuation of evolocumab treatment.

Among participants with normal baseline transaminases in the year 1 SoC-controlled period, 15 (0.6%) participants in the evolocumab plus SoC group and 7 (0.6%)

53 Reviewer note, nitrofurantoin can cause drug induced liver disease and can cause either an acute or a chronic hepatitis-like syndrome that can be severe and lead to liver failure or cirrhosis  
<http://livertox.nih.gov/Nitrofurantoin.htm>, accessed 2/3/2015

participants in the SoC alone group had ALT or AST > 3 x ULN at any postbaseline visit. Six (0.2%) participants in the evolocumab plus SoC group and 2 (0.2%) participants in the SoC alone group had ALT or AST > 5 x ULN at any postbaseline visit.

In the year 2+ OLE period, 13 (1.4%) participants had ALT or AST > 3 x ULN at any postbaseline visit. Two (0.2%) participants had ALT or AST > 5 x ULN at any postbaseline visit. Two (0.2%) participants had total bilirubin > 2 x ULN at any postbaseline visit. No participant had both ALT or AST > 3 x ULN and total bilirubin > 2 x ULN or INR > 1.5 at any study visit. Among participants with normal baseline LFTs, 9 (1.1%) subjects had ALT or AST > 3 x ULN at any postbaseline visit.

#### HoFH Trials

In part A of Trial 20110233, no participant had ALT or AST > 3 x ULN, total bilirubin > 2 x ULN, or INR > 1.5. In part B of Trial 20110233, 2 (6.1%) participants in the evolocumab group and 1 (6.3%) participant in the placebo group had ALT or AST > 3 x ULN postbaseline. All 3 participants had ALT or AST > ULN at baseline and none discontinued IP. All of the ALT and AST elevations resolved to < 3 x ULN at a subsequent assessment. No participant had both ALT or AST > 3 x ULN and total bilirubin > 2 x ULN or INR > 1.5 at any study visit.

In Trial 20110271, 4 (5.6%) participants had ALT or AST > 3 x ULN at any postbaseline visit, and 2 of these participants had ALT or AST > 5 x ULN at any postbaseline visit. One participant had total bilirubin > 2 x ULN both at baseline and at OLE Week 12. No participant had both ALT or AST > 3 x ULN and total bilirubin > 2 x ULN or INR > 1.5 at any study visit. After the AST/ALT elevation, the 4 participants continued evolocumab treatment, and no dosage adjustments for concomitant statin treatment were reported. For 2 of the participants, the ALT/AST elevations resolved to < 3 x ULN at a subsequent assessment; the other 2 did not have a subsequent assessment of ALT or AST before the data cutoff date.

#### Injection Site Reactions

Broad and narrow search strategies were used to assess multiple preferred terms associated with possible injection site reaction. Of note, participants assigned to SoC alone in the extension studies did not receive any placebo injections. In the narrow searches, the incidence of injection site reactions was low and similar between treatment groups in the integrated parent studies (any evolocumab: 3.3%; any control 3.0%), the year 1 SoC-controlled period (evolocumab plus SoC: 3.7%; SoC alone did not receive injections), and the year 2+ OLE period (3.1%).

In total, there were 265 participants on evolocumab who reported 586 injection site reactions (high-level term). The most common injection site reaction adverse events were injection site erythema, injection site pain, and injection site bruising. Six participants received pain medication, steroids, or antihistamines as treatment for the

event. Eighty-eight of the 265 participants (33%) reported recurring injection site reactions. Nine participants discontinued evolocumab due to injection site reactions, and of these, 5 had recurring events and 4 had single events and then withdrew.

#### Anti-evolocumab Antibody Formation

From the 14 integrated phase 2 and phase 3 studies supporting the indication in patients with primary hyperlipidemia and mixed dyslipidemia, including the open-label extension studies, 0.1% (7 out of 4846) of subjects developed binding antibodies *after at least one dose of evolocumab*. Four out of these 7 subjects were transiently positive (negative at the last time point tested for a subject) and none of the subjects developed neutralizing antibodies.

From the 2 studies supporting the indication in patients with HoFH (20110233 and 20110271), none of the 96 subjects (80 HoFH) developed anti-evolocumab antibodies.

Binding antibodies in the baseline sample (pre-existing antibodies) were detected in 0.1% (5 out of 4662) of subjects from the phase 2 and phase 3 integrated parent studies. The applicant states that positive results from these baseline samples may be due to the presence of pre-existing antibodies capable of binding to evolocumab.

In subjects not treated with evolocumab from the phase 2 and phase 3 integrated parent studies, 0.3% (2 out of 769) of subjects tested positive for the development of binding antibodies. The applicant believes that this is due to low level pre-existing antibodies that were detected intermittently at different time points due to borderline positive results.

Across the entire evolocumab clinical program, as of the study cutoff date, 15 participants (from all participants tested and including pre-existing antibodies) tested positive for binding antibodies. Again, no neutralizing antibodies were detected in any participant. The table below presents the adverse events that correlate temporally with a positive binding antibody result in the 10 participants that had a positive result for binding antibodies after Day 1. No serious adverse events were temporally associated with a positive binding antibody result. There does not appear to be a temporal correlation between the development of binding antibodies and specific adverse events such as hypersensitivity. The applicant was asked to provide antibody titer information for these subjects but provided the signal-to-noise (S/N) value information as an alternative as this reportedly provides a relative level of the anti-evolocumab binding antibody in the sample. A positive result is defined as a screen  $S/N > 1.16$  and sample % depletion  $>$  the depletion cut point. The Office of Biotechnology Products is also reviewing the signal-to-noise (S/N) value as an alternative to reporting anti-evolocumab binding antibody titers.

Table 76: Adverse Events Occurring in Participants in Conjunction with a Positive Binding Antibody Result

Subject ID	Study Period <sup>a</sup>	Treatment	Positive Result <sup>b</sup> (Visit Day)	Additional Results	Reported AEs	S/N <sup>c</sup>
109572-07052	Parent Study Yr 1 SoC-Controlled	EvoMab 420 mg QM EvoMab + SoC	day 87 day 253 OLE day 83	(parent) days 177 and 365 (EOS visit) and OLE day 165 were negative	day 193 grade 1 folliculitis day 255 & 277 grade 1/2 influenza day 325 grade 1 back pain day 330 grade 1 sinusitis	1.40
115516-90003*	Parent Study	EvoMab 420 mg QM	day 1 day 92	(parent) no further results after day 92	day 14 grade 1 rhinitis, grade 1 laryngitis	4.08
154130-12005	Parent Study Year 1 SoC-Contr OLE All-IP	EvoMab 105 mg Q2W in Parent; EvoMab + SoC	day 29	parent day 99 to OLE day 364 were negative	day 26 grade 1 abdominal pain	1.98
154660-39020	Parent Study Year 1 SoC-Contro OLE All-IP	Placebo SC QM EvoMab + SoC	day 86	OLE: day 28 to day 708 were negative	none	1.76
158660-03017	Parent Study Year 1 SoC-Contr. OLE All-IP	EvoMab 420 mg QM SoC only EvoMab + SoC	day 29	OLE: day 85 to day 795 were negative	none	1.20
154660-70002	Parent Study Yr 1 SoC-Controlled OLE All-IP	EvoMab 70 mg Q2W SoC only EvoMab + SoC	day 27	OLE: day 84 to day 1120 were negative	none	1.61
231340-30001	Parent Study Yr 1 SoC-Controlled	Placebo EvoMab + SoC	day 36 day 85 day 337	OLE days 169, 253 and 365 were negative	day 36 grade 1 contusion day 85 grade 2 worsening diabetes mellitus	1.48 1.46 1.60

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Subject ID	Study Period <sup>a</sup>	Treatment	Positive Result <sup>b</sup> (Visit Day)	Additional Results	Reported AEs	S/N <sup>c</sup>
231340-79012	Parent Study	Placebo SC QM	day 86	OLE: day 29 to day 372 were negative	day 44 grade 1 myalgia	1.33
	Yr 1 SoC-Controlled	EvoMab + SoC	day 1			
115660-30021	Parent Study	EvoMab 140 mg Q2W	OLE day 92	(OLE) day 85 and day162 were negative	day 75 grade 1 influenza day 77 grade 1 back pain day 85 grade 1 malaise	1.59
	Yr 1 SoC-Controlled	EvoMab + SoC				
115660-41001	Parent Study	EvoMab 420 mg QM	day 183	No further results after OLE day 183	None	1.24
	Yr 1 SoC - Controlled	EvoMab + SoC				

AE = adverse event; EvoMab = evolocumab; OLE = open label extension; Q2W = once every 2 weeks; QM = once monthly; SC = subcutaneously; SoC = standard of care  
 The method used for adverse events listed included all adverse events occurring before and after the positive binding antibody result. These adverse events occurred before the positive antibody result (or at the beginning of study) through the date of the next negative finding.  
 \*This subject was antibody positive at baseline (Day 1), demonstrating the presence of pre-existing, cross-reactive antibodies prior to dosing.  
 a Study period during which anti-evolocumab antibody results (positive or negative) were reported.  
 b Antibody time point is reported in weeks.  
 c S/N = Signal to Noise of samples binding antibody-positive.  
 Source: Modified from Summary of Clinical Safety, Table 55 and Table 9 from Feb 2015 Information Request Response

In the 120-day Safety Update (data cutoff 01 July 2014), the cumulative incidence of anti-evolocumab binding antibody development after receiving at least 1 dose of evolocumab in the integrated phase 2 and phase 3 studies was 0.3% (13 of 4915 participants), compared with 0.1% (7 of 4846 participants) in the BLA. No neutralizing antibodies were detected in any participant.

## Hypersensitivity

Using the SMQ narrow search strategy with multiple preferred terms possibly associated with hypersensitivity, the incidence of potential hypersensitivity events was low overall but slightly higher in the evolocumab group compared to placebo or to any control: in the integrated parent trials (evolocumab: 3.2%; any placebo: 2.4%; any control 2.4%), the year 1 SoC-controlled period (evolocumab plus SoC: 4.4%; SoC alone: 3.3%), and the year 2+ OLE period (5.7%). Nine events of drug hypersensitivity were reported by 8 participants: one in the any evolocumab group and 1 in the any control group were in the integrated parent studies; 3 in the evolocumab plus SoC group and 1 in the SoC alone group were in the year 1 SoC-controlled period; and 2 were in the year 2+ OLE period. One event was a serious adverse event and occurred when a participant was administered moxifloxacin hydrochloride for bronchitis and experienced an anaphylactic reaction. Of the 6 evolocumab treated participants, 4 reported drug hypersensitivity caused by antibiotic administration, and 1 reported the event as caused by prednisone administration. The other participant (11622001013, from trial 20110116 on EvoMab 140 mg Q2W) reported 2 adverse events of drug hypersensitivity on the same day. This participant was a 68 year old male with a medical history of hay fever, hiatal hernia, and esophagitis (treated with omeprazole). Sixteen days after the first dose and 1 day after the last dose of evolocumab prior to the event, the participant reported swelling of the throat and sore throat. The participant received 2 additional doses of evolocumab over the next 4 weeks. The participant's concomitant medications included bisoprolol, bendroflumethiazide, potassium chloride, acetylsalicylic acid, cetirizine, and budesonide. These 2 adverse events led to the withdrawal of evolocumab. Both events were reported resolved the day following the last dose of evolocumab. The investigator assessed the event as related to EvoMab and unrelated to placebo PO and placebo PO was continued.

## Infections and Infestations

In the integrated parent studies, adverse events for the Infections and Infestations system organ class were reported in 828 (21.0%) participants in the any evolocumab group and 397 (19.1%) participants in the any control group. The most common adverse events in the any evolocumab group and the any control group were nasopharyngitis (5.9% and 4.8%), upper respiratory tract infection (3.2% and 2.7%), and influenza (2.1% and 2.0%).

In the year 1 SoC-controlled period, 815 (28.8%) participants and 388 (27.3%) participants reported an adverse event in the evolocumab plus SoC group and the SoC alone group, respectively. The most common adverse events in the evolocumab plus SoC group and the SoC alone group were nasopharyngitis (8.5% and 7.9%), upper respiratory infection (4.2% and 4.0%), and influenza (3.0% and 2.6%).

## Hepatitis C

Because the potential for increased HCV infectivity in evolocumab-treated participants is a theoretical possibility, participants believed to be at increased risk for hepatitis C were screened and monitored for HCV infection. Of the 94 participants identified at risk for HCV and tested for HCV antibody, 9 had confirmed positive antibody tests. In those 9 participants, AST/ALT were all < 2 x ULN. Two of these 9 participants had detectable HCV RNA. The other 7 participants remained HCV RNA undetectable throughout the study, and AST/ALT remained stable. A total of 3 participants had measurable HCV RNA on day 1 or subsequent visits. Two of the 3 participants were in the evolocumab group of Trial 20110115 (1 participant also had detectable viral load in the 20120138 extension study). One of these 2 participants reported an adverse event (worsening exertional angina), and in both participants transaminase levels remained < 2 x ULN. The third participant (10966402015) is discussed in the next paragraph.

Analyses were also performed to assess potential cases of hepatitis C. Broad and narrow Standardized MedDRA Queries (SMQs) or Amgen search strategies were used to assess multiple preferred terms associated with potential hepatitis C. In the narrow searches, the incidence of potential hepatitis C was low: 0% in the parent studies; in the year 1 SoC-controlled period (evolocumab plus SoC: 0%; SoC alone: 1, 0.1%) and the year 2+ OLE period (1, 0.1%). The 2 events are discussed below:

- An adverse event of hepatitis C was reported in a 59-year-old woman (10966402015) who received evolocumab 420 mg QM in the 20110109 parent trial and SoC alone in the 20120138 extension study. This adverse event was reported on day 235 (end-of-study-visit) as non-serious and evolocumab was withheld. This participant had mildly elevated ALT (59 U/L) from day 1 of the 20110109 parent study; the ALT increased to 89 U/L at Week 52. During the 20120138 extension study, a hepatitis panel was performed and an adverse event of hepatitis C was reported in response to the detectable viral load at day 235 (end-of-study visit). On Day 289, AST=40 IU/L, ALT=60 IU/L, Total bilirubin =9.0 µmol/L and hep C viral load= 565605 IU/L. Childhood blood transfusion was the suspected source of hepatitis C infection in this participant.
- An adverse event of hepatitis C antibody positive was reported in a 79-year-old woman (15566064002) who received placebo in the 20101155 parent trial and SoC alone in the 20120138 extension study. This event was discovered during routine testing before blood donation during the extension study. Additional testing revealed non-reactive hepatitis C serology, and was considered a false positive.

## Metabolism and Nutrition Disorders

In the integrated parent studies, adverse events for the Metabolism and Nutrition

Disorders system organ class were reported in 74 (2.3%) participants in the evolocumab group and 37 (1.8%) participants in the any control. The most common adverse events in the evolocumab group and the any control group were gout (0.4% and 0.1%), decreased appetite (0.3% and 0.1%), and diabetes mellitus (0.2% and 0.3%).

In the year 1 SoC-controlled period, 103 (3.6%) and 44 (3.1%) participants reported an adverse event in the evolocumab plus SoC group and the SoC alone group, respectively. The most common adverse events in the evolocumab plus SoC group and the SoC alone group were diabetes mellitus (1.0% and 0.4%), gout (0.6% and 0.4%), and type 2 diabetes mellitus (0.5% and 0.4%). In the year 2+ OLE period, 37 (3.9%) subjects reported an adverse event, and the most common adverse events were diabetes mellitus (0.7%) and type 2 diabetes mellitus (0.7%).

#### *Diabetes Mellitus*

Because diabetes related adverse events have been reported with statins, broad and narrow SMQs or Amgen search strategies were used to assess safety risks with evolocumab therapy. In addition, there has been some recent interest and supportive data in the literature suggesting that the LDL receptor may play a role in the risk of developing type 2 diabetes.<sup>54, 55</sup> PCSK9 and LDLR are also expressed in insulin-producing pancreatic islet  $\beta$  cells and there has been some animal data in the literature suggesting that this may have an affect on the function of these cells. Mbikay et. al. showed that, compared to control mice, PCSK9-null male mice over 4 months of age carried more LDLR and less insulin in their pancreas. These PCSK9-null male mice were hypoinsulinemic, hyperglycemic and glucose-intolerant; their islets exhibited signs of malformation, apoptosis and inflammation. The authors suggest cholesterol accumulation in the islets of PCSK9-deficient could be a cause of these abnormalities, considering experimental evidence of the toxic effect of accumulation of this sterol in  $\beta$  cells.<sup>56,57</sup> The authors conclude that these observations suggest that PCSK9 may be necessary for the normal function of pancreatic islets.<sup>58</sup>

Using the hyperglycaemia-new onset diabetes mellitus SMQ (narrow search) to identify potential cases, the incidence of potential diabetes events was low in the

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54 Besseling J, Kastelein JJ, Defesche JC, Hutten BA, Hovingh GK. Association Between Familial Hypercholesterolemia and Prevalence of Type 2 Diabetes Mellitus JAMA. 2015;313(10):1029-1036.

55 Preiss D, Sattar N. Does the LDL Receptor Play a Role in the Risk of Developing Type 2 Diabetes? JAMA. 2015;313(10):1016-1017.

56 Ishikawa M, Iwasaki Y, Yatoh S, Kato T, Kumadaki S, et. al. Cholesterol accumulation and diabetes in pancreatic beta-cell-specific SREBP-2 transgenic mice: a new model for lipotoxicity. J. Lipid Res. 2008; 49: 2524–2534.

57 Brunham LR, Kruit JK, Pape TD, Timmins JM, Reuwer AQ, et. al. Beta-cell ABCA1 influences insulin secretion, glucose homeostasis and response to thiazolidinedione treatment. Nat. Med. 2007; 13: 340–347.

58 Mbikay M, Sirois F, Mayne J, Wang GS, Chen A, Dewpura T, et al. PCSK9-deficient mice exhibit impaired glucose tolerance and pancreatic islet abnormalities. FEBS Lett. 2010;584:701-6.

integrated parent studies (any evolocumab: 0.9%; any control 0.8%), the year 1 SoC-controlled period (evolocumab plus SoC: 2.1%; SoC alone: 1.6%), and the year 2+ OLE period (1.8%).

Changes in HbA1c in the integrated parent and the extension studies were similar across treatment groups within the analysis periods. In the integrated parent studies, the mean change from baseline HbA1c ranged from 0.01% at Week 12 (N=2834) to 0.02% at Week 52 (N=535) in the any evolocumab group and from 0.04% at Week 12 (N=1732) to 0% at Week 52 (N=273) in the any control group. In the year 1 SoC-controlled period, the mean change from baseline HbA1c ranged from 0.03% at Week 12 (N=2203) to 0.02% at Week 52 (N=243) in the evolocumab plus SoC group and ranged from 0.07% at Week 12 (N=1068) to 0.11% at Week 52 (N=96) in the SoC alone group.

To further explore the potential for diabetes, the incidence of new onset diabetes and diabetes-related adverse events was evaluated among all patients, patients with baseline impaired fasting glucose and patients with baseline normoglycemia. The subject incidence of new onset diabetes mellitus was assessed in the integrated parent studies and the year 1 SoC-controlled period of the long-term extension studies for the following fasting blood glucose (FBG) subgroups of subjects without diabetes mellitus.

- subjects who were normoglycemic at parent study baseline (ie, FBG < 100 mg/dL at the latest time point measured prior to or on parent study day 1)
- subjects who had baseline impaired fasting glucose (IFG) at parent study baseline (ie, FBG of 100 to < 126 mg/dL at the latest time point measured prior to or on parent study day 1)
- combination of the above groups

The presence of diabetes at the parent study baseline was determined using the following criteria recommended by the Division: 1) reported medical history of diabetes mellitus, 2) diabetes medication use at baseline, and 3) FBG  $\geq$  126 mg/dL at baseline. The applicant added a fourth criterion, HbA1c  $\geq$  6.5% at baseline, to the FBG criterion for the presence of diabetes at baseline for consistency with current ADA recommendations.<sup>59</sup> In addition, subjects included in the analyses for the year 1 SoC-controlled period did not have new onset diabetes mellitus during the parent study.

New onset diabetes mellitus was defined using laboratory, adverse event, and concomitant medication data for the integrated parent studies and in the year 1 SoC-controlled period of the long-term extension studies as follows in the 4-component definition:

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59 American Diabetes Association. Classification and diagnosis of diabetes. Diabetes Care. 2015;38(Suppl. 1):S8-S16.

- local or central laboratory data: at least 2 consecutive post-baseline FBG measurements  $\geq 126$  mg/dL
- local or central laboratory data: a post-baseline HbA1c  $\geq 6.5\%$  (measured approximately every 12 weeks)
- adverse event reporting data: adverse events consistent with new onset diabetes mellitus selected from the New Onset Diabetes Mellitus Narrow Standardized MedDRA Query (SMQ)
- concomitant medications data: initiation of any anti-diabetic medications at any time during the study. Relevant concomitant medications were defined as any drug in the World Health Organization Drug Anatomical Therapeutic Chemical (WHODrug ATC) Index pharmacological/therapeutic subgroup A10 Drugs Used in Diabetes. The medications in this index were considered anti-diabetes medications without regard for the indication.

Analyses were also prepared, consistent with the Division's request (3-component definition) not including the HbA1c criterion for baseline and new onset diabetes mellitus. Per the 3-component definition at baseline, subjects with 1) reported medical history of diabetes mellitus, 2) diabetes medication use at baseline, and 3) FBG  $\geq 126$  mg/dL at baseline were considered diabetic. If not diabetic at baseline, subjects who met any of the above bulleted criteria for new onset diabetes mellitus, excluding the HbA1c criterion, were considered to have new onset diabetes mellitus per the 3-component definition.

#### New Onset Diabetes Mellitus: Integrated Parent Studies

The table below describes the subjects without diabetes at baseline. A small percentage of these subjects, which was greater in the group with baseline IFG and slightly increased in the EvoMab group, had baseline HbA1c  $\geq 6.5\%$ .

Table 77: Summary of Baseline HbA1c in Subjects without Diabetes Mellitus (Integrated Parent Analysis Set)

	Any Placebo n (%)	Any Control n (%)	EvoMab 140 mg Q2W or 420 mg QM n (%)	Any EvoMab n (%)
Subjects Without Baseline Diabetes Mellitus	1343	1822	2753	3389
Baseline normoglycemia (FBG < 100 mg/dL) - N	901	1235	1778	2166
baseline HbA1c $\geq 6.5\%$	1 (0.1)	1 (0.1)	5 (0.3)	5 (0.2)
Baseline IFG (100 $\leq$ FBG < 126 mg/dL) - N	428	570	943	1177
baseline HbA1c $\geq 6.5\%$	5 (1.2)	6 (1.1)	15 (1.6)	18 (1.5)
Baseline FBG < 126 mg/dL (normoglycemia or IFG) - N	1329	1805	2721	3343
baseline HbA1c $\geq 6.5\%$	6 (0.5)	7 (0.4)	20 (0.7)	23 (0.7)

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Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356.  
 Subjects without baseline diabetes mellitus = no diabetes recorded in medical history and no diabetic medication use at baseline.  
 FBG = fasting blood glucose; HbA1c = hemoglobin A1c; EvoMab = Evolocumab.  
 Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects.  
 Any EvoMab includes any subject with EvoMab as a component of investigational product  
 Source: modified from Table 3 from Feb 2015 FDA Information Request Response

Using either the 4-component or the 3-component definition of new onset diabetes mellitus and diabetes mellitus at baseline, no notable differences were observed between treatment groups in the median change from baseline in FBG or HbA1c over time for each baseline FBG subgroup. Using the 4-component definition of new onset diabetes mellitus and no diabetes mellitus at baseline, all post-dose HbA1c medians were  $\leq 5.8\%$  for both groups. In subjects with baseline IFG, the post-dose median FBG was  $\leq 106$  mg/dL for both treatments at each study visit.

Using the 3-component definition, rather than the 4-component definition, of new onset diabetes mellitus, fewer subjects were identified as having new onset diabetes mellitus during the studies. The overall results between the EvoMab and control groups were similar to the 4-component analysis but both are presented below for comparison. Using the 3-component definition analysis, in the group with IFG (defined as  $100 \leq \text{FBG} < 126$  mg/dL), there was a small increase in post baseline new onset diabetes in the EvoMab group (29, 3.1% in EvoMab vs 11, 2.6% in Placebo vs 11, 1.9% in Any Control).

Table 78: Incidence of New Onset Diabetes Mellitus Without Incorporating HbA1c  $\geq 6.5\%$  (Integrated Parent Analysis Set)

Subjects With	Any Placebo (N=1343) n (%)	Any Control (N=1822) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N=2753) n (%)	Any EvoMab (N=3389) n (%)
<b>Baseline normoglycemia (FBG &lt; 100 mg/dL)</b>	901 (67.1)	1235 (67.8)	1778 (64.6)	2166 (63.9)
Post baseline new onset diabetes	0	1 (0.1)	2 (0.1)	2 (0.1)
Diabetes AE	0	0	0	0
$\geq 2$ consecutive post-baseline FBG $\geq 126$ mg/dL	0	0	1 (0.1)	1 (0.0)
Concomitant medications used for diabetes	0	1 (0.1)	1 (0.1)	1 (0.0)
<b>Baseline IFG (<math>100 \leq \text{FBG} &lt; 126</math> mg/dL)</b>	428 (31.9)	570 (31.3)	943 (34.3)	1177 (34.7)
Post baseline new onset diabetes	11 (2.6)	11 (1.9)	29 (3.1)	35 (3.0)
Diabetes AE	3 (0.7)	3 (0.5)	4 (0.4)	4 (0.3)
$\geq 2$ consecutive post-baseline FBG $\geq 126$ mg/dL	11 (2.6)	11 (1.9)	22 (2.3)	28 (2.4)
Concomitant medications used for diabetes	3 (0.7)	3 (0.5)	6 (0.6)	6 (0.5)
<b>Baseline FBG &lt; 126 mg/dL (normoglycemia or IFG)</b>	1329 (99.0)	1805 (99.1)	2721 (98.8)	3343 (98.6)

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Post baseline new onset diabetes	11 (0.8)	12 (0.7)	31 (1.1)	37 (1.1)
Diabetes AE	3 (0.2)	3 (0.2)	4 (0.1)	4 (0.1)
≥2 consecutive post-baseline FBG ≥ 126 mg/dL	11 (0.8)	11 (0.6)	23 (0.8)	29 (0.9)
Concomitant medications used for diabetes	3 (0.2)	4 (0.2)	7 (0.3)	7 (0.2)
Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356. Subjects without baseline diabetes mellitus = no diabetes recorded in medical history and no diabetic medication use at baseline. FBG = fasting blood glucose; HbA1c = hemoglobin A1c; EvoMab = Evolocumab. N = number of subjects randomized in the integrated parent analysis set without diabetes mellitus (defined as no diabetes recorded in medical history and no diabetic medication use at baseline); Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects. Any EvoMab includes any subject with EvoMab as a component of investigational product Source: modified from Table 5 from Feb 2015 FDA Information Request Response				

Using the 4-component definition of new onset diabetes, the subject incidence of new onset diabetes mellitus in the integrated parent studies was similar in subjects with either normoglycemia or IFG who received any evolocumab (1.9%) or any control (1.7%). Not surprisingly, more subjects with baseline IFG (53 subjects [4.6%] any evolocumab, 23 subjects [4.1%] any control) had new onset diabetes mellitus compared with subjects with baseline normoglycemia (11 [0.5%] any evolocumab, 7 [0.6%] any control) (see table below). In the group with IFG (defined as  $100 \leq \text{FBG} < 126$  mg/dL), there was a slight increase in ≥2 consecutive post-baseline FBG ≥ 126 mg/dL (20, 2.2% in EvoMab vs 8, 1.9% in Placebo vs 8, 1.4% in Any Control). This finding was not seen for diabetes AEs, concomitant medications used for diabetes or post baseline HbA1c ≥ 6.5%. Overall, no notable differences were found in the incidence of new onset of diabetes between the evolocumab and control groups. In the table below, unlike in the previous table using the 3-component definition of new onset diabetes, participants who had HbA1c ≥ 6.5% at baseline were excluded. For example, there were a total of 2753 participants in the EvoMab 140 mg Q2W/420 mg QM group in the previous table. This number of participants has been reduced to a total of 2730 participants due to the additional requirement that their baseline HbA1c had to be <6.5%.

Table 79: Incidence of New Onset Diabetes Mellitus Incorporating HbA1c ≥ 6.5% (Integrated Parent Analysis Set)

Subjects With	Any Placebo (N=1335) n (%)	Any Control (N=1813) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N=2730) n (%)	Any EvoMab (N=3363) n (%)
<b>Baseline normoglycemia (FBG &lt; 100 mg/dL)</b>	900 (67.4)	1234 (68.1)	1773 (64.9)	2161 (64.3)
Post baseline new onset diabetes	6 (0.7)	7 (0.6)	11 (0.6)	11 (0.5)
Diabetes AE	0	0	0	0
≥2 consecutive post-baseline FBG ≥ 126 mg/dL	0	0	1 (0.1)	1 (0.0)
Concomitant medications used for diabetes	0	1 (0.1)	1 (0.1)	1 (0.0)
Any post baseline HbA1c ≥ 6.5%	6 (0.7)	6 (0.5)	10 (0.6)	10 (0.5)

<b>Baseline IFG (100 ≤ FBG &lt; 126 mg/dL)</b>	423 (31.7)	564 (31.1)	928 (34.0)	1159 (34.5)
Post baseline new onset diabetes	23 (5.4)	23 (4.1)	42 (4.5)	53 (4.6)
Diabetes AE	3 (0.7)	3 (0.5)	2 (0.2)	2 (0.2)
≥2 consecutive post-baseline FBG ≥ 126 mg/dL	8 (1.9)	8 (1.4)	20 (2.2)	26 (2.2)
Concomitant medications used for diabetes	3 (0.7)	3 (0.5)	3 (0.3)	3 (0.3)
Any post baseline HbA1c ≥ 6.5%	18 (4.3)	18 (3.2)	24 (2.6)	31 (2.7)
<b>Baseline FBG &lt; 126 mg/dL (normoglycemia or IFG)</b>	1323 (99.1)	1798 (99.2)	2701 (98.9)	3320 (98.7)
Post baseline new onset diabetes	29 (2.2)	30 (1.7)	53 (2.0)	64 (1.9)
Diabetes AE	3 (0.2)	3 (0.2)	2 (0.1)	2 (0.1)
≥2 consecutive post-baseline FBG ≥ 126 mg/dL	8 (0.6)	8 (0.4)	21 (0.8)	27 (0.8)
Concomitant medications used for diabetes	3 (0.2)	4 (0.2)	4 (0.1)	4 (0.1)
Any post baseline HbA1c ≥ 6.5%	24 (1.8)	24 (1.3)	34 (1.3)	41 (1.2)
Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356. FBG = fasting blood glucose; HbA1c = hemoglobin A1c; EvoMab = Evolocumab. N = number of subjects randomized in the integrated parent analysis set without diabetes mellitus (defined as no diabetes recorded in medical history, no diabetic medication use at baseline, and baseline HbA1c < 6.5%); Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects. Any EvoMab includes any subject with EvoMab as a component of investigational product Source: modified from Table 4 from Feb 2015 FDA Information Request Response				

The table below presents analyses of the integrated parent studies (12-week studies presented separately from the 52-week study) using the 4-component definition of new onset diabetes mellitus. The HRs ranged from 0.3 to 1.8 and the 95% CIs associated with each HR included 1 for all FBG subgroups.

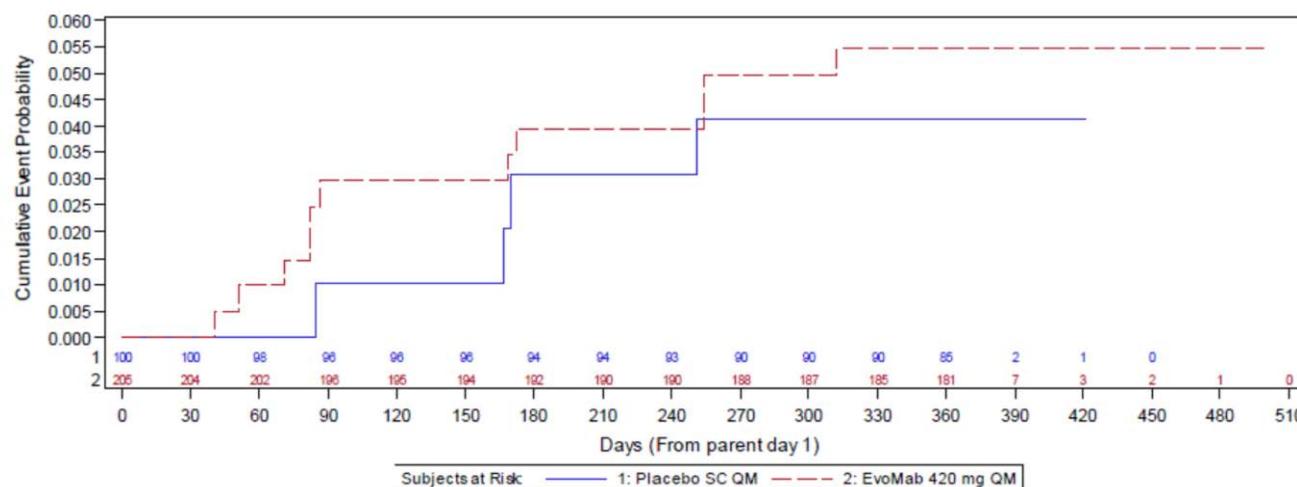
Table 80: Subgroup Analyses of Incidence of New Onset Diabetes Mellitus Incorporating HbA1c ≥ 6.5% (Integrated Parent Analysis Set)

	Control N Events - n (%)	EvoMab N Events - n (%)	Hazard Ratio <sup>a</sup> (CI)
<b>Integrated parent analysis set excluding Study 20110109 (52-week)</b>	Any Control	Any EvoMab	
Baseline normoglycemia	1074 4 (0.4)	1833 2 (0.1)	0.31 (0.06, 1.67)
Baseline IFG	465 9 (1.9)	965 33 (3.4)	1.83 (0.88, 3.83)
Baseline normoglycemia or IFG	1539 13 (0.8)	2798 35 (1.3)	1.53 (0.81, 2.89)
<b>Study 20110109 (52-week duration)</b>	Placebo SC QM	EvoMab 420 mg QM	
Baseline normoglycemia	160 3 (1.9)	328 9 (2.7)	1.46 (0.40, 5.40)
Baseline IFG	99 14 (14.1)	194 20 (10.3)	0.70 (0.35, 1.39)
Baseline normoglycemia or IFG	259	522	0.85

	17 (6.6)	29 (5.6)	(0.47, 1.54)
CI = Confidence Interval; EvoMab = Evolocumab (AMG 145); HbA1c = hemoglobin A1c; IFG = impaired fasting glucose; QM = once monthly; IFG N = number of subjects in the analysis set with IFG at baseline (defined as glucose $\geq 100$ mg/dL and $\leq 125$ mg/dL, no diabetes recorded in medical history, no diabetic medication use at baseline, baseline HbA1c $< 6.5\%$ at [parent] study baseline). Normoglycemic: N = defined as glucose $< 100$ mg/dL, no diabetes recorded in medical history, no diabetic medication use, and baseline HbA1c $< 6.5\%$ at [parent] study baseline). a The hazard ratio estimate is obtained from a Cox Proportional Hazard Model. A hazard ratio $< 1.0$ indicates a lower average event rate and a longer event free survival for evolocumab relative to control or placebo. Source: modified from Table 6 from Feb 2015 FDA Information Request Response			

Time-to-event analyses, including Kaplan-Meier (KM) curves, were conducted for new onset diabetes events, with the time to the event defined as the earliest among the following: time to the adverse event onset date, time to initiation of the first anti-diabetic medication during the study, HbA1c  $\geq 6.5\%$  (4-component analyses only), or the first of 2 consecutive FBG measurements  $\geq 126$  mg/dL. Study 20110109, which had a treatment duration of 1 year, was analyzed separately from the other parent studies, which had treatment durations of approximately 12 weeks. A time-to-event analysis (3-component definition) for new onset diabetes events in the impaired fasting glucose group is shown below.

Figure 11: Cumulative Incidence Estimates for New-Onset Diabetes (3-component definition) During Study 20110109: Subjects with Impaired Fasting Glucose and No Diabetes Mellitus at Baseline

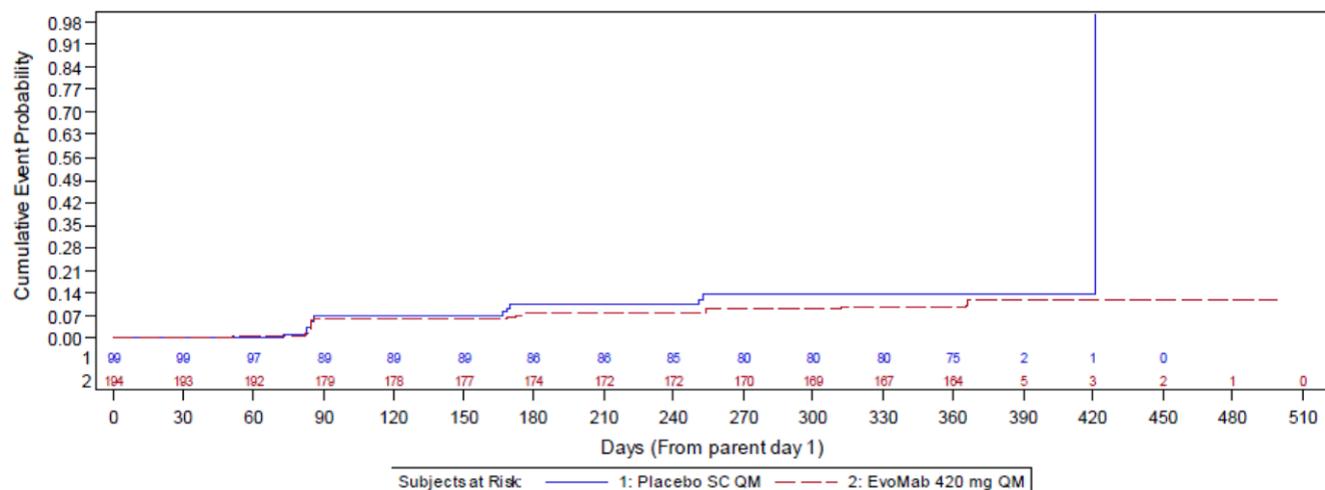


EvoMab = Evolocumab; QM = monthly; SC = subcutaneous; IP = investigational product.  
 New-onset diabetes event is defined as any of the following that happened during parent studies: new-onset diabetes AE,  $\geq 2$  consecutive post-baseline FBG  $\geq 126$  mg/dL, or started new anti-diabetic medications.  
 Estimates are obtained using Kaplan-Meier methods.  
 Source: Figure 150209q9-6.3.8 from Feb 2015 FDA Information Request Response

A time-to-event analysis using the 4-component definition for new onset diabetes events in Study 20110109 in the impaired fasting glucose group is shown below. This

analysis does not show the increase in cumulative incidence that was seen with the 3-component analysis.

Figure 12: Cumulative Incidence Estimates for New-Onset Diabetes (4-component definition) During Study 20110109: Subjects With Impaired Fasting Glucose and no Diabetes Mellitus at Baseline



EvoMab = Evolocumab; QM = monthly; SC = subcutaneous; IP = investigational product.

New-onset diabetes event is to defined as any of the following that happened during parent studies: new-onset diabetes AE,  $\geq 2$  consecutive post-baseline FBG  $\geq 126$  mg/dL, started new anti-diabetic medications or at least one post-baseline HbA1c  $\geq 6.5\%$ ,

Estimates are obtained using Kaplan-Meier methods.

Source: Figure 150209q9-6.4.8.from Feb 2015 FDA Information Request Response

### New Onset Diabetes Mellitus: Integrated Year 1 SoC-controlled Period of the Open-label Long-Term Extension Studies

A slightly higher incidence of HbA1c  $\geq 6.5\%$  was observed at baseline in subjects randomized to evolocumab plus SoC compared with SoC alone (see table below).

Table 81: Summary of Baseline HbA1c in Subjects without Diabetes Mellitus (Integrated Extension SoC-controlled Period Analysis Set)

	SoC n (%)	EvoMab+SoC n (%)
<b>Subjects Without Baseline Diabetes Mellitus</b>	1257	2550
Baseline normoglycemia (FBG < 100 mg/dL) - N	834	1647
baseline HbA1c $\geq 6.5\%$	0 (0.0)	4 (0.2)
Baseline IFG (100 $\leq$ FBG < 126 mg/dL) - N	412	876
baseline HbA1c $\geq 6.5\%$	1 (0.2)	14 (1.6)
Baseline FBG < 126 mg/dL (normoglycemia or IFG) - N	1246	2523
baseline HbA1c $\geq 6.5\%$	1 (0.1)	18 (0.7)

Includes the following studies: 20110110, 20120138.  
 Subjects without baseline diabetes mellitus = no diabetes recorded in medical history, no diabetic medication use at parent study baseline, and no new onset of diabetes during the parent study. FBG = fasting blood glucose; HbA1c = hemoglobin A1c; IFGT = impaired fasting glucose; EvoMab = Evolocumab; SoC = Standard of Care.  
 Source: modified from Table 8 from Feb 2015 FDA Information Request Response

Using the 3- or 4-component definition of new onset diabetes mellitus and diabetes mellitus at baseline, no notable differences were observed between treatment groups in the median change from baseline in HbA1c or FBG over time for each baseline FBG subgroup. In the 4-component definition, all post-dose HbA1c medians were  $\leq$  5.8% for evolocumab plus SoC and  $\leq$  5.7% for SoC alone. In subjects with baseline IFG, the median post-dose FBG was  $\leq$  106 mg/dL for evolocumab plus SoC and  $\leq$  104 mg/dL for SoC alone.

During the year 1 SoC-controlled period and using the 3-component definition of new onset diabetes mellitus, the subject incidence of new onset diabetes mellitus during the year 1 SoC-controlled period was slightly higher in subjects with either normoglycemia or IFG at parent study baseline<sup>60</sup> who received evolocumab plus SoC (1.5%) compared with those who received SoC alone (1.0%) (see table). As expected, more subjects with baseline IFG (29 subjects [3.3%] evolocumab plus SoC, 10 subjects [2.4%] SoC alone) had new onset diabetes mellitus compared with subjects with baseline normoglycemia (9 [0.5%] evolocumab plus SoC, 3 [0.4%] SoC alone). Of note, in the year 1 SoC-controlled period, the SoC and EvoMab+SoC groups were not treated identically as the EvoMab+SoC group had more clinic visits; this may have been a factor in the increased incidence of adverse events in the EvoMab+SoC group.

Table 82: Incidence of New Onset Diabetes Mellitus Without Incorporating HbA1c  $\geq$  6.5% (Integrated Extension SoC-controlled Period Analysis Set)

Subjects With	SoC (N=1257) n (%)	EvoMab + SoC (N=2550) n (%)
<b>Baseline normoglycemia (FBG &lt; 100 mg/dL)</b>	834 (66.3)	1647 (64.6)
Post baseline new onset diabetes	3 (0.4)	9 (0.5)
Diabetes AE	2 (0.2)	3 (0.2)
$\geq$ 2 consecutive post-baseline FBG $\geq$ 126 mg/dL	0	5 (0.3)
Concomitant medications used for diabetes	1 (0.1)	2 (0.1)
<b>Baseline IFG (100 <math>\leq</math> FBG &lt; 126 mg/dL)</b>	412 (32.8)	876 (34.4)
Post baseline new onset diabetes	10 (2.4)	29 (3.3)
Diabetes AE	3 (0.7)	11 (1.3)
$\geq$ 2 consecutive post-baseline FBG $\geq$ 126 mg/dL	8 (1.9)	17 (1.9)
Concomitant medications used for diabetes	1 (0.2)	10 (1.1)

<sup>60</sup> Subjects included in the analyses for the year 1 SoC-controlled period did not have new onset diabetes mellitus during the parent study.

<b>Baseline FBG &lt; 126 mg/dL (normoglycemia or IFG)</b>	1246 (99.1)	2523 (98.9)
Post baseline new onset diabetes	13 (1.0)	38 (1.5)
Diabetes AE	5 (0.4)	14 (0.6)
≥2 consecutive post-baseline FBG ≥ 126 mg/dL	8 (0.6)	22 (0.9)
Concomitant medications used for diabetes	2 (0.2)	12 (0.5)
Includes the following studies: 20110110, 20120138. Data cutoff date 01JUL2014. AE = adverse event; FBG = fasting blood glucose; HbA1c = haemoglobin A1c; IFG = impaired fasting glucose; N = number of subjects randomized in the integrated extension SoC-controlled period analysis set without diabetes (defined as no diabetes recorded in medical history, no diabetic medication use at parent study baseline and no new onset of diabetes during the parent study); EvoMab = Evolocumab (AMG 145); SoC = Standard of Care Source: modified from Table 10 from Feb 2015 FDA Information Request Response		

Using the 4-component definition of new onset diabetes mellitus, the subject incidence of new onset diabetes mellitus was similar in subjects with either normoglycemia or IFG at parent study baseline who received evolocumab plus SoC (2.9%) compared with those who received SoC alone (2.7%). More subjects with baseline IFG (53 subject [6.3%] evolocumab plus SoC, 21 subjects [5.2%] SoC alone) had new onset diabetes mellitus compared with subjects with baseline normoglycemia (18 [1.1%] evolocumab plus SoC, 12 [1.4%] SoC alone). Using the 3-component definition of new onset diabetes mellitus during the year 1 SoC-controlled period, HRs ranged from 1.4 to 1.5 and 95% CIs each included 1. Using the 4-component definition of new onset diabetes mellitus, the HRs varied and ranged from 0.8 to 1.2; wider 95% CIs associated with each HR included 1 for all FBG subgroups (see table).

Table 83: Subgroup Analyses of Incidence of New Onset Diabetes Mellitus (Integrated Extension SoC-controlled Period Analysis Set)

	SoC N Events - n (%)	EvoMab + SoC N Events - n (%)	Hazard Ratio <sup>a</sup> (CI)
<b>Incorporating HbA1c ≥ 6.5%</b>			
Baseline normoglycemia	831 12 (1.4)	1633 18 (1.1)	0.76 (0.37, 1.58)
Baseline IFG	403 21 (5.2)	845 53 (6.3)	1.23 (0.74, 2.04)
Baseline normoglycemia or IFG	1234 33 (2.7)	2478 71 (2.9)	1.08 (0.71, 1.63)
<b>Without incorporating HbA1c ≥ 6.5%</b>			
Baseline normoglycemia	834 3 (0.4)	1647 9 (0.5)	1.52 (0.41, 5.63)
Baseline IFG	412 10 (2.4)	876 29 (3.3)	1.39 (0.68, 2.85)
Baseline normoglycemia or IFG	1246 13 (1.0)	2523 38 (1.5)	1.45 (0.77, 2.73)
Data cutoff date 01JUL2014. CI = Confidence Interval; EvoMab = Evolocumab (AMG 145); HbA1c = hemoglobin A1c; IFG = impaired fasting			

glucose; SoC = standard of care

N = number of subjects in the analysis set with normoglycemia at baseline (defined as glucose < 100 mg/dL, no diabetes recorded in medical history, no diabetic medication use, no new onset of diabetes during the parent study, and, for analyses incorporating HbA1c only, baseline HbA1c < 6.5% at parent study baseline).

a The hazard ratio estimate is obtained from a Cox Proportional Hazard Model. A hazard ratio < 1.0 indicates a lower average event rate and a longer event free survival for evolocumab + SoC relative to SoC alone.

Source: modified from Table 11 from Feb 2015 FDA Information Request Response

In conclusion, new onset diabetes mellitus, HbA1c, FBG concentrations, and diabetes-related adverse events were assessed for the parent studies (6026 subjects total) and the year 1 SoC-controlled period of the long-term extension studies (4465 subjects total). The following findings were observed:

- There were small differences in baseline characteristics of evolocumab and control groups in both study periods, with a slightly higher incidence of IFG and baseline HbA1c  $\geq 6.5\%$  in subjects randomized to evolocumab.
- In the integrated parent studies, using the 3-component definition of new onset diabetes mellitus, in the group with IFG (defined as  $100 \leq \text{FBG} < 126$  mg/dL), there was a small increase in post baseline new onset diabetes in the EvoMab group (29, 3.1% in EvoMab vs 11, 2.6% in Placebo vs 11, 1.9% in Any Control). This was not seen using the 4-component definition (42, 4.5% in EvoMab vs 23, 5.4% in Placebo vs 23, 4.1% in Any Control).
- In the baseline impaired fasting glucose group, cumulative incidence estimates for new-onset diabetes in Study 20110109 were increased in the EvoMab group as compared to the placebo group using the 3-component definition; this was not seen using the 4-component definition.
- During the year 1 SoC-controlled period and using the 3-component definition of new onset diabetes mellitus, the subject incidence of new onset diabetes mellitus was slightly higher in subjects with either normoglycemia or IFG at parent study baseline who received evolocumab plus SoC (1.5%) compared with those who received SoC alone (1.0%). This finding was primarily due to more subjects with baseline IFG in the evolocumab plus SoC group (29 subjects, 3.3%) as compared to the SoC alone group (10 subjects, 2.4%) who developed new onset diabetes mellitus. This was also seen in the 4-component definition in subjects with baseline IFG: 53 subjects (6.3%) in the evolocumab plus SoC developed new onset diabetes as compared to 21 subjects (5.2%) in the SoC alone group.

A potential signal for new onset diabetes with evolocumab use, particularly with subjects with baseline impaired fasting glucose, is possible from these analyses. This potential for an increased incidence of new onset diabetes should be explored in the on-going CVOT (FOURIER). Of note, with statins, we believe that the modest diabetogenic effect is outweighed by the CV event reduction, which has been shown in CV outcomes trials in patients with diabetes.

#### Musculoskeletal and Connective Tissue Disorders

In the integrated parent trials, adverse events for the Musculoskeletal and Connective Tissue Disorders system organ class were reported in 466 (14.6%) participants in the evolocumab group (140mg Q2W or 420 mg QM) and 284 (13.7%) participants in the any control group. The most common adverse events in the evolocumab group and any control group were back pain (3.1% and 2.7%), myalgia (2.2% and 2.6%), and arthralgia (2.2% and 2.2%). In the year 1 SoC-controlled period, 541 (19.1%) participants and 216 (15.2%) participants reported an adverse event in the evolocumab plus SoC group and the SoC alone group, respectively. The most common adverse events in the evolocumab plus SoC group and the SoC alone group were arthralgia (3.4% and 2.5%), back pain (3.1% and 2.5%), myalgia (2.5% and 2.4%), and pain in extremity (2.5% and 1.5%). In the year 2+ OLE period, 268 (28.1%) participants reported an adverse event. The most common adverse events were arthralgia (6.7%), back pain (6.6%), and pain in extremity (4.6%).

Because muscle-related adverse events have been reported with approved lipid-lowering therapies, broad and narrow MedDRA SMQ search strategies were used to assess safety risks with evolocumab therapy. Using the rhabdomyolysis-myopathy SMQ (narrow search) strategy, only 1 event was found in the phase 2 and 3 trials (a case of myopathy occurring in the year 1 SoC-controlled period in a participant receiving SoC only).

In the integrated parent studies, serious adverse events for the Musculoskeletal and Connective Tissue Disorders system organ class were reported in 9 (0.2%) participants in the any evolocumab group and 2 (0.1%) participants in the any control group. Back pain was the only serious adverse event in this system organ class to be reported in > 1 participant during the parent studies (3 participants in the any evolocumab group). In the year 1 SoC-controlled period, 19 (0.7%) participants and 5 (0.4%) participants reported a serious adverse event in the evolocumab plus SoC group and SoC alone group, respectively. Osteoarthritis was the only serious adverse event in this system organ class to be reported in > 1 participant [9 (0.3%) participants in the evolocumab+SoC group and 2 (0.1%) participants in SoC only]. In the year 2+ OLE period, 7 (0.6%) reported a serious adverse event.

The following three cases report episodes of rhabdomyolysis and/or CK > 10 x ULN in healthy individuals in the Phase 1 trials suggests that evolocumab can contribute to muscle symptoms/CK increase when used as monotherapy without the potential confounding use of concomitant statin therapy.

In the phase 1 Study 20120133 (a PK equivalence study with PFS and AI/pen), a non-serious case of rhabdomyolysis was reported in a 26-year-old black male (healthy volunteer, 13366003015). This participant had elevated CK levels at screening (510 IU/L) (this value was within the protocol pre-specified CK limits of 3 x ULN) and at baseline (428 IU/L), and slightly elevated creatinine levels at screening and baseline (119.3 µmol/L [1.3 mg/dL] both times). He received 2 doses of evolocumab 140 mg separated by approximately 8 weeks. On the day of the second

and final dose of evolocumab, his CK was 327 IU/L and creatinine was 120.2  $\mu$ mol/L. At the end-of-study visit (56 days after the second dose), the adverse event of rhabdomyolysis was reported, at which time, his CK levels were 3058 IU/L ( $> 15 \times$  ULN). His CK levels peaked (12440 IU/L,  $> 62 \times$  ULN) 3 days later. Approximately 2 weeks after the peak CK, the adverse event was reported to be resolved, and the CK levels had decreased to 453 IU/L. The rhabdomyolysis adverse event did not require hospitalization and was not associated with myopathy. No other adverse events were reported for this participant.

In another phase 1 study, there were two healthy individuals on evolocumab monotherapy who developed CK  $> 10 \times$  ULN. Study 20110121 was a phase 1, single center study to evaluate the safety, tolerability, pharmacokinetics, pharmacodynamics, and immunogenicity profile of evolocumab after single subcutaneous (SC) administration in healthy Japanese subjects. Japanese subjects were randomized 6:2 to receive evolocumab or matching placebo SC at 70, 210, or 420 mg and 1 cohort of Caucasian subjects was randomized 6:2 to receive evolocumab or matching placebo SC at 210 mg. Two subjects experienced creatine kinase (CK) shifts to  $> 10 \times$  ULN:

- Subject 12166001025 (Caucasian, evolocumab 210 mg) had elevated CK value of 750 U/L on day 22 that reached 10248 U/L ( $51 \times$  ULN) on day 24 (unscheduled visit) and was 4286 U/L ( $21 \times$  ULN) on day 29. At day 36, his CK level neared normal levels, at 205 U/L, and was within normal range at subsequent visits. His CK level returned to baseline 21 days after the start of the adverse event. Creatinine remained within normal range throughout. This subject had an associated activity of walking at a car show, which this reviewer believes is unlikely to have precipitated such a CK rise. The CK elevation in Subject 12166001025 was considered a treatment related adverse event.
- Subject 12166001065 (Japanese, evolocumab 420 mg) had a slightly elevated CK value of 219 U/L ( $1.1 \times$  ULN) on day 71 that reached 3486 U/L ( $17.4 \times$  ULN) at day 85 and was within normal limits (162 U/L) 1 week later. Creatinine remained within normal range throughout. This subject had an associated activity of bicycle riding.

Serious adverse events of increased blood creatine phosphokinase were reported in 1 evolocumab monotherapy participant (10931202010) and 1 placebo participant (11742008006). For the participant on evolocumab, the serious adverse event (with a CK peak 2138 U/L from baseline 137 U/L at the time of reporting) was considered to be related to hypothyroidism; this case is discussed in more detail in Section 5.3.1 Trial 20110109: DESCARTES.

#### *Creatine Kinase Laboratories*

In the integrated parent studies, 27 (0.7%) participants in the any evolocumab group and 14 (0.7%) participants in the any control group had CK  $> 5 \times$  ULN at any postbaseline visit. For CK  $> 10 \times$  ULN, the incidence was also the same between the

groups: 9 (0.2%) participants in the any evolocumab group and 5 (0.2%) participants in any control group.

In the year 1 SoC-controlled period, 14 (0.5%) participants in the evolocumab plus SoC group and 17 (1.2%) participants in the SoC alone group had CK > 5 x ULN at any postbaseline visit. For CK > 10 x ULN, the incidence was also low and similar between the groups: 5 (0.2%) in the evolocumab plus SoC group and 8 (0.6%) in the SoC alone group.

Analyses of CK abnormalities were done by therapeutic settings (monotherapy, combination therapy and statin intolerant). The participant incidence of CK abnormalities was low and similar between the groups.

An analysis was done by Amgen to identify participants who had normal baseline CK levels, a post baseline CK elevation > 5 x ULN, and a concurrent muscle-related adverse event, in order to search for clinically meaningful muscle events. There were 51 participants with CK elevation > 5 x ULN (normal at baseline) and 613 participants with an adverse event from the HLGTT "Muscle Disorders." Of those identified participants, 6 had both a muscle adverse event and an elevated CK. Four of these 6 participants were on evolocumab treatment (2 myalgia, 1 muscle spasms, and 1 myositis) and 2 were on SoC (2 muscle spasms). Of the 4 cases occurring during treatment with evolocumab, evolocumab was continued in all but 1 participant, who was participating in the statin intolerance trial (20090159). In 5 of the 6 cases for which post peak CK values were available, serum CK improved. For the other case (on statin only), the final reported CK level was the peak CK. Creatinine was normal for all 6 participants at the time of and post CK elevation. One of the 6 events was reported by the site as likely due to hard physical labor preceding the CK elevation (participant on evolocumab only), and in 2 other cases the adverse event duration did not overlap the CK elevation (1 on evolocumab plus statin and 1 on statin only). Of the other 3 participants, 2 were treated with statins.

In the phase 2 and phase 3 integrated trials, 14 participants during the integrated parent studies [9 (0.2%) in the any evolocumab group and 5 (0.2%) in any control group], 13 during the year 1 SoC-controlled period [5 (0.2%) in the evolocumab plus SoC group and 8 (0.6%) in the SoC alone group], and 6 (0.6%) during the year 2+ OLE period had a postbaseline CK > 10 x ULN. Most of these participants had confounding factors (such as concurrent hypothyroidism, muscle and joint injuries, tendonitis, and concomitant statin therapy) that may have contributed to the events. Some of the participant narratives for CK > 10 ULN are included below:

- Subject 15856001005, a 25-year-old male, was enrolled in Year 2+ OLE study 20110110, IP evolocumab QM 420 mg (rolled over from Parent study 20090158, IP placebo, and Year 1 SoC-controlled study 20110110, IP SoC only). Baseline (BL) blood creatine phosphokinase (CK) levels for this subject were within normal limits (WNL). The parent study BL CK was 136 U/L on 16 January 2012, and BL CK for the year 2+ OLE was 124 U/L on 13 April 2013. During the Year 1 SoC-controlled study, the subject was on SoC only and continued to have

blood lab values monitored in 4 to 12 week intervals. The subject's CK levels were WNL during the Year 1 SoC-controlled study. The first dose of evolocumab in the year 2+ OLE was on 8 May 2013 (week 68 of the entire study). Approximately 20 weeks later, on 30 September 2013 (week 88), this subject's CK increased to 2853 U/L (14.3 x ULN). This was accompanied by an increase in AST (97 U/L) 2.5X upper limit of normal (ULN) and ALT above ULN (57 U/L). Creatinine was 0.8 mg/dL (WNL). Ten days later on, the CK level had decreased to 425 U/L (2.1 x ULN), and on the next visit, 20 December 2013 the CK level was WNL (141 U/L). ALT (28 U/L), AST (24 U/L), and creatinine (0.1 mg/dL) were all WNL on this date. On the follow up visit 14 March 2014 (week 100) the CK was WNL (100 U/L). There was no pertinent medical history, however twice during the study the subject reported musculoskeletal pain, in hip (March 3013) and in feet (May 2013). Pertinent concomitant medication included atorvastatin 80 mg and acetaminophen with phenylpropanolamine for flu-like symptoms that occurred during the period of increased CK. The subject continued treatment with evolocumab.

- Subject 15958001002, a 48-year-old male, was enrolled in Parent study 20090159 (statin-intolerant trial), IP evolocumab QM 350 mg. The subject's BL CK was 75 U/L (WNL) on 15 December 2011, also the date of the first dose. Twelve days after the first dose of evolocumab, the subject's CK increased to 2030 U/L (10.1 x ULN). Creatinine was 0.8 mg/dL (WNL). AST was 60 U/L (1.6 x ULN). An adverse event myositis, grade 4, was reported on 24 December 2011, 9 days after the first dose of evolocumab. On the next follow up visit, 2 January 2012, six days after the peak measurement, CK (132 U/L) and AST (21 U/L) levels returned to WNL and the myositis event resolved. Pertinent concomitant medications included ezetimibe, rosuvastatin 5 mg and acetylsalicylic acid. The subject discontinued rosuvastatin and evolocumab. The investigator assessed the adverse event myositis as related to IP.
- Subject 11466014002, a 59-year-old female, was enrolled in the parent study 20110114, IP evolocumab Q2W 140 mg. The BL CK was 82 U/L (WNL) on 25 February 2013, which was also the first dose date of evolocumab Q2W 140 mg. On 19 April 2013, the subject had surgery for repair of her Achilles tendon. The patient received on (b) (6) fentanyl, marcaine, epinephrine, midazolam, propofol, ketorolac, lidocaine, and oxycodone for the surgery to repair the Achilles tendon. Four days later, 2 weeks after the last dose of evolocumab, the subject's CK was 2246 U/L (13.3 x ULN), and this was reported as the adverse event blood creatine phosphokinase increased. On the same day, the adverse event hepatic enzyme increased was reported due to AST 422 U/L (>10x ULN) and ALT 493 U/L (>10x ULN). Creatinine was WNL (0.82 mg/dL). At the follow up visits on 29 April 2013 (week 8) and 29 May 2013 (visit week 12) the CK were 221 U/L (1.3 x ULN) and 73 U/L (WNL) respectively. The hepatic enzymes also dropped on these 2 follow up visits to close to WNL and by the week 12 visit, CK, AST, and ALT were WNL. An SAE for Hepatic enzyme increased was also recorded for this subject for study 20110114. The adverse events resolved on 29 May 2013. The subject had a medical history of hypothyroidism, enthesopathy, fibromyalgia and muscular weakness, hypertension, aortic aneurism, a renal transplant, depression and gastroesophageal reflux disease. Pertinent concomitant medication included levothyroxine, pregabalin, dexamethasone, acetylsalicylic acid. The subject discontinued treatment with evolocumab.

## Nervous System Disorders and Psychiatric Disorders

In the integrated parent trials, adverse events for the Nervous System Disorders system organ class were reported in 246 (7.7%) participants in the evolocumab group (140mg Q2W or 420 mg QM) and 164 (7.9%) participants in the any control group. The most common adverse events in the evolocumab group and the any control

group were headache (3.1% and 3.2%), dizziness (1.7% and 1.6%), and paraesthesia (0.6% and 0.4%).

In the year 1 SoC-controlled period, 239 (8.4%) participants and 100 (7.0%) participants reported an adverse event in the evolocumab plus SoC group and the SoC alone group, respectively. The most common adverse events in the evolocumab plus SoC group and the SoC alone group were headache (2.9% and 1.7%), dizziness (1.6% and 1.6%), and paraesthesia (0.6% and 0.5%).

In the year 2+ OLE period, 119 (12.5%) participants reported an adverse event, and the most common adverse events were headache (3.4%), dizziness (2.8%), and hypoaesthesia (1.4%).

During the phase 2 and 3 evolocumab studies (using the 120-day Safety Update 01 July 2014 data cutoff), a total of 5 subjects developed intracranial hemorrhage adverse events; 2 subjects (< 0.1%) were receiving evolocumab (1 event in the year 1 SoC-controlled period and 1 event in the year 2+ OLE period), and 3 subjects (0.1%) were receiving placebo or standard of care (SoC) alone (1 event in the integrated parent studies and 2 events in the year 1 SoC-controlled period) at the time of the event. The incidence of subjects who reported intracranial hemorrhage adverse events in any treatment group was low (5 out of 6026 subjects who were exposed to study treatment). None of the intracranial hemorrhage events was fatal. These 5 events are summarized as follows:

- All of the intracranial hemorrhage events except for the subdural hemorrhage were serious adverse events. The subdural hemorrhage occurred secondary to a serious adverse event of skull fracture.
- Both subjects who were receiving evolocumab plus SoC (Subjects 10916300060 and 15522001013) were withdrawn from investigational product due to the event; in 1 case the withdrawal was at the subject's request.
- Three of the 5 subjects (10957204025 [SoC alone], 15522001013 [evolocumab plus SoC], 23134023001 [SOC alone]) had LDL-C levels < 40 mg/dL at some time point before the intracranial hemorrhage event. In all 3 subjects, LDL-C < 40 mg/dL occurred intermittently, and only 1 subject experienced consecutive low-LDL-C measurements. The time between the most recent LDL-C < 40 mg/dL and event onset for these subjects ranged from 6.5 to 14 months.
- Confounding factors in the 5 subjects included skull fracture secondary to a fall subsequent to reported alcohol consumption (10966425008), recent coronary revascularization procedure and initiation of clopidogrel therapy (10957204025), event onset while smoking crack cocaine (10916300060), hypertension and identification of right middle cerebral artery aneurysm (23134023001), and hypertension and previous stroke (15522001013)

A safety signal for intracranial hemorrhage with evolocumab use was not identified in this submission.

In the integrated parent studies, adverse events for the Psychiatric Disorders system organ class were reported in 85 (2.2%) participants in the any evolocumab group and 41 (2.0%) participants in the any control group. The most common adverse events in the any evolocumab group and the any control group were insomnia (0.7% and 0.5%), anxiety (0.5% and 0.2%), and depression (0.3% and 0.6%).

In the year 1 SoC-controlled period, 94 (3.3%) participants and 33 (2.3%) participants reported an adverse event in the evolocumab plus SoC group and the SoC alone group, respectively. The most common adverse events in the evolocumab plus SoC group and the SoC alone group were insomnia (1.2% and 0.9%), depression (0.8% and 0.6%), and anxiety (0.8% and 0.4%).

In the year 2+ OLE period, 50 (5.2%) participants reported an adverse event, and the most common adverse events were depression (1.8%), insomnia (1.7%), anxiety (1.4%), libido decreased (0.3%), and sleep disorder (0.3%).

One of the theoretical safety issues is related to cognitive function in patients who achieve very low levels of circulating LDL-cholesterol with PCSK9 therapy. To examine this more thoroughly, a search was done of neurocognitive-related adverse event terms. The following is a list of the High Level Group Terms (HLGT) that was used:

- deliria (including confusion)
- cognitive and attention disorders and disturbances
- dementia and amnesic conditions
- disturbances in thinking and perception
- mental impairment disorders

For the integrated parent studies, 11 participants reported neurocognitive adverse events, and of these, 5 (0.1%) were in the any evolocumab group and 6 (0.3%) were in the any control group. The results are summarized in the table below. One event of delirium in a participant (15566056014) who received evolocumab 140 mg Q2W was considered serious due to a prolongation of hospitalization; this participant had been in a traffic accident and had alcohol withdrawal syndrome. Overall, the number of events was small and no notable differences were evident among the treatment groups.

Table 84: Adverse Events Related to Neurocognitive Function during the Parent Studies by High Level Group Term and Preferred Term (IPAS)

High Level Group Term Preferred Term	Any Placebo (N = 1526) n (%)	Any Control (N = 2080) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N = 3201) n (%)	Any EvoMab (N = 3946) n (%)
Number of subjects reporting adverse events	3 (0.2)	6 (0.3)	5 (0.2)	5 (0.1)
Deliria (incl confusion)	1 (0.1)	2 (0.1)	2 (0.1)	2 (0.1)
Delirium	0	0	1 (0.0)	1 (0.0)
Disorientation	1 (0.1)	2 (0.1)	1 (0.0)	1 (0.0)
Mental impairment disorders	2 (0.1)	4 (0.2)	3 (0.1)	3 (0.1)
Amnesia	0	0	2 (0.1)	2 (0.1)
Memory Impairment	1 (0.1)	1 (0.0)	1 (0.0)	1 (0.0)
Cognitive Disorder	0	1 (0.0)	0	0
Dementia With Lewy Bodies	1 (0.1)	1 (0.0)	0	0
Disturbance In Attention	0	1 (0.0)	0	0

N = number of subjects randomized in the integrated parent analysis set (IPAS); EvoMab = Evolocumab; HLG T = High Level Group Term; Q2W = every 2 weeks (subcutaneous) and QM = monthly (subcutaneous).

Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356.

Searched HLG T terms are deliria (incl confusion); cognitive and attention disorders and disturbances; dementia and amnesic conditions; disturbances in thinking and perception; mental impairment disorders.

Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects.

Any EvoMab includes any subject with EvoMab as a component of investigational product.

Coded using MedDRA version 17.0.

Source: Modified from ISS Table 14-6.4.402 and Summary Clinical Safety Table 65.

For the year 1 SoC-controlled period, 16 (0.6%) participants in the evolocumab plus SoC group and 3 (0.2%) in the SoC alone group reported 22 neurocognitive adverse events (see table). The number of events was small but there was slightly more participants on EvoMab for both the Parent Study and the extended study with neurocognitive adverse events (13, 0.7%) than the other groups.

Table 85: Adverse Events Using HLGTT Related to Cognitive Function During the Extension Studies SoC-Controlled Period by High Level Group Term and Preferred Term (IECAS)

High Level Group Term Preferred Term	Control in Parent Study		EvoMab in Parent Study		All	
	SoC (N = 472) n (%)	EvoMab + SoC (N = 943) n (%)	SoC (N = 947) n (%)	EvoMab + SoC (N = 1890) n (%)	SoC (N = 1419) n (%)	EvoMab + SoC (N = 2833) n (%)
Number of subjects reporting adverse events	1 (0.2)	3 (0.3)	2 (0.2)	13 (0.7)	3 (0.2)	16 (0.6)
Deliria (incl confusion)	0 (0.0)	1 (0.1)	0 (0.0)	1 (0.1)	0 (0.0)	2 (0.1)
Confusional State	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)
Disorientation	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	1 (0.0)
Mental impairment disorders	1 (0.2)	2 (0.2)	2 (0.2)	12 (0.6)	3 (0.2)	14 (0.5)
Memory Impairment	1 (0.2)	2 (0.2)	1 (0.1)	5 (0.3)	2 (0.1)	7 (0.2)
Amnesia	0 (0.0)	0 (0.0)	1 (0.1)	2 (0.1)	1 (0.1)	2 (0.1)
Dementia	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.1)	0 (0.0)	2 (0.1)
Mental Impairment	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.1)	0 (0.0)	2 (0.1)
Dementia Alzheimer's Type	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	1 (0.0)

N = number of subjects randomized in the integrated extension SoC-controlled period analysis set (IECAS); EvoMab = Evolocumab; HLGTT = High Level Group Term; SoC = Standard of Care. Includes the following studies: 20110110, 20120138. Searched HLGTT terms are deliria (incl confusion); cognitive and attention disorders and disturbances; dementia and amnesic conditions; disturbances in thinking and perception; mental impairment disorders.

Coded using MedDRA version 17.0.

Source: Modified from ISS Table 14-6.4.405 and Summary Clinical Safety Table 66.

All events were reported as grade 1 or 2 in severity except for 1 event of grade 3 event of mental impairment that occurred in a participant (15566064005) who had previously reported a grade 1 event of mental impairment. This participant had recurrent events of cyclical decreased mental acuity that were considered by the investigator to be possibly related to evolocumab; the participant had a history of depression and was taking concomitant alprazolam and atorvastatin. The first event of mental impairment (grade 1) occurred on day 117 in the extension study and resolved 187 days later; the second event of mental impairment (grade 3) occurred on day 304 of the extension study and resolved after 18 days.

Thirteen of the 16 participants in the evolocumab plus SoC group had at least 1 risk factor associated with neurocognitive events, such as previous memory loss, history of depression, concurrent statins, benzodiazepine use, gabapentin use, and topiramate use. Treatment with evolocumab was continued without interruption after 14 of the 19 neurocognitive adverse events and was interrupted and restarted after 3 of the 5 remaining events. Of these 3 events, 1 event (memory impairment) was ongoing as of the 01 April 2014 data cutoff date (evolocumab was continued), 1 event (mental impairment) resolved 18 days after the event occurred (evolocumab was withheld), and the remaining event (mental impairment) resolved in 64 days (evolocumab was continued). Of the 14 events for which evolocumab dosing was not interrupted, 9 events were ongoing as of the data cutoff date, and the remaining 5 events resolved 1 to 187 days after the event occurred.

Analyses of the neurocognitive adverse events were performed for LDL-C subgroups. This is discussed in Section 7.4.1.3 LDL-C Subgroup.

### Renal and Urinary Disorders

In the integrated parent trials, adverse events for the Renal and Urinary Disorders system organ class were balanced between the groups: 58 (1.5%) participants in the any evolocumab group and 24 (1.2%) participants in the any control group, of which the most common adverse events in the any evolocumab group and any control group were hematuria (0.3% and 0.3%), nephrolithiasis (0.3% and 0.1%), and pollakiuria (0.2% and 0.1%). However, serious adverse events for this system organ class were reported in 4 (0.1%) participants in the any evolocumab group (glomerulonephritis acute, glomerulonephritis minimal lesion, IgA nephropathy, and renal failure acute) and no participants in the any control group. Narratives for these SAEs are in Section 9.10 A Selection of Narratives of Renal Adverse Events

In the year 1 SoC-controlled period, adverse events were also balanced: 47 (1.7%) participants and 29 (2.0%) participants reported an adverse event in the evolocumab plus SoC group and the SoC alone group, respectively. The most common adverse events in the evolocumab plus SoC group and the SoC alone group were hematuria (0.4% and 0.2%) and nephrolithiasis (0.2% and 0.4%). Six (0.2%) participants reported a serious adverse event in the evolocumab plus SoC group (nephrolithiasis, urinary incontinence, calculus ureteric and renal failure acute) and 1 (0.1%) participant in the SoC alone group (renal failure acute).

A total of 4 participants reported adverse events of proteinuria. In the integrated parent trials, there was one participant in the any evolocumab group and 1 in the any control group—both had proteinuria at baseline. In the year 1 SoC-controlled period, there were 2 participants and both received evolocumab plus SoC. All the proteinuria events were grade 1 or 2, time to onset of the proteinuria events ranged from 1 to 97 days and all continued IP.

### *Renal-related Laboratories*

In the integrated parent trials

- eGFR: The mean change from baseline of eGFR at Week 12 was -0.2 mL/min/1.73 m<sup>2</sup> for both the evolocumab group (140 mg Q2W or 420 mg QM) and the any control group; at Week 52 it was -0.6 mL/min/1.73 m<sup>2</sup> for the evolocumab group (140 mg Q2W or 420 mg QM) and 1.3 mL/min/1.73 m<sup>2</sup> for the any control group.
- Proteinuria: Of the participants who had no proteinuria at baseline, 159 (5.4%) participants in the evolocumab group (140 mg Q2W or 420 mg QM) and 102 (5.3%) in the any control group had postbaseline proteinuria. Of the

participants who had proteinuria at baseline, 82 (39.4%) participants in the evolocumab group (140 mg Q2W or 420 mg QM) and 42 (33.6%) in the any control group had postbaseline proteinuria. Eighty-nine (2.3%), 6 (0.2%), and 1 (< 0.1%) participants had shift in proteinuria from a negative baseline to postbaseline 1+, 2+, and 3+, respectively in the any evolocumab group compared with 44 (2.1%), 4 (0.2%), and 1 (< 0.1%) participants in the any control group.

In the year 1 SoC-controlled period

- eGFR: The mean change from baseline of eGFR at Week 24 (~75% of EvoMab and control group has data at this time point) was -0.5 mL/min/1.73 m<sup>2</sup> for the evolocumab + SoC group and -0.4 mL/min/1.73 m<sup>2</sup> for the SoC group. The Week 52 data is similar for both groups.
- Proteinuria: Of the participants who had no proteinuria at baseline, 217 (8.4%) participants in the evolocumab plus SoC group and 108 (8.3%) participants in SoC alone group had postbaseline proteinuria in the year 1 SoC-controlled period. One hundred three (3.6%), 15 (0.5%), and 2 (0.1%) participants in the evolocumab plus SoC group had shift in proteinuria from a negative baseline to postbaseline 1+, 2+, and 3+, respectively, compared with 61 (4.3%), 6 (0.4%), 1 (0.1%) participants in the SoC alone group.

Analyses of proteinuria were done by therapeutic settings (see table). The analyses were overall consistent across the monotherapy and combination therapy trials but there were imbalances in the statin-intolerant group during the year 1 SoC-controlled period.

Table 86: Analysis of Subject Incidence of Proteinuria in Subjects With No Baseline Proteinuria Across Therapeutic Settings

	Integrated Parent Studies <sup>a</sup> (placebo and active-controlled)		Year 1 SoC-controlled Period <sup>b</sup> (year 1 of OSLER1 and OSLER2)		Year 2+ OLE Period <sup>c</sup> (year 2+ of OSLER1 and OSLER2)
	Any Control n (%)	Any EvoMab n (%)	SoC n (%)	EvoMab + SoC n (%)	EvoMab + SoC n (%)
MONOTHERAPY	N = 480	N = 651	N = 264	N = 485	N = 258
Postbaseline proteinuria	23 (5.1)	31 (5.2)	17 (7.0)	40 (8.9)	1 (0.4)
COMBINATION THERAPY	N = 1466	N = 2965	N = 1028	N = 2101	N = 585
Postbaseline proteinuria	72 (5.4)	151 (5.6)	85 (9.0)	146 (7.6)	1 (0.2)
STATIN INTOLERANT	N = 134	N = 330	N = 127	N = 247	N = 111
Postbaseline proteinuria	7 (5.8)	16 (5.4)	6 (5.1)	31 (14.0)	0 (0.0)

N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; OLE = open-label extension; SoC = standard of care

<sup>a</sup> Included the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356. Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects. Any EvoMab includes any subject with EvoMab as a component of investigational product.

<sup>b</sup> Includes the following studies: 20110110, 20120138

<sup>c</sup> Includes the following studies: 20110110, 20120138

Source: Table 88 of Summary of Clinical Safety

### *Increased Proteinuria in Statin-intolerant Subjects in Year 1 OLE Study*

The subjects in the statin-intolerant trials, as compared to the monotherapy and statin combination therapy trials, were older, were more likely to be at high or moderately high CHD risk, and had a higher incidence of diabetes and hypertension. In this population with more CV risk factors, the incidence of proteinuria was balanced in the integrated parent studies in statin-intolerant subjects (16, 5.4% EvoMab vs 7, 5.8% Any Control). However, an increased incidence of proteinuria in statin intolerant participants during the year 1 SoC-controlled period was reported in the evolocumab group (31, 14.0%) as compared to the SoC group (6, 5.1%) (data cutoff date 01 April 2014). Data from the 120-day Safety Update showed a continued imbalance in proteinuria during the year 1 SoC-controlled period for subjects from the statin-intolerant studies (33 [14.6%] subjects receiving evolocumab plus SoC and 7 [5.7%] subjects receiving SoC alone; data cutoff date 01 July 2014). In the open-label extension year 1 SoC-controlled period, urine protein was measured twice through urine dipstick testing in Study 20110110 (at enrollment and week 52) and 4 times in Study 20120138 (at enrollment and at weeks 12, 24, and 48). Unscheduled repeat testing due to positive urine protein results was not routinely performed. Only dipstick urinalyses were obtained and quantitative measurements (e.g., urinary protein/creatinine ratios) were not performed. Of the 33 subjects from the 120-day safety update with proteinuria on EvoMab, 13 subjects had no subsequent testing

after the positive result in the OLE year 1 study. In the 20 evolocumab plus SoC-treated, statin-intolerant subjects for whom repeat testing after a positive result was available, 16 subjects had resolution of the proteinuria (transient events) on subsequent testing in the OLE year 1 study. Two subjects had episodic proteinuria, which initially resolved but had subsequent positive results. Proteinuria persisted in 2 of the 33 subjects. Both subjects had potential confounding factors:

- 1 subject had trace proteinuria at baseline in the parent study. This subject's first positive protein result occurred at the OLE week 0 visit on the same date as initiating evolocumab following placebo in the parent study. The subject had received placebo in the parent study and had a history of chronic kidney disease, hypertension, hepatitis C and HIV
- 1 subject had trace proteinuria at baseline in the parent study and a history of renal cancer, a nephrectomy and hypertension.

During the year 1 SoC-controlled period, for subjects with statin intolerance who had baseline proteinuria ( $\geq 1+$ ) and a later postbaseline negative or trace result during the study, the incidence was 38% in the evolocumab plus SoC group and 40% in the SoC alone group.

Of the 33 statin-intolerant subjects in the evolocumab plus SoC group, 26 subjects had confounding factors for the development of proteinuria in either their documented medical history or as adverse events. The most common confounding factors among these subjects were a medical history of diabetes and/or hypertension (23/33 subjects had either a history of hypertension or diabetes: 11 had diabetes; 22 had hypertension; 10 had both diabetes and hypertension.). Additional confounding factors included a medical history of microalbuminuria, nephrolithiasis, viral infection (including HIV and hepatitis C) and chronic kidney disease. Four subjects also had adverse events at the time of the proteinuria which may have contributed. These adverse events included a worsening of diabetes, hypertension, recent upper respiratory infection, and recent viral infection. Twenty-nine subjects had 1+ proteinuria and 4 subjects had 2+ proteinuria. All 4 subjects with 2+ proteinuria had confounding factors: 1 of these subjects had diabetes, hypertension and non-steroidal anti-inflammatory drug (NSAID) use, and the remaining 3 subjects had a history of hypertension, one of whom also had a history of diabetes. Two of these 3 subjects resolved on repeat testing; the remaining subject did not have a repeat urine protein test.

Of the 7 subjects in the SoC alone group with negative or trace proteinuria at baseline who experienced proteinuria post baseline, all were 1+. Three cases resolved on repeat testing and 4 cases had no repeat testing in the OLE year 1 study as of 01 July 2014. Four subjects in this group had potential confounding factors which included a history of hypertension, diabetes, nephrolithiasis, or NSAID use.

While the incidence of proteinuria was balanced in the integrated parent studies in subjects with statin-intolerance, there was a small but greater incidence of proteinuria

in the statin-intolerant subjects who had no baseline proteinuria in the evolocumab plus SoC group, compared with the SoC alone group. Of note, there were no nonclinical concerns for renal toxicity or proteinuria.

*Increased Proteinuria in Diabetic Subjects in Year 1 OLE Study*

The incidence of proteinuria in diabetic subjects was numerically smaller in the EvoMab group in the integrated parent studies (29, 6.1% EvoMab vs 21, 9.4% Any Control). In the OLE year 1 SoC-controlled period (data cutoff 01 April 2014), there were 560/4252 (13.2%) subjects overall with type 2 diabetes mellitus at baseline: 359/2833 (12.7%) subjects in the evolocumab plus SoC group and 201/1419 (14.2%) subjects in the SoC alone group. Diabetic patients, with no baseline proteinuria, in the year 1 SoC-controlled period had a greater incidence of proteinuria (43, 13.7%) in the EvoMab group compared to the SoC group (20, 10.8%) and to the entire integrated population on EvoMab (217, 8.4%). As of the data cutoff date 01 July 2014, there were 50 [15.1%] subjects receiving evolocumab plus SoC and 27 [13.6%] subjects receiving SoC alone who developed proteinuria. A summary of these 50 subjects with diabetes mellitus who developed proteinuria in the evolocumab plus SoC group follows:

- 6 out of 50 subjects had a positive urine protein at the year 1, OLE week 0 visit on the same date as evolocumab was initiated following placebo in the parent study.
- 40 of these 50 diabetic subjects had a history of hypertension.
- 40 of the 50 subjects had 1+ proteinuria.
- 10 of the 50 subjects had 2+ proteinuria: All 10 subjects had a history of hypertension. Four of the 10 subjects had an HbA1C  $\geq$  7% and 3/10 subjects had blood glucose levels  $\geq$  200 mg/dL, consistent with poor glucose control.
- No subjects had > 2+ proteinuria.
- Of the 50 subjects, 21 had resolution of the proteinuria on subsequent testing in the OLE year 1 study, 17 subjects had no subsequent testing following the positive result in the OLE year 1 study as of the 120-day Safety Update and 2 cases were fluctuating (ie, a positive result which resolved to negative or trace but was followed by a subsequent positive result).
- Proteinuria persisted in 10 of 50 subjects:
  - 9 of the 10 subjects had a history of hypertension; 3 had a HbA1C  $\geq$  7% and blood glucose levels  $\geq$  200 mg/dL; 4 had concomitant rosuvastatin use; 2 had concomitant NSAID use; and 1 subject had a medical history of impaired renal function.
  - In the remaining subject (Subject 23134022008) with no notable confounding factors, the subject's first positive urine protein result

occurred at the OLE week 0 visit on the same date as initiating evolocumab following placebo in the parent study.

Twenty-seven subjects in the SoC alone group developed proteinuria. Of these, 22 subjects had 1+ proteinuria and 5 had 2+ proteinuria. Ten subjects resolved on repeat testing, 8 cases of proteinuria persisted, and 9 subjects had no subsequent testing following the positive result in the year 1 OLE study. Twenty four of the 27 subjects in the SoC alone group had a history of hypertension including the 5 subjects with 2+ proteinuria.

While the incidence of proteinuria was balanced in the integrated parent studies in subjects with diabetes mellitus, there was a small but greater incidence of proteinuria in diabetic subjects who had no baseline proteinuria in the evolocumab plus SoC group, compared with the SoC alone group. Both the EvoMab and SoC alone group had additional confounding factors for the development of proteinuria, such as hypertension, diabetes and concomitant medications.

#### Skin and Subcutaneous Tissue Disorders

In the integrated parent studies, adverse events for the Skin and Subcutaneous Tissue Disorders system organ class were reported in 188 (4.8%) participants in the any evolocumab group and 93 (4.5%) in the any control group. The most common adverse events in the evolocumab group and the any control group were rash (0.9% and 0.7%), eczema (0.4% and 0.1%), pruritus (0.4% and 0.8%), and urticaria (0.4% and 0.1%).

In the year 1 SoC-controlled period, 178 (6.3%) and 61 (4.3%) participants reported an adverse event in the evolocumab plus SoC group and the SoC alone groups, respectively. The most common adverse events in the evolocumab plus SoC group and the SoC alone group were rash (1.2% and 0.6%), contact dermatitis (0.6% and 0.5%), pruritus (0.5% and 0.1%), urticaria (0.4% and 0.4%), and eczema (0.4% and 0.9%).

For the year 2+ OLE period, 77 (8.1%) participants reported an adverse event, and the most common adverse events were rash (1.5%), pruritus (1.0%), and contact dermatitis (0.9%).

Summary narratives of some of the cases presented in the BLA follow:

- One of the participants who reported a rash adverse event had a skin biopsy consistent with drug eruption. This 62-year-old male participant (15566030005) reported a grade 2 rash, which was patchy and scaly with central erythema on back, abdomen, arms, and leg. The event occurred approximately 20 months after he received his first dose of evolocumab and 7 days after receiving the most recent dose of evolocumab. He was treated with oral antihistamines, topical steroid cream, and antibiotics. Evolocumab was continued. The rash flared 2 days after the next

administration of evolocumab, and persisted during the next 3 administrations of evolocumab. A skin biopsy obtained and reviewed by a dermatopathologist reported focal interface dermatitis and superficial perivascular and interstitial mixed inflammatory infiltrate including numerous eosinophils. The report stated “a drug eruption is the major consideration in the microscopic differential diagnosis. Subacute eczema, especially allergic contact dermatitis, is another possibility.” Evolocumab was discontinued. All anti-evolocumab antibody results were negative. The participant reported that the rash persists and had not resolved as of the data cutoff date.

- A 54-year-old white woman (11760001004, evolocumab 140 mg Q2W group) with a medical history of photosensitivity reaction, generalized pruritus following vitamin D3/calcium, and rash and edema because of allergy to aspartame, developed erythema (reported term: red dots) with associated pruritus during trial 20110117. Approximately 2 months after receiving the first dose of evolocumab, she developed erythema that appeared 5 to 6 hours after the evolocumab injection and persisted for several weeks. After the next injection, erythema and pruritus developed on her neck. The pruritus resolved approximately 9 hours post injection. Approximately 2 months later, a first report of histopathological findings from a skin biopsy indicated the event was possibly drug induced and the differential diagnosis included borreliosis, necrobiosis lipoidica, or scleroderma. Immunofluorescence assessment results indicated possible vasculitis. A second-opinion report of the skin biopsy indicated no evidence of vasculitis or malignancy. This report also indicated that connective tissue disorder was a consideration in the differential diagnosis and that a connective tissue disease-like drug reaction was another possibility. Evolocumab was continued and she completed the study. The event of erythema was reported as resolved approximately 2 weeks after the biopsy.

Five participants (all on evolocumab) reported adverse events of angioedema. Four of the participants each reported 1 event of angioedema. One participant reported multiple events of angioedema.

- Subject 15466024006: reported multiple events of angioedema, had a medical history of fruit allergies and injection site reactions in the parent study. She was treated with oral and IV antihistamines and oral, intramuscular, and IV corticosteroids. She was withdrawn from evolocumab. She had multiple events of angioedema after discontinuing evolocumab.
- Subject 15566030001: (EvoMab 420 mg QM in study 20101155 and 20110110) received a course of amoxicillin for sinusitis approximately 2 weeks prior to the event of angioedema and had moderate adverse event of urticaria during the same time frame as the adverse event of angioedema. The angioedema resolved. EvoMab was continued.
- Subject 11666014003: (EvoMab 420 mg QM in study 20110116 and 20120138) reported both angioedema and allergic urticaria occurring on the same day; seen by an allergist who believed events were due to pantoprazole or aspirin. Both of these medications were discontinued and the angioedema and urticaria resolved.
- Subject 15566032034: angioedema occurred approximately 6 weeks after initiating allopurinol treatment for gout. Allopurinol and evolocumab were withdrawn, and the angioedema resolved.

- Subject 11558003002: (EvoMab 420 mg QM in study 20110115 and 20120138) history of periorbital rash and localized angioedema in the eyes, believed to be related to cosmetic use. Last dose of EvoMab approximately one month after start of AE. This subject's angioedema was reported as ongoing at the end of the study.

A serious adverse event of anaphylactic reaction was reported for one participant in the year 1 SoC-controlled period in the 120-day Safety Update. This update provided safety data from the 3 ongoing extension studies up to a data cutoff date of 01 July 2014.

- The participant (35666002009) was a 65-year old man in the evolocumab plus SoC group who had a history of hypertension and allergies to sulfa and quinapril. Concomitant medications included lisinopril, atenolol, and pravastatin. While undergoing tooth extraction approximately 10 months after initiation of evolocumab dosing, he received an intravenous bolus of penicillin; approximately 15 minutes later the subject developed hypotension, lip and tongue swelling, chest tightness, and diffuse erythema. He was diagnosed with an anaphylactic reaction likely related to penicillin and/or angiotensin-converting-enzyme inhibitor and was treated with epinephrine, methylprednisolone, and diphenhydramine. The event resolved within 2 days with no change in evolocumab dose required. He continued evolocumab treatment and did not have anaphylactic reaction reported again.

### 7.3.5.2 HoFH Trials

The clinically notable incidence of adverse events for Trial 20110233 part B and Trial 20110271 (420 mg Q2W dose) is presented in this section. Updated information from Trial 20110271 is discussed in Section 7.7.1 120-Day Safety Update for BLA: Primary Hyperlipidemia and HoFH Populations.

No important differences were identified in analyses of adverse events by organ system or syndrome in the HoFH population versus the primary hyperlipidemia population.

Table 87: Adverse Events by System Organ Class in Trial 20110233 Part B (Full Analysis Set)

	Placebo	EvoMab 420 mg QM
System Organ Class <i>Preferred Term</i>	Total (N = 16) n (%)	Total (N = 33) n (%)
Number of subjects reporting adverse events	10 (62.5)	12 (36.4)
Cardiac Disorders	1 (6.3)	0
Gastrointestinal Disorders	3 (18.8)	1 (3.0)
General Disorders and Administration Site Conditions	3 (18.8)	2 (6.1)

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Infections and Infestations	1 (6.3)	10 (30.3)
<i>Influenza</i>	0 (0.0)	3 (9.1)
<i>Upper Respiratory Tract Infection</i>	1 (6.3)	3 (9.1)
Injury, Poisoning and Procedural Complications	0	1 (3.0)
Investigations	2 (12.5)	1 (3.0)
Musculoskeletal and Connective Tissue Disorders	1 (6.3)	2 (6.1)
Nervous System Disorders	3 (18.8)	0
Reproductive System and Breast Disorders	1 (6.3)	1 (3.0)
Respiratory, Thoracic and Mediastinal Disorders	0	1 (3.0)

N = number of HoFH subjects randomized and dosed in full analysis set; HoFH=Homozygous Familial Hypercholesterolemia; EvoMab=Evolocumab (AMG 145). Coded using MedDRA version 16.1.  
 Source: modified from Table 14-6.2.1 of CSR for trial 20110233 B

HoFH Trial: Participants Who Received Evolocumab 420 mg Q2W in 120-day Safety Update

As shown in the table below, in the 120-day Safety Update (data cutoff 01 July 2014), the subject incidence of adverse events (82.1%) was numerically greater in the subgroup of 28 HoFH participants who only received 420 mg Q2W dosing, compared with the incidence in participants in the other HoFH and HeFH subgroups by dosing regimen (range of 53.3% to 66.7%). This was also true for the SAEs. Of note, the Q2W only group was the group receiving apheresis and 68% of this group had HoFH compared to 34% in the non-apheresis group. The apheresis group had a higher cardiovascular risk at baseline compared to the non-apheresis group. The apheresis group, due to their apheresis schedule, also had more frequent protocol-specified visits than those participants who started out with QM dosing.

There were more AEs in the HoFH and HeFH 420 mg Q2W group related to injection site reactions (such as erythema, pain, haematoma, bruising) than were reported in the HoFH and HeFH 420 mg QM dose group.

Table 88: Adverse Events in Study 20110271 by Dosing Regimen (QM only, Q2W only, or QM and Q2W)

	20110271: HoFH			20110271: HeFH		
	Q2W Only <sup>a</sup>	QM and Q2W <sup>b</sup>	QM Only <sup>c</sup>	Q2W Only <sup>a</sup>	QM and Q2W <sup>b</sup>	QM Only <sup>c</sup>
Total Number of Participants	28	47	25	15	3	124
Number of participants reporting adverse event (AE) - n (%)						
Adverse events	23 (82.1)	29 (61.7)	16 (64.0)	8 (53.3)	2 (66.7)	70 (56.5)
SAEs	4 (14.3)	6 (12.8)	0	1 (6.7)	0	2 (1.6)
AEs leading to d/c of evolocumab	0	1 (2.1)	0	0	0	1 (0.8)

Data cutoff date 01JUL2014. HeFH = heterozygous familial hypercholesterolemia; HoFH = homozygous familial hypercholesterolemia; Q2W = once every 2 weeks; QM = once monthly.

a Apheresis subjects who did not switch from their initial dose of 420 mg Q2W.  
b Non-apheresis subjects who switched from their initial dose of 420 mg QM to 420 mg Q2W, and apheresis subjects who switched from their initial dose of 420 mg Q2W to 420 mg QM.  
c Non-apheresis subjects who did not switch from their initial dose of 420 mg QM.  
Source: Applicant's response to Feb 2015 Information Request.

The SAEs in the HoFH 420 mg Q2W group included AV fistula thrombosis, carotid artery occlusion, haematuria and myocardial ischaemia. The SAEs in the HoFH QM/Q2W group included angina pectoris, aortic stenosis, aortic valve disease, chest pain, coronary artery disease, coronary artery occlusion, and non-cardiac chest pain. There were no SAEs in the HoFH QM group. The SAE in the HeFH Q2W group was angina pectoris. The SAEs in the HeFH QM group included colitis and uterine prolapse. None of these serious adverse events were fatal and most were cardiovascular events, which is consistent with the increased incidence of such events in subjects with HoFH.

AEs that led to evolocumab discontinuation include rash in the HoFH QM/Q2W group and myalgia/muscle spasms/ malaise/pyrexia in one HeFH participant on QM dosing. A description of the rash AE in the HoFH participant in the QM/Q2W group follows:

- Subject 23356001008 was a 50-year-old man with HoFH from South Africa who uptitrated from QM to Q2W dosing at OLE week 12 and then continued Q2W dosing until he discontinued evolocumab at approximately OLE week 30 due to rash. Episodic worsening of the rash, which was treated in 1 instance with chlorpheniramine, was reported while the subject was receiving evolocumab 420 mg QM in Study 20110233 and Study 20110271. The rash was ongoing as of the 01 July 2014 data cutoff; but the company reports that the rash subsequently resolved per correspondence with the investigator. This subject tested negative for anti-evolocumab antibodies both before and after stopping evolocumab; however, serum IgE levels were found to be persistently elevated to 3x upper limit of normal (ULN) up to 6 months after stopping evolocumab.

#### Experience of Uptitration Group

Per the study protocol, non-apheresis participants could have their dose uptitrated at OLE week 12 from 420 mg QM to 420 mg Q2W if serum unbound proprotein convertase subtilisin/kexin type 9 (PCSK9) was not maximally suppressed (defined by the protocol as a concentration  $\geq 100$  ng/mL) and apheresis participants could have their dose downtitrated from 420 mg Q2W to 420 mg QM if there was sufficient evidence of LDL-C reduction with Q2W dosing (defined by the protocol as a reduction from baseline  $\geq 5\%$ ). Titration could also occur at the OLE week 24 visit. As of the BLA submission (data cutoff 1 April 2014), 31 non-apheresis participants (30 HoFH and 1 HeFH) had their dose uptitrated from 420 mg QM to 420 mg Q2W in OLE Study 20110271. As of the 120-day Safety Update (data cutoff 1 July 2014), this increased to 43 non-apheresis participants (41 HoFH and 2 severe HeFH).

Of the 43 non-apheresis participants in the 120-day Safety Update who had their dose uptitrated from 420 mg QM to 420 mg Q2W, 3 participants subsequently had their dose downtitrated back to 420 mg QM. Investigators indicated that downtitration was primarily based on the comparatively small incremental LDL-C reduction associated with 420 mg Q2W dosing in these 3 participants. A review of adverse events in these 3 participants did not identify any safety issues before downtitration.

For apheresis participants, there were 4 in the BLA and 7 in the 120-day Safety Update who had their dose downtitrated from Q2W dosing to QM dosing. It appears that none of these participants had safety issues contributing to the decision to downtitrate.

This reviewer is concerned that the safety and efficacy data submitted to date to support the 420 mg Q2W dosing regimen, as opposed to the 420 mg QM dosing regimen, is quite limited and is potentially insufficient to make an informed evaluation.

## **7.4 Supportive Safety Results**

### **7.4.1 Common Adverse Events**

#### **7.4.1.1 Primary Hyperlipidemia/Mixed Dyslipidemia**

In the integrated parent trials, which combines the Phase 2 trials (Process 1 drug formulation) and Phase 3 trials (Process 2 to-be-marketed drug formulation), the incidences of adverse events in the evolocumab 140 mg Q2W (43.6%) and the placebo Q2W groups (41.0%) were similar as well as for evolocumab 420 mg QM group (54.0%) and placebo QM groups (54.6%). As discussed elsewhere in this document, the higher incidence of adverse events with QM dosing was likely due to an additional ~900 participants reporting adverse events only for QM dosing. For example, the 1-year trial (20110109) only used QM dosing whereas some of the 12-week trials used both Q2W and QM dosing. In addition, there were 2 phase 2 trials (ie, 20090158, 20090159) in participants with HeFH and statin-intolerance, respectively that only had QM dosing. These unique trial populations may have contributed to the difference as well. To address this discrepancy, some analyses were done excluding data from trial 20110109 and analyses were done separately for phase 2 and phase 3 trials so that a more accurate dose comparison could be made as well as exploring any differences between the Process 1 and Process 2 drug formulation.

The incidence of participants in the integrated, 12-week, parent phase 2 (Process 1 drug formulation only) analysis who experienced at least 1 adverse event was higher in the evolocumab group (58.3%) compared with any control (49.8%). The 3 most

common adverse events in the evolocumab group were (evolocumab; any placebo) nasopharyngitis (7.7%; 6.6%), upper respiratory tract infection (5.1%; 3.7%), and back pain (4.5%; 2.3%).

In the integrated, 12-week, parent phase 3 (Process 2 to-be-marketed drug formulation only) analysis, the incidence of participants who experienced at least 1 adverse event was similar between the evolocumab 140 mg every 2 weeks group (43.8%) and the 420 mg once monthly group (43.4%) –this was slightly greater than the two corresponding placebo groups (40.9% and 42.7%, respectively). The 3 most common adverse events in the evolocumab group (140 mg Q2W) were nasopharyngitis, back pain and arthralgia and for the 420 mg QM group were headache, pain in extremity and nasopharyngitis.

Table 89: Adverse Events by Preferred Term in Descending Order of Frequency Preferred Terms Reported by ≥ 1% of Subjects in Either EvoMab Group [Integrated Parent Analysis Set Phase 3 (Process 2; to-be-marketed formulation) Trials: 20110114, 20110115, 20110116, 20110117]

Preferred Term	Control			EvoMab	
	Placebo Q2W (N = 411) n (%)	Placebo QM (N = 410) n (%)	Ezetimibe QD (N = 477) n (%)	140 mg Q2W (N = 921) n (%)	420 mg QM (N = 927) n (%)
<b># of subjects reporting AEs</b>	168 (40.9)	175 (42.7)	233 (48.8)	403 (43.8)	402 (43.4)
Headache	14 (3.4)	9 (2.2)	19 (4.0)	20 (2.2)	35 (3.8)
Pain In Extremity	4 (1.0)	7 (1.7)	5 (1.0)	14 (1.5)	25 (2.7)
Nasopharyngitis	8 (1.9)	8 (2.0)	13 (2.7)	23 (2.5)	24 (2.6)
Back Pain	6 (1.5)	13 (3.2)	12 (2.5)	23 (2.5)	20 (2.2)
Myalgia	4 (1.0)	10 (2.4)	25 (5.2)	17 (1.8)	20 (2.2)
Muscle Spasms	5 (1.2)	4 (1.0)	11 (2.3)	17 (1.8)	19 (2.0)
Arthralgia	7 (1.7)	6 (1.5)	11 (2.3)	21 (2.3)	18 (1.9)
Nausea	5 (1.2)	5 (1.2)	11 (2.3)	15 (1.6)	18 (1.9)
Dizziness	6 (1.5)	5 (1.2)	9 (1.9)	9 (1.0)	15 (1.6)
Fatigue	3 (0.7)	4 (1.0)	15 (3.1)	14 (1.5)	15 (1.6)
Diarrhoea	8 (1.9)	9 (2.2)	12 (2.5)	16 (1.7)	14 (1.5)
URI	8 (1.9)	5 (1.2)	8 (1.7)	16 (1.7)	14 (1.5)
UTI	4 (1.0)	6 (1.5)	7 (1.5)	14 (1.5)	12 (1.3)
Cough	0 (0.0)	1 (0.2)	4 (0.8)	11 (1.2)	11 (1.2)
Contusion	1 (0.2)	2 (0.5)	4 (0.8)	9 (1.0)	9 (1.0)
Inj. Site Bruising	1 (0.2)	4 (1.0)	4 (0.8)	0 (0.0)	9 (1.0)
Inj. Site Pain	1 (0.2)	3 (0.7)	1 (0.2)	5 (0.5)	9 (1.0)
Oedema Periph	2 (0.5)	3 (0.7)	5 (1.0)	4 (0.4)	9 (1.0)
Hypertension	3 (0.7)	6 (1.5)	4 (0.8)	10 (1.1)	8 (0.9)
Constipation	8 (1.9)	1 (0.2)	2 (0.4)	11 (1.2)	6 (0.6)
Abd. Distension	0 (0.0)	1 (0.2)	3 (0.6)	9 (1.0)	5 (0.5)
Influenza	1 (0.2)	2 (0.5)	7 (1.5)	9 (1.0)	4 (0.4)

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Preferred Term	Control			EvoMab	
	Placebo Q2W (N = 411) n (%)	Placebo QM (N = 410) n (%)	Ezetimibe QD (N = 477) n (%)	140 mg Q2W (N = 921) n (%)	420 mg QM (N = 927) n (%)
Bronchitis	2 (0.5)	4 (1.0)	1 (0.2)	12 (1.3)	2 (0.2)

Includes the following studies: 20110114, 20110115, 20110116, 20110117.  
 Data cutoff date 01APR2014.  
 N = number of subjects randomized in the integrated parent analysis set excluding subjects taking ezetimibe without subcutaneous placebo; EvoMab = Evolocumab; QD = once a day; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous. Coded using MedDRA version 17.0.  
 Source: Modified from Table 14110601-6.6.11; Response to IR 06Nov2014

The following table combines the placebo groups from the table above (Any Placebo), the placebo groups and the ezetimibe group (Any Control), and both EvoMab groups (Any EvoMab). In these pools, the most common adverse events in the Any EvoMab group where EvoMab > Placebo were (evolocumab; any placebo) headache (3.0%; 2.8%), nasopharyngitis (2.5%; 1.9%), arthralgia (2.1%; 1.6%), pain in extremity (2.1%; 1.3%) and myalgia (2.0%; 1.7%).

Table 90: Adverse Events by Preferred Term in Descending Order of Frequency; Preferred Terms Reported by ≥ 1% of Subjects in Any Treatment Group, Collapsed Groups (Integrated Parent Analysis Set Phase 3 Process 2 Studies: 20110114, 20110115, 20110116, 20110117)

Preferred Term	Any Placebo (N = 821) n (%)	Any Control (N = 1298) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N = 1848) n (%)
Number of subjects reporting AEs	343 (41.8)	576 (44.4)	805 (43.6)
Headache	23 (2.8)	42 (3.2)	55 (3.0)
Nasopharyngitis	16 (1.9)	29 (2.2)	47 (2.5)
Back Pain	19 (2.3)	31 (2.4)	43 (2.3)
Arthralgia	13 (1.6)	24 (1.8)	39 (2.1)
Pain In Extremity	11 (1.3)	16 (1.2)	39 (2.1)
Myalgia	14 (1.7)	39 (3.0)	37 (2.0)
Diarrhoea	17 (2.1)	29 (2.2)	30 (1.6)
Upper Respiratory Tract Infection	13 (1.6)	21 (1.6)	30 (1.6)
Fatigue	7 (0.9)	22 (1.7)	29 (1.6)
Urinary Tract Infection	10 (1.2)	17 (1.3)	26 (1.4)
Dizziness	11 (1.3)	20 (1.5)	24 (1.3)
Cough	1 (0.1)	5 (0.4)	22 (1.2)
Contusion	3 (0.4)	7 (0.5)	18 (1.0)
Hypertension	9 (1.1)	13 (1.0)	18 (1.0)
Constipation	9 (1.1)	11 (0.8)	17 (0.9)

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Includes the following studies: 20110114, 20110115, 20110116, 20110117. Data cutoff date 01APR2014. N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; Q2W = every 2 weeks (subcutaneous) and QM = monthly (subcutaneous). Any Control includes subcutaneous placebo and ezetimibe with subcutaneous placebo subjects. Coded using MedDRA version 17.0.

Shaded rows indicate incidence in EvoMab > Placebo

Source: Table 1-6 and 14110601-6.6.12; Response to IR 06Nov2014

In the integrated parent trials, which combines the Phase 2 trials (Process 1 drug formulation) and Phase 3 trials (Process 2 to-be-marketed drug formulation), the most common adverse events (any evolocumab and any control groups, respectively) were nasopharyngitis (5.9% and 4.8%), upper respiratory tract infection (3.2% and 2.7%), headache (3.0% and 3.2%), back pain (3.0% and 2.7%), and myalgia (2.5% and 2.6%) (see table).

Table 91: Adverse Events During the Parent Trials by Preferred Term in Descending Order of Frequency Preferred Terms Reported by ≥ 1% of Participants in Any Treatment Group (Integrated Parent Analysis Set)

Preferred Term	Any Placebo (N = 1526) n (%)	Any Control (N = 2080) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N = 3201) n (%)	Any EvoMab (N = 3946) n (%)
Number of participants reporting adverse events	753 (49.3)	1031 (49.6)	1599 (50.0)	2016 (51.1)
Nasopharyngitis	77 (5.0)	99 (4.8)	154 (4.8)	231 (5.9)
Upper Respiratory Tract Infection	43 (2.8)	56 (2.7)	103 (3.2)	127 (3.2)
Headache	46 (3.0)	66 (3.2)	98 (3.1)	120 (3.0)
Back Pain	44 (2.9)	57 (2.7)	99 (3.1)	117 (3.0)
Myalgia	28 (1.8)	55 (2.6)	70 (2.2)	98 (2.5)
Arthralgia	33 (2.2)	45 (2.2)	72 (2.2)	91 (2.3)
Influenza	32 (2.1)	41 (2.0)	73 (2.3)	83 (2.1)
Nausea	25 (1.6)	37 (1.8)	68 (2.1)	81 (2.1)
Diarrhoea	36 (2.4)	50 (2.4)	63 (2.0)	79 (2.0)

Includes the following trials: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356. N = number of participants randomized in the integrated parent analysis set; EvoMab = Evolocumab. Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects. Any EvoMab includes any subject with EvoMab as a component of investigational product. Coded using MedDRA version 17.0. Source: ISS Table 14-6.2.2

For the year 1 SoC-controlled period, the most common adverse events (evolocumab plus SoC and SoC alone groups, respectively) were nasopharyngitis (8.5% and 7.9%), upper respiratory tract infection (4.2% and 4.0%), arthralgia (3.4% and 2.5%), back pain (3.1% and 2.5%), and hypertension (3.1% and 2.7%) (see table).

Table 92: Adverse Events During the Year 1 SoC-Controlled Period by Preferred Term in Descending Order of Frequency Preferred Terms Reported by ≥ 2% of Participants in All Evolocumab plus SoC Group (IECAS)

Preferred Term	Control in Parent Study		EvoMab in Parent Study		All	
	SoC (N = 472) n (%)	EvoMab + SoC (N = 943) n (%)	SoC (N = 947) n (%)	EvoMab + SoC (N = 1890) n (%)	SoC (N = 1419) n (%)	EvoMab + SoC (N = 2833) n (%)
Number of subjects reporting adverse events	265 (56.1)	567 (60.1)	516 (54.5)	1141 (60.4)	781 (55.0)	1708 (60.3)
Nasopharyngitis	39 (8.3)	85 (9.0)	73 (7.7)	157 (8.3)	112 (7.9)	242 (8.5)
Upper Respiratory Tract Infection	17 (3.6)	32 (3.4)	40 (4.2)	87 (4.6)	57 (4.0)	119 (4.2)
Arthralgia	12 (2.5)	24 (2.5)	24 (2.5)	73 (3.9)	36 (2.5)	97 (3.4)
Back Pain	9 (1.9)	20 (2.1)	26 (2.7)	69 (3.7)	35 (2.5)	89 (3.1)
Hypertension	9 (1.9)	31 (3.3)	30 (3.2)	58 (3.1)	39 (2.7)	89 (3.1)
Influenza	14 (3.0)	29 (3.1)	23 (2.4)	55 (2.9)	37 (2.6)	84 (3.0)
Headache	4 (0.8)	22 (2.3)	20 (2.1)	60 (3.2)	24 (1.7)	82 (2.9)
Bronchitis	15 (3.2)	19 (2.0)	28 (3.0)	54 (2.9)	43 (3.0)	73 (2.6)
Cough	9 (1.9)	15 (1.6)	29 (3.1)	56 (3.0)	38 (2.7)	71 (2.5)
Myalgia	11 (2.3)	29 (3.1)	23 (2.4)	42 (2.2)	34 (2.4)	71 (2.5)
Pain In Extremity	5 (1.1)	15 (1.6)	16 (1.7)	56 (3.0)	21 (1.5)	71 (2.5)
Urinary Tract Infection	8 (1.7)	27 (2.9)	17 (1.8)	38 (2.0)	25 (1.8)	65 (2.3)
Diarrhoea	7 (1.5)	19 (2.0)	14 (1.5)	44 (2.3)	21 (1.5)	63 (2.2)
Sinusitis	15 (3.2)	14 (1.5)	22 (2.3)	47 (2.5)	37 (2.6)	61 (2.2)
Fatigue	4 (0.8)	20 (2.1)	8 (0.8)	40 (2.1)	12 (0.8)	60 (2.1)

N = number of subjects randomized in the integrated extension SoC-controlled period analysis set; EvoMab = Evolocumab; SoC = Standard of Care. Includes the following trials: 20110110, 20120138

Coded using MedDRA version 17.0.

Source: Modified from ISS Table 14-6.2.5 and Summary Clinical Safety Table 28

#### 7.4.1.2 HoFH

The common adverse events in the HoFH participants were similar to those seen in the primary hyperlipidemia and mixed dyslipidemia trials in the evolocumab program. Please refer to Table 113: Cumulative Subject Incidences of Adverse Events by System Organ Class in the Evolocumab BLA and 120-day Safety Update Trial 20110271 (HoFH Interim Analysis Set) for additional information.

#### 7.4.1.3 LDL-C Subgroup

##### Safety in Subjects Who Achieved LDL-C < 40 mg/dL in Primary Hyperlipidemia Trials

A summary of baseline characteristics, by minimum postbaseline achieved LDL-C subgroups (< 25 mg/dL, <40 mg/dL, and ≥ 40 mg/dL), was examined for the integrated parent studies and year 1 SoC-controlled period. Baseline characteristics in the LDL-C subgroups were overall similar to those in the general study population of the integrated parent studies and year 1 SoC-controlled period. Some differences were noted and include:

- With the exception of triglycerides and very low-density lipoprotein cholesterol (VLDL-C), mean and median baseline lipid levels were higher for subjects with a minimum on-study LDL-C  $\geq 40$  mg/dL compared to subjects with a minimum on-study LDL-C  $< 25$  mg/dL or  $< 40$  mg/dL
- There was more baseline statin use, and more use of moderate- and high-intensity (vs. low-intensity) statins, among patients who achieved LDL-C  $< 25$  mg/dL or  $< 40$  mg/dL
- There was a slightly greater percentage of subjects with coronary artery disease, diabetes or hypertension among those who achieved LDL-C  $< 25$  mg/dL or  $< 40$  mg/dL
- There was a slightly greater percentage of subjects in the NCEP CHD high risk or moderately high risk categories who achieved LDL-C  $< 25$  mg/dL or  $< 40$  mg/dL

Regardless of LDL-C level achieved during the study, in the integrated parent studies, the majority of subjects ( $\geq 94\%$ ) completed IP. Likewise, for year 1 of the OLE period,  $\geq 91\%$  of subjects either completed or are continuing IP ( $>36\%$  of subjects completed IP and  $>51\%$  are continuing IP).

Analyses of adverse events were performed by LDL-C subgroup (see table), although it is important to note that these are not randomized comparisons. As very few participants on placebo or ezetimibe achieved low LDL C, it is difficult to make meaningful comparisons between the control and EvoMab groups. However, since randomized comparisons are not possible in this analysis, it does allow for a comparison of adverse events in the EvoMab low-LDL group versus EvoMab higher LDL group. In the integrated parent studies, adverse events were reported in 826 (51.3%) participants in the any evolocumab group who achieved LDL-C  $< 25$  mg/dL and 1308 (51.0%) participants in the any evolocumab group who achieved LDL-C  $< 40$  mg/dL compared with 696 (52.0%) participants in the any evolocumab group with LDL-C  $\geq 40$  mg/dL and 1018 (50.0%) participants in the any control group with LDL-C  $\geq 40$  mg/dL. There were no remarkable findings in the different subgroups regarding common adverse events. In the integrated parent trial analysis, there does not appear to be a signal for diabetes or eye disorder AEs by achieved LDL-C subgroup. In this analysis, no safety signal was identified for neurocognitive adverse events with evolocumab regardless of LDL-C level. Of note, most of the trials in this group are short-term (12 week duration), except for the one-year placebo controlled trial, which limits the likelihood of finding an adverse effect from prolonged low LDL-C levels.

Table 93: Adverse Events by Achieved LDL-C Subgroup in Integrated Parent Studies

LDL-C < 25 mg/dL		LDL-C < 40 mg/dL		LDL C ≥ 40 mg/dL		
<b>Controlled Blinded Studies</b>						
<b>N</b>	Any EvoMab N=1609	Any Control N=6	Any EvoMab N=2565	Control N=30	Any EvoMab N=1339	Control N=2038
Median exposure, months	3.2		3.2		3.0	3.2
All AEs	826 (51.3%)	4 (66.7%)	1308 (51.0%)	12 (40.0%)	696 (52.0%)	1018 (50.0%)
SAEs	47 (2.9%)	1 (16.7%)	70 (2.7%)	2 (6.7%)	35 (2.6%)	41 (2.0%)
<b>Most common AEs</b>						
nasopharyngitis	6.5%	33.3%	6.6%	10.0%	4.6%	4.7%
upper respiratory tract infection	4.0%	0%	3.6%	0%	2.6%	2.7%
back pain	3.5%	0%	3.2%	0%	2.5%	2.8%
arthralgia	2.7%	0%	2.4%	0%	2.2%	2.2%
influenza	2.6%	0%	2.3%	0%	1.9%	2.0%
headache	2.6%	0%	2.7%	0%	3.6%	3.2%
cough	2.3%	0%	2.5%	0%	1.1%	1.3%
myalgia	2.2%	0%	1.9%	0%	3.6%	2.7%
diarrhea	2.1%	0%	2.0%	3.3%	2.0%	2.0%
dizziness	2.1%	0%	1.7%	0%	1.5%	1.7%
nausea	1.9%	0%	1.9%	0%	2.4%	1.8%
fatigue	1.6%	0%	1.6%	0%	2.0%	2.4%
<b>Other AEs of interest</b>						
Diabetes Mellitus	6, 0.4%	0%	8, 0.3%	0%	4, 0.3%	6, 0.3%
Type 2 DM	5, 0.3%	0%	6, 0.2%	0%	1, 0.1%	4, 0.2%
Hyperglycaemia	2, 0.1%	0%	2, 0.1%	0%	3, 0.2%	2, 0.1%
Eye Disorders SOC	25, 1.6%	0%	37, 1.4%	1, 3.3%	23, 1.7%	29, 1.4%
Cataract	4, 0.2%	0%	4, 0.2%	0%	1, 0.1%	1, 0%
Vision blurred	1, 0.1%	0%	2, 0.2%	1, 3.3%	4, 0.3%	1, 0%
Vitreous floaters	1, 0.1%	0%	3, 0.1%	0%	0%	2, 0.1%
Conjunctival haemorrhage	2, 0.1%	0%	2, 0.1%	0%	0%	2, 0.1%
Conjunctivitis Allergic	2, 0.1%	0%	2, 0.1%	0%	1, 0.1%	0%

Lacrimation increased	2, 0.1%	0%	2, 0.1%	0%	0%	0%
<b>Select Nervous System Disorders AEs</b>						
amnesia	1, 0.1%	0, 0%	1, 0%	0, 0%	1, 0.1%	0, 0%
cognitive disorder	0	0	0	0	0, 0%	1, 0%
Disturbance in attention	0	0	0	0	0, 0%	1, 0%
memory impairment	0	0	0	0	1, 0.1%	1, 0%
<b>Select Psychiatric Disorders AEs</b>						
disorientation	0	0	0	0	1, 0.1%	2, 0.1%

Source: Summary of Clinical Safety, Appendix Table 7 and ISS table 14-6.3.110, 14-6.3.116 and 14-6.3.122

In the one-year, open-label, extension trials, there were no notable differences in the incidence of common adverse events across the LDL-C subgroups. There does not appear to be a signal for eye disorder AEs by LDL subgroup. There is a numeric increase in AEs of diabetes, in the two lower LDL subgroups. As shown in the table below, in the neurocognitive events in the LDL < 40 mg/dL EvoMab group, there is an imbalance of events as compared to the LDL > 40 mg/dL EvoMab group: [8 (0.6%) vs 1/1427 (0.1%)]. It is important to note that the safety information and adverse event data from this year 1 SoC-controlled period reflects a median exposure of 7.4 months of controlled but open-label data.

Table 94: Adverse Events by Achieved LDL-C Subgroup in One-Year Extension Trials

	LDL-C < 25 mg/dL		LDL-C <40 mg/dL		LDL C ≥ 40 mg/dL	
<b>Year 1 SoC-controlled Period</b>						
<b>N</b>	EvoMab+ SoC: N=666	SoC alone: N=4	EvoMab +SoC: N=1369	SoC alone: N=12	EvoMab +SoC: N=1427	SoC alone: N=1380
Median exposure, months	8.3		8.2		7.2	7.4
All AEs	394 (59.2%)	0%	814 (59.5%)	4 (33.3%)	882 (61.8%)	774 (56.1%)
SAEs	34 (5.1%)	0 (0%)	68 (5.0%)	0 (0%)	85 (6.0%)	80 (5.8%)
<b>Most common AEs (EvoMab+SoC and SoC alone)</b>						
nasopharyngitis	10.2%	0%	9.2%	8.3%	8.1%	8.0%
upper respiratory tract infection	4.4%	0%	3.9%	0%	4.6%	4.1%
back pain	4.2%	0%	3.7%	0%	2.7%	2.5%
arthralgia	3.8%	0%	4.2%	0%	2.7%	2.6%
hypertension	3.5%	0%	3.7%	0%	2.7%	2.8%
diarrhoea	3.3%	0%	2.6%	0%	2.0%	1.5%
cough	3.3%	0%	2.5%	0%	2.5%	2.8%
influenza	2.0%	0%	2.2%	0%	3.8%	2.7%
headache	2.7%	0%	2.7%	0%	3.2%	1.7%
<b>Other AEs of interest (EvoMab+SoC and SoC alone)</b>						
Diabetes	11, 1.7%	0%	20, 1.5%	0%	9, 0.6%	5, 0.4%
Type 2 DM	4, 0.6%	0%	5, 0.4%	0%	8, 0.6%	5, 0.4%
Hyperglycaemia	4, 0.6%	0%	6, 0.4%	0%	1, 0.1%	3, 0.2%
Eye Disorders SOC	15, 2.3%	0%	42, 3.1%	0%	35, 2.5%	28, 2.0%
Cataract	4, 0.6%	0%	11, 0.8%	0%	11, 0.8%	11, 0.8%
Dry Eye	3, 0.5%	0%	8, 0.6%	0%	3, 0.2%	0%
Conjunctivitis allergic	1, 0.2%	0%	4, 0.3%	0%	2, 0.1%	0%
Asthenopia	1, 0.2%	0%	1, 0.1	0%	0%	0%
<b>Select Nervous System Disorders AEs</b>						
amnesia	1, 0.2%	0, 0%	2, 0.1%	0, 0%	0, 0%	1, 0.1%
memory impairment	0	0	4, 0.3%	0	0	0
mental impairment	0	0	1, 0.1%	0	1, 0.1%	0
<b>Select Psychiatric Disorders AEs</b>						
disorientation	0	0	1, 0.1%	0	0	0

Source: Summary of Clinical Safety, Appendix Table 7, ISS Tables 14-6.3.113, 14-6.3.119 and 14-6.3.125

Shown below is a listing of participants in the Year 1 SoC-Controlled period, who had neurocognitive events and an LDL-C < 40 mg/dL in the parent study or the extension study, showing that many of these cases are confounded by other conditions or medications that could also affect cognitive function. Many of these participants also had an LDL > 40 mg/dL just prior to the event. A review of the patient narratives confirms that it is challenging to definitely attribute the neurocognitive adverse event to evolocumab vs another drug or pre-existing condition. These evaluations also lack any prospective neurocognitive testing. This potential neurocognitive safety concern is being prospectively evaluated with neurocognitive testing in a subset of the population in the on-going CVOT (FOURIER).

Table 95: Subjects with Low LDL-C and Neurocognitive Adverse Events During the Year 1 SoC-Controlled Period

Subject #	Age	Treatment/ Schedule		Preferred Term/ Verbatim Term	Time to Onset <sup>a</sup>	Outcome	Medical History	LDL-C (mg/dL)	
		Parent	Ext					Pre AE	Study Nadir <sup>b</sup>
		Sex	Grade					Serious	
15529011003	68	EvoMab 350 mg QM	EvoMab 420 mg QM	Memory impairment/ forgetful	160 days	Ongoing	Arthralgia	57	18
		2	N	Alzheimer's dementia concurrently reported; concomitant statin	N	N	Aspirin, bisoprolol, fluvastatin, nitroglycerine	66	
	F	EvoMab 350 mg QM	EvoMab 420 mg QM	Dementia Alzheimer's type/ Alzheimer dementia	160 days	Ongoing	Arthralgia	57	18
		2	N	Concomitant statin	N	N	Aspirin, bisoprolol, fluvastatin, nitroglycerine	66	
15466036017	56	EvoMab 420 mg QM	EvoMab 420 mg QM	Memory impairment/ increased forgetfulness	214 to 244 days <sup>c</sup>	Ongoing	Depression, GERD, arthritis	58	36
	F	1	N	Depression	N	N	Phenylephrine, vitamin D, aspirin, naproxen, ranitidine	52	
15466046011	52	EvoMab 420 mg QM	EvoMab 420 mg QM	Memory impairment/ forgetfulness	56 days	Ongoing	Obesity , arthritis, renal calculi	59	29
	M	1	N	Evolocumab temporarily withheld due to this event.	N	N <sup>d</sup>	Various NSAIDs	45	
15466061012	38	Placebo	EvoMab 420 mg QM	Memory impairment/ memory impairment	31 days	Resolved in 90 days	Back pain, migraine, GERD	49	39
		1	N	Reported as related to topiramate regimen	N	N	Pantoprazole, acyclovir, cyclobenzaprin, topiramate, gabapentin	48	
	F	Placebo	EvoMab 420 mg QM	Memory impairment/ memory impairment	270 days	Resolved in 43 days	Back pain, migraine, GERD	52	39
		1	N	Reported as related to topiramate regimen	N	N	Pantoprazole, acyclovir, cyclobenzaprin, topiramate, gabapentin	39	
10966434002	46	EvoMab 420 mg QM	EvoMab 420 mg QM	Memory impairment/ occasional forgetfulness	30 days	Ongoing	Ovarian cyst, anxiety	32	14
	F	1	N	Anxiety; alprazolam	N	N	Alprazolam, fish oil	58	

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15566064005	61	EvoMab 280 mg QM	EvoMab 420 mg QM	Mental impairment/ intermittent decreased mental acuity – cyclic with onset 72 hours following IP, lasting 2 weeks	117 days	Resolved in 187 days	Emphysema, malignant melanoma, anxiety, depression, anemia, arthritis	44	34
		1	N	Anxiety; depression; concomitant statin and alprazolam	Y	N	Atorvastatin, alprazolam, cyanocobalamin, ferrous sulfate, ibuprofen	74	
	M	EvoMab 280 mg QM	EvoMab 480 mg QM	Mental impairment/ worsened cyclic decreased mental acuity	304 days	Resolved in 18 days	Emphysema, malignant melanoma, anxiety, depression, anemia, arthritis	74	34
		3	N	Anxiety; depression; concomitant statin and alprazolam. Evolocumab temporarily withheld due to this event.	Y	N <sup>d</sup>	Atorvastatin, alprazolam, cyanocobalamin, ferrous sulfate, ibuprofen	42	
15566064001	55	EvoMab 105 mg Q2W	EvoMab 420 mg QM	Disorientation/ intermittent disorientation while driving car lasting seconds to 1-2 minutes	111 days	Ongoing	Arthritis, lethargy, sleep disorder, dyspepsia, myalgia, erectile dysfunction, hypersensitivity	35	29
	M	1	N	Sleep disorder; simvastatin	N	N	Aspirin, simvastatin, loratidine, ibuprofen, sildenafil	36	
15966018001	64	EvoMab 280 mg QM	EvoMab 420 mg QM	Amnesia/ amnesia	274 days	Ongoing	Bipolar disorder, depression, fatigue, insomnia, GERD, diabetes mellitus, chronic sinusitis, obesity, osteoarthritis, and drug hypersensitivity	38	28
		F	1	N	Bipolar disorder; depression; eszopiclone; lorazepam; temazepam; lamotrigine; methyphenidate; nefazodone; quetiapine	N	N	Aripiprazole, aspirin, eszopiclone, lorazepam, temazepam, lamotrigine, methyphenidate, nefazodone, quetiapine, metformin, pioglitazone, olmesartan, fish oil, vitamin supplements	
15566053008	60	EvoMab 350 mg QM	EvoMab 420 mg QM	Amnesia/ worsening memory loss	195 days	Ongoing	Hypertension, memory loss, GERD, peripheral neuropathy, spinal cord neoplasm, bone neoplasm, hypersensitivity	22	4
	M	1	N	History of memory loss; concomitant simvastatin; gabapentin	N	N	Aspirin, acetaminophen, simvastatin, hydrochlorothiazide, losartan, metoprolol, gabapentin, ranitidine	25	
11513004002	70	EvoMab 140mg Q2W	EvoMab 140mg Q2W	Memory impairment/ worsening of decreases in memory	47 days	ngoing	Decrease in memory, hypertension	102	28
		F	1	N	Decrease in memory ; concomitant statin	N	N	Atenolol, tibolone, atorvastatin	
11511009023	80	Placebo	EvoMab 140mg Q2W	Memory impairment/ memory impairment	36 days	ongoing	Depression, osteoarthritis	100	32
		F	1	N	Depression; concomitant statin	N	Y	Aspirin, fluoxetine, rosuvastatin	
34866017008	72	EvoMab 140mg Q2W	EvoMab 140mg Q2W	Dementia/ dementia	79 days	ongoing	Mild memory loss, depression, anemia, anxiety, asthma, insomnia, fibromyalgia, diabetic peripheral neuropathy, esophageal stricture, coronary artery disease, obesity, peripheral edema, dyspnea, osteoporosis	28	44
		F	2	N	Mild memory loss; depression; concomitant statin	N	N	Sertraline, triamterine/hydrochlorothiazide, sitagliptin, glyceryl trinitrate, clopidogrel, duloxetine, dicycloverine, simvastatin	
11666014004	71	Placebo	EvoMab 140mg Q2W	Confusional state/ confusion	249 days	Resolved in 1 day	Atopic dermatitis, fatigue, gastroesophageal reflux, gout, malaise, intracranial hemorrhage (resolved), palpitation, seizure disorder	40	32
		M	1	N	Reported vertigo concomitantly	N	N	Aspirin, allopurinol, clonidine, metoprolol	

AE = adverse event; Disc = discontinued; EvoMab = evolocumab; Ext = extension study; F = female; GERD = gastroesophageal reflux disease; IP = investigational product; LDL-C = low-density lipoprotein cholesterol; M = male; N = no; NSAID = nonsteroidal anti-inflammatory drug; Q2W = every 2 weeks; QM = once a month; Rel = related; Ser = serious; y = yes.

a Time to onset was calculated based on the first dose in the extension study.

b LDL-C nadir includes LDL-C values from the parent study and the extension study.

c Partial study date of July 2012 listed in the case report form.

d Evolocumab temporarily withheld as a result of this adverse event.

Includes Studies 20120138 and 20110110. Data cutoff dates were 01 April 2014 for study 20120138 and Study 20110110. Source: Modified from Appendix Table 8. Summary of Clinical Safety

A selection of narratives for participants who experienced neurocognitive events is in Section 9.9 A Selection of Narratives of Neurocognitive Adverse Events

No participants in the year 2+ OLE period reported a neurocognitive adverse event.

#### 7.4.1.4 Device Related Adverse Events

The autoinjector pen (AI/pen) was used in the phase 3 parent trials (20110114, 20110115, 20110116, and 20110117). Across the integrated phase 3 trials, fewer than 3% of participants reported a device related adverse event after administration using the AI/pen. The overall incidence of device related adverse events was similar across treatment groups (see table); however, the EvoMab QM dosing had a slightly higher incidence of adverse events than the EvoMab Q2W dosing. Device related adverse events reported in  $\geq 0.5\%$  of participants in any treatment group were injection site bruising, injection site erythema, and injection site pain. Most device related adverse events were grade 1 in severity; 5 (0.2%) participants reported a grade 2 device related adverse event. Most device-related adverse events were consistent with injection site reactions. There were 5 AI/Pen failures that were all associated with 1 cause code (“syringe broken during/after use”). These cases involved glass syringe breakage and subsequent needle breaking off and embedding into the patients’ injection site. This is still under review but may be due to the AI/pen being used when it was still cold and had not been adequately warmed to room temperature. This may have led to syringe breakage caused by impact forces generated during normal operation at the extremes of the variation limits of the combination product system.

Table 96: Device related Adverse Events During the Phase 3 Parent Studies by Preferred Term in Descending Order of Frequency (AI/pen Studies 20110114, 20110115, 20110116, 20110117) (IPAS)

Preferred Terms	Placebo SC Q2W AI/Pen (N = 651) n (%)	Placebo SC QM AI/Pen (N = 647) n (%)	EvoMab 140 mg Q2W AI/Pen (N = 921) n (%)	EvoMab 420 mg QM AI/Pen (N = 927) n (%)
Number of subjects reporting adverse events	5 (0.8)	17 (2.6)	14 (1.5)	26 (2.8)
Injection Site Bruising	1 (0.2)	5 (0.8)	0	6 (0.6)
Contusion	0	1 (0.2)	0	4 (0.4)
Injection Site Erythema	1 (0.2)	3 (0.5)	1 (0.1)	3 (0.3)

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Injection Site Pain	1 (0.2)	3 (0.5)	4 (0.4)	3 (0.3)
Injection Site Haematoma	0	0	1 (0.1)	2 (0.2)
Injection Site Haemorrhage	1 (0.2)	1 (0.2)	2 (0.2)	2 (0.2)
Injection Site Reaction	0	0	1 (0.1)	2 (0.2)
Application Site Bruise	0	0	0	1 (0.1)
Cellulitis	0	0	0	1 (0.1)
Injection Site Discharge	0	0	0	1 (0.1)
Injection Site Induration	0	0	1 (0.1)	1 (0.1)
Injection Site Inflammation	0	1 (0.2)	0	1 (0.1)
Injection Site Swelling	0	0	1 (0.1)	1 (0.1)
Pain In Extremity	0	0	0	1 (0.1)
Rash	0	0	0	1 (0.1)
Rash Erythematous	0	0	0	1 (0.1)

N = number of subjects randomized in the integrated parent analysis set (IPAS); AI/pen = autoinjector/pen; QM = once monthly; Q2W = every 2 weeks; SC = subcutaneous. Includes the following studies: 20110114, 20110115, 20110116, 20110117. Coded using MedDRA version 17.0. Source: Modified from Table 36: Summary of Clinical Safety

During the year 1 SoC-controlled period, 37 (1.9%) participants reported a device related adverse event. All 37 participants were in the evolocumab group because subjects assigned to SoC alone did not receive any placebo injections. An additional 5 participants experienced device related adverse events in Study 20120138 when including all randomized subjects. During the year 2+ OLE period, 17 participants received evolocumab via AI/pen in Study 20120138, and no device related adverse events were reported.

Two phase 3 evolocumab device clinical home-use studies were performed to assess the administration of evolocumab by participants using the 3 different devices [pre-filled syringe (PFS), AI/pen, auto mini-doser (AMD)] when the product was administered by participants or caregivers in non-clinic settings. Study 20120348 evaluated AI/pen vs PFS, and Study 20120356 evaluated AI/pen vs AMD.

In Study 20120348, no device related adverse events were reported. In Study 20120356, in the AMD group, where 82 participants received a total dose of 420 mg evolocumab via a single 3.5 mL injection administered over approximately 9 minutes, 1 (1.2%) participant experienced 2 non-serious adverse events of injection site reaction that were considered related to the device by the investigator. In the AI/pen group, where 82 participants received a total dose of 420 mg evolocumab via 3 separate 1.0 mL injections, 1 participant (1.2%) experienced an adverse event of injection site hematoma, and 1 participant (1.2%) experienced an event of pain in extremity, and both events were non-serious and considered related to the device by the investigator.

Two phase 1 clinical studies were performed to evaluate the PK equivalence and safety of the PFS, AI/pen, and AMD devices. Study 20120133 compared the AI/pen to the PFS, while Study 20110168 compared the AMD to the AI/pen. In Study

20120133, 3 (3.1%) participants reported a total of 3 non-serious device related adverse events: 2 events of injection site hemorrhage and 1 event of injection site pain. All 3 events were associated with the AI/pen and resolved without intervention.

In Study 20110168, device related adverse events were reported in a total of 109 (37.7%) participants: 39 (26.9%) participants in the 3xAI/Pen group and 70 (48.6) participants in the AMD group. None of these events were serious. Complete delivery of the device was defined as: the entire window on AI/pen turning yellow, or the AMD device light turning solid green, no observed fluid leakage during delivery, and window on device showing complete delivery. Complete delivery of evolocumab was observed for 430/435 (98.9%) of the AI/pens used and for 134/144 (93.1%) AMDs used.

#### 7.4.2 Laboratory Findings

Liver-related laboratory tests are discussed in Section 7.3.5.1 Primary Hyperlipidemia Trials, under Hepatobiliary Disorders.

##### 7.4.2.1 Renal Tests

Renal-related laboratory tests are discussed in Section 7.3.5.1 Primary Hyperlipidemia Trials, under Renal and Urinary Disorders.

##### 7.4.2.2. Creatine Kinase

Information on CK abnormalities can be found in Section 7.3.5.1 Musculoskeletal and Connective Tissue Disorders.

##### 7.4.2.3. HbA1c

Information on new onset diabetes and glucose abnormalities can be found in Section 7.3.5.1 Metabolism and Nutrition Disorders/*Diabetes Mellitus*.

#### 7.4.3 Vital Signs

Changes from baseline for systolic and diastolic blood pressure and heart rate did not reveal clinically important differences among treatment groups.

Approximately half (51.4%) of the participants in the integrated parent studies had hypertension at baseline. In the integrated parent studies, the mean change from baseline to each study time point in systolic and diastolic blood pressure ranged from -1.1 to 0.6 mmHg (systolic) and -0.8 to 0.2 mmHg (diastolic) in the any evolocumab group and -1.0 to 1.0 mmHg (systolic) and -0.8 to 0.1 mmHg (diastolic) in the any control group. In the year 1 SoC-controlled period, the mean change from baseline to

each study time point in systolic and diastolic blood pressure ranged from -0.9 to 2.1 mmHg (systolic) and -1.5 to 0.8 mmHg (diastolic) in the evolocumab plus SoC group and -0.4 to 2.0 mmHg (systolic) and 0.2 to 0.9 mmHg (diastolic) in the SoC alone group. In the year 2+ OLE period, the mean change from baseline to each study time point up to week 124 in systolic and diastolic blood pressure ranged from -1.5 to 4.9 mmHg (systolic) and -0.9 to 2.7 mmHg (diastolic).

The mean change from baseline to each study time point in heart rate ranged from 0.5 to 1.9 beats per minute (bpm) (any evolocumab group) and -0.4 to 2.3 bpm (any control group) in the integrated parent studies, from 1.5 to 3.2 bpm (evolocumab plus SoC group) and 0.9 to 1.9 bpm (SoC alone group) in the year 1 SoC-controlled period, and from 0.7 to 3.3 bpm in the year 2+ OLE period.

While no safety signal was identified regarding changes from baseline for systolic and diastolic blood pressure and heart rate among treatment groups, hypertension adverse events occurred slightly more often in the EvoMab group during the longer treatment period in the open-label, Year 1 SoC-controlled studies: 89 (3.1%) Any EvoMab vs 39 (2.7%) Any control. The participants in the EvoMab+SoC group had more frequent clinical contact and vital sign assessment than the SoC only group, which may have led to some element of reporting bias. In the 120-day Safety Update (data cutoff 01 July 2014), the incidence of hypertension AEs was not increased in the EvoMab group (3.5% evolocumab plus SoC and 3.8% SoC alone). An increase in hypertension AEs was also seen in the 52-week placebo-controlled trial 20110109: (19, 3.2% EvoMab vs 7, 2.3% placebo). This imbalance was not seen in the four phase 3 trials (13, 1.1% EvoMab vs 7, 1.2% control), but these trials were only 12 weeks in duration.

#### 7.4.4 Electrocardiograms (ECGs)

QRS prolongation beyond specified thresholds was infrequent in all treatment groups. Of participants who had baseline values < 100 msec, no participant in the evolocumab groups and 1 (0.1%) in the placebo QM group had a maximum postbaseline QRS interval change  $\geq$  50%. Of participants who had baseline values that were  $\geq$  100 msec, 1 (0.5%) in the evolocumab 140 mg Q2W group, 1 (0.4%) in the evolocumab 420 mg QM group, and no participant in the control groups had a maximum postbaseline QRS interval change  $\geq$  25%.

In the integrated parent studies, the incidence of new ECG abnormalities reported after baseline was similar among participants treated with evolocumab and those treated with any control: 229 (6.3%) in any evolocumab group and 132 (6.3%) in any control group. The most frequently reported events were sinus bradycardia, which was reported in 152 (4.2%) participants in the any evolocumab group and 91 (4.4%) participants in the any control group, and prolonged QTc, which was reported in 14 (0.4%) participants in the any evolocumab group and 8 (0.4%) in the any control group.

The DCRP QT Interdisciplinary Review Team reviewed the applicant's integrated cardiac safety report and the proposed labeling. The QT team had issued a QT waiver in July 2012 under IND 105188. The QT team commented that evolocumab is a large targeted protein and thus has a low likelihood of direct ion channel interactions. There is no evidence from nonclinical or clinical data to suggest that evolocumab has the potential to delay ventricular repolarization. The QT team believed that the applicant's proposed labeling in Section 12.2 Pharmacodynamics, namely: "In clinical studies, treatment with [TRADENAME] had no effect on the QTc interval and no relationship between [TRADENAME] concentration and QTc was observed." is reasonable.

#### 7.4.5 Special Safety Studies/Clinical Trials

None

#### 7.4.6 Immunogenicity

Overall incidence of anti-evolocumab binding antibody development after at least 1 dose of evolocumab was 0.1% (7 out of 4846 participants) in the integrated phase 2 and phase 3 trials. No neutralizing antibodies were detected in any participant. There does not appear to be a temporal correlation between the development of binding antibodies and specific adverse events such as hypersensitivity.

### 7.5 Other Safety Explorations

#### 7.5.1 Dose Dependency for Adverse Events

The evolocumab 140 mg dose administered subcutaneously every two weeks and the 420 mg dose administered subcutaneously every month led to similar reductions in LDL-C and there were no clinically remarkable differences in the safety profile for the two different dosing regimens. See Section 7.2.2 Explorations for Dose Response for additional information.

#### 7.5.2 Time Dependency for Adverse Events

Time-to-Event Analysis of Adverse Events in Primary Hyperlipidemia and Mixed Dyslipidemia Trials

The table below summarizes the time-to-event onset for adverse events reported by  $\geq$  1% of participants in any treatment group of the integrated parent trials. For the adverse events of back pain, myalgia and nausea, the time-to-onset was several days shorter in the EvoMab groups as compared to the placebo or control groups. Overall, the times to onset of common adverse events in the integrated parent studies were

similar across the treatment groups and there does not appear to be any notable pattern regarding the time of onset among the groups. Of note, no neurocognitive events were presented in the analyses of time-to-event onset for adverse events because none of these events occurred in  $\geq 1\%$  of participants in any treatment group.

Table 97: Summary of Event Onset for Adverse Events During the Parent Trials by Preferred Term in Descending Order of Frequency of Preferred Terms Reported by  $\geq 1\%$  of Participants in Any Treatment Group (Integrated Parent Analysis Set)

	Any Placebo (N=1526) n (%) or Median	Any Control (N=2080) n (%) or Median	EvoMab 140 mg Q2W or 420 mg QM (N=3201) n (%) or Median	Any EvoMab (N=3946) n (%) or Median
<b>Nasopharyngitis</b>				
Subjects with onset in period	77 (5.0)	99 (4.8)	154 (4.8)	231 (5.9)
Median time to 1st event (days) from period day 1	61	61	69	57
<b>Upper respiratory tract infection</b>				
Subjects with onset in period	43 (2.8)	56 (2.7)	103 (3.2)	127 (3.2)
Median time to 1st event (days) from period day 1	63	58	82	76
<b>Headache</b>				
Subjects with onset in period	46 (3.0)	66 (3.2)	97 (3.0)	119 (3.0)
Median time to first event (days) from period day 1	51	35	42	36
<b>Back pain</b>				
Subjects with onset in period	43 (2.8)	56 (2.7)	97 (3.0)	115 (2.9)
Median time to first event (days) from period day 1	68	67	61	55
<b>Myalgia</b>				
Subjects with onset in period	27 (1.8)	54 (2.6)	69 (2.2)	97 (2.5)
Median time to first event (days) from period day 1	44	32	28	28
<b>Arthralgia</b>				
Subjects with onset in period	32 (2.1)	43 (2.1)	71 (2.2)	90 (2.3)
Median time to first event (days) from period day 1	60	51	53	52
<b>Influenza</b>				
Subjects with onset in period	32 (2.1)	41 (2.0)	73 (2.3)	83 (2.1)
Median time to first event (days) from period day 1	71	65	77	75
<b>Nausea</b>				
Subjects with onset in period	25 (1.6)	37 (1.8)	68 (2.1)	81 (2.1)
Median time to first event (days) from period day 1	44	45	33	34
<b>Diarrhea</b>				
Subjects with onset in period	35 (2.3)	49 (2.4)	63 (2.0)	79 (2.0)

Median time to first event (days) from period day 1	35	43	41	41
<b>Cough</b>				
Subjects with onset in period	20 (1.3)	26 (1.3)	56 (1.7)	78 (2.0)
Median time to first event (days) from period day 1	58	54	85	70
N = number of subjects randomized in the integrated parent analysis set (IPAS); EvoMab = Evolocumab); QM = once monthly; Q2W = every 2 weeks; Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356. Any Control includes SC placebo and ezetimibe with or without SC placebo subjects. Any EvoMab includes any subject with EvoMab as a component of IP. Events with partial dates are excluded from median onset calculation. Coded using MedDRA version 17.0. Source: Modified from ISS Table 14-6.15.401 and Summary of Clinical Safety, Table 32.				

In the year 1 SoC-controlled period, the adverse events of bronchitis, myalgia and headache had a time-to-onset that was approximately 3 weeks shorter in the EvoMab group as compared to the control group; the remainder of the adverse events occurred earlier in the control group as compared to the EvoMab group.

### 7.5.3 Drug-Demographic Interactions

#### 7.5.3.1 Race, Age, Sex, and Ethnicity

##### Age

The primary hyperlipidemia and mixed dyslipidemia integrated parent studies contained no pediatric participants (< 18 years of age), 1779 (29.5%) participants who were ≥ 65 years old, and 223 (3.7%) participants who were ≥ 75 years old. As shown in the table below, the most common adverse events (any evolocumab and any control, respectively) in the integrated parent studies for participants ≥ 75 years of age were nasopharyngitis (5.1% and 3.1%), fatigue (3.2% and 1.5%), and hypertension (3.2% and 1.5%). Regarding the imbalance in hypertension AEs, no safety signal was identified regarding changes from baseline for systolic and diastolic blood pressure and heart rate among treatment groups. Overall, although the numbers in this group are small and an increase in adverse events with age is not unexpected, there were more adverse events in the ≥ 75 years old group with longer duration of study than in the other groups.

Table 98: Analyses of Adverse Events in the ≥ 65 Years and ≥ 75 Years Subgroups

	≥ 65 Years Subgroup	≥ 75 Years Subgroup	Entire Integrated Population
<b>Integrated Parent Studies</b>			
N	Any EvoMab: 1193 Any control: 586	Any EvoMab: 158 Any control: 65	Any EvoMab: 3946 Any control: 2080
Overall incidence of AEs in subgroup	Any EvoMab: 619 (51.9%) Any control: 282 (48.1%)	Any EvoMab: 69 (43.7%) Any control: 30 (46.2%)	Any EvoMab: 2016 (51.1%) Any control: 1031 (49.6%)
Most common AEs with subject incidence (any EvoMab and any control)	<ul style="list-style-type: none"> <li>• nasopharyngitis (5.7% and 4.2%)</li> <li>• myalgia (3.1% and 2.4%)</li> <li>• headache (3.1% and 2.9%)</li> <li>• all others &lt; 3% in any EvoMab group</li> </ul>	<ul style="list-style-type: none"> <li>• nasopharyngitis (5.1% and 3.1%)</li> <li>• fatigue (3.2% and 1.5%)</li> <li>• hypertension (3.2% and 1.5%)</li> <li>• arthralgia (3.2% and 3.1%)</li> <li>• all others &lt; 3% in any EvoMab group</li> </ul>	<ul style="list-style-type: none"> <li>• nasopharyngitis (5.9% and 4.8%)</li> <li>• upper respiratory tract infection (3.2% and 2.7%)</li> <li>• headache (3.0% and 3.2%)</li> <li>• back pain (3.0% and 2.7%)</li> <li>• myalgia (2.5% and 2.6%)</li> </ul>
<b>Year 1 SoC-controlled Period</b>			
N	EvoMab+SoC: 852 SoC alone: 449	EvoMab+SoC: 105 SoC alone: 58	EvoMab+SoC: 2833 SoC alone: 1419
Overall subject incidence of AEs in subgroup	EvoMab+SoC: 543 (63.7%) SoC alone: 260 (57.9%)	EvoMab+SoC: 69 (65.7%) SoC alone: 36 (62.1%)	EvoMab+SoC: 1708 (60.3%) SoC alone: 781 (55.0%)
Most common AEs with subject incidence (EvoMab+SoC and SoC alone)	<ul style="list-style-type: none"> <li>• nasopharyngitis (9.2% and 7.8%)</li> <li>• hypertension (4.1% and 2.4%)</li> <li>• arthralgia (3.5% and 3.3%)</li> <li>• osteoarthritis (3.2% and 1.8%)</li> <li>• headache (3.1% and 1.1%)</li> <li>• all others &lt; 3% in EvoMab+SoC group</li> </ul>	<ul style="list-style-type: none"> <li>• urinary tract infection (6.7% and 5.2%)</li> <li>• fatigue (5.7% and 1.7%)</li> <li>• nasopharyngitis (5.7% &amp; 3.4%)</li> <li>• hypertension (4.8% and 0%)</li> <li>• bronchitis (4.8% and 1.7%)</li> <li>• cough (3.8% and 3.4%)</li> <li>• all others &lt; 3% in any EvoMab+SoC group</li> </ul>	<ul style="list-style-type: none"> <li>• nasopharyngitis (8.5% and 7.9%)</li> <li>• upper respiratory tract infection (4.2% and 4.0%)</li> <li>• arthralgia (3.4% and 2.5%)</li> <li>• back pain (3.1% and 2.5%)</li> <li>• hypertension (3.1% and 2.7%)</li> </ul>
<b>Year 2+ OLE Period</b>			
N	Total: 258	Total: 23	Total: 954
Overall subject incidence of AEs in	Total: 192 (74.4%)	Total: 20 (87.0%)	713 (74.7%)

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	≥ 65 Years Subgroup	≥ 75 Years Subgroup	Entire Integrated Population
subgroup (total)			
Most common AEs with subject incidence	<ul style="list-style-type: none"> <li>• nasopharyngitis (14.3%)</li> <li>• back pain (7.4%)</li> <li>• hypertension (5.4%)</li> <li>• cough (5.0%)</li> <li>• oedema peripheral (3.9%)</li> <li>• cystitis (3.5%)</li> <li>• procedural pain (3.5%)</li> <li>• myalgia (3.5%)</li> <li>• pain in extremity (3.5%)</li> <li>• pneumonia (3.1%)</li> <li>• insomnia (3.1%)</li> <li>• all others &lt; 3%</li> </ul>	<ul style="list-style-type: none"> <li>• nasopharyngitis (17.4%)</li> <li>• contusion (13.0%)</li> <li>• cough (13.0%)</li> <li>• insomnia (13.0%)</li> <li>• rhinitis (8.7%)</li> <li>• upper respiratory tract infection (8.7%)</li> <li>• arthralgia (8.7%)</li> <li>• dizziness (8.7%)</li> <li>• nausea (8.7%)</li> <li>• oedema peripheral (8.7%)</li> <li>• fall (8.7%)</li> <li>• angina pectoris (8.7%)</li> <li>• cystitis (8.7%)</li> <li>• sciatica (8.7%)</li> <li>• syncope (8.7%)</li> <li>• all others &lt; 2 subjects in total group</li> </ul>	<ul style="list-style-type: none"> <li>• nasopharyngitis (11.7%)</li> <li>• upper respiratory tract infection (7.7%)</li> <li>• arthralgia (6.7%)</li> <li>• back pain (6.6%)</li> </ul>

AE = adverse event; EvoMab = evolocumab (AMG 145); OLE = open-label extension; SoC = standard of care  
 Source: Summary of Clinical Safety, Table 99

## Sex

### Integrated Parent Studies:

For the number of participants reporting an adverse event, more women than men reported adverse events but the control group and EvoMab groups were balanced within each subgroup of female, male, and entire integrated population for the total number of participants reporting an adverse event (see table). Some observations about the common AEs:

- The increase in upper respiratory infection, hypertension and back pain in the EvoMab group as compared to the control group is largely driven by the male population.
- The increase in cough in the EvoMab group as compared to the control group is largely driven by the female population.
- The female population reported an increase in headache and dizziness in the EvoMab group as compared to control; this was not seen in the male population.
- The male population reported a larger increase in nausea in the EvoMab group as compared to control than the female population.

Similar to the observation for the entire integrated population, more SAEs were reported in the EvoMab group than the control group regardless of sex. While there were some differences in AEs between the male and female groups, this reviewer did not believe there were any sex-specific risks and that the small differences were more likely by chance.

### Year 1 SoC-controlled Period:

Similar to the observation for the entire integrated population, more AEs and AEs that led to discontinuation were reported in the EvoMab group than the control group regardless of sex.

The male population reported an increase in SAEs in the EvoMab group as compared to control; this was not seen in the female population.

Some observations about the common AEs:

- The increase in nasopharyngitis and diarrhoea in the EvoMab group as compared to the control group is largely driven by the male population.
- The increase in upper respiratory tract infection, hypertension and influenza in the EvoMab group as compared to the control group is largely driven by the female population.

While there were some differences in AEs between the male and female groups in both study periods, this reviewer believes this reflects the play of chance and does not represent any sex-specific risks.

Table 99: Adverse Events in Females as compared to Males

	Females		Males		Entire Integrated Population	
<b>Integrated Parent Studies</b>						
N	Any Control (N = 1081) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N = 1557) n (%)	Any Control (N = 999) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N = 1644) n (%)	Any Control (N = 2080) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N = 3201) n (%)
Number of subjects reporting AEs	570 (52.7)	840 (53.9)	461 (46.1)	759 (46.2)	1031 (49.6)	1599 (50.0)
SAEs	21 (1.9)	42 (2.7)	22 (2.2)	53 (3.2)	43 (2.1%)	95 (3.0%)
Number of subjects reporting AEs leading to discontinuation of IP (3 most common AEs in women)	28 (2.6)	42 (2.7)	20 (2.0)	29 (1.8)	48 (2.3)	71 (2.2)
<i>Nausea</i>	2 (0.2)	5 (0.3)	1 (0.1)	1 (0.1)	3 (0.1)	6 (0.2)
<i>Myalgia</i>	5 (0.5)	5 (0.3)	5 (0.5)	6 (0.4)	10 (0.5)	11 (0.3)
<i>Pain In Extremity</i>	0 (0.0)	3 (0.2)	1 (0.1)	1 (0.1)	1 (0.0)	4 (0.1)
<b>Most common AEs in EvoMab group</b>						
<i>Nasopharyngitis</i>	51 (4.7)	71 (4.6)	48 (4.8)	83 (5.0)	99 (4.8)	154 (4.8)
<i>Upper Respiratory Tract Infection</i>	32 (3.0)	42 (2.7)	24 (2.4)	61 (3.7)	56 (2.7)	103 (3.2)
<i>Urinary Tract Infection</i>	32 (3.0)	52 (3.3)	2 (0.2)	5 (0.3)	34 (1.6)	57 (1.8)
<i>Back Pain</i>	37 (3.4)	55 (3.5)	20 (2.0)	44 (2.7)	57 (2.7)	99 (3.1)
<i>Myalgia</i>	20 (1.9)	34 (2.2)	35 (3.5)	36 (2.2)	55 (2.6)	70 (2.2)
<i>Influenza</i>	25 (2.3)	39 (2.5)	16 (1.6)	34 (2.1)	41 (2.0)	73 (2.3)
<i>Arthralgia</i>	23 (2.1)	38 (2.4)	22 (2.2)	34 (2.1)	45 (2.2)	72 (2.2)
<i>Pain In Extremity</i>	20 (1.9)	34 (2.2)	19 (1.9)	29 (1.8)	39 (1.9)	63 (2.0)
<i>Headache</i>	43 (4.0)	70 (4.5)	23 (2.3)	28 (1.7)	66 (3.2)	98 (3.1)
<i>Fatigue</i>	24 (2.2)	32 (2.1)	16 (1.6)	28 (1.7)	40 (1.9)	60 (1.9)
<i>Hypertension</i>	13 (1.2)	19 (1.2)	13 (1.3)	27 (1.6)	26 (1.3)	46 (1.4)
<i>Nausea</i>	27 (2.5)	42 (2.7)	10 (1.0)	26 (1.6)	37 (1.8)	68 (2.1)
<i>Cough</i>	14 (1.3)	33 (2.1)	12 (1.2)	23 (1.4)	26 (1.3)	56 (1.7)
<i>Diarrhoea</i>	26 (2.4)	40 (2.6)	24 (2.4)	23 (1.4)	50 (2.4)	63 (2.0)
<i>Dizziness</i>	18 (1.7)	35 (2.2)	16 (1.6)	19 (1.2)	34 (1.6)	54 (1.7)
<b>Year 1 SoC-controlled Period</b>						
N	SoC (N = 694) n (%)	EvoMab + SoC (N = 1417) n (%)	SoC (N = 725) n (%)	EvoMab + SoC (N = 1416) n (%)	SoC (N = 1419) n (%)	EvoMab + SoC (N = 2833) n (%)
All AEs	416 (59.9)	912 (64.4)	365 (50.3)	796 (56.2)	781 (55.0)	1708 (60.3)
SAEs	45 (6.5)	69 (4.9)	37 (5.1)	84 (5.9)	82 (5.8)	153 (5.4)
AEs leading to discontinuation of IP	0%	36 (2.5)	0%	22 (1.6)	0%	58 (2.0)
<b>Most common AEs</b>						
<i>Nasopharyngitis</i>	58 (8.4)	120 (8.5)	54 (7.4)	122 (8.6)	112 (7.9)	242 (8.5)
<i>Upper Respiratory Tract Infection</i>	24 (3.5)	69 (4.9)	33 (4.6)	50 (3.5)	57 (4.0)	119 (4.2)
<i>Back Pain</i>	18 (2.6)	42 (3.0)	17 (2.3)	47 (3.3)	35 (2.5)	89 (3.1)
<i>Hypertension</i>	17 (2.4)	45 (3.2)	22 (3.0)	44 (3.1)	39 (2.7)	89 (3.1)
<i>Arthralgia</i>	22 (3.2)	56 (4.0)	14 (1.9)	41 (2.9)	36 (2.5)	97 (3.4)

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<i>Myalgia</i>	13 (1.9)	33 (2.3)	21 (2.9)	38 (2.7)	34 (2.4)	71 (2.5)
<i>Influenza</i>	21 (3.0)	49 (3.5)	16 (2.2)	35 (2.5)	37 (2.6)	84 (3.0)
<i>Pain In Extremity</i>	15 (2.2)	38 (2.7)	6 (0.8)	33 (2.3)	21 (1.5)	71 (2.5)
<i>Diarrhoea</i>	14 (2.0)	30 (2.1)	7 (1.0)	33 (2.3)	21 (1.5)	63 (2.2)
<i>Headache</i>	14 (2.0)	50 (3.5)	10 (1.4)	32 (2.3)	24 (1.7)	82 (2.9)

N = number of subjects randomized in the integrated extension SoC-controlled period analysis set; EvoMab = Evolocumab; SoC = Standard of Care. Coded using MedDRA version 17.0.  
 Modified from ISS Tables 14-6.2.5, 14-6.2.2., 14-6.4.50., 14-6.4.53., 14-6.4.56., 14-6.4.59., 14-6.5.2., 14-6.5.53., 14-6.5.50., 14-6.5.59., 14-6.5.56., 14-6.3.50., 14-6.3.53., 14-6.3.56. and 14-6.3.59.

Race

The study population was 83% white, 9% Asian, 6% black and 2% other. The table below summarizes the reported adverse events by race. Although the sample size among the groups varies, for the most part, the adverse event reporting was similar across race groups. There were some differences such as myalgia was reported as an adverse event that led to discontinuation in a higher percentage of subjects in the Asian population as compared to the other groups in the integrated parent studies.

Table 100: Adverse Events by Race

	White		Asian		Black		Entire Integrated Population	
Integrated Parent Studies								
N	Any Control (N=1754) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N=2724) n (%)	Any Control (N=184) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N = 229) n (%)	Any Control (N = 106) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N = 188) n (%)	Any Control (N = 2080) n (%)	EvoMab 140 mg Q2W or 420 mg QM (N = 3201) n (%)
Number of subjects reporting AEs	867 (49.4)	1336 (49.0)	86 (46.7)	121 (52.8)	51 (48.1)	103 (54.8)	1031 (49.6)	1599 (50.0)
SAEs	32 (1.8)	80 (2.9)	5 (2.7)	7 (3.1)	4 (3.8)	6 (3.2)	43 (2.1%)	95 (3.0%)
Number of subjects reporting AEs leading to discontinuation of IP	41 (2.3)	56 (2.1)	4 (2.2)	7 (3.1)	3 (2.8)	4 (2.1)	48 (2.3)	71 (2.2)
<i>Nausea</i>	6 (0.3)	10 (0.4)	1 (0.5)	0	0	1 (0.5)	3 (0.1)	6 (0.2)
<i>Myalgia</i>	10 (0.6)	7 (0.3)	0	3 (1.3)	0	0	10 (0.5)	11 (0.3)
Most common AEs in EvoMab group								
<i>Nasopharyngitis</i>	78 (4.4)	127 (4.7)	17 (9.2)	23 (10.0)	1 (0.9)	4 (2.1)	99 (4.8)	154 (4.8)
<i>Upper Respiratory Tract Infection</i>	43 (2.5)	75 (2.8)	8 (4.3)	12 (5.2)	3 (2.8)	11 (5.9)	56 (2.7)	103 (3.2)
<i>Back Pain</i>	49 (2.8)	84 (3.1)	2 (1.3)	2 (1.1)	3 (2.8)	8 (4.3)	57 (2.7)	99 (3.1)
<i>Myalgia</i>	52 (3.0)	54 (2.0)	1 (0.5)	6 (2.6)	2 (1.9)	7 (3.7)	55 (2.6)	70 (2.2)
<i>Influenza</i>	32 (1.8)	47 (1.7)	2 (1.1)	6 (2.6)	3 (2.8)	11 (5.9)	41 (2.0)	73 (2.3)
<i>Arthralgia</i>	39 (2.2)	58 (2.1)	3 (1.6)	8 (3.5)	2 (1.9)	3 (1.6)	45 (2.2)	72 (2.2)
Year 1 SoC-controlled Period								
N	Any Control (N=1206)	EvoMab 140 mg Q2W or	SoC (N = 122)	EvoMab + SoC (N =	SoC (N = 72) n (%)	EvoMab + SoC (N =	SoC (N = 1419)	EvoMab + SoC (N =

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	n (%)	420 mg QM (N=2437) n (%)	n (%)	231 n (%)		132 n (%)	n (%)	2833 n (%)
All AEs	660 (54.7)	1446 (59.3)	81 (66.4)	166 (71.9)	33 (45.8)	79 (59.8)	781 (55.0)	1708 (60.3)
SAEs	69 (5.7)	131 (5.4)	8 (6.6)	14 (6.1)	4 (5.6)	8 (6.1)	82 (5.8)	153 (5.4)
AEs leading to discontinuation of IP	0	52 (2.1)	0	2 (0.9)	0	4 (3.0)	0%	58 (2.0)
<b>Most common AEs</b>								
<i>Nasopharyngitis</i>	84 (7.0)	187 (7.7)	28 (23.0)	49 (21.2)	0	4 (3.0)	112 (7.9)	242 (8.5)
<i>Upper Respiratory Tract Infection</i>	47 (3.9)	90 (3.7)	7 (5.7)	17 (7.4)	2 (2.8)	7 (5.3)	57 (4.0)	119 (4.2)
<i>Back Pain</i>	31 (2.6)	76 (3.1)	2 (1.6)	10 (4.3)	1 (1.4)	2 (1.5)	35 (2.5)	89 (3.1)
<i>Hypertension</i>	33 (2.7)	77 (3.2)	3 (2.5)	11 (4.8)	3 (4.2)	5 (3.8)	39 (2.7)	89 (3.1)
<i>Arthralgia</i>	32 (2.7)	83 (3.4)	4 (3.3)	9 (3.9)	0	2 (1.5)	36 (2.5)	97 (3.4)
<i>Myalgia</i>	31 (2.6)	64 (2.6)	3 (2.5)	5 (2.2)	0	1 (0.8)	34 (2.4)	71 (2.5)
N = number of subjects randomized in the integrated extension SoC-controlled period analysis set; EvoMab = Evolocumab (AMG 145); SoC = Standard of Care. Coded using MedDRA version 17.0. Source: Modified from ISS: Tables 14-6.3.8., 14-6.3.11, 14-6.3.14., 14-6.3.17., 14-6.3.20., 14-6.3.23., 14-6.4.14., 14-6.4.11., 14-6.4.8., 14-6.4.17., 14-6.4.20., 14-6.4.23., 14-6.5.8., 14-6.5.11., 14-6.5.14., 14-6.5.17., 14-6.5.20. and 14-6.5.23.								

7.5.3.2 Therapeutic settings (statin-intolerant, monotherapy, and combination with statin groups)

A summary of adverse events in the 4 phase 2 and phase 3 therapeutic settings (statin-intolerant, monotherapy, and combination with statin groups) are summarized in the tables below. For the statin intolerant group, the overall incidence of participants who experienced at least 1 adverse event or led to discontinuation of investigational product was greater than for the other therapeutic settings for both the evolocumab and control groups. The incidences of treatment emergent CTCAE  $\geq$  grade 3 or grade 4 and serious adverse events was low and overall similar between the integrated phase 2 and phase 3 therapeutic settings for both the evolocumab and control groups.

Table 101: Summary of Participant Incidence of Adverse Events for Specified Therapeutic Settings for Parent Studies (Integrated Parent Analysis Set)

	Monotherapy (Studies 20101154, 20110114, and subjects in 20110109 in the diet-alone background therapy stratum)	Combination Therapy With Statins (Studies 20101155, 20110115, 20110231, 20120348, 20120356, 20090158, 20110117, and subjects in 20110109 in the low, high, or maximal background drug therapy cohorts)	Statin Intolerant (Studies 20090159 and 20110116)	Entire Integrated Population
<b>Integrated Parent Studies</b>				
N	Any EvoMab: 651 Any control: 480	Any EvoMab: 2965 Any control: 1466	Any EvoMab: 330 Any control: 134	Any EvoMab: 3946 Any control: 2080
All AEs	Any EvoMab: 324 (49.8%) Any control: 236 (49.2%) Any placebo: 140 (49.8%)	Any EvoMab: 1479 (49.9%) Any control: 702 (47.9%) Any placebo: 613 (49.2%)	Any EvoMab: 213 (64.5%) Any control: 93 (69.4%)	Any EvoMab: 2016 (51.1%) Any control: 1031 (49.6%) Any placebo: 753 (49.3%)
SAEs	Any EvoMab: 9 (1.4%) Any control: 5 (1.0%) Any placebo: 4 (1.4%)	Any EvoMab: 90 (3.0%) Any control: 34 (2.3%) Any placebo: 32 (2.6%)	Any EvoMab: 11 (3.3%) Any control: 4 (3.0%)	Any EvoMab: 110 (2.8%) Any control: 43 (2.1%) Any placebo: 36 (2.4%)
AEs leading to discontinuation of IP	Any EvoMab: 8 (1.2%) Any control: 13 (2.7%) Any placebo: 8 (2.8%)	Any EvoMab: 46 (1.6%) Any control: 20 (1.4%) Any placebo: 16 (1.3%)	Any EvoMab: 21 (6.4%) Any control: 15 (11.2%)	Any EvoMab: 75 (1.9%) Any control: 48 (2.3%) Any placebo: 24 (1.6%)
Most common AEs (any EvoMab and any control)	<ul style="list-style-type: none"> <li>• nasopharyngitis (4.3% and 4.0%)</li> <li>• upper respiratory tract infection (4.3% and 5.2%)</li> <li>• diarrhoea (3.2% and 2.9%)</li> <li>• headache (3.2% and 3.1%)</li> <li>• back pain (2.5% and 2.5%)</li> <li>• all others ≤ 2% in any EvoMab group</li> </ul>	<ul style="list-style-type: none"> <li>• nasopharyngitis (6.3% and 4.9%)</li> <li>• upper respiratory tract infection (3.1% and 2.1%)</li> <li>• back pain (2.9% and 2.9%)</li> <li>• headache (2.6% and 2.9%)</li> <li>• arthralgia (2.4% and 2.0%)</li> <li>• influenza (2.4% and 2.1%)</li> <li>• cough (2.0% and 1.1%)</li> <li>• all others &lt; 2% in any EvoMab group</li> </ul>	<ul style="list-style-type: none"> <li>• myalgia (9.1% and 14.2%)</li> <li>• headache (7.0% and 6.7%)</li> <li>• pain in extremity (5.8% and 1.5%)</li> <li>• muscle spasms (5.2% and 5.2%)</li> <li>• back pain (4.5% and 2.2%)</li> <li>• nasopharyngitis (4.5% and 6.0%)</li> <li>• all others &lt; 4% in any EvoMab group</li> </ul>	<ul style="list-style-type: none"> <li>• nasopharyngitis (5.9% and 4.8%)</li> <li>• upper respiratory tract infection (3.2% and 2.7%)</li> <li>• headache (3.0% and 3.2%)</li> <li>• back pain (3.0% and 2.7%)</li> <li>• myalgia (2.5% and 2.6%)</li> </ul>

Data cutoff date 01APR2014.

N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; Q2W = every 2 weeks; QM = monthly.

Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects. Any EvoMab includes any subject with EvoMab as a component of investigational product.

Coded using MedDRA version 17.0

Source: Modified from Table 7-4 Response to 06Nov2014 IR and Summary of Clinical Safety, Appendix Table 5

In the statin-intolerant group, the 3 most common adverse events where any evolocumab>any control: headache (7.0%; 6.7%), pain in extremity (5.8%; 1.5%) and back pain (4.5%; 2.2%).

In the monotherapy group, the 3 most common adverse events where any evolocumab> any control: nasopharyngitis (4.3%; 4.0%); diarrhea (3.2%; 2.9%), and headache (3.2%; 3.1%).

In the statin combination group, the 3 most common adverse events where evolocumab> any control: nasopharyngitis (6.3%; 4.9%), upper respiratory tract infection (3.1%; 2.1%), and arthralgia (2.4%; 2.1%).

## 7.5.4 Drug-Disease Interactions

### 7.5.4.1 Diabetes or Metabolic Syndrome

Analyses of adverse events, HbA1c levels, fasting blood glucose levels, and proteinuria were performed by the glucose tolerance parent baseline group (T2DM, metabolic syndrome and neither T2DM nor metabolic syndrome). In the table below, the adverse event of diabetes mellitus in the Type 2 Diabetes Mellitus group refers to events of worsening diabetes. As all subjects in the Type 2 Diabetes Mellitus group had diabetes at the beginning of the parent study, any reported adverse events of diabetes mellitus would be a worsening of their baseline condition (verbatim terms: worsening of diabetes, diabetes mellitus, worsening of diabetes mellitus, worsening diabetes, diabetes mellitus exacerbated, and diabetes mellitus aggravated). The results between EvoMab and control groups were similar in the three different glucose tolerance groups (see table).

Table 102: Analyses of Adverse Events in the Glucose Tolerance Parent Baseline Group

	Type 2 Diabetes Mellitus	Metabolic Syndrome	Neither Type 2 Diabetes nor Metabolic Syndrome	Entire Integrated Population
<b>Integrated Parent Studies</b>				
N	Any EvoMab: 548 Any control: 255	Any EvoMab: 1315 Any control: 688	Any EvoMab: 2083 Any control: 1187	Any EvoMab: 3946 Any control: 2080
Overall subject incidence of AEs in subgroup	Any EvoMab: 247 (45.1%) Any control: 125 (49.0%)	Any EvoMab: 678 (51.6%) Any control: 337 (49.0%)	Any EvoMab: 1091 (52.4%) Any control: 569 (50.0%)	Any EvoMab: 2016 (51.1%) Any control: 1031 (49.6%)
Overall subject incidence of SAEs in subgroup	Any EvoMab: 20 (3.6%) Any control: 13 (5.1%)	Any EvoMab: 44 (3.3%) Any control: 11 (1.6%)	Any EvoMab: 46 (2.2%) Any control: 19 (1.7%)	Any EvoMab: 110 (2.8%) Any control: 43 (2.1%)
Most common AEs with subject incidence (any EvoMab and any control)	<ul style="list-style-type: none"> <li>• nasopharyngitis (5.8% and 4.7%)</li> <li>• back pain (2.7% and 2.7%)</li> <li>• fatigue (2.6% and 0.4%)</li> <li>• influenza (2.6% and 2.4)</li> <li>• upper respiratory tract infection (2.4% and 2.4)</li> <li>• muscle spasms (2.0% and 1.6%)</li> <li>• dizziness (2.0% and 1.6%)</li> <li>• diabetes mellitus (2.0% and 1.6%)</li> <li>• all others &lt; 2% in any EvoMab group</li> </ul>	<ul style="list-style-type: none"> <li>• nasopharyngitis (5.2% and 4.5%)</li> <li>• upper respiratory tract infection (3.7% and 3.2%)</li> <li>• back pain (3.0% and 2.9%)</li> <li>• headache (2.9% and 3.3%)</li> <li>• cough (2.6% and 1.3%)</li> <li>• pain in extremity (2.4% and 1.6%)</li> <li>• arthralgia (2.4% and 2.0%)</li> <li>• influenza (2.1% and 2.2%)</li> <li>• nausea (2.1% and 2.3%)</li> <li>• all others &lt;2% in any EvoMab group</li> </ul>	<ul style="list-style-type: none"> <li>• nasopharyngitis (6.3% and 4.9%)</li> <li>• headache (3.6% and 3.5%)</li> <li>• myalgia (3.2% and 3.3%)</li> <li>• upper respiratory tract infection (3.1% and 2.5%)</li> <li>• back pain (3.0% and 2.6%)</li> <li>• arthralgia (2.4% and 2.4%)</li> <li>• diarrhoea (2.2% and 2.4%)</li> <li>• nausea (2.1% and 1.6%)</li> <li>• influenza (2.0% and 1.8%)</li> <li>• fatigue (2.0% and 2.0%)</li> <li>• all others &lt; 2% in any EvoMab group</li> </ul>	<ul style="list-style-type: none"> <li>• nasopharyngitis (5.9% and 4.8%)</li> <li>• upper respiratory tract infection (3.2% and 2.7%)</li> <li>• headache (3.0% and 3.2%)</li> <li>• back pain (3.0% and 2.7%)</li> <li>• myalgia (2.5% and 2.6%)</li> </ul>
HbA1c (range of the mean change from baseline)	Any EvoMab: 0.09% to 0.37% Any control: 0.07% to 0.13%	Any EvoMab: -0.04% to 0.05% Any control: -0.02% to 0.07%	Any EvoMab: 0% to 0.08% Any control: -0.03% to 0.03%	Any EvoMab: 0.01% to 0.08% Any control: 0% to 0.05%
Fasting blood glucose levels (range of the mean change from baseline)	Any EvoMab: 0.08 to 0.84 mmol/L Any control: -0.03 to 0.51 mmol/L	Any EvoMab: -0.05 to 0.05 mmol/L Any control: -0.02 to 0.12 mmol/L	Any EvoMab: 0.03 to 0.21 mmol/L Any control: -0.01 to 0.12 mmol/L	Any EvoMab: 0.04 to 0.19 mmol/L Any control: 0.02 to 0.15 mmol/L
Proteinuria (with no baseline proteinuria)	Any EvoMab: 29 (6.1%) Any control: 21 (9.4%)	Any EvoMab: 76 (6.4%) Any control: 35 (5.6%)	Any EvoMab: 93 (4.8%) Any control: 46 (4.3%)	Any EvoMab: 198 (5.5%) Any control: 102 (5.3%)

	Type 2 Diabetes Mellitus	Metabolic Syndrome	Neither Type 2 Diabetes nor Metabolic Syndrome	Entire Integrated Population
<b>Year 1 SoC-controlled Period</b>				
N	EvoMab+SoC: 359 SoC alone: 201	EvoMab+SoC: 982 SoC alone: 456	EvoMab+SoC: 1492 SoC alone: 762	EvoMab+SoC: 2833 SoC alone: 1419
HbA1c (range of the mean change from baseline)	EvoMab+SoC: 0.18% to 0.34% SoC alone: 0.09% to 0.35%	EvoMab+SoC: -0.04% to 0.02% SoC alone: 0.02% to 0.09%	EvoMab+SoC: -0.06% to 0.07% SoC alone: -0.04% to 0.04%	EvoMab+SoC: 0.02% to 0.11% SoC alone: 0.02% to 0.11%
Fasting blood glucose levels (range of the mean change from baseline)	EvoMab+SoC: 0.27 to 0.62 mmol/L SoC alone: -0.09 to 0.39 mmol/L	EvoMab+SoC: -0.01 to 0.08 mmol/L SoC alone: -0.04 to 0.07 mmol/L	EvoMab+SoC: 0.10 to 0.14 mmol/L SoC alone: 0.08 to 0.15 mmol/L	EvoMab+SoC: 0.10 to 0.17 mmol/L SoC alone: 0.04 to 0.14 mmol/L
Proteinuria (with no baseline proteinuria)	EvoMab+SoC: 43 (13.7%) SoC alone: 20 (10.8%)	EvoMab+SoC: 81 (9.1%) SoC alone: 43 (10.5%)	EvoMab+SoC: 93 (6.7%) SoC alone: 45 (6.4%)	EvoMab+SoC: 217 (8.4%) SoC alone: 108 (8.3%)
<b>Year 2+ OLE Period</b>				
N	Total: 89	Total: 370	Total: 495	Total: 954
HbA1c (range of the mean change from baseline)	Total: 0.28% to 0.70%	Total: -0.80% to 0.09%	Total: -0.11% to 0.15%	Total: -0.20% to 0.70%
Fasting blood glucose levels (range of the mean change from baseline)	Total: -9.94 to 0.93 mmol/L	Total: -0.03 to 0.54 mmol/L	Total: 0.08 to 0.23 mmol/L	Total: -0.11 to 0.26 mmol/L
Proteinuria (with no baseline proteinuria)	Total: 0 (0%)	Total: 0 (0%)	Total: 2 (0.4%)	Total: 2 (0.2%)

AE = adverse event; EvoMab = evolocumab; HbA1c = hemoglobin A1c; OLE = open-label extension; SoC = standard of care

The range of the mean change from baseline in hemoglobin A1c (HbA1c) and fasting blood glucose levels are the minimum and maximum values for the mean change from baseline in each parameter for each treatment group across the post-baseline scheduled visits.

Source: Summary of Clinical Safety, Table 101

#### 7.5.4.2 Hepatic Impairment

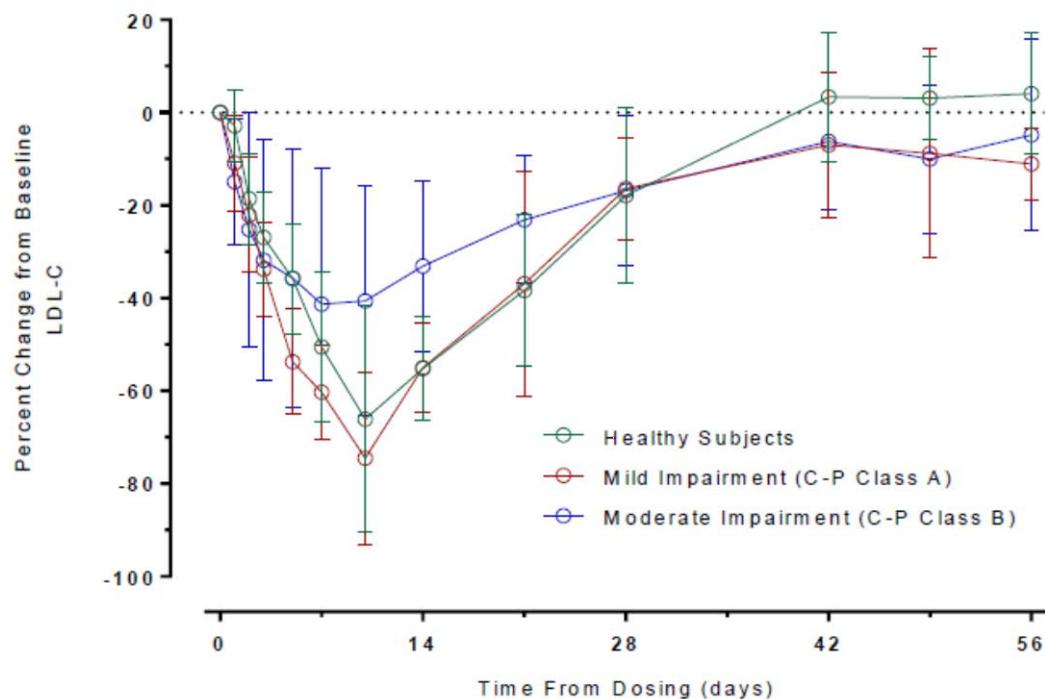
The phase 2 and 3 trials had an exclusion criterion of AST or ALT > 2 x ULN at screening which, for the most part, excluded participants with active liver disease or hepatic dysfunction from entering the trials. Therefore, individuals with mild, moderate, or severe hepatic impairment by Child-Pugh categories could be not analyzed in the phase 2 and phase 3 studies (and hence, in the IPAS and IECAS). Of note, evolocumab is not eliminated by liver enzymes and transporters; rather, evolocumab is eliminated through nonspecific (linear) elimination via the reticuloendothelial system and specific target-mediated (nonlinear) clearance. Evolocumab clearance is not expected to be affected by hepatic impairment. The applicant does not propose a dosage adjustment in the label for patients with hepatic impairment.

Hepatically impaired participants were evaluated in Study 20120341, an open-label, parallel-group study designed to compare the PK of evolocumab in participants with mild and moderate hepatic impairment compared with that in healthy participants. Participants were assigned equally to 1 of 3 groups (n=8 participants in each group) depending on their degree of hepatic impairment (Child-Pugh class A [mild], class B [moderate], or healthy participants). Patients with severe hepatic impairment (Child-Pugh class C) have not been studied. The majority of participants were men (83%), white (75%), and not Hispanic (79%). The mean (SD) age of participants at baseline was 48.7 (5.0) years.

After a single 140 mg SC dose, evolocumab exposure, as assessed by  $C_{max}$  and  $AUC_{last}$ , decreased with increasing hepatic impairment. Median  $t_{max}$  was 4.5 or 5.0 days in both hepatically impaired (mild or moderate) and healthy subjects. Compared with healthy subjects with no hepatic impairment, participants with mild and moderate hepatic impairment had least squares mean  $AUC_{last}$  values that were 39% and 47% lower, respectively ( $p = 0.090$ ) and least squares mean  $C_{max}$  values that were 21% and 34% lower, respectively ( $p = 0.18$ ).

Although the sample size is small in each group which limits the finding, there were numerical differences in the pharmacodynamic responses in the healthy group as compared to the moderate hepatic impaired group. Although maximum LDL-C reduction in the healthy group was numerically greater than the moderate hepatic impairment group, it was numerically lower than in the mild hepatic impairment group and the concentration-time curves overlapped for the three cohorts (see figure).

Figure 13: Geometric Mean Percentage Change From Baseline ( $\pm$  Standard Deviation) of Ultracentrifugation LDL-C (mg/dL) Over Time (Phase 1 Study 20120341)



C-P = Child-Pugh; LDL-C = low-density lipoprotein cholesterol; UC = ultracentrifugation.  
Source: Table 14-11.2 of CSR 20120341 in Module 5.3.3.3.

Adverse events were reported in 1 (13%) participant in the healthy group (preferred term of hemorrhoids), 4 (50%) participants in the mild hepatic impairment group (preferred terms of diarrhea, vomiting, headache, breast mass, depression and pruritic rash) and 2 (25%) participants in the moderate hepatic impairment group (preferred terms of diarrhea, ascites, decreased appetite, parotitis, pustular rash,

tachycardia and urinary tract infection). A serious adverse event of depression was reported in 1 (4%) participant with mild hepatic impairment who had a > 40-year history of depression.

No participant had creatine kinase > 5 times the upper limit of normal (ULN) at any assessment or post-baseline ALT > 3 times the ULN. Three participants with moderate hepatic impairment had AST > 3 times the ULN at a single post-baseline assessment, and 1 participant with mild hepatic impairment had AST > 3 times the ULN at both post-baseline assessments. Three participants with moderate hepatic impairment had total bilirubin > 2 times the ULN at a single post-baseline assessment. Two of the elevated liver related tests at a post-baseline assessment (AST > 3 times the ULN in Subject 34166001012 and total bilirubin > 2 times the ULN in Subject 34166003013) occurred in participants who did not have these elevations at either the screening visit or at study day 1. For both participants, the elevation occurred on study day 29 and had resolved by the next assessment at study day 57.

#### 7.5.4.3 Renal Impairment

The phase 2 and 3 trials had an exclusion criterion for moderate to severe renal impairment (< 30 mL/min/1.73 m<sup>2</sup>); therefore, analyses for these participants are quite limited, as only 4 participants had eGFR < 30 mL/min/1.73 m<sup>2</sup>.

The applicant states that, at baseline, the following number of participants was randomized in the integrated parent (ie, controlled blinded) trials:

- 4026 (67%) with mild renal impairment (eGFR 60 to < 90 mL/min/1.73 m<sup>2</sup>),
- 670 (11%) with moderate renal impairment (eGFR 30 to < 60 mL/min/1.73 m<sup>2</sup>), and
- 4 (0.1%) with severe renal impairment (eGFR < 30 mL/min/1.73 m<sup>2</sup>).

During the integrated parent studies, the median duration of exposure to investigational product (IP) (2.8 months) was the same for the any evolocumab and any control groups, regardless of the level of renal impairment.

Of the participants in the integrated parent studies, a total of 3013 (68%), 511 (11%), and 3 (0.1%) participants with mild, moderate, and severe renal impairment, respectively, were randomized in the year 1 standard of care (SoC)-controlled period of the long-term extension studies at the time of the data cutoff date for the 120-day Safety Update (01 July 2014). During the year 1 SoC-controlled period, the median duration of exposure to IP was approximately 10 to 11 months in the evolocumab plus SoC group, regardless of the level of renal impairment; no IP was administered in the SoC alone group.

Of note, the Modification of Diet in Renal Disease (MDRD) Study equation has limited accuracy above eGFR 60, so the number of participants that the applicant includes in

the mild renal impairment category is likely an over-estimate (many may have a true GFR  $\geq 90$  mL/min/1.73m<sup>2</sup>).

The table below summarizes the AEs, SAEs and AEs that led to discontinuation by the eGFR parent baseline subgroup. There were no clinically meaningful differences in the types and percentages of AEs reported across the renal impairment subgroups.

Table 103: Analysis of Adverse Events in the Baseline eGFR Subgroups

	Severe (eGFR < 30 mL/min/1.73 m <sup>2</sup> )		Moderate (30 ≤ eGFR < 60 mL/min/1.73 m <sup>2</sup> )		Mild (60 ≤ eGFR < 90 mL/min/1.73 m <sup>2</sup> )		Entire Integrated Population	
Integrated Parent Studies								
	Any EvoMab (N = 3)	Any control (N = 1)	Any EvoMab (N = 438)	Any control (N = 232)	Any EvoMab (N = 2636)	Any control (N = 1390)	Any EvoMab (N = 3946)	Any control (N = 2080)
Overall subject incidence in subgroup – All AEs	2 (66.7%)	1 (100.0%)	250 (57.1%)	117 (50.4%)	1313 (49.8%)	697 (50.1%)	2016 (51.1%)	1031 (49.6%)
Overall subject incidence in subgroup – All SAEs	1 (33.3%)	0	17 (3.9%)	8 (3.4%)	64 (2.4%)	24 (1.7%)	110 (2.8%)	43 (2.1%)
Overall subject incidence in subgroup – AEs leading to IP discontinuation	1 (33.3%)	0	10 (2.3%)	5 (2.2%)	53 (2.0%)	37 (2.7%)	75 (1.9%)	48 (2.3%)
Most common AEs with subject incidence (any EvoMab and any control)	<ul style="list-style-type: none"> <li>dizziness (33.3% and 0%)</li> <li>myocardial infarction (33.3% and 0%)</li> <li>muscle spasms (0% and 100%)</li> <li>renal impairment (33.3% and 0%)</li> <li>all others 0%</li> </ul>		<ul style="list-style-type: none"> <li>nasopharyngitis (5.9% and 4.7%)</li> <li>headache (4.3% and 4.3%)</li> <li>URTI (3.9% and 0.9%)</li> <li>cough (3.7% and 1.3%)</li> <li>urinary tract infection (3.2% and 1.3%)</li> <li>muscle spasms (3.2% and 3.4%)</li> <li>back pain (3.0% and 2.6%)</li> <li>myalgia (2.7% and 2.2%)</li> <li>all others &lt; 2.5% for any EvoMab</li> </ul>		<ul style="list-style-type: none"> <li>nasopharyngitis (5.5% and 5.0%)</li> <li>URTI (3.0% and 2.8%)</li> <li>back pain (2.8% and 2.9%)</li> <li>arthralgia (2.5% and 1.9%)</li> <li>headache (2.5% and 2.7%)</li> <li>myalgia (2.5% and 2.5%)</li> <li>all others &lt; 2.5% for any EvoMab</li> </ul>		<ul style="list-style-type: none"> <li>nasopharyngitis (5.9% and 4.8%)</li> <li>URTI (3.2% and 2.7%)</li> <li>headache (3.0% and 3.2%)</li> <li>back pain (3.0% and 2.7%)</li> <li>myalgia (2.5% and 2.6%)</li> <li>all others &lt; 2.5% for any EvoMab</li> </ul>	
	Severe (eGFR < 30 mL/min/1.73 m <sup>2</sup> )		Moderate (30 ≤ eGFR < 60 mL/min/1.73 m <sup>2</sup> )		Mild (60 ≤ eGFR < 90 mL/min/1.73 m <sup>2</sup> )		Entire Integrated Population	
Year 1 SoC-controlled Period								
	EvoMab+SoC (N = 3)	SoC alone (N = 0)	EvoMab+SoC (N = 343)	SoC alone (N = 168)	EvoMab+SoC (N = 2017)	SoC alone (N = 996)	EvoMab+SoC (N = 2976)	SoC alone (N = 1489)
Overall subject incidence in subgroup – All AEs	2 (66.7%)	0	222 (64.7%)	97 (57.7%)	1333 (66.1%)	628 (63.1%)	1946 (65.4%)	910 (61.1%)
Overall subject incidence in subgroup – All SAEs	0	0	35 (10.2%)	17 (10.1%)	123 (6.1%)	63 (6.3%)	197 (6.6%)	100 (6.7%)
Overall subject incidence in subgroup – AEs leading to IP discontinuation	0	0	16 (4.7%)	1 (0.6%)	46 (2.3%)	1 (0.1%)	68 (2.3%)	2 (0.1%)
Most common AEs with subject incidence (EvoMab+SoC and SoC alone)	<ul style="list-style-type: none"> <li>atrial fibrillation (33.3% and 0%)</li> <li>gastroesophageal reflux disease (33.3% and 0%)</li> <li>all others 0%</li> </ul>		<ul style="list-style-type: none"> <li>nasopharyngitis (7.3% and 8.9%)</li> <li>hypertension (4.7% and 1.2%)</li> <li>URTI (4.4% and 3.0%)</li> <li>urinary tract infection (4.1% and 0.6%)</li> <li>arthralgia (3.5% and 3.0%)</li> <li>back pain (3.5% and 5.4%)</li> <li>all others &lt; 3.5% for EvoMab plus SoC</li> </ul>		<ul style="list-style-type: none"> <li>nasopharyngitis (9.0% and 8.7%)</li> <li>URTI (5.2% and 4.2%)</li> <li>arthralgia (4.3% and 3.1%)</li> <li>headache (3.6% and 1.6%)</li> <li>hypertension (3.6% and 4.2%)</li> <li>cough (3.5% and 3.1%)</li> <li>all others &lt; 3.5% for EvoMab plus SoC</li> </ul>		<ul style="list-style-type: none"> <li>nasopharyngitis (8.9% and 8.6%)</li> <li>URTI (5.1% and 4.3%)</li> <li>arthralgia (4.0% and 3.0%)</li> <li>back pain (3.8% and 3.2%)</li> <li>hypertension (3.5% and 3.8%)</li> <li>all others &lt; 3.5% for EvoMab plus SoC</li> </ul>	

AE = adverse event; eGFR = estimated glomerular filtration rate; EvoMab = evolocumab (AMG 145); IP = investigational product; SAE = serious adverse event; SoC = standard of care; URTI = upper respiratory tract infection

Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356, 20110110, 20120138

Data cutoff date of 01 April 2014 for integrated parent studies and 01 July 2014 for year 1 SoC-controlled period

Source: Table 8 from Feb 2015 Information Request Response

### 7.5.5 Drug-Drug Interactions

No studies on potential drug-drug or drug-food interactions were done with evolocumab as no PK drug-drug interactions were expected with evolocumab.

## 7.6 Additional Safety Evaluations

### 7.6.1 Human Carcinogenicity

In the integrated parent trials, adverse events for the Neoplasms Benign, Malignant and Unspecified (Incl Cysts and Polyps) system organ class were reported in 43 (1.1%) participants in the any evolocumab group and 17 (0.8%) participants in the any control group. Forty-three participants in the evolocumab group reported 44 total neoplasm events of which 22 were benign and 22 were solid malignant tumors; the 17 participants in the control group reported 18 total neoplasm events of which 8 were benign and 10 were solid malignant tumors. The most common adverse events in the any evolocumab group and the any control group were basal cell carcinoma (0.2% and < 0.1%), skin papilloma (0.2% and < 0.1%), and lipoma (0.1% in both groups). The other malignancies in the EvoMab group occurred as single events over multiple organ systems—no pattern was apparent.

In the year 1 SoC-controlled period, 57 (2.0%) and 34 (2.4 %) participants reported a neoplasm in the evolocumab plus SoC group and the SoC alone group, respectively. The 57 participants in the evolocumab plus SoC group reported 63 total neoplasm events of which 28 were benign and 35 were solid malignant tumors; the 34 participants in the control group reported 35 total neoplasm events of which 13 were benign neoplasms, 21 were solid malignant tumors, and 1 was a hematologic malignancy. The most common adverse events in the evolocumab plus SoC group and the SoC alone group were basal cell carcinoma (0.3% in both groups), squamous cell carcinoma (0.2% and 0%), and skin papilloma (0.1% and 0.3%).

In the year 2+ OLE period, 36 (3.8%) participants reported 42 total neoplasm events of which 22 were benign, 15 were solid malignant tumors, and 5 were hematologic malignancies. The most common adverse events were basal cell carcinoma (0.4%), lipoma (0.4%), and skin papilloma (0.3%).

### 7.6.2 Human Reproduction and Pregnancy Data

No studies of evolocumab have been conducted in pregnant women. Also, no studies have been conducted to determine whether evolocumab is present in breast milk or to assess the effects of evolocumab in breast-fed infants.

Across the evolocumab clinical program, 7 pregnancies following maternal evolocumab exposure and 9 following paternal evolocumab exposure have been reported out of approximately 6800 subjects enrolled in evolocumab clinical studies. There have been no reports of lactation in the clinical program. The following table summarizes the 16 pregnancies with evolocumab exposure. The number of pregnancies with adequate data and follow-up are insufficient to make any conclusions regarding the effects of evolocumab during pregnancy.

Table 104: Tabular Summary of Pregnancies Following Evolocumab Exposure in the Clinical Program through 01 April 2014

Subject Number	Study Number	Time of Evolocumab Exposure <sup>a</sup>	Birth Outcome (normal delivery, abortion, unknown, etc.)
<b>Maternal Exposure Pregnancies</b>			
11411001012	20110114	1st Trimester	Full-term birth without complications
15516066007	20110110	1st Trimester	Spontaneous abortion not otherwise specified
15516066007	20110110	1st Trimester	Ectopic pregnancy
15516066007	20110110	8 months prior to conception	Elective termination for personal reasons
15466036003	20101154	1st Trimester	Lost to follow-up
15466043005	20110110	1st Trimester	Unknown (ongoing)
23356001010	20110271	1 <sup>st</sup> Trimester	Follow up (ongoing)
<b>Paternal Exposure Pregnancies</b>			
10913001001	20110109	1st Trimester	Full-term birth without complications
15416016005	20110110	Unknown	Lost to follow-up
10916302038	20120138	1st and 2nd Trimesters	Lost to follow-up
10916302038	20110109	1st Trimester	Spontaneous abortion
15466013002	20101154	1st Trimester	Lost to follow-up
15866003018	20110110	1st, 2nd, and 3rd Trimesters	Full-term birth without complications
16866009037	20110168	1st Trimester	Elective termination not otherwise specified
15466030002	20110110	1st and 2nd Trimesters	Spontaneous abortion not otherwise specified
15856001009	20110110	1st, 2nd, and 3rd Trimesters	Full-term birth without complications

<sup>a</sup> For paternal exposure pregnancies, time of exposure is the trimester of partner's pregnancy in which the male subject was on study drug.

Source: Summary of Clinical Safety, Table 104

### 7.6.3 Pediatrics and Assessment of Effects on Growth

Fourteen adolescent participants were included in the HoFH trials. All adolescent participants from 20110233 with the exception of 1 adolescent participant in part B continued in the 20110271 extension study. Three additional adolescent participants who did not participate in the 20110233 parent study were enrolled into Study 20110271. Of the 10 HoFH adolescents in Study 20110233 part B, 7 participants received evolocumab 420 mg QM, and 3 participants received placebo.

A similar safety profile was observed for these participants, although the numbers are quite limited. Adverse events were reported in 3 (42.9%) participants in the evolocumab group and 2 (66.7%) participants in the placebo group, and no preferred term was reported for > 1 adolescent participant in either treatment group. Growth, development and sexual maturation have not been investigated.

#### 7.6.4 Overdose, Drug Abuse Potential, Withdrawal and Rebound

Evolocumab is not known to have attributes that make it a candidate for intentional overdose, abuse, or illegal use. The effects of overdose of evolocumab are unknown. No off-target effects of potential overdose are known based on nonclinical or clinical study evaluations. There is no known antidote to evolocumab.

Evolocumab is not chemically or pharmacologically similar to other drugs with known abuse potential. There is no evidence to suggest a potential for drug abuse or misuse and none has been observed. Like other antibodies, evolocumab is unlikely to get across the blood brain barrier. An assessment of abuse potential was not performed.

### 7.7 Additional Submissions / Safety Issues

#### 7.7.1 120-Day Safety Update for BLA: Primary Hyperlipidemia/Mixed Dyslipidemia and HoFH Populations

The findings of this 120-day Safety Update did not change the safety conclusions of the BLA and no new safety risks were identified. Of note, the 120-day safety update provided no new information regarding the parent, randomized, double-blind controlled trials, since all were complete before submission. The 120-day safety update only provides additional data for the open-label extension studies, both the open-label controlled period (year 1) and uncontrolled period (year 2+).

##### Exposure

The applicant submitted in December 2014 the 120-Day Safety Update for evolocumab for the indications of (1) hyperlipidemia and mixed dyslipidemia and (2) homozygous familial hypercholesterolemia (HoFH). This safety update provides updated safety data from the 3 ongoing extension studies (Studies 20110110, 20120138, and 20110271) up to a data cutoff date of 01 July 2014. The cumulative number of participants exposed to evolocumab at the data cutoff for BLA submission (01 April 2014) for  $\geq 6$ ,  $\geq 12$ ,  $\geq 18$ ,  $\geq 24$ , and  $\geq 30$  months was 3286, 1797, 881, 611, and 165 subjects, respectively. The cumulative number of participants exposed to evolocumab at this data cutoff of 01 July 2014 for  $\geq 6$ ,  $\geq 12$ ,  $\geq 18$ ,  $\geq 24$ , and  $\geq 30$  months was 3549, 2458, 1124, 709, and 491 participants, respectively (see table).

Table 105: Overall Summary of Cumulative Exposure in the Evolocumab BLA and 120-day Safety Update (Integrated Parent, Extension SoC-controlled Period, and Extension All-IP Period Analysis Sets)

	BLA					120-day Safety Update				
	Control		EvoMab			Control		EvoMab		
	Any Placebo	Any Control <sup>a</sup>	EvoMab 140 mg Q2W or 420 mg QM	Any EvoMab	Total	Any Placebo	Any Control <sup>a</sup>	EvoMab 140 mg Q2W or 420 mg QM	Any EvoMab	Total
Number of Subjects	1526	3027	4783	4971	6026	1526	3072	4949	5115	6026
Total pt-year exposure	604	1737	4242	4427	6165	604	1989	5060	5246	7235
Number of Subjects										
≥ 3 months	1501	2988	4654	4839	5904	1501	3028	4720	4904	5904
≥ 6 months	294	1444	3276	3286	4571	294	1669	3536	3549	4704
≥ 12 months	287	718	1760	1797	2430	287	913	2456	2458	3669
≥ 18 months	1	55	843	881	1405	1	62	999	1124	1588
≥ 24 months	0	1	598	611	920	0	3	707	709	1156
≥ 30 months	0	0	61	165	328	0	0	317	491	860
≥ 36 months	0	0	0	0	0	0	0	1	5	8

Data cutoff dates: BLA, cumulative through 01APR2014; 120-day Safety Update, cumulative through 01JUL2014. Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356, 20110110, 20120138. a Any control includes placebo, ezetimibe, or standard of care. BLA = Biologics License Application; EvoMab = Evolocumab (AMG 145); Q2W = once every 2 weeks; QM = once monthly. Patients can contribute data to more than one treatment group. pt-year = patient years, where years are calculated as the sum of period durations for the treatment group across subjects divided by 365.25. Months are calculated by multiplying the patient years by 12 and rounding to the nearest whole month. Source: Applicant's Summary of Clinical Safety, 120-day Update Table 14-5.1.1.

Thus, the cumulative number of participants exposed to evolocumab for ≥ 12, ≥ 18, ≥ 24, and ≥ 30 months increased by 661, 243, 98, and 326 participants, respectively (see table).

In the 120-day Safety Update for the year 1 SoC-controlled period, the mean (SD) duration of evolocumab exposure in this period was 9.8 (2.7) months and the median was 10.1 months as compared to a mean of 8.1 months and median of 8.4 months at the April 2014 cut-off.

In the 120-day Safety Update for the year 2+ OLE period, the mean (SD) duration of evolocumab exposure in this period was 9.4 (7.4) months and the median was 13.8 months as compared to a mean of 12.6 months and median of 12.9 months at the April 2014 cut-off. This reduction in exposure during this period is due to the influx of new participants who completed the year 1 SoC-controlled period and entered the year 2+ OLE period (increased from 964 participants in the BLA to 1675 participants in the 120-day Safety Update), which reduced the mean and median exposure. Because of this, the incidence of adverse events decreases due to this influx of subjects with a short duration of additional exposure. Therefore, the 120-day safety update for the 2+ OLE does not provide much additional exposure and the updated numbers are only presented if clinically relevant.

In the 120-day Safety Update, a total of 100 participants with HoFH received evolocumab in Study 20110271, compared with 96 in the BLA (data cutoff of 01 April 2014). Mean (SD) exposure to evolocumab for these participants was 8.9 (5.1)

months and the median was 7.5 months in the 120-day Safety Update, compared with 6.4 (5.0) months and 5.1 months, respectively, in the BLA. Exposure to evolocumab for these participants was  $\geq 12$  and  $\geq 24$  weeks for 98.0% and 69.0% of participants, respectively, in the 120-day Safety Update. The corresponding values in the BLA were 71.9% and 49.0% of participants, respectively.

#### Device Exposure

A total of 51,081 injections with auto-injector/pen (AI/pen) were reported in the 120-day Safety Update, compared with 30,521 injections with AI/pen in the BLA. All of these injections delivered evolocumab because the SoC group did not receive injections of IP.

The cumulative number of participants exposed to evolocumab using the AI/pen at the data cutoff for BLA submission (01 April 2014) for  $\geq 6$ ,  $\geq 12$ , and  $\geq 18$  months was 1680, 105 and 1, respectively. The cumulative number of participants exposed to evolocumab using the AI/pen at this data cutoff of 01 July 2014 for  $\geq 6$ ,  $\geq 12$ , and  $\geq 18$  months was 2013, 771 and 0, respectively (see table). The cumulative number of participants exposed to evolocumab using the pre-filled syringe (PFS) for  $\geq 3$  months at the data cutoff for BLA submission (01 April 2014) and at this data cutoff of 01 July 2014 was 0 (see table), since the PFS was only used in an 8-week bridging study that was completed before BLA submission.

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Table 106: Overall Summary of Cumulative Exposure by Device in the Evolocumab BLA and 120-day Safety Update (Integrated Parent, Extension SoC-controlled Period, and Extension All-IP Period Analysis Sets)

	BLA					120-day Safety Update				
	Control		EvoMab			Control		EvoMab		
	Any Placebo	Any Control <sup>a</sup>	EvoMab 140 mg Q2W or 420 mg QM	Any EvoMab	Total <sup>b</sup>	Any Placebo	Any Control <sup>a</sup>	EvoMab 140 mg Q2W or 420 mg QM	Any EvoMab	Total
Number of Subjects	1526	2035	4783	4971	6006	1526	2035	4947	5113	6006
Total pt-year exposure	604	732	4123	4308	5040	604	732	5059	5244	5976
Number of Subjects Used AI/pen	821	1298	3165	3165	3891	821	1298	3982	3982	4633
Total pt-year exposure	207	328	1595	1595	1924	207	328	2233	2233	2561
Number of Subjects Used AI/pen										
≥ 3 months	803	1272	2937	2937	3641	803	1272	3198	3198	3871
≥ 6 months	1	1	1680	1680	1854	1	1	2013	2013	2043
≥ 12 months	0	0	105	105	189	0	0	771	771	1114
≥ 18 months	0	0	1	1	1	0	0	0	0	0
Number of Subjects Used AMD	0	0	82	82	82	0	0	158	158	158
Total pt-year exposure	0	0	19	19	19	0	0	30	30	30
Number of Subjects Used AMD										
≥ 3 months	0	0	81	81	81	0	0	92	92	92
Number of Subjects Used PFS	0	0	75	75	75	0	0	75	75	75
Total pt-year exposure	0	0	12	12	12	0	0	12	12	12
Number of Subjects Used PFS										
≥ 3 months	0	0	0	0	0	0	0	0	0	0

	BLA					120-day Safety Update				
	Control		EvoMab			Control		EvoMab		
	Any Placebo	Any Control <sup>a</sup>	EvoMab 140 mg Q2W or 420 mg QM	Any EvoMab	Total	Any Placebo	Any Control <sup>a</sup>	EvoMab 140 mg Q2W or 420 mg QM	Any EvoMab	Total
Number of Subjects Used Vial	705	737	1827	2059	2547	705	737	1916	2104	2547
Total pt-year exposure	396	404	2497	2682	3086	396	404	2784	2970	3374
Number of Subjects Used Vial										
≥ 3 months	698	729	1812	2041	2522	698	729	1902	2087	2522
≥ 6 months	293	293	1615	1625	1919	293	293	1756	1766	2060
≥ 12 months	287	287	1564	1577	1876	287	287	1637	1681	2015
≥ 18 months	1	1	643	681	693	1	1	692	807	863
≥ 24 months	0	0	597	610	618	0	0	618	620	622
≥ 30 months	0	0	60	164	218	0	0	215	427	536

Data cutoff dates: BLA, cumulative through 01APR2014; 120-day Safety Update, cumulative through 01JUL2014. BLA = Biologics License Application; EvoMab = Evolocumab (AMG 145); AI/pen = autoinjector/pen; AMD = auto mini-doser; PFS = prefilled syringe; QM = once monthly; Q2W = every 2 weeks. Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356, 20110110, and 20120138. Exposure via vial and syringe used the Process 1 evolocumab drug substance of evolocumab and exposure via any of the 3 devices (PFS, AI/pen, AMD) used the Process 2 evolocumab drug substance. Subjects can contribute data to more than 1 treatment group.

a Any includes placebo, placebo + ezetimibe (Standard of care and Study 20101154 ezetimibe patients are excluded from analysis).

b The BLA excluded ezetimibe-only subjects from 20101154 that did not receive investigational product in 20110110. pt-year = patients years, where years are calculated as the sum of period durations for the treatment group across subjects divided by 365.25. Months are calculated by multiplying the patient years by 12 and rounding to the nearest whole month. For subjects in Study 20110110, period duration contributes to AI/pen after the first AI/pen use and to vials prior to the first AI/pen use. For periods with only a single device option for subjects, the device exposure duration is set to the period duration. For studies with multiple device options, device exposure duration is the sum of time from being exposed to a device until switching to another device, ending the study, or the data cutoff date. Source: Applicant's Summary of Clinical Safety, 120-day Update Table 14-5.2.407.

## Adverse Event Summary for the Primary Hyperlipidemia Trials

### Year 1 SoC-controlled Period

As shown in the following table, in the year 1 SoC-controlled period, the cumulative incidence and severity of adverse events in the 120-day Safety Update were similar to those reported in the BLA. Adverse events were reported for 65.4% of participants in the evolocumab plus SoC group and 61.1% of subjects in the SoC alone group in the 120-day Safety Update, compared with 60.3% and 55.0% of participants, respectively, in the BLA. The 5 most common adverse events in the evolocumab plus SoC group, where EvoMab > SoC, were nasopharyngitis (8.9% evolocumab plus SoC group and 8.6% SoC alone group), upper respiratory tract infection (5.1% and 4.3%), arthralgia (4.0% and 3.0%), back pain (3.8% and 3.2%), and influenza (3.4% and 2.8%).

In the year 1 SoC-controlled period, serious adverse events were reported for 6.6% of participants in the evolocumab plus SoC group and 6.7% of participants in the SoC alone group in the 120-day Safety Update, compared with 5.4% and 5.8% of participants, respectively, in the BLA. The 5 most common serious adverse events in the evolocumab plus SoC group in the 120-day Safety Update were osteoarthritis (0.3% evolocumab plus SoC group and 0.1% SoC alone group), angina pectoris (0.2% and 0.1%), chest pain (0.2% and 0.2%), angina unstable (0.2% and 0.5%), and appendicitis (0.2% and 0.1%).

An adverse event leading to discontinuation of evolocumab in the evolocumab plus SoC group was reported for 2.3% of participants in the 120-day Safety Update, compared with 2.0% of participants in the BLA. The most common AEs that led to discontinuation of evolocumab in the evolocumab plus SoC group were Myalgia (0.3%), arthralgia (0.1%), fatigue (0.1%), injection site pain (0.1%) and headache (0.1%).

Of the 19 deaths reported in the primary hyperlipidemia trials, 4 deaths were reported between the 01 April 2014 data cutoff date for the BLA and the 01 July 2014 data cutoff date for the 120-day Safety Update (2 deaths in the Year 1 SoC-controlled period and 2 deaths in the 2+ OLE period). This is discussed in Section 7.3.1 Deaths: *Deaths in the 120-day Safety Update (01 July 2014 data cutoff)*.

Table 107: Summary of Cumulative Subject Incidences of Adverse Events During the Year 1 SoC-controlled Period in the Evolocumab BLA and 120-day Safety Update (IECAS)

	Control in Parent Study		EvoMab in Parent Study		All	
	SoC n (%)	EvoMab + SoC n (%)	SoC n (%)	EvoMab + SoC n (%)	SoC n (%)	EvoMab + SoC n (%)
<b>BLA</b>	(N = 472)	(N = 943)	(N = 947)	(N = 1890)	(N = 1419)	(N = 2833)
All adverse events	265 (56.1)	567 (60.1)	516 (54.5)	1141 (60.4)	781 (55.0)	1708 (60.3)
Grade ≥ 2	152 (32.2)	277 (29.4)	280 (29.6)	623 (33.0)	432 (30.4)	900 (31.8)
Grade ≥ 3	26 (5.5)	48 (5.1)	59 (6.2)	123 (6.5)	85 (6.0)	171 (6.0)
Grade ≥ 4	3 (0.6)	8 (0.8)	6 (0.6)	10 (0.5)	9 (0.6)	18 (0.6)
Serious adverse events	24 (5.1)	48 (5.1)	58 (6.1)	105 (5.6)	82 (5.8)	153 (5.4)
Leading to discontinuation of investigational product	-	19 (2.0)	-	39 (2.1)	-	58 (2.0)
Serious	-	4 (0.4)	-	7 (0.4)	-	11 (0.4)
Non-serious	-	15 (1.6)	-	33 (1.7)	-	48 (1.7)
Fatal adverse events	1 (0.2)	1 (0.1)	3 (0.3)	2 (0.1)	4 (0.3)	3 (0.1)
<b>120-day Safety Update</b>	(N = 497)	(N = 995)	(N = 992)	(N = 1981)	(N = 1489)	(N = 2976)
All adverse events	310 (62.4)	640 (64.3)	600 (60.5)	1306 (65.9)	910 (61.1)	1946 (65.4)
Grade ≥ 2	179 (36.0)	339 (34.1)	344 (34.7)	747 (37.7)	523 (35.1)	1086 (36.5)
Grade ≥ 3	34 (6.8)	65 (6.5)	76 (7.7)	153 (7.7)	110 (7.4)	218 (7.3)
Grade ≥ 4	4 (0.8)	8 (0.8)	7 (0.7)	13 (0.7)	11 (0.7)	21 (0.7)
Serious adverse events	31 (6.2)	62 (6.2)	69 (7.0)	135 (6.8)	100 (6.7)	197 (6.6)
Leading to discontinuation of investigational product	-	24 (2.4)	-	44 (2.2)	-	68 (2.3)
Serious	-	5 (0.5)	-	8 (0.4)	-	13 (0.4)
Non-serious	-	19 (1.9)	-	37 (1.9)	-	56 (1.9)
Fatal adverse events	2 (0.4)	2 (0.2)	3 (0.3)	2 (0.1)	5 (0.3)	4 (0.1)

Data cutoff dates: BLA, cumulative through 01APR2014; 120-day Safety Update, cumulative through 01JUL2014. Includes the following studies: 20110110, 20120138.

- = not applicable; BLA = Biologics License Application; N = number of subjects randomized in the integrated extension SoC-controlled period analysis set (IECAS); EvoMab = evolocumab (AMG 145); SoC = standard of care. Coded using MedDRA version 17.0.

Source: Applicant's Summary of Clinical Safety, 120-day Update Table 14-6.1.3.

A device related adverse event was reported for 52 of 2234 participants (2.3%) who used the autoinjector/pen (AI/pen) in the 120-day Safety Update, compared with 37 of 1951 participants (1.9%) who used the AI/pen in the BLA, and most of the events were consistent with injection site reactions (injection site bruising, erythema, pain, haematoma, haemorrhage, hypersensitivity, pruritus, rash, reaction or swelling). All of these events were reported during evolocumab treatment because participants assigned to SoC alone did not receive any placebo injections.

There were 54,078 injections reported using the AI/pen and 218 AI/pen complaint issues received cumulatively from the 3 clinical studies through 01 July 2014. During the interval from 01 April 2014 through 01 July 2014, 117 AI/pen complaint issues were reported from the same 3 studies. Of the 218 cumulative complaint issues, 4 have been reported to be AI/pen failures. The complaint code assigned to the 4 identified AI/pen failures is "syringe broken during/after use."

There were no serious device related adverse events.

Adjudicated Cardiovascular Events and Noncoronary Revascularizations in Primary Hyperlipidemia and Mixed Dyslipidemia Studies

During the adjudication process, there were no discordant results between adjudicators and no instances when the Clinical Endpoint Committee chairperson had to determine the final adjudication result. The adjudication process is ongoing and adjudication of 3 deaths (gastric cancer, malignant lung neoplasm, and pneumonia) that were newly reported in the 120-day Safety Update was not completed at the time of the data cutoff date of 01 July 2014.

As shown in the following table, in the year 1 SoC-controlled period, the evolocumab plus SoC group had 26 (0.9%) participants and the SoC alone group had 26 (1.7%) participants with positively adjudicated cardiovascular events; the corresponding percentages in the BLA were 22, 0.8% and 19, 1.3%, respectively.

Table 108: Cumulative Subject Incidences of Positively Adjudicated Cardiovascular Events and Noncoronary Revascularizations in the Evolocumab BLA and 120-day Safety Update (IECAS and IEAAS)

	BLA			120-day Safety Update		
	Year 1 SoC-controlled Period		Year 2+ OLE Period	Year 1 SoC-controlled Period		Year 2+ OLE Period
	SoC (N = 1419) n (%)	EvoMab + SoC (N = 2833) n (%)	EvoMab + SoC (N = 954) n (%)	SoC (N = 1489) n (%)	EvoMab + SoC (N = 2976) n (%)	EvoMab + SoC (N = 1675) n (%)
Number of subjects with any positively adjudicated clinical event	19 (1.3)	22 (0.8)	12 (1.3)	26 (1.7)	26 (0.9)	17 (1.0)
Death	4 (0.3)	3 (0.1)	2 (0.2)	4 (0.3)	3 (0.1)	3 (0.2)
Cardiovascular	1 (0.1)	3 (0.1)	1 (0.1)	1 (0.1)	3 (0.1)	2 (0.1)
Non-cardiovascular	2 (0.1)	0 (0.0)	1 (0.1)	2 (0.1)	0 (0.0)	1 (0.1)
Undetermined	1 (0.1)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Myocardial infarction (fatal and non-fatal)	5 (0.4)	6 (0.2)	3 (0.3)	5 (0.3)	8 (0.3)	3 (0.2)
Fatal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Non-fatal	5 (0.4)	6 (0.2)	3 (0.3)	5 (0.3)	8 (0.3)	3 (0.2)
Hospitalization for unstable angina	2 (0.1)	2 (0.1)	1 (0.1)	2 (0.1)	3 (0.1)	1 (0.1)
Coronary Revascularization	8 (0.6)	11 (0.4)	7 (0.7)	14 (0.9)	12 (0.4)	8 (0.5)
PCI	8 (0.6)	6 (0.2)	5 (0.5)	13 (0.9)	7 (0.2)	5 (0.3)
Surgical	0 (0.0)	5 (0.2)	2 (0.2)	1 (0.1)	5 (0.2)	3 (0.2)

	BLA			120-day Safety Update		
	Year 1 SoC-controlled Period		Year 2+ OLE Period	Year 1 SoC-controlled Period		Year 2+ OLE Period
	SoC (N = 1419) n (%)	EvoMab + SoC (N = 2833) n (%)	EvoMab + SoC (N = 954) n (%)	SoC (N = 1489) n (%)	EvoMab + SoC (N = 2976) n (%)	EvoMab + SoC (N = 1675) n (%)
Cerebrovascular Event	5 (0.4)	3 (0.1)	3 (0.3)	7 (0.5)	3 (0.1)	5 (0.3)
Transient ischemic attack	4 (0.3)	1 (0.0)	1 (0.1)	5 (0.3)	1 (0.0)	2 (0.1)
Stroke (fatal and non-fatal)	1 (0.1)	2 (0.1)	2 (0.2)	2 (0.1)	2 (0.1)	3 (0.2)
Fatal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Ischemic	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Ischemic with hemorrhagic conversion	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Hemorrhagic stroke	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Type undetermined	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Non-fatal	1 (0.1)	2 (0.1)	2 (0.2)	2 (0.1)	2 (0.1)	3 (0.2)
Ischemic	0 (0.0)	1 (0.0)	2 (0.2)	0 (0.0)	1 (0.0)	2 (0.1)
Ischemic with hemorrhagic conversion	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Hemorrhagic stroke	1 (0.1)	1 (0.0)	0 (0.0)	2 (0.1)	1 (0.0)	1 (0.1)
Type undetermined	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Heart failure event	1 (0.1)	0 (0.0)	1 (0.1)	1 (0.1)	1 (0.0)	2 (0.1)
Heart failure hospitalization	1 (0.1)	0 (0.0)	1 (0.1)	1 (0.1)	1 (0.0)	2 (0.1)
Urgent heart failure visit	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)

	BLA			120-day Safety Update		
	Year 1 SoC-controlled Period		Year 2+ OLE Period	Year 1 SoC-controlled Period		Year 2+ OLE Period
	SoC (N = 1419) n (%)	EvoMab + SoC (N = 2833) n (%)	EvoMab + SoC (N = 954) n (%)	SoC (N = 1489) n (%)	EvoMab + SoC (N = 2976) n (%)	EvoMab + SoC (N = 1675) n (%)
Non-coronary revascularization	3 (0.2)	5 (0.2)	2 (0.2)	3 (0.2)	7 (0.2)	4 (0.2)
Percutaneous	2 (0.1)	2 (0.1)	1 (0.1)	2 (0.1)	3 (0.1)	3 (0.2)
Surgical	1 (0.1)	3 (0.1)	1 (0.1)	1 (0.1)	4 (0.1)	1 (0.1)

Data cutoff dates: BLA, cumulative through 01APR2014; 120-day Safety Update, cumulative through 01JUL2014. BLA = Biologics License Application; N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab (AMG 145); OLE = open-label extension; PCI = percutaneous coronary intervention; SoC = standard of care.

Some cases from the phase 2 and phase 3 lipid lowering clinical studies had lipid values present in the adjudication package. Includes the following studies: 20110110, 20120138

Subject 10966418008 was randomized to placebo in the parent study and SoC in the extension study and died prior to the data cutoff, but the event does not contribute to this table since the event was not adjudicated prior to the snapshot.

Source: Applicant's Summary of Clinical Safety, 120-day Update Table 25

### Adverse Event Summary for the HoFH Trials

The cumulative subject incidence of any adverse event was 68.0% in the 120-day Safety Update and 55.2% in the BLA. In Trial 20110271, the 5 most common adverse events were nasopharyngitis (9, 9.0%), influenza (7, 7.0%), anemia (5, 5.0%), headache (5, 5.0%) and carotid intima-media thickness increased (4, 4.0%). The only events that were newly reported for > 2% of HoFH subjects in Trial 20110271 between 01 April 2014 and 01 July 2014 were nasopharyngitis (4.0%) and anemia (3.0%).

The cumulative subject incidence of any serious adverse event was 10.0% (n=10) in the 120-day Safety Update and 7.3% (n=7) in the BLA. The majority were CV in nature (angina pectoris, aortic stenosis, aortic valve disease, carotid artery occlusion).

One participant (1.0%) discontinued evolocumab because of a non-serious adverse event; this event was reported previously in the BLA. There were no newly reported events in the 120-day Safety Update.

There were no fatal adverse events.

In the 120-day Safety Update, 66 HoFH participants in Trial 20110271 used the AI/pen for a mean (SD) of 3.1 (1.4) months and a median of 3.3 months. A device related adverse event was reported for 5 (5.0%) participants. In the BLA, 37 HoFH participants in trial 20110271 used the AI/pen for a median of 1.1 months, and a device related adverse event was reported for 2.7% of the participants. Most of the device related adverse events in the 120-day Safety Update were consistent with injection site reactions. None of the newly reported device related adverse events in the 120-day Safety Update were serious and none led to discontinuation of evolocumab treatment. A total of 4 adolescent participants with HoFH used the AI/pen in trial 20110271 for a median of 2.0 months and no device related adverse events were reported for these adolescents in the 120-day Safety Update.

Primary Hyperlipidemia Trials: Analysis of Adverse Events by Organ System Where Incidence in Evolocumab Group > Control Group

### Vascular Disorders

In the year 1 SoC-controlled period, adverse events in this system organ class were reported for 5.9% of participants in the evolocumab plus SoC group and 4.8% of participants in the SoC alone group in the 120-day Safety Update, compared with 5.1% and 3.5% of participants, respectively, in the BLA. The most common Vascular Disorders in the evolocumab plus SoC group in the 120-day Safety Update continued

to be hypertension (3.5% evolocumab plus SoC and 3.8% SoC alone) and hypotension (0.4% and 0.1%).

#### Gastrointestinal Disorders

In the year 1 SoC-controlled period, adverse events in this system organ class were reported for 13.1% of participants in the evolocumab plus SoC group and 10.3% of participants in the SoC alone group in the 120-day Safety Update, compared with 11.5% and 8.9% of participants, respectively, in the BLA. The most common Gastrointestinal Disorders in the evolocumab plus SoC group in the 120-day Safety Update continued to be diarrhea (2.5% evolocumab plus SoC and 1.7% SoC alone), nausea (1.7% and 1.0%), and vomiting (1.5% and 0.7%).

#### General Disorders and Administration Site Conditions

In the year 1 SoC-controlled period, adverse events in this system organ class were reported for 11.9% of participants in the evolocumab plus SoC group and 5.7% of participants in the SoC alone group in the 120-day Safety Update, compared with 10.8% and 4.8% of participants, respectively, in the BLA. The most common General Disorder and Administration Site Condition in the evolocumab plus SoC group in the 120-day Safety Update continued to be fatigue (2.5% evolocumab plus SoC group and 0.9% SoC alone group) and injection site-related AEs (such as bruising, pain, erythema). Of note, participants assigned to SoC alone in the extension studies did not receive any placebo injections.

#### Immune System Disorders

In the year 1 SoC-controlled period, adverse events in this system organ class were reported for 1.4% of participants in the evolocumab plus SoC group and 0.9% of participants in the SoC alone group of the 120-day Safety Update, and 1.1% and 0.8% of participants, respectively, in the BLA. The most common Immune System Disorders in the evolocumab plus SoC group in the 120-day Safety Update continued to be seasonal allergy (0.9% evolocumab plus SoC group and 0.5% SoC alone group) and hypersensitivity (0.2% in both groups). There was one new report of an SAE of anaphylactic reaction that is discussed in the hypersensitivity section and is unlikely to be due to evolocumab exposure.

#### Anti-evolocumab Antibody Formation

In the 120-day Safety Update, the cumulative incidence of anti-evolocumab binding antibody development after receiving at least 1 dose of evolocumab in the integrated phase 2 and phase 3 studies was 0.3% (13 of 4915 participants), compared with 0.1% (7 of 4846 participants) in the BLA. No neutralizing antibodies were detected in any participant.

The table below summarizes the temporal relationship between adverse events and positive binding antibody results that were newly reported in the 120-day Safety

Update. No serious adverse events were temporally associated with a positive binding antibody result. There does not appear to be any adverse events, such as hypersensitivity, that are temporally related to positive binding antibodies.

Table 109: Temporal Relationship between Adverse Events and Positive Binding Antibody Results (Participants with a Newly Reported Positive Binding Antibody Result in the 120-day Safety Update)

Subject ID	Study	Treatment	Positive	Negative Results	Adverse Events
11521003015	Year 1 SoC-controlled	EvoMab + SoC	OLE day 169	OLE day 1 OLE day 85	(None)
11521006051	Parent study  Year 1 SoC-controlled	EvoMab 420 mg QM  SoC only	OLE day 169	Parent day 1 Parent day 85 OLE day 85	(None)
11522002044	Year 1 SoC-controlled	EvoMab + SoC	OLE day 169	OLE day 85	OLE day 114 grade 1 nausea OLE day 193 grade 1 cystitis OLE day 255 grade 1 cystitis
11522002057	Parent study  Year 1 SoC-controlled	EvoMab 420 mg QM  EvoMab + SoC	OLE day 173	Parent day 1 Parent day 85 OLE day 84	OLE day 3 grade 1 rhinorrhea OLE day 91 grade 3 hemorrhoids OLE day 91 grade 2 large intestine polyp OLE day 168 grade 1 excoriation OLE day 168 grade 1 edema peripheral
11522003034	Year 1 SoC-controlled	SoC only	OLE day 169	OLE day 85	Parent day 70 grade 2 URTI (received placebo in parent)
11565012010	Parent study  Year 1 SoC-controlled	EvoMab 420 mg QM  EvoMab + SoC	OLE day 168	Parent day 1 Parent day 82 OLE day 1 OLE day 84	OLE day 83 grade 2 depression
11565012014	Year 1 SoC-controlled	EvoMab + SoC	OLE day 164	OLE day 1 OLE day 80	(None)

Data cutoff date: 01JUL2014. EvoMab = evolocumab; OLE = open label extension; QM = once monthly; SC = subcutaneously; SoC = standard of care; URTI = upper respiratory tract infection. The method used for adverse events listed included all adverse events occurring before and after the positive binding antibody result. These adverse events occurred before the positive antibody result (or at the beginning of study) through the date of the next negative finding.

Source: Table 35 from 120-day-safety-update

### Hypersensitivity

As was done in the BLA submission, broad and narrow search strategies were used to assess multiple preferred terms possibly associated with hypersensitivity. In the

narrow searches for the 120-day Safety Update, the cumulative subject incidence of potential hypersensitivity in the year 1 SoC-controlled period was 4.9% for evolocumab plus SoC and 3.6% for SoC alone. In comparison, in the BLA in the year 1 SoC-controlled period it was 4.4% for evolocumab plus SoC and 3.3% for SoC alone. Most of the hypersensitivity adverse events were in the Skin and Subcutaneous Tissue Disorders system organ class (ie, rash, urticaria, angioedema), which is discussed in more detail in that section.

#### Infections and Infestations

In the year 1 SoC-controlled period, adverse events in this system organ class were reported for 32.3% of participants in the evolocumab plus SoC group and 30.2% of participants in the SoC alone group in the 120-day Safety Update, compared with 28.8% and 27.3% of participants, respectively, in the BLA. The most common Infections and Infestations in the evolocumab plus SoC group in the 120-day Safety Update continued to be nasopharyngitis (8.9% evolocumab plus SoC and 8.6% SoC alone), upper respiratory tract infection (5.1% and 4.3%), and influenza (3.4% and 2.8%).

#### Metabolism and Nutrition Disorders

In the year 1 SoC-controlled period, adverse events in this system organ class were reported for 4.2% of participants in the evolocumab plus SoC group and 4.4% of participants in the SoC alone group in the 120-day Safety Update, compared with 3.6% and 3.1% of participants, respectively, in the BLA. The most common Metabolism and Nutrition Disorders in the evolocumab plus SoC group continued to be diabetes mellitus (1.0% evolocumab plus SoC and 0.7% SoC alone), gout (0.7% and 0.5%), and type 2 diabetes mellitus (0.7% and 0.5%).

Because diabetes related adverse events have been reported with statins, broad and narrow search strategies were used to assess safety risks with evolocumab therapy. In the narrow searches, the incidence of potential diabetes events in the year 1 SoC-controlled period was 2.2% for evolocumab plus SoC and 2.1% for SoC alone. In comparison, in the BLA in the year 1 SoC-controlled period it was 2.1% for evolocumab plus SoC and 1.6% for SoC alone.

#### Musculoskeletal and Connective Tissue Disorders

In the year 1 SoC-controlled period, adverse events in this system organ class were reported for 22.1% of participants in the evolocumab plus SoC group and 18.7% of participants in the SoC alone group in the 120-day Safety Update, compared with 19.1% and 15.2% of participants, respectively, in the BLA. The most common Musculoskeletal and Connective Tissue Disorders in the evolocumab plus SoC group continued to be arthralgia (4.0% evolocumab plus SoC and 3.0% SoC alone), back pain (3.8% and 3.2%), pain in extremity (2.9% and 1.9%), and myalgia (2.8% and 2.6%).

There was one new serious report of rhabdomyolysis in the 120-day Safety Update that was reported during evolocumab treatment in the year 2+ OLE period.

- Subject 15966018001 is a 67-year-old white woman with a medical history that includes schizoaffective with bipolar disorder, self-mutilation, suicide attempt, hypertension, stroke, type 2 diabetes mellitus, hypothyroidism, and chronic obstructive pulmonary disease. Concomitant medications included metformin, glimepiride, losartan, omeprazole, bupropion hydrochloride, clonazepam, venlafaxine, and lithium, and recently initiated medications included zolpidem, chlorpromazine, benztropine mesylate, valproate semisodium, hydroxyzine, and haloperidol. The subject was admitted to the hospital with muscle weakness, slurred speech, ataxia, and closed head injury after falling 3 times in the prior 2 days. Two days prior to this admission, she had just been discharged from the hospital after a 10-day stay due to weakness, ataxia, confusion, delirium and psychosis accompanied by both auditory and visual hallucinations, impaired concentration, and command delusions. The investigator reported a strong possibility that, for this previous admission, the subject may have been confused with her medication administration as her primary caregiver (husband) was also hospitalized. On the day of admission, vital signs, physical examination, chest x-ray, and electrocardiogram were normal. Urine chemistry showed low valproic acid level (30 mug/ml) and low lithium level (0.5 mmol/L). Laboratory tests showed hematocrit 32.3%, hemoglobin 10.1 g/dL WBC count  $13.4 \times 10^6/L$ , albumin 3.1 g/dL, glucose 222 mg/dL, sodium 131 mmol/L, estimated glomerular filtration rate 34 (normal  $\geq 60$ ), BUN 25 mg/dL (11 mg/dL at baseline of parent study [reference range 4 to 24 mg/dL]), creatinine 1.6 mg/dL ((0.8 mg/dL at baseline of parent study [reference range 0.6 to 1.3 mg/dL]), and creatinine phosphokinase (CPK) 1593 U/L (178 U/L at baseline of parent study [reference range 25 to 192 U/L]). Urinalysis was normal. Troponin and toxicology studies were all negative. Clinical impressions included renal insufficiency, acute rhabdomyolysis, anemia, and falls likely due to her recent medications. Treatment included hydration with normal saline, morphine, and ondansetron. Additional treatment included zolpidem for insomnia and amantadine for involuntary movements. Five days after admission, laboratory tests showed decreased CPK 368 U/L. The outcome of the events acute rhabdomyolysis and renal failure were reported as resolved and the patient was discharged from the hospital on the same day. Approximately one month later, laboratory tests showed CPK 153 U/L, creatinine 1.1 mg/dL, and BUN 22 mg/dL. Evolocumab treatment was continued after the event. Other non-muscle-related serious adverse events were also reported for this subject in the 120-day Safety Update, including anxiety, depression, diabetic ketoacidosis, esophageal spasm, ataxia, psychotic disorder, suicidal ideation, hallucination, delirium, and confusional state.

In the year 1 SoC-controlled period, postbaseline CK > 10 x ULN was observed in 0.2% of participants and 0.6% of participants in the evolocumab plus SoC group and the SoC alone group, respectively; this included 1 participant in each group who had a postbaseline CK > 10 x ULN newly observed in the 120-day Safety Update. Many of these participants had confounding factors (such as strenuous physical exercise, previous CK elevations, and concomitant statin therapy) that may have contributed to the events.

Nervous System Disorders

In the year 1 SoC-controlled period, adverse events in this system organ class were reported for 9.7% of participants in the evolocumab plus SoC group and 8.3% of participants in the SoC alone group in the 120-day Safety Update, compared with 8.4% and 7.0% of participants, respectively, in the BLA. The most common Nervous System Disorders in the evolocumab plus SoC group continued to be headache (3.3% evolocumab plus SoC and 1.9% SoC alone) and dizziness (1.7% and 1.8%). Other nervous system events of interest in the 120-day Safety Update for the evolocumab plus SoC group are amnesia (7, 0.2% evolocumab plus SoC and 1, 0.1% SoC alone) and memory impairment (7, 0.2% and 2, 0.1%).

#### Psychiatric Disorders

In the year 1 SoC-controlled period, adverse events in this system organ class were reported for 4.1% of participants in the evolocumab plus SoC group and 2.8% of participants in the SoC alone group in the 120-day Safety Update, compared with 3.3% and 2.3% of participants, respectively, in the BLA. The most common Psychiatric Disorders in the evolocumab plus SoC group continued to be insomnia (1.4% evolocumab plus SoC and 1.0% SoC alone), anxiety (1.0% and 0.5%), and depression (1.0% and 1.1%).

#### Neurocognitive Adverse Events

Neurocognitive events were evaluated in both the BLA and the 120-day Safety Update using the following high level group terms:

- deliria (including confusion)
- cognitive and attention disorders and disturbances
- dementia and amnesic conditions
- disturbances in thinking and perception
- mental impairment disorders

As summarized in the table below, in the year 1 SoC-controlled period, neurocognitive adverse events were reported for 0.8% of participants in the evolocumab plus SoC group and 0.2% of participants in the SoC alone group in the 120-day Safety Update, compared with 0.6% and 0.2% of participants, respectively, in the BLA.

Table 110: Cumulative Subject Incidences of Neurocognitive Adverse Events by High Level Group Term and Preferred Term in the Evolocumab BLA and 120-day Safety Update

	BLA		120-day Safety Update	
	SoC (N = 1419) n (%)	EvoMab + SoC (N = 2833) n (%)	SoC (N = 1489) n (%)	EvoMab + SoC (N = 2976) n (%)
<b>Year 1 SoC-controlled Period</b>				
Number of subjects reporting adverse events	3 (0.2)	16 (0.6)	3 (0.2)	25 (0.8)
Deliria (incl confusion)	0 (0.0)	2 (0.1)	0 (0.0)	3 (0.1)
Confusional State	0 (0.0)	1 (0.0)	0 (0.0)	2 (0.1)
Disorientation	0 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)
Disturbances in thinking and perception	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)
Illusion	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)
Mental impairment disorders	3 (0.2)	14 (0.5)	3 (0.2)	21 (0.7)
Amnesia	1 (0.1)	2 (0.1)	1 (0.1)	7 (0.2)
Memory Impairment	2 (0.1)	7 (0.2)	2 (0.1)	7 (0.2)
Dementia	0 (0.0)	2 (0.1)	0 (0.0)	3 (0.1)
Mental Impairment	0 (0.0)	2 (0.1)	0 (0.0)	2 (0.1)
Dementia Alzheimer's Type	0 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)
Transient Global Amnesia	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)
		Total (N = 954) n (%)		Total (N = 1675) n (%)
<b>Year 2+ OLE Period</b>				
Number of subjects reporting adverse events		0 (0.0)		2 (0.1)
Deliria (incl confusion)		0 (0.0)		1 (0.1)
Confusional State		0 (0.0)		1 (0.1)
Delirium		0 (0.0)		1 (0.1)
Disturbances in thinking and perception		0 (0.0)		1 (0.1)
Hallucination		0 (0.0)		1 (0.1)
Mental impairment disorders		0 (0.0)		1 (0.1)
Amnesia		0 (0.0)		1 (0.1)

Data cutoff dates: BLA, cumulative through 01APR2014; 120-day Safety Update, cumulative through 01JUL2014. Searched terms are deliria (incl confusion); cognitive and attention disorders and disturbances; dementia and amnesic conditions; disturbances in thinking and perception; mental impairment disorders. Includes all events that were reported in the evolocumab plus SoC group in the year 1 SoC-controlled period (IECAS) or in the year 2+ period (IEAAS) of the 120-day Safety Update. BLA = Biologics License Application; EvoMab = evolocumab; SoC = standard of care; IP = investigational product. Source: Summary of Clinical Safety, 120-day Update Table 42.

There was one new serious report of transient global amnesia in the evolocumab plus SoC group. Subject (11642001029) was diagnosed with migraine headache with disorientation and memory loss. Investigational product was continued, and the event resolved. Other neurocognitive events reported between 01 April 2014 and 01 July 2014 in the evolocumab plus SoC group included 5 new reports of amnesia and 1 new report each of confusional state, delusion, and dementia. No new events were reported in the SoC alone group.

For the 5 participants with a newly reported adverse event of amnesia, the time to onset of the events from the first dose of evolocumab in the open-label extension

studies was known for 4 of the events and the average was 204 days. All events of amnesia in the year 1 SoC-controlled period were reported as nonserious. These events did have some confounding factors such as previous amnesia, history of posttraumatic stress disorder and sleep apnea, concurrent statins, gabapentin use, and sertraline use. Treatment with evolocumab was continued without interruption for all participants. Four events were ongoing as of the data cutoff date, 1 event end date was not provided. One participant (10966404025) had recurrent amnesia events. The first event occurred during the parent study and was reported to resolve when green coffee bean extract was discontinued. The subject was diagnosed with left carotid artery stenosis before the second amnesia event and underwent a left carotid endarterectomy approximately 1 month after the amnesia event. The participant was taking concomitant statin during both amnesia events.

In the year 2+ OLE period, there were 2 newly reported neurocognitive events in the 120-day Safety Update.

- One participant (15966018001) reported concurrent serious adverse events of hallucination, delirium and confusional state between 01 April 2014 and 01 July 2014; the events began approximately 2.3 years after the participant initiated dosing with evolocumab 420 mg QM plus SoC in the long-term extension study. This participant was also described in the previous section with the event of rhabdomyolysis and has a complicated psychiatric history and was on multiple medications for the treatment of her psychiatric illness. The investigator believed that the event of delirium was secondary to lithium toxicity. Evolocumab dosing was not interrupted; the amnesia event resolved within 147 days and the other events resolved within 3 days.
- The other participant, a 60-year-old man (15911001003) with a medical history including asthma and sleep apnea, reported a nonserious adverse event of amnesia (verbatim “transient amnesia episode of 2 hour duration”). Evolocumab was continued and the event was reported as resolved on the same day.
- One participant with HeFH in trial 20110271 had a neurocognitive event of memory impairment. The participant (27165001003) was a 64-year-old woman with a medical history of epigastric discomfort who reported forgetfulness beginning approximately 1 month after initiation of evolocumab 420 mg QM dosing. The participant was taking a statin at the time of the event. Evolocumab treatment was not withheld or changed. The participant also reported other nonserious adverse events, including insomnia, hair loss, and joint stiffness. As of the data cutoff date, the event was ongoing and the participant was continuing in the study.

#### Skin and Subcutaneous Tissue Disorders

In the year 1 SoC-controlled period, adverse events were reported in this system organ class for 7.5% of participants in the evolocumab plus SoC group and 5.3% of participants in the SoC alone group in the 120-day Safety Update, compared with 6.3% and 4.3% of participants, respectively, in the BLA. The most common Skin and Subcutaneous Tissue Disorders in the evolocumab plus SoC group continued to be rash (1.2% evolocumab plus SoC group and 0.8% SoC alone group), contact

dermatitis (0.7% and 0.5%), eczema (0.6% and 0.9%), pruritus (0.6% and 0.2%), and urticaria (0.5% and 0.3%).

Serious adverse events of angioedema and hyperhidrosis were reported for 2 (0.1%) of participants in the evolocumab plus SoC group and no participants in the SoC alone group in the 120-day Safety Update.

Angioedema was reported for 6 participants and idiopathic angioedema was reported for 1 participant in the integrated extension studies. Of these events, 1 case of angioedema was newly reported in the 120-day Safety Update. This CTCAE grade 3, serious adverse event of angioedema, which occurred approximately 10.5 months after Subject 11566008005 received the first dose of evolocumab, was considered by the investigator to be secondary to intravenous iron administration. The event resolved with no change in IP dose, and the subject continued with the study.

In the year 1 SoC-controlled period, adverse events of urticaria were reported for 0.5% of participants and 0.3% of participants in the evolocumab plus SoC group and the SoC alone group, respectively. These urticaria events were newly reported in the 120-day Safety Update for 3 participants in the evolocumab plus SoC group and no participant in the SoC alone group. In the year 2+ OLE period, adverse events of urticaria were reported for 0.4% of participants, including 2 participants with events that were newly reported in the 120-day Safety Update. No serious adverse events of urticaria were newly reported in the 120-day Safety Update in either the year 1 SoC-controlled period or the year 2 OLE period. Two of these events were temporally associated with initiation of new medications including antibiotics and piroxicam. Two participants with newly reported events of urticaria in the year 1 SoC-controlled period had prior adverse events during study: (15466061021; SoC alone) had prior adverse events of eczema, rash, lichenoid keratosis, and psoriasis; (15466002002; evolocumab plus SoC) had prior adverse events of pruritus, papular rash, miliaria, and erythema. Three participants with newly reported events of urticaria in the 120-day Safety Update were treated with antihistamines and 1 participant also received oral steroids.

#### HoFH Trials: Analysis of Adverse Events by Organ System Where Incidence in Evolocumab Group > Control Group

The cumulative incidence of adverse events by system organ class among participants with HoFH in trial 20110271 is summarized in the following table. No important differences were identified in analyses of adverse events by organ system or syndrome in the 120-day Safety Update compared with those reported in the BLA and no new safety risks were identified.

Table 111: Cumulative Subject Incidences of Adverse Events by System Organ Class in the Evolocumab BLA and 120-day Safety Update Trial 20110271 (HoFH Interim Analysis Set)

	BLA	120-day Safety Update
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Clinical Briefing Document, EMDAC  
 BLA 125522  
 Repatha (evolocumab)

System Organ Class <i>Preferred Term</i>	Total (N = 96) n (%)	Total (N = 100) n (%)
Number of subjects reporting adverse events	53 (55.2)	68 (68.0)
Blood and Lymphatic System Disorders	4 (4.2)	8 (8.0)
<i>Anaemia</i>	2 (2.1)	5 (5.0)
Cardiac Disorders	6 (6.3)	6 (6.0)
Endocrine Disorders	0 (0.0)	0 (0.0)
Eye Disorders	1 (1.0)	1 (1.0)
Gastrointestinal Disorders	11 (11.5)	14 (14.0)
<i>Diarrhoea</i>	4 (4.2)	4 (4.0)
<i>Vomiting</i>	4 (4.2)	4 (4.0)
General Disorders and Administration Site Conditions	12 (12.5)	18 (18.0)
<i>Injection Site Erythema</i>	3 (3.1)	4 (4.0)
<i>Injection Site Pain</i>	3 (3.1)	4 (4.0)
Hepatobiliary Disorders	0 (0.0)	0 (0.0)
Immune System Disorders	1 (1.0)	1 (1.0)
Infections and Infestations	22 (22.9)	29 (29.0)
Injury, Poisoning and Procedural Complications	3 (3.1)	4 (4.0)
Investigations	8 (8.3)	12 (12.0)
<i>Carotid Intima-Media Thickness Increased</i>	2 (2.1)	4 (4.0)
<i>Creatine Phosphokinase Increased</i>	3 (3.1)	3 (3.0)
Metabolism and Nutrition Disorders	3 (3.1)	3 (3.0)
Musculoskeletal and Connective Tissue Disorders	6 (6.3)	10 (10.0)
<i>Neck Pain</i>	2 (2.1)	3 (3.0)
<i>Tendonitis</i>	2 (2.1)	3 (3.0)
Neoplasms Benign, Malignant and Unspecified (Incl Cysts and Polyps)	2 (2.1)	2 (2.0)
Nervous System Disorders	13 (13.5)	19 (19.0)
<i>Headache</i>	5 (5.2)	5 (5.0)
<i>Presyncope</i>	2 (2.1)	3 (3.0)
Psychiatric Disorders	3 (3.1)	4 (4.0)
Renal and Urinary Disorders	3 (3.1)	4 (4.0)
Reproductive System and Breast Disorders	3 (3.1)	4 (4.0)
Respiratory, Thoracic and Mediastinal Disorders	4 (4.2)	5 (5.0)
Skin and Subcutaneous Tissue Disorders	8 (8.3)	8 (8.0)
Vascular Disorders	6 (6.3)	5 (5.0)

Data cutoff dates: BLA, cumulative through 01APR2014; 120-day Safety Update, cumulative through 01JUL2014.  
 BLA = Biologics License Application; N = number of HoFH subjects enrolled and dosed in Study 20110271;  
 HoFH=Homozygous Familial Hypercholesterolemia; EvoMab=Evolocumab (AMG 145). Coded using MedDRA version 17.0. Adverse event summaries do not include positively adjudicated clinical endpoints.  
 Source: Summary of Clinical Safety, 12-day Safety Update, Table 44 of trial 20110271

#### HoFH Trial: Adolescents in 120-day Safety Update

Of the 14 adolescent participants with HoFH in trial 20110271, 10 received evolocumab 420 mg QM and 4 received evolocumab 420 mg Q2W. Adverse events were reported for 71.4% of adolescent participants with HoFH in the 120-day Safety

Update, compared with 69.2% in the BLA. Influenza and blood creatine phosphokinase increased (n = 2 each) were the only preferred terms reported for > 1 adolescent participant with HoFH in the 120-day Safety Update.

#### 7.7.2 Safety Update on the Cardiovascular Outcomes Trial FOURIER (protocol 20110118)

The FOURIER trial (Protocol 20110118) entitled, “A Double-blind, Randomized, Placebo-controlled, Multicenter Study Assessing the Impact of Additional LDL-Cholesterol Reduction on Major Cardiovascular Events When AMG 145 is Used in Combination With Statin Therapy In Patients with Clinically Evident Cardiovascular Disease” is being conducted in high CV risk patients receiving effective lipid-lowering therapy with statin (defined as atorvastatin 20, 40, or 80 mg daily or equivalent dose of another protocol-allowed statin). The primary endpoint is time to first event of CV death, myocardial infarction, hospitalization for unstable angina, stroke, or coronary revascularization. Approximately 27,000 subjects will be randomized within 4 weeks of their most recent myocardial infarction or stroke.

In July 2014, FDA requested a summary of unblinded SUSARs (suspected unexpected serious adverse reactions) in the Cardiovascular Outcomes Trial FOURIER (protocol 20110118) as of 01 October 2014. Of note, an external independent Data Monitoring Committee (DMC) has been established to formally review all the accumulating unblinded safety data from this and other ongoing studies with evolocumab to ensure there is no avoidable increased risk for harm to subjects, and to determine whether there is cogent evidence to recommend alteration or termination of the study.

Cumulatively through 01 October 2014, a total of 17,608 participants were randomized in Trial 20110118, and a total of 69 serious unexpected adverse event reports assessed as possibly related to blinded investigational product by either the investigator or Amgen (i.e., SUSARs) involving 65 participants had been reported to Amgen. All 69 potential SUSARs were unblinded for the purpose of global regulatory reporting. Of those 69, a total of 17 were reported in evolocumab treated participants and were assessed as related to evolocumab by Amgen. Of note, events that are potential endpoints (PEPs): all cause death, myocardial infarction, stroke, revascularization, hospitalization for unstable angina, hospitalization for heart failure and transient ischemic attack are reported only as potential endpoints and not as SAEs.

Of the 69 potential SUSAR reports, 25 were reports of liver test abnormalities. The remaining 44 adverse event reports were within the following System Organ Classes (SOC): Blood and lymphatic system disorders (n=1), Cardiac disorders (n=2), Ear and labyrinth disorders (n=1), Gastrointestinal disorders (n=6), General disorders and administrative site conditions (n=2), Hepatobiliary disorders (n=2), Injury, poisoning and procedural complications (n=1), Immune system disorders (n=1), Infections and

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infestations (n=4), Metabolism and nutrition disorders (n=3), Musculoskeletal and connective tissue disorders (n=2), Neoplasms benign, malignant and unspecified (n=2), Nervous system disorders (n=6), Renal and urinary disorders (n=3), Respiratory, thoracic and mediastinal disorders (n=4), Skin and subcutaneous tissue disorders (n=2), and Vascular disorders (n=2). There were no fatal related events reported to Amgen's safety update.

Of the 17 reports that were reported in evolocumab treated participants and were assessed as related to evolocumab by Amgen, 12 were reports of serious hepatic adverse events (i.e., liver test (LT) abnormalities/increases, drug induced liver injury, and hepatic steatosis). In 5 of the 12 cases, confounders were reported: prior history of LT increase (1 case), history of LT increase and concomitant leflunomide administration (1 case), concurrent diagnosis of hepatitis A (1 case), concomitant paracetamol administration (1 case), and alcohol abuse and concomitant ezetimibe administration (1 case). In 10 of the 12 cases, the participants experienced no associated symptoms and the LT increase was identified on routine laboratory assessment, all within the first 6 months of starting IP with the exception of one case where the LT increase was identified 16 months after start of IP. In the remaining 2 cases, the LT increase was found during hospitalization for unstable angina in 1 participant and during work-up for malaise in 1 participant. In most cases IP and statin were held (as per protocol). Most cases resolved without treatment, typically within 4 to 6 weeks of event identification. Two events were ongoing at time of last report. In 3 cases, IP was restarted with negative rechallenge. No cases met Hy's Law criteria. The serious hepatic adverse events are summarized in the table below.

Table 112: Summary of Liver Test Increases from Trial 20110118 (FOURIER)\*

MCN / Subject ID	Event	Baseline LFTs Normal (Y/N)	Time to Onset	Peak LFT Increase	Hy's Law (Y/N)	Action Taken	Outcome
(b) (6)	Abnormal LFTs	Yes	2.5 months	ALT 3xULN AST 4xULN TBL normal ALP normal	No	IP and statin held	Resolved
Clinical summary: On concomitant statin since 2011. Subject was concurrently diagnosed with hepatitis A. No treatment for the event, which resolved between 2 and 6 months after onset. The investigator considered the event medically significant and possibly related to IP and atorvastatin. IP and atorvastatin had not been resumed.							
(b) (6)	ALT increased	Yes	16 months	ALT 2xULN AST normal TBL normal ALP <2xULN	No	Statin held; no action taken with IP	Resolved
Clinical summary: On concomitant statin since 2003. History of diabetes, and subject had recently started liraglutide for diabetes. No associated symptoms. No treatment for the event, which resolved in 1 week. The investigator considered the event medically significant and possibly related to IP and atorvastatin.							
(b) (6)	Hepatic enzyme	Yes	1.5 months	ALT 4xULN AST 2xULN	No	IP and statin held	Resolved

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(b) (6)	increased			TBL normal ALP normal INR normal			
Clinical summary: History of MI, CAD, hypertension, and smoking. On concomitant statin for unknown time prior to study; on concomitant acetylsalicylic acid (ASA), clopidogrel, ACE inhibitor, and beta-blocker. Elevated hepatic enzymes were discovered during hospitalization for unstable angina. No symptoms and no treatment for the event which resolved in 5 weeks. Investigator considered the event serious due to hospitalization, and assessed the event as possibly related to IP and atorvastatin. IP and statin on hold at time of last report.							
(b) (6)	Hepatic enzyme increased	Yes	13 months	ALT44xULN AST10xULN TBL<2xULN ALP 3xULN INR normal	No	IP and statin held	Resolved
Clinical summary: On concomitant statin since 2011; on concomitant ASA. Subject developed malaise, and 6 days later work-up showed increased hepatic enzymes. Hepatitis and viral work-up were negative. Gastroenterologist reportedly considered the event a toxic hepatitis and all medications were stopped with the exception of ASA. Reportedly no risk factors for LFT increase; no use of herbal or other oral supplements. No associated symptoms. No treatment for the event which resolved in 4 weeks. IP and statin have not been restarted. The investigator considered the event medically significant and possibly related to IP and atorvastatin.							
(b) (6)	ALT increased	Yes	5.5 months	ALT 5xULN AST 3xULN ALP<2xULN TBL normal	No	IP and statin held	Resolved
Clinical summary: On concomitant statin since 2010; on concomitant ASA and on leflunomide for rheumatoid arthritis. History of LFT increase in past (not further described); CAD, diabetes mellitus, and hypertension. No associated symptoms. No treatment for the event which resolved in 2 weeks. IP and statin were restarted with negative rechallenge. The investigator considered the event medically significant and possibly related to IP and atorvastatin.							
(b) (6)	Hepatic enzyme increased	Yes	3 months (1 <sup>st</sup> episode); 2 weeks after restart of statin (2 <sup>nd</sup> episode)	ALT 5xULN AST 3xULN ALP <2xULN TBL normal	No	IP and statin held; statin later dc'd and IP resumed	Resolved
Clinical summary: On concomitant ASA. History of CAD and hypertension. Reportedly no risk factors for LFT increase. Viral work-up negative; ultrasound negative. No associated symptoms. IP and statin held; event resolved after 1 month. Statin restarted with positive rechallenge. Statin then discontinued and event resolved ~6 weeks later. IP later restarted with negative rechallenge. The investigator considered the event medically significant and possibly related to IP and atorvastatin.							
(b) (6)	ALT increased	Yes	3 months	ALT 8xULN AST 3xULN TBL normal ALP normal	No	IP and statin discontinued	Resolved
Clinical summary: On concomitant statin since 2012. Prior history of LFT elevation (not further specified). No associated symptoms. No treatment for the event which resolved after 1 month. The investigator considered the event medically significant and possibly related to IP and atorvastatin.							
(b) (6)	Hepatic enzyme increased	Yes	3 months	ALT 6xULN AST 5xULN ALP	No	IP and statin discontinued	Resolved

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				>3xULN TBL normal			
Clinical summary: On concomitant statin. Hepatitis screen negative. Reportedly no risk factors for LFT increase and no prior history of LFT increase. No associated symptoms. No treatment for the event which resolved in 3.5 weeks. The investigator considered the event medically significant and possibly related to IP and atorvastatin.							
(b) (6)	AST increased	Yes	3 months	ALT 3xULN AST 5xULN TBL normal ALP normal	No	IP held	Resolved
Clinical summary: On concomitant statin and ezetimibe since 2003. Alcohol use reported as a pre-disposing factor. Hepatitis screen negative; CMV and EBV positive but not clear if prior or current infection. No associated symptoms. No treatment for the event which was ongoing at time of last report (as of 1 week after event onset). The investigator considered the event medically significant and not related to IP.							
(b) (6)	Abnormal LFTs	Yes	3 months	ALT 3xULN AST 4xULN ALP >2xULN TBL normal	No	IP and statin held	Resolved
Clinical summary: On concomitant statin since 2012; on concomitant ACE inhibitor and antidepressant. History of CAD, MI, angina, cerebrovascular disease, and hypertension. Reportedly no risk factors for LFT increase; no history of hepatitis, alcohol, or drug use. No associated symptoms. No treatment for the event which resolved in 1.5 weeks. IP and statin were resumed with negative rechallenge. The investigator considered the event medically significant and possibly related to IP and atorvastatin.							
(b) (6)	ALT increased	Yes	5.5 months	ALT 4xULN AST <2xULN ALP 2xULN TBL normal	No	IP and statin held	Resolved
Clinical summary: On concomitant statin since 2012, on concomitant ASA, ACE inhibitor, and beta-blocker. History of CAD, MI, angina, and smoking. No associated symptoms. No treatment for the event which resolved in 4 weeks. The investigator considered the event medically significant and possibly related to IP and atorvastatin. IP and Atorvastatin were resumed (atorvastatin at a reduced dose of 20 mg instead of 80 mg); there was no event recurrence.							
(b) (6)	ALT and AST increased	Yes	5.5 months	ALT 5xULN AST 5xULN ALP <2xULN INR 2.8	No (INR increase felt due to warfarin therapy)	Paracetamol held; no action taken with IP or statin	Ongoing
Clinical summary: On paracetamol for arthritis for 3 weeks prior to event onset; on concomitant atorvastatin and warfarin; previously on simvastatin. Reportedly no other risk factors for LFT increase and no prior history of LFT increase. No associated symptoms. No treatment for the event which was ongoing at time of last report (as of 1 day after event onset). The investigator considered the event medically significant and not related to IP.							
*Adverse event cases reported to Amgen with a receipt date on or before 01 October 2014. LFT: liver function tests; ALT: alanine aminotransferase; AST: aspartate aminotransferase; ALP: alkaline phosphatase; TBL: total bilirubin; INR: international normalized ratio							

The other 5 adverse event reports were anaphylactic shock, syncope, and rash in 1 participant; bacterial sepsis with acute toxic hepatitis in 1 participant ; and immune thrombocytopenia, myalgia, and productive cough in 1 participant each.

1. Manufacturer Control No. (MCN) (b) (6); Anaphylactic shock, Syncope, and Rash: A 59-year-old male participant ( (u) (6) ) with ischemic heart disease, MI, and hypertension experienced syncope, rash, and reported anaphylactic shock 5 months after initiation of evolocumab. As the participant self-administered the Week 24 evolocumab injection in his right shoulder (possibly as an IM injection), the injection site became hardened and there was a spurt of blood. Approximately 15 minutes later the participant felt lightheaded and drowsy and experienced a syncopal episode. He was taken to the hospital and on arrival had a BP of 96/64 mmHg, heart rate 78 bpm, and oxygen saturation 99% on room air, and he was afebrile. There was no angioedema, and no wheezing or stridor was noted. A local urticarial rash was noted on the right shoulder where the subject had been regularly administering the injections. The subject reported a localized rash and bloody discharge at the injection sites (all in the right shoulder) of the previous 4 evolocumab administrations. The subject was admitted overnight for observation and treatment with prednisolone, chlorphenamine, ranitidine, and intravenous fluids. He was discharged the next day; the rash had resolved. Investigation product was permanently discontinued; the subject continued on atorvastatin. The investigator reported the events as anaphylactic shock, syncope, and rash, and considered the events possibly related to IP. Per the investigator, the most likely diagnosis was vasovagal syncope; however, anaphylactic shock could not be ruled out. Anti-evolocumab antibodies were negative at the Week 24 assessment.
2. Manufacturer Control No (b) (6); Immune thrombocytopenia (ITP): A 55-year old male subject ( (u) (6) ) with a history of CAD, MI, hypertension, type 2 diabetes mellitus, smoking, computed tomography scan with ground glass disease and air trapping consistent with respiratory bronchiolitis-associated interstitial lung disease, and testicular cancer previously treated with chemotherapy (1989) was reported with immune thrombocytopenia 3.5 months after start of evolocumab. Concomitant medications included naproxen, acetylsalicylate calcium, a beta-blocker, an ACE inhibitor, insulin, and a glycemic control medication. The patient had no history of thrombocytopenia and no known risk factors for the event; baseline platelet count was normal at  $227 \times 10^9/L$ . Approximately 2.5 months after starting evolocumab, the subject experienced small petechiae on his upper legs and black stools; 2 weeks later he reported generalized bruising. Around this time he self-treated with naproxen for a week for a painful hip. At 3.5 months after starting evolocumab, the subject was found to be thrombocytopenic (platelet count  $13 - 16 \times 10^9/L$ ) and was hospitalized. The subject reportedly had no risk factors for thrombocytopenia. No etiology was identified and the subject was diagnosed with ITP. He was treated with dexamethasone, and atorvastatin and acetylsalicylate calcium were discontinued. Platelet counts fluctuated, but gradually improved to  $87 \times 10^9/L$  two months after event onset, and the petechiae, bruising, and black stools resolved. The investigator considered the event possibly related to IP, and IP was discontinued. Anti-evolocumab antibodies were negative at the Day 1 assessment; no further antibody testing has been performed.
3. Manufacturer Control No. (b) (6); Bacterial sepsis and Acute toxic hepatitis: A 68-year old male subject ( (b) (6) ) with a history of 3 days of fever in the week prior to start of IP, presented with intermittent fever and abdominal pain 2

weeks after IP start and was diagnosed with bacterial sepsis and acute toxic hepatitis. IP and atorvastatin were held. Hepatitis A, B, C, and D work-up negative. There was no hepatomegaly, and magnetic resonance cholangiopancreatography (MRCP) and abdominal CT were normal. Blood cultures obtained on an unknown date were negative, and etiology for the sepsis was not identified. The subject was treated with cefuroxime and metronidazole and the events resolved in 3 weeks. The investigator considered the event possibly related to IP, which was permanently discontinued at the participant's request. Statin was on hold at time of last report.

4. Manufacturer Control No. (b) (6); Myalgia: A 46-year old male subject (b) (6) with elevated creatine phosphokinase (CK) at baseline (CK 312 IU/L; reference range 24-250 IU/L) developed severe myalgia 4 months after initiating IP. The subject had been receiving atorvastatin 80 mg for 4 months prior to the start of IP. Two weeks after the start of IP the subject experienced nonserious myalgia; atorvastatin 80 mg daily was switched to rosuvastatin 40 mg daily. At 4 months after the start of IP the subject developed severe muscle pain which was considered medically significant and involved significant disability. Creatine phosphokinase was 273 U/L and rosuvastatin was held. The subject received the next dose of IP one month later and developed myalgia; IP was discontinued. The myalgia resolved after 10 days. Creatine phosphokinase at the time of resolution was 285 IU/L. The investigator considered the event possibly related to IP. Six weeks after event resolution rosuvastatin was restarted at 5 mg and 6 weeks after that the dose was increased to 10 mg. There was no event recurrence after restarting rosuvastatin.
5. Manufacturer Control No. (b) (6), Productive cough: A 73-year old male subject (b) (6) with a history of chronic obstructive pulmonary disease (COPD) and episodic productive cough developed a productive cough 9 months after initiating IP. The subject initiated IP, but IP was discontinued 2 weeks later at the subject's request. Approximately 9 months later IP was restarted. Three days after reinitiation, the subject presented with productive cough (250 ml sputum expectorated in 90 minutes), dyspnea and thoracic pain and was treated with increased doses of fluticasone and albuterol inhalers. The event resolved the same day. The following day at a visit with his family doctor, a chest x-ray showed possible COPD exacerbation and the subject was treated with azithromycin and cortisone; however, the investigator later concluded the event was not a COPD exacerbation but was instead an episode of acute abundant bronchial excretions. IP was discontinued. The investigator considered the event possibly related to IP.

## 8 Postmarket Experience

As this is the first marketing application for evolocumab, there are no postmarketing data at this time.

## **9 Appendices**

### **9.1 Literature Review/References**

Literature references were made throughout this document when relevant.

### **9.2 Labeling Recommendations**

Pending

### **9.3 Advisory Committee Meeting**

Pending

## 9.4 Screening Disposition in Trial 20110109

	n (%)
<b>Number of subjects screened</b>	<b>2120</b>
<b>Number of subjects excluded prior to entering Lipid Stabilization period</b>	<b>635 (30.0)</b>
Eligibility criteria met but did not enrol	118 (5.6)
Missing or incorrectly specified reason	3 (0.1)
Number of subjects not meeting the eligibility criteria	514 (24.2)
Fasting LDL-C < 75 mg/dL as determined by central laboratory at the initial screening visit	117 (5.5)
Subject did not provide informed consent	32 (1.5)
Fasting LDL-C det. by central lab at end of lipid stabilization < 75 mg/dL or meeting goal LDL-C values based on risk factor status (NCEP ATPIII risk categories)	24 (1.1)
Fasting triglycerides > 400 mg/dL by central laboratory at screening	23 (1.1)
Male or female < 18 or > 75 years of age	16 (0.8)
Type 1 diabetes, newly diagnosed or poorly controlled type 2 diabetes	125 (5.9)
Hyperthyroidism or hypothyroidism at screening	49 (2.3)
Uncontrolled hypertension, confirmed with repeat measurement	35 (1.7)
Subject will not be available for protocol-required study visits or procedures, to the best of the subject and investigator's knowledge.	28 (1.3)
Active liver disease or hepatic dysfunction	17 (0.8)
History of malignancy (except non-melanoma skin cancers, cervical in-situ carcinoma, breast ductal carcinoma in situ, or stage 1 prostate carcinoma)	13 (0.6)
Subject has any kind of disorder that, in opinion of the investigator, may compromise the ability of the subject to give written informed consent and/or to comply with all required study procedures	12 (0.6)
Diagnosed with CHD or CHD risk equivalent and not receiving statin therapy, with LDL-C at screening <= 99 mg/dL	10 (0.5)
Unreliability as study participant based on investigator's (or designee's) knowledge of subject (eg, alcohol or other drug abuse, inability or unwillingness to adhere to the protocol, or psychosis)	9 (0.4)
CK > 3 times the ULN at screening or at end of lipid stabilization period	8 (0.4)
Treatment in the last 3 months prior to LDL-C screening with systemic cyclosporine, systemic steroids, vitamin A derivatives and retinol derivatives for the treatment of dermatologic conditions (eg, Accutane); (Note: vitamin A in a multivitamin preparation is permitted)	7 (0.3)
Subject took in last 6 weeks prior LDL-C screening:red yeast rice;>200 mg/day niacin;>1000 mg/day omega-3 fatty acid;lipid-reg drug (bileacid sequest resin, fibrate, deriv) other than statin or ezetimibe	6 (0.3)
Known sensitivity to any of the products to be administered	5 (0.2)
Known active infection or major hematologic, renal, metabolic, gastrointestinal or endocrine dysfunction	4 (0.2)
Current therapeutic anticoagulation with vitamin K antagonist (eg, warfarin), heparin, low-molecular weight heparin, direct thrombin inhibitor, or Factor Xa inhibitor. (Note: anti-platelet agents [eg, aspirin, clopidogrel, prasugrel, ticagrelor, dipyridamole] are permitted).	4 (0.2)

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	n (%)
Number of Subjects not meeting the eligibility criteria (continued)	
Moderate to severe renal dysfunction, defined as an estimated glomerular filtration rate (eGFR) < 30 ml/min/1.73m <sup>2</sup> at screening, confirmed by a repeat measurement at least 1 week apart	3 (0.1)
Myocardial infarction, unstable angina, percutaneous coronary intervention, coronary artery bypass graft or stroke within 3 months prior to randomization	2 (0.1)
Subject is pregnant or breast feeding, or planning to become pregnant during treatment and/or within 15 weeks after the end of treatment	2 (0.1)
Subject has previously received AMG 145 (evolocumab) or any other investigational therapy to inhibit PCSK9	2 (0.1)
Uncontrolled cardiac arrhythmia defined as recurrent and highly symptomatic ventricular tachycardia, atrial fibrillation with rapid ventricular response, or supraventricular tachycardia that are not controlled by medications, in the past 3 months prior to randomization	1 (0.0)
Planned cardiac surgery or revascularization	1 (0.0)
Currently enrolled in another investigational device or drug study, or less than 30 days since ending another investigational device or drug study(s), or receiving other investigational agent(s)	1 (0.0)
<b>Number of subjects entering Lipid Stabilization Period</b>	<b>1485 (70.0)</b>
<b>Number of subjects randomized in investigational product (IP) period</b>	<b>905</b>
<b>Number of subjects not randomized into IP period</b>	<b>580</b>
Number of subjects not meeting the eligibility criteria	539 (92.9)
Fasting LDL-C det. by central lab at end of lipid stabilization < 75 mg/dL or meeting goal LDL-C values based on risk factor status (NCEP ATPIII risk categories)	400 (69.0)
Fasting LDL-C < 75 mg/dL as determined by central laboratory at the end of the lipid stabilization period	11 (1.9)
Subject did not provide informed consent	8 (1.4)
Fasting triglycerides > 400 mg/dL by central laboratory at end of lipid stabilization period	4 (0.7)
Male or female < 18 or > 75 years of age	4 (0.7)
Subject will not be available for protocol-required study visits or procedures, to the best of the subject and investigator's knowledge	36 (6.2)
Type 1 diabetes, newly diagnosed or poorly controlled type 2 diabetes	31 (5.3)
Known sensitivity to any of the products to be administered	11 (1.9)
Unreliability as study participant based on investigator's (or designee's) knowledge of subject (eg, alcohol or other drug abuse, inability or unwillingness to adhere to the protocol, or psychosis)	10 (1.7)
Active liver disease or hepatic dysfunction	9 (1.6)
CK > 3 times the ULN at screening or at end of lipid stabilization period	8 (1.4)
Known active infection or major hematologic, renal, metabolic, gastrointestinal or endocrine dysfunction	5 (0.9)
Treatment in the last 3 months prior to LDL-C screening with systemic cyclosporine, systemic steroids, vitamin A derivatives and retinol derivatives for the treatment of dermatologic conditions (eg, Accutane); (Note: vitamin A in a multivitamin preparation is permitted)	5 (0.9)

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	n (%)
Number of Subjects not meeting the eligibility criteria (continued)	
Hyperthyroidism or hypothyroidism at screening	4 (0.7)
Uncontrolled hypertension, confirmed with repeat measurement	4 (0.7)
Subject has any kind of disorder that, in opinion of the investigator, may compromise the ability of the subject to give written informed consent and/or to comply with all required study procedures	3 (0.5)
Planned cardiac surgery or revascularization	2 (0.3)
Diagnosed with CHD or CHD risk equivalent and not receiving statin therapy, with LDL-C at screening <= 99 mg/dL	1 (0.2)
History of malignancy (except non-melanoma skin cancers, cervical in-situ carcinoma, breast ductal carcinoma in situ, or stage 1 prostate carcinoma)	1 (0.2)
Myocardial infarction, unstable angina, percutaneous coronary intervention, coronary artery bypass graft or stroke within 3 months prior to randomization	1 (0.2)
Subject took in last 6 weeks prior LDL-C screening:red yeast rice;>200 mg/day niacin;>1000 mg/day omega-3 fatty acid;lipid-reg drug (bileacid sequest resin, fibrate, deriv) other than statin or ezetimibe	1 (0.2)
Subject has previously received AMG 145 (evolocumab) or any other investigational therapy to inhibit PCSK9	1 (0.2)

Percentages are based on the “total number of subjects screened” (ie, 2120) for lipid stabilization period and on the “number of subjects in the lipid stabilization analysis set who were not randomized” (ie, 580) for the investigational product period. Subjects can record multiple reasons for screen failure or ineligibility. LDL-C = low-density lipoprotein cholesterol; CHD = coronary heart disease; CK = creatine kinase; ULN = upper limit of normal; PCSK9 = proprotein convertase subtilisin/kexin type 9. Source: Applicant’s May 2015 response to FDA information request

## 9.5 Adverse Events in the Integrated Parent Analysis Set Excluding Trial 20110109

Table 113: Adverse Events during the Parent Trials by Preferred Term in Descending Order of Frequency Preferred Terms Reported by  $\geq 1\%$  of Participants in Any Treatment Group (Integrated Parent Analysis Set Excluding Trial 20110109)

Preferred Term	Control			EvoMab			
	Placebo SC Q2W (N = 586) n (%)	Placebo SC QM (N = 638) n (%)	Ezetimibe QD (N = 554) n (%)	Other EvoMab Dose (N = 715) n (%)	140 mg Q2W (N = 1245) n (%)	420 mg QM (N = 1357) n (%)	420 mg QM + Ezetimibe QD (N = 30) n (%)
Number of participants reporting adverse events	240 (41.0)	289 (45.3)	278 (50.2)	397 (55.5)	543 (43.6)	608 (44.8)	20 (66.7)
Nasopharyngitis	23 (3.9)	25 (3.9)	22 (4.0)	74 (10.3)	40 (3.2)	51 (3.8)	3 (10.0)
Headache	19 (3.2)	16 (2.5)	20 (3.6)	16 (2.2)	32 (2.6)	42 (3.1)	6 (20.0)
Back Pain	8 (1.4)	19 (3.0)	13 (2.3)	15 (2.1)	29 (2.3)	33 (2.4)	3 (10.0)
Pain In Extremity	8 (1.4)	12 (1.9)	6 (1.1)	7 (1.0)	17 (1.4)	33 (2.4)	3 (10.0)
Fatigue	7 (1.2)	5 (0.8)	19 (3.4)	11 (1.5)	20 (1.6)	27 (2.0)	0 (0.0)
Nausea	6 (1.0)	9 (1.4)	12 (2.2)	13 (1.8)	21 (1.7)	26 (1.9)	0 (0.0)
Muscle Spasms	7 (1.2)	8 (1.3)	14 (2.5)	12 (1.7)	17 (1.4)	25 (1.8)	0 (0.0)
Myalgia	5 (0.9)	14 (2.2)	27 (4.9)	22 (3.1)	21 (1.7)	25 (1.8)	6 (20.0)
Upper Respiratory Tract Infection	15 (2.6)	9 (1.4)	13 (2.3)	21 (2.9)	22 (1.8)	25 (1.8)	3 (10.0)
Diarrhoea	10 (1.7)	18 (2.8)	14 (2.5)	15 (2.1)	21 (1.7)	23 (1.7)	1 (3.3)
Arthralgia	8 (1.4)	11 (1.7)	12 (2.2)	18 (2.5)	25 (2.0)	22 (1.6)	1 (3.3)
Dizziness	8 (1.4)	7 (1.1)	11 (2.0)	9 (1.3)	13 (1.0)	19 (1.4)	2 (6.7)
Cough	1 (0.2)	7 (1.1)	6 (1.1)	21 (2.9)	13 (1.0)	16 (1.2)	1 (3.3)
Urinary Tract Infection	7 (1.2)	8 (1.3)	8 (1.4)	3 (0.4)	14 (1.1)	16 (1.2)	0 (0.0)
Oedema Peripheral	3 (0.5)	5 (0.8)	5 (0.9)	5 (0.7)	5 (0.4)	14 (1.0)	0 (0.0)
Blood Creatine Phosphokinase Increased	1 (0.2)	6 (0.9)	2 (0.4)	11 (1.5)	6 (0.5)	13 (1.0)	0 (0.0)
Injection Site Pain	1 (0.2)	4 (0.6)	1 (0.2)	11 (1.5)	6 (0.5)	13 (1.0)	0 (0.0)
Contusion	1 (0.2)	5 (0.8)	4 (0.7)	5 (0.7)	12 (1.0)	11 (0.8)	0 (0.0)
Hypertension	5 (0.9)	9 (1.4)	5 (0.9)	10 (1.4)	16 (1.3)	11 (0.8)	0 (0.0)
Influenza	3 (0.5)	10 (1.6)	9 (1.6)	9 (1.3)	17 (1.4)	11 (0.8)	1 (3.3)
Injection Site Bruising	2 (0.3)	5 (0.8)	5 (0.9)	7 (1.0)	0 (0.0)	11 (0.8)	1 (3.3)
Musculoskeletal Pain	4 (0.7)	3 (0.5)	8 (1.4)	5 (0.7)	7 (0.6)	11 (0.8)	0 (0.0)

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Preferred Term	Control			EvoMab			
	Placebo SC Q2W (N = 586) n (%)	Placebo SC QM (N = 638) n (%)	Ezetimibe QD (N = 554) n (%)	Other EvoMab Dose (N = 715) n (%)	140 mg Q2W (N = 1245) n (%)	420 mg QM (N = 1357) n (%)	420 mg QM + Ezetimibe QD (N = 30) n (%)
Oropharyngeal Pain	6 (1.0)	6 (0.9)	4 (0.7)	10 (1.4)	7 (0.6)	10 (0.7)	0 (0.0)
Sinusitis	4 (0.7)	1 (0.2)	9 (1.6)	11 (1.5)	8 (0.6)	10 (0.7)	0 (0.0)
Abdominal Pain	4 (0.7)	3 (0.5)	6 (1.1)	3 (0.4)	9 (0.7)	9 (0.7)	1 (3.3)
Bronchitis	6 (1.0)	7 (1.1)	2 (0.4)	8 (1.1)	19 (1.5)	9 (0.7)	1 (3.3)
Constipation	10 (1.7)	2 (0.3)	3 (0.5)	5 (0.7)	16 (1.3)	8 (0.6)	3 (10.0)
Gastroenteritis	5 (0.9)	3 (0.5)	2 (0.4)	5 (0.7)	8 (0.6)	7 (0.5)	1 (3.3)
Insomnia	3 (0.5)	4 (0.6)	0 (0.0)	7 (1.0)	5 (0.4)	7 (0.5)	0 (0.0)
Paraesthesia	2 (0.3)	0 (0.0)	6 (1.1)	4 (0.6)	7 (0.6)	7 (0.5)	0 (0.0)
Pharyngitis	6 (1.0)	3 (0.5)	3 (0.5)	4 (0.6)	3 (0.2)	7 (0.5)	0 (0.0)
Rash	5 (0.9)	2 (0.3)	6 (1.1)	7 (1.0)	11 (0.9)	7 (0.5)	0 (0.0)
Abdominal Distension	0 (0.0)	5 (0.8)	3 (0.5)	1 (0.1)	11 (0.9)	6 (0.4)	1 (3.3)
Asthenia	0 (0.0)	4 (0.6)	0 (0.0)	4 (0.6)	2 (0.2)	6 (0.4)	1 (3.3)
Cystitis	5 (0.9)	1 (0.2)	5 (0.9)	5 (0.7)	6 (0.5)	6 (0.4)	2 (6.7)
Epistaxis	1 (0.2)	1 (0.2)	1 (0.2)	2 (0.3)	4 (0.3)	6 (0.4)	1 (3.3)
Depression	2 (0.3)	2 (0.3)	7 (1.3)	0 (0.0)	1 (0.1)	5 (0.4)	0 (0.0)
Flatulence	1 (0.2)	2 (0.3)	3 (0.5)	7 (1.0)	2 (0.2)	5 (0.4)	1 (3.3)
Gout	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.3)	2 (0.2)	5 (0.4)	1 (3.3)
Injection Site Erythema	0 (0.0)	7 (1.1)	6 (1.1)	7 (1.0)	9 (0.7)	5 (0.4)	1 (3.3)
Decreased Appetite	1 (0.2)	0 (0.0)	1 (0.2)	3 (0.4)	3 (0.2)	4 (0.3)	1 (3.3)
Gastroenteritis Viral	1 (0.2)	1 (0.2)	2 (0.4)	2 (0.3)	3 (0.2)	4 (0.3)	1 (3.3)
Pruritus	1 (0.2)	2 (0.3)	9 (1.6)	5 (0.7)	3 (0.2)	4 (0.3)	0 (0.0)
Pneumonia	0 (0.0)	3 (0.5)	0 (0.0)	8 (1.1)	4 (0.3)	3 (0.2)	0 (0.0)
Sinus Congestion	1 (0.2)	3 (0.5)	1 (0.2)	9 (1.3)	3 (0.2)	3 (0.2)	0 (0.0)
Excoriation	1 (0.2)	0 (0.0)	1 (0.2)	3 (0.4)	2 (0.2)	2 (0.1)	1 (3.3)
Night Sweats	0 (0.0)	0 (0.0)	2 (0.4)	0 (0.0)	1 (0.1)	2 (0.1)	1 (3.3)
Oral Herpes	1 (0.2)	2 (0.3)	0 (0.0)	0 (0.0)	1 (0.1)	2 (0.1)	1 (3.3)
Road Traffic Accident	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.2)	2 (0.1)	1 (3.3)
Wound	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	2 (0.1)	1 (3.3)

Preferred Term	Control			EvoMab			
	Placebo SC Q2W (N = 586) n (%)	Placebo SC QM (N = 638) n (%)	Ezetimibe QD (N = 554) n (%)	Other EvoMab Dose (N = 715) n (%)	140 mg Q2W (N = 1245) n (%)	420 mg QM (N = 1357) n (%)	420 mg QM + Ezetimibe QD (N = 30) n (%)
Hyperhidrosis	1 (0.2)	3 (0.5)	2 (0.4)	0 (0.0)	2 (0.2)	1 (0.1)	1 (3.3)
Injection Site Swelling	0 (0.0)	0 (0.0)	2 (0.4)	1 (0.1)	1 (0.1)	1 (0.1)	1 (3.3)
Malaise	0 (0.0)	2 (0.3)	2 (0.4)	3 (0.4)	4 (0.3)	1 (0.1)	1 (3.3)
Corneal Erosion	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (3.3)
Face Oedema	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (3.3)
Glucose Tolerance Impaired	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)	1 (3.3)
Helicobacter Infection	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (3.3)
Humerus Fracture	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)	1 (3.3)
Inguinal Hernia	1 (0.2)	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	1 (3.3)
Injection Site Discomfort	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (3.3)
Joint Stiffness	1 (0.2)	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	1 (3.3)
Limb Injury	0 (0.0)	1 (0.2)	0 (0.0)	1 (0.1)	1 (0.1)	0 (0.0)	1 (3.3)
Localised Oedema	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (3.3)
Miliaria	0 (0.0)	1 (0.2)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)	1 (3.3)
Scab	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (3.3)
Spontaneous Haematoma	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	1 (3.3)
Vestibular Disorder	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (3.3)

Includes the following trials: 20090158, 20090159, 20101154, 20101155, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, and 20120356. The 52-week trial 20110109 is not included.  
 N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; Eze=ezetimibe; QD = once a day; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous; IPAS = Integrated Parent Analysis Set. Coded using MedDRA version 17.0.  
 Source Data: adam.adsl; ISS Table 14-6.2.3

## 9.6 Serious Adverse Events in the Integrated Parent Analysis Set Excluding Trial 20110109

Table 114: Serious Adverse Events during the Parent Trials by System Organ Class (SOCs) and Preferred Term for Select SOCs (Integrated Parent Analysis Set Excluding Trial 20110109)

System Organ Class Preferred Term	Control			EvoMab			
	Placebo SC Q2W (N = 586) n (%)	Placebo SC QM (N = 638) n (%)	Ezetimibe QD (N = 554) n (%)	Other EvoMab Dose (N = 715) n (%)	140 mg Q2W (N = 1245) n (%)	420 mg QM (N = 1357) n (%)	420 mg QM + Ezetimibe QD (N = 30) n (%)
Number of subjects reporting adverse events	12 (2.0)	11 (1.7)	7 (1.3)	15 (2.1)	36 (2.9)	26 (1.9)	0 (0.0)
BLOOD AND LYMPHATIC SYSTEM DISORDERS	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Anaemia	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
CARDIAC DISORDERS	2 (0.3)	0 (0.0)	0 (0.0)	3 (0.4)	10 (0.8)	5 (0.4)	0 (0.0)
Acute Myocardial Infarction	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.2)	1 (0.1)	0 (0.0)
Angina Pectoris	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	1 (0.1)	0 (0.0)
Angina Unstable	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	1 (0.1)	0 (0.0)
Atrial Fibrillation	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	1 (0.1)	0 (0.0)
Coronary Artery Disease	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	1 (0.1)	0 (0.0)
Myocardial Infarction	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	2 (0.2)	1 (0.1)	0 (0.0)
Acute Coronary Syndrome	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Cardiac Failure	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Cardiac Failure Congestive	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Tachycardia	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Ventricular Fibrillation	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
GASTROINTESTINAL	3 (0.5)	1 (0.2)	2 (0.4)	1 (0.1)	2 (.2)	2 (0.1)	0 (0.0)
Pancreatitis Acute	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	2 (0.1)	0 (0.0)
Abdominal Pain Upper	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Gastrointestinal Haemorrhage	1 (0.2)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Gastrointestinal Motility Disorder	0 (0.0)	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Gastroesophageal Reflux Disease	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Hiatus Hernia	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
InguinalHernia	1(0.2)	0(0.0)	1(0.2)	0(0.0)	0(0.0)	0(0.0)	0(0.0)
INFECTIONS AND INFESTATIONS	3 (0.5)	2 (0.3)	1 (0.2)	1 (0.1)	6 (0.5)	2 (0.1)	0 (0.0)
INJURY, POISONING AND PROCEDURAL COMPLICATIONS	0 (0.0)	0 (0.0)	0 (0.0)	3 (0.4)	4 (0.3)	2 (0.1)	0 (0.0)
INVESTIGATIONS	0 (0.0)	1 (0.2)	1 (0.2)	0 (0.0)	2 (0.2)	1 (0.1)	0 (0.0)
Hepatic Enzyme Increased	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.2)	0 (0.0)	0 (0.0)
METABOLISM AND NUTRITION DISORDERS	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.2)	0 (0.0)	0 (0.0)
MUSCULOSKELETAL AND CONNECTIVE TISSUE DISORDERS	0 (0.0)	1 (0.2)	0 (0.0)	1 (0.1)	2 (0.2)	2 (0.1)	0 (0.0)
NEOPLASMS BENIGN, MALIGNANT AND UNSPECIFIED (INCL CYSTS AND POLYPS)	2 (0.3)	2 (0.3)	2 (0.4)	0 (0.0)	6 (0.5)	2 (0.1)	0 (0.0)

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NERVOUS SYSTEM DISORDERS	3 (0.5)	0 (0.0)	0 (0.0)	3 (0.4)	4 (0.3)	2 (0.1)	0 (0.0)
Cerebrovascular Accident	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	1 (0.1)	0 (0.0)
Epilepsy	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Coma	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Grand Mal Convulsion	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Ischaemic Stroke	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Neurological Symptom	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Syncope	1 (0.2)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)	0 (0.0)
Transient Ischaemic Attack	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.3)	0 (0.0)	0 (0.0)	0 (0.0)
PSYCHIATRIC DISORDERS	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	3 (0.2)	0 (0.0)	0 (0.0)
Affective Disorder	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Alcohol Withdrawal Syndrome	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Delirium	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Depression	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Substance Abuse	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
RENAL AND URINARY DISORDERS	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	1 (0.1)	2 (0.1)	0 (0.0)
Glomerulonephritis Acute	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Renal Failure Acute	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
REPRODUCTIVE SYSTEM AND BREAST DISORDERS	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.1)	0 (0.0)
Breast Pain	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Penile Haemorrhage	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
RESPIRATORY, THORACIC AND MEDIASTINAL DISORDERS	1 (0.2)	1 (0.2)	0 (0.0)	1 (0.1)	3 (0.2)	0 (0.0)	0 (0.0)
SKIN AND SUBCUTANEOUS TISSUE DISORDERS	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Erythema	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
VASCULAR DISORDERS	0 (0.0)	1 (0.2)	1 (0.2)	1 (0.1)	2 (0.2)	1 (0.1)	0 (0.0)
Deep Vein Thrombosis	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Aortic Aneurysm	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Arteriosclerosis	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)	0 (0.0)
Hypertensive Crisis	0 (0.0)	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Orthostatic Hypotension	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Peripheral Artery Stenosis	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)

Includes the following trials: 20090158, 20090159, 20101154, 20101155, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, and 20120356. The 52-week trial 20110109 is not included.

N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; Eze=ezetimibe; QD = once a day; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous; IPAS = Integrated Parent Analysis Set. Coded using MedDRA version 17.0.

Source Data: adam.adsl; ISS Table 14-6.4.3

## 9.7 Adverse Events that Led to Discontinuation in the Integrated Parent Analysis Set Excluding Trial 20110109

Table 115: Adverse Events Leading to Discontinuation of Investigational Product During the Parent Trials by Select System Organ Class and Preferred Term (Integrated Parent Analysis Set Excluding Trial 20110109)

System Organ Class Preferred Term	Control				EvoMab		
	Placebo SC Q2W (N = 586) n (%)	Placebo SC QM (N = 638) n (%)	Ezetimibe QD (N = 554) n (%)	Other EvoMab Dose (N = 715) n (%)	140 mg Q2W (N = 1245) n (%)	420 mg QM (N = 1357) n (%)	420 mg QM + Ezetimibe QD (N = 30) n (%)
Number of subjects reporting adverse events	10 (1.7)	11 (1.7)	24 (4.3)	3 (0.4)	29 (2.3)	28 (2.1)	1 (3.3)
CARDIAC DISORDERS	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Acute Myocardial Infarction	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Cardiac Failure	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Myocardial Infarction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Ventricular Fibrillation	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
EAR AND LABYRINTH DISORDERS	0 (0.0)	0 (0.0)	1 (0.2)	0 (0.0)	1 (0.1)	1 (0.1)	0 (0.0)
EYE DISORDERS	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.1)	0 (0.0)
GASTROINTESTINAL DISORDERS	1 (0.2)	0 (0.0)	5 (0.9)	0 (0.0)	6 (0.5)	6 (0.4)	0 (0.0)
Nausea	0 (0.0)	0 (0.0)	2 (0.4)	0 (0.0)	1 (0.1)	4 (0.3)	0 (0.0)
Abdominal Distension	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Abdominal Pain	0 (0.0)	0 (0.0)	2 (0.4)	0 (0.0)	1 (0.1)	1 (0.1)	0 (0.0)
Diarrhoea	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	1 (0.1)	0 (0.0)
Pancreatitis Acute	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
ADMINISTRATION SITE CONDITIONS							
Influenza Like Illness	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Injection Site Haematoma	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Asthenia	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)

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Chest Discomfort	0 (0.0)	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Fatigue	0 (0.0)	1 (0.2)	2 (0.4)	0 (0.0)	3 (0.2)	0 (0.0)	0 (0.0)
Injection Site Rash	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Malaise	0 (0.0)	0 (0.0)	1 (0.2)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Pyrexia	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
HEPATOBIILIARY DISORDERS	0 (0.0)	1 (0.2)	0 (0.0)	1 (0.1)	1 (0.1)	0 (0.0)	0 (0.0)
Cholecystitis	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Cholelithiasis	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Drug-Induced Liver Injury	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Hepatic Function Abnormal	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)	0 (0.0)
IMMUNE SYSTEM DISORDERS	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Drug Hypersensitivity	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
INFECTIIONS AND INFESTATIONS	0 (0.0)	2 (0.3)	0 (0.0)	0 (0.0)	2 (0.2)	0 (0.0)	0 (0.0)
INJURY, POISONING AND PROCEDURAL COMPLICATIONS	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
INVESTIGATIONS	3 (0.5)	2 (0.3)	0 (0.0)	1 (0.1)	2 (0.2)	1 (0.1)	0 (0.0)
Blood Creatine Phosphokinase Increased	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	2 (0.2)	1 (0.1)	0 (0.0)
Alanine Aminotransferase Increased	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Hepatic Enzyme Increased	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Liver Function Test Abnormal	3 (0.5)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Weight Increased	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)	0 (0.0)
METABOLISM AND NUTRITION DISORDERS	0 (0.0)	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
MUSCULOSKELETAL AND CONNECTIVE TISSUE DISORDERS	2 (0.3)	4 (0.6)	11 (2.0)	1 (0.1)	7 (0.6)	15 (1.1)	1 (3.3)
Myalgia	0 (0.0)	4 (0.6)	6 (1.1)	0 (0.0)	5 (0.4)	4 (0.3)	1 (3.3)
Arthralgia	0 (0.0)	0 (0.0)	2 (0.4)	0 (0.0)	0 (0.0)	3 (0.2)	0 (0.0)
Pain In Extremity	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	3 (0.2)	0 (0.0)
Back Pain	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.1)	0 (0.0)
NEOPLASMS BENIGN, MALIGNANT AND UNSPECIFIED (INCL CYSTS AND POLYPS)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.2)	1 (0.1)	0 (0.0)
NERVOUS SYSTEMS DISORDERS	3(0.5)	0(0.0)	5(0.9)	0(0.0)	4(0.3)	6(0.4)	0(0.0)
Headache	0 (0.0)	0 (0.0)	2 (0.4)	0 (0.0)	1 (0.1)	2 (0.1)	0 (0.0)
Burning Sensation	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Dizziness	1 (0.2)	0 (0.0)	3 (0.5)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Paraesthesia	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Poor Quality Sleep	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Tremor	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Cerebrovascular Accident	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Coma	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Ischaemic Stroke	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Muscle Contractions Involuntary	1(0.2)	0(0.0)	0(0.0)	0(0.0)	0(0.0)	0(0.0)	0(0.0)

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Syncope	0 (0.0)	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
PSYCHIATRIC DISORDERS	0 (0.0)	2 (0.3)	1 (0.2)	0 (0.0)	1 (0.1)	2 (0.1)	0 (0.0)
Disorientation	0 (0.0)	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Insomnia	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Mood Swings	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)
Libido Decreased	0 (0.0)	1 (0.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Nightmare	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
RENAL AND URINARY DISORDERS	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	2 (0.1)	0 (0.0)
REPRODUCTIVE SYSTEM AND BREAST DISORDERS	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
RESPIRATORY, THORACIC AND MEDIASTINAL DISORDERS	0 (0.0)	0 (0.0)	1 (0.2)	0 (0.0)	1 (0.1)	1 (0.1)	0 (0.0)
SKIN AND SUBCUTANEOUS TISSUE DISORDERS	0 (0.0)	0 (0.0)	7 (1.3)	0 (0.0)	2 (0.2)	0 (0.0)	0 (0.0)
VASCULAR DISORDERS	0 (0.0)	0 (0.0)	2 (0.4)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
<p>Includes the following trials: 20090158, 20090159, 20101154, 20101155, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, and 20120356. The 52-week trial 20110109 is not included.            N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; Eze=ezetimibe; QD = once a day; Q2W = every 2 weeks; QM = monthly; SC = subcutaneous; IPAS = Integrated Parent Analysis Set. Coded using MedDRA version 17.0.            Source Data: adam.adsl; ISS Table 14-6.5.3</p>							

## 9.8 NCEP ATP III Risk Categories

Table 116: ATP III LDL-C Goals and Cutpoints for TLC and Drug Therapy in Different Risk Categories and Proposed Modifications Based on Recent Clinical Trial Evidence

Risk Category	LDL-C Goal	Initiate TLC	Consider Drug Therapy**
<i>High risk:</i> CHD* or CHD risk equivalents† (10-year risk >20%)	<100 mg/dL (optional goal: <70 mg/dL)¶	≥100 mg/dL#	≥100 mg/dL†† (<100 mg/dL: consider drug options)**
<i>Moderately high risk:</i> 2+ risk factors‡ (10-year risk 10% to 20%)§§	<130 mg/dL¶	≥130 mg/dL#	≥130 mg/dL (100–129 mg/dL; consider drug options)‡‡
<i>Moderate risk:</i> 2+ risk factors‡ (10-year risk <10%)§§	<130 mg/dL	≥130 mg/dL	≥160 mg/dL
<i>Lower risk:</i> 0–1 risk factor§	<160 mg/dL	≥160 mg/dL	≥190 mg/dL (160–189 mg/dL: LDL-lowering drug optional)

\*CHD includes history of myocardial infarction, unstable angina, stable angina, coronary artery procedures (angioplasty or bypass surgery), or evidence of clinically significant myocardial ischemia.

†CHD risk equivalents include clinical manifestations of noncoronary forms of atherosclerotic disease (peripheral arterial disease, abdominal aortic aneurysm, and carotid artery disease [transient ischemic attacks or stroke of carotid origin or >50% obstruction of a carotid artery]), diabetes, and 2+ risk factors with 10-year risk for hard CHD >20%.

‡Risk factors include cigarette smoking, hypertension (BP ≥140/90 mm Hg or on antihypertensive medication), low HDL cholesterol (<40 mg/dL), family history of premature CHD (CHD in male first-degree relative <55 years of age; CHD in female first-degree relative <65 years of age), and age (men ≥45 years; women ≥55 years).

§§Electronic 10-year risk calculators are available at [www.nhlbi.nih.gov/guidelines/cholesterol](http://www.nhlbi.nih.gov/guidelines/cholesterol).

§Almost all people with zero or 1 risk factor have a 10-year risk <10%, and 10-year risk assessment in people with zero or 1 risk factor is thus not necessary.

¶Very high risk favors the optional LDL-C goal of <70 mg/dL, and in patients with high triglycerides, non-HDL-C <100 mg/dL.

#Optional LDL-C goal <100 mg/dL.

#Any person at high risk or moderately high risk who has lifestyle-related risk factors (eg, obesity, physical inactivity, elevated triglyceride, low HDL-C, or metabolic syndrome) is a candidate for therapeutic lifestyle changes to modify these risk factors regardless of LDL-C level.

\*\*When LDL-lowering drug therapy is employed, it is advised that intensity of therapy be sufficient to achieve at least a 30% to 40% reduction in LDL-C levels.

††If baseline LDL-C is <100 mg/dL, institution of an LDL-lowering drug is a therapeutic option on the basis of available clinical trial results. If a high-risk person has high triglycerides or low HDL-C, combining a fibrate or nicotinic acid with an LDL-lowering drug can be considered.

‡‡For moderately high-risk persons, when LDL-C level is 100 to 129 mg/dL, at baseline or on lifestyle therapy, initiation of an LDL-lowering drug to achieve an LDL-C level <100 mg/dL is a therapeutic option on the basis of available clinical trial results.

Source: Grundy SM, Cleeman JI, Merz CN et. al. Implications of Recent Clinical Trials for the National Cholesterol Education Program Adult Treatment Panel III Guidelines. *Circulation*. 2004;110:227-239.

## 9.9 A Selection of Narratives of Hepatic-related Serious Adverse Events

SAE AST/ALT Increased.

Subject No: 10966415011

Treatments:

- Parent study and regimen: Study 20110109, Evolocumab SC QM 420 mg

This subject was previously discussed in Section 5.3.1 Trial 20110109: DESCARTES

SAE Hepatic function abnormal.

Subject No: 11565005001

Treatments:

- Parent study and regimen: Study 20110115, Placebo SC QM
- Year 1: Evolocumab SC QM 420 mg + Standard of Care

Report: Hepatic function abnormal

This subject was previously discussed in Section 7.3.5.1: Hepatobiliary Disorders

Subject 11565005001 was a 66-year-old white woman participating in Study 20120138 who developed abnormal hepatic function (reported term: liver function abnormality). The subject's medical history included primary hyperlipidemia, unexplained jaundice event in 2007, and recurrent urinary tract infections. Concomitant medications included candesartan, nitrofurantoin in chronic (6 months before the event) use for recurrent urinary infections, ramipril, gabapentin, diclofenac, omeprazole, aluminum hydroxide magnesium carbonate, electrolytes with macrogol, codeine, paracetamol, salbutamol, budesonide, pseudoephedrine, fexofenadine, and mometasone. Previous and ongoing treatment for primary hyperlipidemia included simvastatin. The subject received placebo in the parent study from May 2013 to July 2013. In August 2013 the subject's laboratory tests included aspartate aminotransferase (AST) 22 U/L and alanine aminotransferase (ALT) 20 U/L. She received the first dose of evolocumab in Study 20120138 on 09 October 2013. On 09 December 2013, the subject experienced dyspepsia. Ten days later, the subject developed liver function abnormality with ALT 794 U/L (23xULN), AST 562 U/L (17xULN), and alkaline phosphatase 158 U/L. Three days later, the subject's laboratory tests included total bilirubin of 25 µmol/L, ALT of 817 U/L (24xULN), gamma glutamyltransferase (GGT) of 326 U/L, and alkaline phosphatase (ALP) of 156 U/L. Treatment after the onset of the event of liver function abnormality included domperidone and cholestyramine. Evolocumab and simvastatin were discontinued. Results were negative for hereditary hemochromatosis, hepatitis B surface antigen and hepatitis C, antinuclear antibody (ANA) showed 1/40 homogeneous pattern, and serum ferritin was 1599 µg/L. On 06 January 2014, results included total bilirubin of 26 µmol/L, ALT of 1052 U/L (31xULN), AST of 959 U/L (28xULN), and ALP of 172 U/L. Laboratory values on 10 January 2014 showed AST and ALT decreasing to levels of 884 U/L and 854 U/L, respectively, total bilirubin was 30 µmol/L and ALP was 176 U/L. On 15 January 2014, the subject underwent an abdominal ultrasound, which showed no abnormalities. There was no change from the previous ultrasound on 28 March 2013. On 22 January 2014, the subject underwent endoscopy and gastroscopy due to dysphagia, nausea and/or vomiting, which showed a normal upper gastrointestinal tract. On 30 January 2014, laboratory values included immunoglobulin A of 5.2 g/L, iron of 37 µmol/L, total bilirubin of 230 µmol/L, ALT of 636 U/L, AST of 833 U/L, ALP of 266 U/L, transferrin saturation of 64%, albumin of 28 g/L, and alpha fetoprotein of 39 Ku/L. On 03 Feb 2014, the subject's coagulation screening showed a thrombin time of 19 seconds. On 07 February 2014, the subject underwent a liver biopsy. Biopsy results showed lobular inflammation consisting of lymphocytes, polymorphonuclear leukocytes, and a few eosinophils. The portal tracts were expanded by chronic inflammatory cell infiltrate consisting of lymphocytes, histiocytes, and eosinophils. There was no evidence of ductular damage or granulomata. There was ductular reaction. There was focal zone 3 necrosis along with areas of portal to central bridging confluent necrosis. A few foci of spotty necrosis were seen. There were areas of dilated sinusoids within the necrotic component. Special stains Van Gieson (VG) and Martius, Scarlet and Blue ("MSB") showed focal mild fibrosis within necrotic component and mild fibrous expansion of portal tracts. There was no evidence of bridging fibrosis. Pearls, orcin, and periodic acid-schiff diastase (PASD) stains did not reveal any evidence of increased iron, copper associated protein or PASD inclusions. Negative screening for autoantibodies and negative viral screening was noted. The features were those of acute hepatitis with confluent necrosis and focal bridging necrosis with exclusion of viral and autoimmune etiology. The clinical diagnosis was drug-induced acute hepatitis. In April 2014, liver function tests were normalized with values of ALT 21 U/L, AST 27 U/L, albumin 33 g/L, alkaline phosphatase 108 U/L, and total bilirubin 20 µmol/L. The outcome of the event liver function abnormality was reported as resolved and the subject was discharged from the hospital on an unknown date. Evolocumab and simvastatin continued to be withheld. The subject's last dose of evolocumab prior to the event was on 04 December 2013. As of the data cutoff date of 01 April 2014, evolocumab was still being withheld and the subject was continuing in the study. The investigator reported that there was a reasonable possibility that the event of abnormal liver function was related to evolocumab. The investigator noted that medications such as simvastatin, nitrofurantoin, diclofenac and ramipril may have contributed to the liver dysfunction.

SAE AST/ALT Increased.  
Subject No: 11466028005  
Treatments:

- Parent study and regimen: Study 20110114, Placebo SC Q2W + Ezetimibe 10 mg
- Year 1: Evolocumab SC Q2W 140 mg + Standard of Care

Subject 11466028005 was a 44-year-old white woman participating in Study 20120138 who developed increased alanine aminotransferase and aspartate aminotransferase. The subject's medical history included dyslipidemia, hypertension, irritable bowel syndrome, migraine, seasonal allergies, sinus congestion and upper respiratory congestion. Concomitant medication included norethisterone, zestoretic, paracetamol, fluticasone, and amoxicillin. Previous and ongoing treatment for hyperlipidemia included atorvastatin and ezetimibe. The subject received placebo and ezetimibe in the parent study from March 2013 to May 2013. She received the first dose of evolocumab in Study 20120138 in July 2013. A month earlier, laboratory tests revealed alanine aminotransferase (ALT) 34 U/L, aspartate aminotransferase (AST) 26 U/L, alkaline phosphatase 64 /L, and direct bilirubin 0.2 mg/dL. Approximately 5.5 months later, in December 2013 at the week 24 study visit, the subject complained of upper respiratory congestion and sinus congestion. Laboratory tests on the same day revealed ALT 474 U/L (14xULN), AST 150 U/L (4xULN), alkaline phosphatase 354 U/L, and direct bilirubin 0.4 mg/dL. The subject had not experienced any previous episodes of AST or ALT elevation and there were no etiological or predisposing risk factors associated with the event. Two weeks after the initial elevated levels, laboratory tests were repeated and revealed liver function tests within normal ranges: ALT 33 U/L, AST 22 U/L, alkaline phosphatase 82 U/L, and direct bilirubin 0.3 mg/dL. The subject was continuing home dosing as of the data cutoff date of 01 April 2014. The investigator reported that the elevated liver function tests were not related to study participation; however, they were possibly related to upper respiratory infection. The investigator considered paracetamol as a co-suspect medication.

SAE hepatic enzyme increased.

Subject No: 11466014002

- Treatment: Evolocumab SC Q2W 140 mg + Placebo PO QD

Subject 11466014002 was a 59-year-old white woman participating in Study 20110114 who developed increased hepatic enzyme (reported term: elevated liver enzymes greater than 8 times upper level of normal [ULN]). Her medical history included hypercholesterolemia, hypertension, exertional dyspnea, asthma, sleep apnea, bilateral lower extremity edema, gastroesophageal reflux disease, gastric ulcer, irritable bowel syndrome, nocturia, urinary incontinence, osteoarthritis, osteopenia, compression fracture T4, fibromyalgia, night sweats, psoriasis, hypothyroidism, abdominal aortic aneurysm, insomnia, depression, and allergy to sulfa and amoxicillin. The subject had no history of prior or current use of alcohol, recreational drugs or special diets or exposure to environmental or industrial chemical agents. Concomitant medications included amlodipine, clonidine, losartan, levothyroxine, metoclopramide, nitrofurantion, pantoprazole, venlafaxine, pregabalin, zolpidem, acetyl salicylic acid, dicycloverine, vitamin D, and raloxifen. In February 2013, the subject received the first dose of evolocumab and oral placebo. Laboratory results on the same day revealed aminotransferase (ALT) of 23 U/L, aspartate aminotransferase (AST) of 16 U/L, direct bilirubin of 0.1 mg/dL, alkaline phosphatase of 86 U/L, total bilirubin of 0.2 mg/dL, creatine phosphokinase (CPK) of 82 U/L, and lactate dehydrogenase (LDH) of 198 U/L. On (b) (6) the subject underwent a planned left achilles tendon repair and removal of 3 bone spurs. During the peri-procedure period the subject received bupivacaine, cefazolin, dexamethasone, fentanyl, ketorolac, lidocaine, midazolam, and propofol. Post-operatively, starting on (b) (6) the subject received ciprofloxacin and oxycodone until 25 and 26 April 2013, respectively. On 23 April 2013, laboratory tests obtained prior to evolocumab administration revealed ALT and AST levels to be higher than 8 x ULN; ALT of 493 U/L, AST of 422 U/L, direct bilirubin of 0.6 mg/dL, alkaline phosphatase of 116 U/L, total bilirubin of 0.9 mg/dL, CPK of 2246 U/L, and LDH of 456 U/L. The subject was reported to be asymptomatic and instructed to stop evolocumab on 26 April 2013. On 26 April 2013, laboratory tests revealed ALT of 127 U/L, AST of 45 U/L and CPK of 624 U/L. On 29 April 2013, laboratory tests revealed ALT of 71 U/L, AST of 23 U/L and CPK of 221 U/L. On 29 May 2013, laboratory tests revealed ALT of 21 U/L, AST of 16 U/L, direct bilirubin of 0.1

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mg/dL, alkaline phosphatase of 76 U/L, total bilirubin of 0.3 mg/dL, CPK of 73 U/L, and LDH of 201 U/L. The event of increased hepatic enzyme was considered resolved on the same date. The last dose of evolocumab prior to the event elevated liver enzyme was on 08 April 2013. Evolocumab was discontinued and the subject received the last dose on 23 April 2013 and the last dose of oral placebo on 25 April 2013. The investigator reported that there was a reasonable possibility that the event increased hepatic enzyme was related to evolocumab. This reviewer agrees but the post-operative medications likely played a role in this AE. The subject completed the study in June 2013.

SAE Hepatotoxicity.  
Subject No: 15466039016  
Treatment:

- Parent Study: Evolocumab SC Q4W 350 mg
- Year 1 treatment: Evolocumab SC Q4W 420 mg + Standard of care
- Years 2-5 treatment: Evolocumab SC Q4W 420 mg+ Standard of care

Subject 15466039016 was a 38-year-old white woman participating in Study 20110110 who experienced hepatotoxicity (reported term: liver toxicity). The subject's medical history included hypercholesterolemia, hypertension, gastritis and obesity. The subject had a negative history for recent surgery or anesthesia, no family history of liver disease, and a negative history of alcohol or drug use and no known exposure to liver toxins. Concomitant medications included Vitamin E, lisinopril, metformin, ranitidine, valsartan, and hydrochlorothiazide. The subject was in the parent study from August 2011 to October 2011 and received the last dose of evolocumab in the parent study in September 2011. The subject received the first dose of evolocumab in Study 20110110 in October 2011. On the same day, laboratory tests obtained prior to the first dose of evolocumab revealed alanine aminotransferase (ALT) 52 U/L, aspartate aminotransferase (AST) 32 U/L, uric acid 7.6 mg/dL and glucose 110 mg/dL. Baseline values obtained on August 2011 included ALT 70 U/L, AST 42 U/L, and total bilirubin 0.2 mg/dL. In January 2012, laboratory tests revealed ALT 48 U/L, AST 27 U/L, uric acid 7.0 mg/dL and glucose 112 mg/dL. In April 2012, the subject had symptoms of nausea, mild epigastric pain after eating, and vomiting. These symptoms continued, along with upper abdominal distention, gas, and poor appetite. In April 2012, laboratory tests showed: ALT 147 U/L (4.3xULN), AST 90 U/L (2.7xULN), uric acid 8.1 mg/dL, and glucose 124 mg/dL. Approximately 7 months after the first dose of evolocumab, in May 2012, the subject was still symptomatic with poor appetite, upper abdominal bloating and discomfort and foot pain. Clinical laboratory investigations showed: Test for *Helicobacter pylori* was positive; ALT >5 x upper normal limit; positive results for Hepatitis A virus total antibodies and Epstein Barr virus IgG, and negative results for Hepatitis A virus IgM, Epstein-Barr virus IgM, Hepatitis C virus, Hepatitis B surface antigen and Hepatitis B core total antibodies. The subject was not hospitalized. Treatment medication included combination of amoxicillin trihydrate, clarithromycin, and lansoprazole. The subject started feeling better and liver function tests improved after one week of therapy. Treatment with evolocumab was withheld at visit 28, 32, and 36 of the study. In July 2012, laboratory data showed ALT 71 U/L, AST 42 U/L, total bilirubin 0.2 mg/dL, direct bilirubin < 0.1 mg/dL, alkaline phosphatase 96 U/L, lactose dehydrogenase 145 U/L, uric acid 7.8 mg/dL, albumin 3.6 gm/dL, and glucose 110 gm/dL. On an unspecified date, laboratory tests showed a dramatic drop in the AST and ALT levels. The investigator reported that subject showed marked improvement of symptoms and had resumed a normal diet; liver related test results were also improved. The subject agreed to continue to receive study medication and evolocumab was restarted in August 2012 (visit 40). Repeated follow-up laboratory results showed: AST 67 U/L and ALT 100 U/L in September 2012 and AST 37 U/L and ALT 52 U/L in January 2013. Subsequent liver function tests showed consistent improvement 8 months after the first dose of evolocumab. The event of hepatotoxicity was reported to have resolved in July 2012. In April 2013, liver function tests were normal. The subject's last dose of evolocumab prior to the event of hepatotoxicity was in April 2012 after which the evolocumab was withheld and again started in June 2012. Evolocumab was continued. As of the data cutoff date of 01 April 2014, the last dose of evolocumab was in May 2013. The

investigator reported that there was no reasonable possibility that the event of hepatotoxicity was related to evolocumab. The investigator reported obesity as a risk factor for the event of hepatotoxicity.

### 9.10 A Selection of Narratives of Neurocognitive Adverse Events

- 10966434002: 46-year-old female subject participating in Study 20120138 who developed memory impairment. The subject's medical history included hypertension, anxiety, smoking, hypercholesterolemia and ovarian cyst. Concomitant medications reported included alprazolam and fish oil. Atorvastatin was previously documented from 12 March 2012 until 22 April 2013. The subject received the first dose of evolocumab 420 mg (QM) in parent Study 20110109 in April 2012 and the last dose was in March 2013. The subject received the first dose of evolocumab 420 mg (QM) during Study 20120138 in May 2013. Thirty days later, the subject developed memory impairment. The verbatim term reported was "occasional forgetfulness". Evolocumab was continued and the event was reported as ongoing. Baseline TSH on 05 March 2012 was 5.4 mU/L. The subject's LDL cholesterol levels were 32 and 58 mg/dL, respectively, before and after the event. The LDL cholesterol nadir was 14 mg/dL during the study. Evolocumab was held after a November 2013 visit with primary care physician. Subject did not disclose reason and did not allow site to obtain records from the primary care physician because of privacy concerns.
- 15566053008: 60-year-old male subject participating in Study 20110110 who developed amnesia. The subject's medical history included hypercholesterolemia, hypertension, memory loss, gastroesophageal reflux disease, peripheral neuropathy, spinal cord neoplasm, bone neoplasm, and hypersensitivity. Concomitant medications included aspirin, acetaminophen, simvastatin (started October 2012), hydrochlorothiazide, losartan, metoprolol, gabapentin, and ranitidine. The subject received the first dose of evolocumab 350 mg (QM) in parent Study 20101155 in November 2011 and the last dose in January 2012. The subject received the first dose of evolocumab 420 mg (QM) during Study 20110110 in January 2012. One hundred ninety-five days later, in August 2012, the subject developed amnesia. The verbatim term reported was "worsening memory loss". Evolocumab was continued and the event was reported as ongoing. Baseline TSH on 02 November 2011 was 1.53 mU/L. The subject's LDL cholesterol levels were 22 and 25 mg/dL, respectively, before and after the event. The LDL cholesterol nadir was 4 mg/dL during the study.
- 15566064001: 55-year-old male subject participating in Study 20110110 who developed disorientation. The subject's medical history included hypercholesterolemia, arthritis, lethargy, sleep disorder, dyspepsia, myalgia, erectile dysfunction, and hypersensitivity. Concomitant medications reported included aspirin, simvastatin 40 mg daily (started June 2011), loratidine, ibuprofen, and sildenafil. The subject received the first dose of evolocumab 105 mg (Q2W) in parent Study 20101155 in September 2011 and the last dose in November 2011. The subject received the first dose of evolocumab 420 mg (QM) during Study 20110110 in December 2011. One hundred eleven days later, in April 2012, the subject developed disorientation. The verbatim term reported was "intermittent disorientation while driving a car lasting 1-2 minutes". Evolocumab was continued and the event was reported as ongoing. The subject was referred to his primary medical provider for further evaluation. The subject's LDL cholesterol levels were 35 and 36 mg/dL, respectively, before and after the event. The LDL cholesterol nadir was 29 mg/dL during the study.
- 11666013001: 75-year-old male subject participating in Study 20120138 who developed mental status changes. The subject's medical history included hypercholesterolemia, hypertension, erectile dysfunction, and vertigo. Concomitant medications included aspirin, clopidogrel, diclofenac, lisinopril, metoprolol, and loteprednol etabonate. The subject received

the first dose of evolocumab 420 mg (QM) in parent Study 20110116 in March 2013 and the last dose in May 2013. The subject received the first dose of evolocumab 140 mg (Q2W) during Study 20120138 in June 2013. The following day, the subject developed mental status changes. The verbatim term reported was “mental status change”. Three days later the subject also developed nausea. Evolocumab was continued and the events of mental status change and nausea were both reported as resolved in 29 days. Baseline TSH on 19 March 2013 was 3.54 mU/L. Baseline TSH in Study 20120138 on 19 June 2013 was normal at 2.59 mU/L. The subject’s LDL cholesterol levels were 31 and 30 mg/dL, respectively, before and after the event. The LDL cholesterol nadir was 24 mg/dL during the study.

- 15566064005: 61-year-old man participating in Study 20110110 and developed 2 events of mental impairment. The subject’s medical history included hypercholesterolemia, emphysema, malignant melanoma, anxiety, depression, anemia, and arthritis. Concomitant medications reported included atorvastatin 10mg daily (started January 2009) alprazolam, cyanocobalamin, ferrous sulfate, and ibuprofen. The subject received the first dose of evolocumab in the parent study, Study 20101155, in November 2011 and the last dose in January 2012. The subject received the first dose of evolocumab during Study 20110110 in February 2012. One hundred seventeen days later, in May 2012, the subject developed mental impairment. The verbatim term reported was “intermittent decreased mental acuity-cyclic with onset 72 hours following IP, lasting 2 weeks”. No treatment for the event was reported. Evolocumab was continued and the event was reported as resolved in 14 days. Baseline TSH in October 2011 was 2.07 mU/L. Imipramine was added to the subject’s regimen in November 2012 for the treatment of depression. Three hundred four days after receiving the first dose of evolocumab during Study 20110110, in December 2012, the subject developed a second episode of mental impairment. The verbatim reported term was “worsened cyclic decreased mental acuity”. Evolocumab was temporarily withheld and the event was reported as resolved in 18 days. The subject’s LDL cholesterol levels were 44 and 74 mg/dL, respectively, before and after the first event and 74 and 42 mg/dL, respectively, before and after the second event. The LDL cholesterol nadir was 34 mg/dL during the study. Evolocumab was continued until August 2013 and then discontinued because of the subject’s request due to an adverse event (intermittent palpitations) experienced while on IP.

## 9.11 A Selection of Narratives of Renal Adverse Events

- Subject 11551704027 was a 55-year-old white woman participating in Study 20110115 who developed acute glomerulonephritis. The subject’s medical history included primary hypercholesterolemia, congestive heart failure, type 2 diabetes mellitus, hypertension, stroke/cerebral infarction, carotid or vertebral artery disease, obesity, and chronic pancreatitis. The subject’s baseline urinalysis on 31 July 2013, prior to the first dose of evolocumab, showed 3+ proteinuria suggestive of pre-existing proteinuric renal disease. Concomitant medications included indapamide, glimepiride, and metformin. After completing the 4-week lipid stabilization period receiving rosuvastatin 40 mg daily, the subject received the first and only dose of evolocumab (420 mg) on 31 July 2013. Two days later, on 02 August 2013, the subject developed edema. The subject was seen by a cardiologist and treated with spironolactone and hydrochlorothiazide, following which there was no significant improvement. One week later, the subject started experiencing dyspnea with an increase in physical activity. On (b) (6), the subject reported feeling ill after receiving rosuvastatin; edema appeared, and weight increased by 8 kg. Rosuvastatin was withheld on (b) (6). Urinalysis revealed light yellow, slightly clear, acidic urine with a specific density of 1016, protein 1.97 g/L, sugar negative, singular pavement epithelium in visual field and white blood cells (WBC) and red blood cells (RBC) from 0 to 1 in visual field. On (b) (6), the

subject underwent a physical examination that revealed mild edema of the upper extremities and severe leg edema (two-thirds of hip) and was hospitalized on the same day. The subject was noted to have hypoproteinemia, hyperlipidemia, and proteinuria (urinalysis results showed protein level of 1.97 g/L) while hospitalized. On [REDACTED] (b) (6), urinalysis protein level was 1.86 g/L. The subject was diagnosed with acute glomerulonephritis due to the presence of signs of nephrotic syndrome. Concomitant medications indapamide and metformin were withheld on 13 August 2013. The subject was started on insulin on [REDACTED] (b) (6). On [REDACTED] (b) (6), the subject presented with edema of face and limbs; additionally, heavy proteinuria, hypoalbuminemia, hypercholesterolemia; lack of effect of diuretic therapy was noted. On [REDACTED] (b) (6), nephrologist examination showed acute glomerulonephritis, diabetic neuropathy, and nephrotic syndrome. The nephrologist recommended discontinuation of rosuvastatin. Treatment included acetylsalicylic acid, bisoprolol fumarate, alpha-lipoic acid, combination of aspartic acid dipotassium and magnesium, prednisolone 40 mg oral and 60 mg intravenous, furosemide, torsemide, and spironolactone. In [REDACTED] (b) (6) the subject was discharged from the hospital. The event of acute glomerulonephritis was reported as not resolved at the time of this report. The subject's first and last dose of evolocumab prior to the event was on 31 July 2013. Evolocumab was discontinued due to the event of acute glomerulonephritis. The subject completed the study on 28 October 2013. Anti-evolocumab antibodies were evaluated at baseline and week 12 and found to be negative. The investigator reported that there was a reasonable possibility that the event of acute glomerulonephritis was related to investigational product; statin therapy was considered a co-suspect medication.

- This 75 year old, Caucasian male (34866011003), in the evolocumab 140 mg Q2W group in Study 20120348, had a medical history of hypercholesterolemia, myocardial infarction, hypertension, former cigarette use, percutaneous coronary intervention, transient ischemic attack, benign prostate hypertrophy with prostate cancer, kidney stones, urinary hesitancy and protein in urine. Previous and concurrent treatment for the condition under study included atorvastatin. Concomitant medications included Lasix (furosemide), Aspirin (acetylsalicylic acid), bicalutamide, alfuzosin, losartan and Dexilant (dexlansoprazole). The subject received the first dose of evolocumab 140 mg Q2W on [REDACTED] (b) (6). The next day the subject developed edema in his legs, and 2 weeks later, he was hospitalized for shortness of breath that increased with exertion. Echocardiogram revealed grossly normal left ventricular wall motion and ejection fraction with mild concentric left ventricular hypertrophy. Chest x ray showed linear atelectasis in the left lower lobe. There was no evidence of pneumonia, pulmonary edema or pleural effusion. Venous Doppler of lower extremities revealed no evidence of deep vein or saphenous vein thrombosis. A 24-hour urine collection showed 5.4 g of protein per day, and minimal change disease was diagnosed on renal biopsy. The event was reported to have resolved approximately 1 month later. Evolocumab was discontinued, and the participant withdrew from the study. The investigator reported that the event of nephrotic syndrome was secondary to minimal change disease and was not related to investigational product. This reviewer notes that minimal change disease can be idiopathic (primary) or associated with drugs, malignancy, or infection (secondary). While there is no compelling reason to suspect evolocumab, the evolocumab use is temporally related, and since the cause of minimal change disease is often unknown, evolocumab can not be ruled out as a possible factor.
- One participant (15413007003), who received evolocumab 105 mg Q2W in Study 20101154, had an SAE of nephropathy. Further evaluation indicated that it was IgA nephropathy. This 49 year old, white, female had a medical history that included hypercholesterolemia, chronic low back pain secondary to hernia nucleus pulposus L4L5, allergy to fish causing oral angioedema, bacterial meningitis, reflux esophagitis, moderate mitralis valve insufficiency, varices and smoker. The investigator reported that the subject had no history of obstructive uropathy, hemorrhage/hypovolemia, recent systemic or local infection, rhabdomyolysis or

recent exposure to iodinated contrast material. Concomitant medications included furosemide, buprenorphine, paracetamol (since 1990), omeprazole and ibuprofen (19/Oct/2011-11/Jan/2012). In September 2011, prior to evolocumab administration, the subject's blood pressure was 142/84. Baseline urinalysis: urine specific gravity of 1.017, urine pH of 6.5, protein +1 (proteinuria), glucose was normal, bilirubin was negative, blood of +1 (hematuria), red blood cell count of 23/HPF and white blood cell count of 1/HPF. Baseline laboratory tests disclosed total bilirubin of 3  $\mu\text{mol/L}$ , urea of 4.8  $\text{mmol/L}$ , creatinine of 70  $\mu\text{mol/L}$ , total protein of 66  $\text{g/L}$  and albumin of 35  $\text{g/L}$ . The subject received the first dose of blinded investigational product for hypercholesterolemia in October 2011. Approximately two months later (4 December 2011), the subject presented with increasing non-pitting edema in both legs, resistant to therapy with furosemide. Despite developing non-pitting edema resistant to furosemide, the subject was given a dose of blinded investigational product on 16/Dec/2011. This was the last dose given as blinded investigational product was subsequently permanently discontinued. On 29/Dec/2011, the subject's blood pressure was 128/82 and urinalysis showed proteinuria and hematuria: increased red blood cell of 866 and urine microalbuminuria with urine microalbumin of 3537  $\text{mg/l}$ . The following day, hypoalbuminemia and hyponatremia were detected. On 11/Jan/2012, laboratory tests disclosed albumin of 3.46  $\text{g/dL}$ , cholesterol of 278  $\text{mg/dL}$ , protein/creatinine ratio of 1.82  $\text{g/g}$  and total protein of 6.0  $\text{g/dL}$ . Kidney echocardiogram showed increased right kidney and corticomedullary differentiation. Immunologic screening was negative. Treatment with Preterax (inapamide/perindopril erbumine), Venoruton (heparin sodium) and furosemide was started. At the week 14 visit, on 13/Jan/2012, the subject had elevated blood pressure. Urinalysis disclosed urine specific gravity of 1.012, urine pH of 6.5, protein +2 (proteinuria), glucose was normal, bilirubin was negative, blood of +3 (hematuria), red blood cell count of 134/HPF and white blood cell count of 4/HPF. Laboratory tests disclosed total bilirubin <3 $\mu\text{mol/L}$ , total protein of 58  $\text{g/L}$ , albumin of 28  $\text{g/L}$ , and platelet count of 621G/L. Nephrotic syndrome characterized by edema of legs, hypoalbuminemia, hyperlipidemia, microscopic hematuria and proteinuria was diagnosed. No cardiac etiology of acute hypertension was suspected. The event term was updated from suspected renal failure to nephrotic syndrome. The subject underwent a kidney biopsy in February 2012 due to persistent nephrotic syndrome. Further investigations of kidney biopsy revealed an immunoglobulin A (IgA) nephropathy. Subject's vital signs on admission included blood pressure of 130/80  $\text{mmHg}$ , and pulse of 63. Edema was significantly less with use of diuretics. The investigator reported that there was no reasonable possibility that the event IgA nephropathy was related to blinded investigational product.

- One 66-year old male (115516013006) who received evolocumab 420 mg QM and rosuvastatin 5 mg in Study 20110115 reported an adverse event of acute renal failure. Medical history included hypercholesterolemia, asthma, type 2 diabetes mellitus, hypertension, GERD, and peptic ulcer disease. Concomitant medications included rosuvastatin, hydrochlorothiazide, aspirin, rabeprazole, metformin, insulin, losartan, niacin, vitamins, naproxen, methocarbamol, acetaminophen, codeine, pantoprazole, loperimide, and dimenhydrinate. The subject received the first dose of evolocumab on (b) (6). The subject presented to the hospital 5 days later on (b) (6) reporting a five day history of nausea, vomiting, and diarrhea and inability to maintain oral intake for the past 3 days all considered secondary to viral gastroenteritis. He also reported a previous one month history of feeling unwell with mild nausea and back pain. Upon admission, he was found to have acute kidney injury with a serum creatinine of 380  $\mu\text{mol/L}$ , blood urea of 31  $\text{mmol/L}$ , and a mild metabolic acidosis with a normal anion gap. The subject's baseline creatinine was 109  $\mu\text{mol/L}$  in April 2013. Blood, urine, and stool cultures were negative (urine culture showed contaminants). White cell count remained elevated during the hospitalization in the 18,000-24,000/microliter range. A triphasic helical scan of the kidneys/abdomen and pelvis revealed no pathology. He received aggressive fluid resuscitation, bicarbonate replacement, and empiric antibiotic coverage (metronidazole and ciprofloxacin). The event was reported as resolved on (b) (6) and the subject was discharged home; creatinine had improved to 138  $\mu\text{mol/L}$ . The subject's last

dose of evolocumab prior to the event was on 03 June 2013. The investigational product was temporarily held and then restarted; he received the last scheduled dose on 29 July 2013. The subject's creatinine remained stable at 94  $\mu\text{mol/L}$  and 100  $\mu\text{mol/L}$  on 29 July 2013 and 26 August 2013, respectively.

## **V. Biostatistical Review of Clinical Efficacy**



U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research  
Office of Translational Sciences  
Office of Biostatistics

**STATISTICAL ASSESSMENT OF EFFICACY**  
BACKGROUND INFORMATION FOR ADVISORY COMMITTEE ON  
EVOLOCUMAB

Statistical briefing material for the Endocrinologic and Metabolic Drugs Advisory Committee,  
June 10, 2015

Shuxian Sinks, PhD  
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Division of Biometrics II  
Office of Biostatistics  
Office of Translational Sciences  
Center for Drug Evaluation and Research  
U.S. Food and Drug Administration

Document Date: May 12, 2015

## **1. EXECUTIVE SUMMARY**

Amgen has submitted a biologics license application (BLA) for Repatha (evolocumab; also referred to as AMG 145 or EvoMab) as an adjunct to diet to reduce low-density lipoprotein cholesterol (LDL-C), as well as change other lipid outcomes, in adults with primary hyperlipidemia or mixed dyslipidemia and in adults and adolescents aged 12 years and over with homozygous familial hypercholesterolemia (HoFH). This document summarizes the primary efficacy findings from the five randomized, controlled phase 3 studies for the indication of primary hyperlipidemia or mixed dyslipidemia and the single randomized, controlled phase 3 study for the indication of HoFH.

Across the trials, evolocumab treatment led to statistically significantly greater LDL-C reduction than ezetimibe or placebo control. The following statistical issues were identified:

- LDL-C is a surrogate endpoint in that it is not a direct measure of benefit with respect to a clinical outcome (i.e., it is not a direct measure of how the patient functions, feels, or survives). Treatment effects on LDL-C are intended to predict benefit with respect to risk of cardiovascular (CV) outcomes, but the validity of LDL as a surrogate has not been established for the PCSK9 drug class specifically and would require extrapolation from other data sources (e.g., CV outcome trials of statins). There were only a small number of CV events in the evolocumab clinical trials, and the effect of evolocumab on cardiovascular morbidity and mortality in any population has not been determined.
- The applicant's primary analysis relies on likely implausible assumptions about the missing data. FDA carried out additional analyses to more appropriately estimate the treatment effects on LDL-C. Treatment effect estimates were attenuated by around 1%–3% in FDA's analyses.

## **2. Evaluation of Efficacy**

### **2.1 Study Design and Endpoints**

The study designs and primary endpoints of the trials reviewed in this submission are presented in Table 1. Five randomized, controlled trials were carried out to support an indication in primary hyperlipidemia or mixed dyslipidemia. Studies 20110114, 20110115, 20110116, and 20110117 were 12-week trials, while Study 20110109 was a 52-week trial. Study 20110114 evaluated the safety and efficacy of evolocumab monotherapy compared with placebo and ezetimibe. Study 20110115 evaluated the safety and efficacy of evolocumab compared with placebo in combination with a statin (atorvastatin, simvastatin, or rosuvastatin), with an additional ezetimibe control arm in patients receiving atorvastatin. Study 20110116 evaluated the safety and efficacy of evolocumab compared with ezetimibe in statin-intolerant subjects. Study 20110117 evaluated the safety and efficacy of evolocumab compared with placebo among heterozygous familial hypercholesterolemia (HeFH) patients on a stable statin dose. Study 20110109 evaluated the safety and efficacy of evolocumab compared with placebo in patients with hyperlipidemia, with background therapy (none, atorvastatin 10 mg, atorvastatin 80 mg, or

atorvastatin 80 mg plus ezetimibe 10 mg) based on screening LDL-C, NCEP ATP III risk category, and statin therapy.

The applicant also proposed an indication in HoFH. Study 20110233, which was composed of an open-label, 8-patient pilot study (Part A) followed by a randomized, double-blind placebo-controlled trial (Part B), evaluated the safety and efficacy of evolocumab compared with placebo in subjects with HoFH, where all subjects continued to receive a statin and about 92% of subjects also used ezetimibe.

More details on the design, patient disposition, and baseline characteristics of these studies can be found in the clinical briefing document.

**Table 1 Summary of Study Designs**

Trial No.	Study Population	Phase and Design	Primary Endpoint	# of subjects per Arm	Treatment period
<b>Indication: Primary Hyperlipidemia or Mixed Dyslipidemia</b>					
20110114	Subjects with a 10-year Framingham risk score of 10% or less	Phase 3, R, DB, DD, PG, placebo and ezetimibe-controlled, multicenter	<b>Co-primary endpoints:</b> Percent change from baseline in LDL-C at Week 12; Mean percent change from baseline in LDL-C at weeks 10 and 12	AMG 145 140mg Q2W and PO QD (n=153); AMG 145 420mg QM and PO QD (n=153); Placebo SC Q2W and 10mg ezetimibe QD (n=77); Placebo SC QM and 10mg ezetimibe QD (n=77); Placebo SC Q2W and PO QD (n=77); Placebo SC QM and PO QD (n=78)	12 weeks
20110115	Subjects with hyperlipidemia	Phase 3, R, DB, DD, placebo and ezetimibe-controlled, multicenter, with statin background therapy	<b>Co-primary endpoints:</b> Same as above	2 step randomization: Atorvastatin 80mg (n=439), Atorvastatin 10mg (n=442), Rosuvastatin 40mg (n=310), Rosuvastatin 5mg (n=343), Simvastatin 40mg (n=295). For each statin dose cohort and dose frequency, the allocation ratio is approximately 2:1 for AMG 145 vs control (see details in Table 3)	12 weeks
20110116	Hypercholesterolemic subjects unable to tolerate an effective dose of a HMG-CoA reductase inhibitor	Phase 3, R, DB, DD, PG, ezetimibe-controlled	<b>Co-primary endpoints:</b> Same as above	AMG 145 140mg SC Q2W and PO QD (n=103); AMG 145 420 mg SC QM and PO QD (n=102); Placebo SC Q2W and ezetimibe 10mg QD (n=51); Placebo SC QM and ezetimibe 10mg QD (n=51)	12 weeks
20110117	HeFH on a stable dose of a statin	Phase 3, R, DB, PG, placebo-controlled, multicenter	<b>Co-primary endpoints:</b> Same as above	AMG 145 140 Q2W (n=110); AMG 145 420mg QM (n=110); Placebo Q2W (n=54); Placebo QM (n=55)	12 weeks
20110109	Subjects with hyperlipidemia	Phase 3, R, DB, placebo-controlled, multicenter	Percent change in LDL-C from baseline at week 52	AMG 145 420mg QM (n=599); Placebo QM (n=302)	52 weeks
<b>Indication: Homozygous Familial Hypercholesterolemia (HoFH)</b>					
20110233	Subjects with homozygous familial hypercholesterolemia	Part A (phase 2): open label, single arm, multicenter pilot study; Part B (phase 3): R, DB, placebo-controlled	Percent change in LDL-C from baseline at week 12	Part B: AMG 145 420 mg QM (n=34), placebo QM (n=17)	12 weeks

R-randomized; DB - double-blind; PG- parallel group; DD - double-dummy; Q2W - every 2 weeks; QM - every month; PO - placebo oral; QD- Daily; SC - subcutaneous  
 Note that 20110109 was a phase 2 study which Amgen re-classified as a phase 3 study

## 2.2 Statistical Methods

### Analysis Populations

Per the applicant's analysis plan, the full analysis set (FAS) was the primary analysis population, and included all randomized subjects exposed to at least one dose of investigational product (either SC or oral). All analyses used the planned randomized treatment.

### Primary endpoints

The co-primary endpoints were percent change from baseline in reflexive LDL-C at week 12 and mean percent change from baseline in LDL-C at weeks 10 and 12 for studies 20110114, 20110115, 20110116, and 20110117. These four studies used a reflexive LDL-C approach: either calculated LDL-C (based on the Friedewald formula) was used, or if the calculated LDL-C was less than 40 mg/dL or triglycerides were greater than 400 mg/dL, directly measured (using ultracentrifugation) LDL-C was used. **For this briefing document, the analysis results for these four studies were all based on the week 12 reflexive LDL-C co-primary endpoint.** The primary endpoint in study 20110109 was the percent change in directly measured LDL-C at week 52, and the primary endpoint in study 20110233 was the percent change in directly measured LDL-C at week 12.

### Secondary endpoints

Secondary endpoints included change from baseline in LDL-C and percent change from baseline in non-HDL-C, ApoB, total cholesterol/HDL-C ratio, total cholesterol, ApoB/ApoA1 ratio, Lp(a), triglycerides, HDL-C, and VLDL-C. **The analysis results of HDL-C, total cholesterol, non-HDL-C, and ApoB were reported in this briefing document.**

### Primary and secondary analyses

The applicant used a mixed effects model for repeated measures (MMRM) to assess the efficacy of evolocumab compared with placebo and ezetimibe. The MMRM included treatment group, stratification factor, scheduled visit, and the interaction of treatment and visit. An unstructured covariance matrix was used to estimate the covariance parameters within subject across the visits. The applicant performed analyses separately by each dose frequency (Q2W and QM). Analyses of secondary endpoints were based on analogous models.

### Approach to multiplicity

The applicant used a Bonferroni approach to control the type I error rate across the placebo and ezetimibe comparisons in studies with multiple control arms. To preserve the family-wise two-sided 0.05 type I error rate across the multiple tests of primary and secondary endpoints, the Hochberg procedure was used to account for the multiple tests of secondary

endpoints within each dose frequency. In studies 20110114, 20110115, 20110116, and 20110117, no multiplicity adjustment was made by the applicant across the evaluations of the two dose frequencies (Q2W and QM). This is not appropriate: the type I error rate within each study should be controlled at the two-sided 0.05 level across the multiple doses, controlled comparisons, and endpoints. That being said, the effects on LDL-C would be statistically persuasive even if a conservative approach (e.g., Bonferroni) were used to additionally control the error rate across the two dose frequencies, so the statistical significance of effects of evolocumab on LDL-C is not at question.

## **2.3 Missing Data**

### **Missing data in Studies 20110114, 20110115, 20110116, 20110117**

A majority of subjects had reflexive LDL-C measurements at week 10 and week 12. The percent of missing data at week 12 ranged from 2% to 16% across the trials, and proportions of missingness were largely similar between the treatment arms. Most non-missing measurements at week 12 were taken on subjects who were still on treatment (i.e., subjects on a Q2W SC arm had been treated at week 10 and subjects on a QM SC arm had been treated at week 8). On the other hand, large proportions of missing measurements were from subjects who had discontinued treatment. A detailed summary of missing data and treatment adherence results is provided in the Appendix.

### **Sensitivity analyses for the primary efficacy endpoints**

**No sensitivity analysis to assess the potential impact of missing data was conducted by the applicant.** The primary MMRM used by the applicant assumes that the missing data were missing at random (MAR). This is the assumption that the statistical behavior of the missing data (given the observed responses and model covariates) was the same as the observed data. The interest is in estimating the intention-to-treat (ITT) effect, i.e., the difference in LDL-C reduction between treatment arms *regardless of adherence to treatment*. Therefore, the MAR assumption is likely not plausible because the majority of patients with missing data were no longer on treatment (while the majority of non-missing data were from patients on treatment), and the effects of treatment on LDL-C likely go away after patients discontinue therapy.

### **FDA analysis approach**

FDA's approach to handle missing data used different imputation strategies depending on whether the patient with missing data was on or off treatment. Patients who had discontinued study therapy were assumed to no longer benefit from the study medication, i.e., their missing values at week 12 were assumed to shift back toward their baseline values. Patients who were still on treatment at the end of the study had their missing values imputed under an MAR assumption based on observed LDL-C measurements from their treatment arm. Multiple imputation was used to account for the uncertainty in the imputation process and results from the imputed datasets were combined using Rubin's method.

### 3. Results

#### 3.1 Primary Hyperlipidemia or Mixed Dyslipidemia Studies

##### 3.1.1 Primary Endpoint

Table 2 and Table 3 summarize results on LDL-C reduction from baseline at week 12 in the four 12-week studies based on the applicant’s approach and FDA’s approach. The results were consistent across the trials, demonstrating that evolocumab resulted in statistically significant reductions in LDL-C compared to ezetimibe and placebo at week 12. Based on the applicant’s primary analysis, the estimated reduction in LDL-C for evolocumab ranged from 37% to 47% greater compared to ezetimibe and from 55% to 76% greater compared to placebo across the different studies and the two dose frequencies. According to the 52-week study, the reduction in LDL-C with evolocumab (QM) persisted through 52 weeks (see Table 4). Results based on FDA’s analyses were similar to those based on the applicant’s analyses, with treatment effect estimates attenuated by around 1%–3% (in absolute terms) compared to the applicant’s results. There were also statistically significant reductions in the co-primary endpoint, mean LDL percent change at week 10 and week 12, in the four 12-week trials (studies 20110114, 20110115, 20110116, and 20110117).

**Table 2 Primary Analysis of Percent Change in Reflexive LDL at Week 12 in Studies 20110114, 20110116, and 20110117**

	Baseline (mg/dL)	N	N*	Applicant’s Approach		FDA’s Approach	
				LS Mean: % Change	Difference: Evolocumab -control (95% † CI)	LS Mean: % Change	Difference: Evolocumab -control (95% † CL)
<b>20110114—Monotherapy</b>							
Every 2 weeks (Q2W) †							
AMG 145 SC Q2W 140 MG + PO QD	142	153	133	-57		-54	
PLACEBO SC Q2W + EZETIMIBE QD 10 MG	143	77	70	-18	-39 (-43,-35)	-18	-36 (-41,-32)
PLACEBO SC Q2W + PLACEBO PO QD	140	76	69	-0	-57 (-61,-53)	-0	-54 (-59,-49)
Monthly (QM) †							
AMG 145 SC QM 420 MG + PO QD	144	153	136	-56		-55	
PLACEBO SC QM + EZETIMIBE QD 10 MG	144	77	69	-19	-38 (-41,-34)	-19	-37 (-41,-32)
PLACEBO SC QM + PLACEBO PO QD	144	78	70	-1	-55 (-58,-51)	-1	-54 (-59,-50)
<b>20110116—In “statin-intolerant” subjects</b>							
Every 2 weeks (Q2W)							
AMG 145 SC Q2W 140 MG + PO QD	192	103	98	-56		-55	
PLACEBO SC Q2W + EZETIMIBE QD 10 MG	195	51	49	-18	-38 (-44,-33)	-18	-37 (-42,-31)
Monthly (QM)							
AMG 145 SC QM 420 MG + PO QD	192	102	96	-53		-53	

	Baseline (mg/dL)	N	N*	Applicant's Approach		FDA's Approach	
				LS Mean: % Change	Difference: Evolocumab -control (95% † CI)	LS Mean: % Change	Difference: Evolocumab -control (95% † CL)
PLACEBO SC QM + EZETIMIBE QD 10 MG	195	51	45	-15	-37 (-42,-32)	-16	-37 (-42,-31)
<b>20110117—In HeFH subjects on a stable dose of a statin</b>							
Every 2 weeks (Q2W)							
AMG 145 SC Q2W 140 MG	161	110	104	-61		-61	
PLACEBO SC Q2W	151	54	51	-2	-59 (-65,-53)	-2	-59 (-66,-52)
Monthly (QM)							
AMG 145 SC QM 420 MG	154	110	103	-56		-56	
PLACEBO SC QM	152	55	46	5	-61 (-69,-55)	4	-60 (-67,-53)
Note: † 97.5% CL for study 20110114 ; N- number of subjects with baseline data; N* — number of subjects with week 12 data Q2W - every 2 weeks; QM - every month; PO - placebo oral; QD - Daily; SC - subcutaneous							

**Table 3 Primary Analysis of Percent Change in Reflexive LDL at Week 12 in Study 20110115**

	Baseline (mg/dL)	N	N*	Applicant's Approach		FDA's Approach	
				LS Mean: % Change	Difference: Evolocumab -control (95% † CI)	LS Mean: % Change	Difference: Evolocumab -control (95% † CL)
<b>20110115--ATORVASTATIN 10MG ADD-ON</b>							
Every 2 weeks (Q2W) †							
AMG 145 SC Q2W 140 MG + PO QD	124	110	103	-62		-60	
PLACEBO SC Q2W + EZETIMIBE QD 10 MG	127	56	49	-22	-40 (-47,-32)	-20	-40 (-47,-33)
PLACEBO SC Q2W + PO QD	123	56	51	10	-71 (-78,-64)	9	-69 (-76,-62)
Monthly (QM) †							
AMG 145 SC QM 420 MG + PO QD	126	110	101	-58		-56	
PLACEBO SC QM + EZETIMIBE QD 10 MG	119	55	52	-17	-41 (-49,-33)	-18	-39 (-46,-32)
PLACEBO SC QM + PO QD	124	55	51	1	-59 (-67,-51)	0	-57(-64,-49)
<b>20110115--ATORVASTATIN 80MG ADD-ON</b>							
Every 2 weeks (Q2W) †							
AMG 145 SC Q2W 140 MG + PO QD	94	109	102	-62		-61	
PLACEBO SC Q2W + EZETIMIBE QD 10 MG	99	56	50	-15	-47 (-59,-35)	-14	-46 (-57,-36)
PLACEBO SC Q2W + PO QD	100	55	46	14	-76 (-88,-64)	12	-72 (-83,-62)
Monthly (QM) †							
AMG 145 SC QM 420 MG + PO QD	94	110	100	-59		-57	

	Baseline (mg/dL)	N	N*	Applicant's Approach		FDA's Approach	
				LS Mean: % Change	Difference: Evolocumab -control (95% † CI)	LS Mean: % Change	Difference: Evolocumab -control (95% † CL)
PLACEBO SC QM + EZETIMIBE QD 10 MG	92	54	52	-20	-39 (-50,-28)	-20	-38 (-48,-28)
PLACEBO SC QM + PLACEBO PO QD	95	55	51	12	-71 (-81,-60)	12	-69 (-79,-59)
<b>20110115--ROSUVASTATIN 40MG ADD-ON</b>							
Every 2 weeks (Q2W)							
AMG 145 SC Q2W 140 MG	89	102	95	-57		-55	
PLACEBO SC Q2W	77	53	50	10	-68 (-77,-58)	7	-62 (-72,-52)
Monthly (QM)							
AMG 145 SC QM 420 MG	87	104	98	-52		-52	
PLACEBO SC QM	104	51	47	2	-55 (-66,-44)	1	-54 (-63,-44)
<b>20110115--ROSUVASTATIN 5MG ADD-ON</b>							
Every 2 weeks (Q2W)							
AMG 145 SC Q2W 140 MG	119	113	100	-60		-56	
PLACEBO SC Q2W	116	58	52	8	-68 (-75,-62)	7	-63 (-71,-56)
Monthly (QM)							
AMG 145 SC QM 420 MG	123	115	104	-59		-57	
PLACEBO SC QM	120	57	55	5	-64 (-71,-58)	5	-63 (-70,-55)
<b>20110115--SIMVASTATIN 40MG ADD-ON</b>							
Every 2 weeks (Q2W)							
AMG 145 SC Q2W 140 MG	114	98	95	-65		-63	
PLACEBO SC Q2W	110	49	45	5	-70 (-76,-63)	4	-67 (-76,-59)
Monthly (QM)							
AMG 145 SC QM 420 MG	124	99	90	-56		-54	
PLACEBO SC QM	109	49	43	4	-60 (-69,-51)	4	-58 (-67,-50)

Note: † 97.5 CL for atorvastatin cohorts; N- number of subjects with baseline data; N\* --- number of subjects with week 12 data  
Q2W - every 2 weeks; QM - every month; PO - placebo oral; QD - Daily; SC - subcutaneous

**Table 4 Primary Analysis of Ultracentrifugation LDL Percent Change (Long-term study: 52 weeks)**

	Applicant's Approach	FDA's Approach
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	Baseline (mg/dL)	N	N*	LS Mean: % Change	Difference: Evolocumab -control (95% CI)	LS Mean: % Change	Difference: Evolocumab -control (95% CI)
<b>20110109</b>							
Week 12							
AMG 145 SC QM 420 MG	104	599	582	-54		-53	
PLACEBO SC QM	104	302	294	-4	-58 (-61,-54)	4	-56 (-59,-53)
Primary endpoint: Week 52							
AMG 145 SC QM 420 MG	104	599	542	-50		-55	
PLACEBO SC QM	104	302	264	7	-57 (-61,-53)	3	-58(-61,-55)

N\* --- number of subjects with week 12 or week 52 data; QM - every month; SC - subcutaneous

### 3.1.2 Secondary Endpoints

The following describes results on a selected set of secondary endpoints:

**Non-HDL-C:** Across all trials, evolocumab led to statistically significantly greater reductions than the control arms in percent change in non-HDL-C at week 12. Compared with placebo, the estimated reduction from evolocumab ranged from 50% to 67% greater across the studies and two dose frequencies. Compared with ezetimibe, the estimated reduction from evolocumab ranged from 32% to 35% greater.

**HDL-C:** There were consistent trends toward greater increases in HDL-C on evolocumab than on placebo and ezetimibe, but results were not statistically significant for both dose frequencies in all studies. The estimated increases in HDL-C ranged from 3% to 11% greater compared to placebo, and from 4% to 9% greater compared to ezetimibe.

**Total Cholesterol:** Across the trials, total cholesterol was statistically significantly reduced at week 12 on evolocumab. The estimated reductions in total cholesterol from evolocumab ranged from 30% to 46% greater compared to placebo. Compared with ezetimibe, the estimated reductions from evolocumab ranged from 20% to 26% greater.

**Apolipoprotein B:** Across the trials, ApoB was statistically significantly reduced at week 12. Compared with placebo, the estimated reduction in ApoB from evolocumab ranged from 41% to 61% greater. Compared with ezetimibe, the estimated reduction from evolocumab ranged from 33% to 37% greater.

**Table 5 Analysis of Secondary Endpoints at Week 12 in Studies 20110114, 20110116, and 20110117, and at Week 52 in Study 20110109 (FAS; Applicant's MMRM)**

	Non-HDL-C		HDL-C		Total Cholesterol		ApoB	
	LS Mean: % Change	Difference: Evolocumab -control (95% CL)	LS Mean: % Change	Difference: Evolocuma b-control (95% CL)	LS Mean: % Change	Difference: Evolocuma b-control (95% CL)	LS Mean: % Change	Difference: Evolocumab- control (95% CL)
<b>20110114</b>								
Every 2 weeks (Q2W)								
AMG 145 SC Q2W 140 MG +PO QD	-50		5		-36		-47	
PLACEBO SC Q2W + EZETIMIBE QD 10 MG	-15	-35 (-39,-32)	0	6 (2,9)	-11	-25 (-28,-22)	-13	-34 (-38,-30)
PLACEBO SC Q2W + PO QD	0	-50 (-53,-46)	-1	6 (3,10)	-1	-35 (-38,-32)	1	-48 (-52,-44)
Monthly (QM)								
AMG 145 SC QM 420 MG + PLACEBO PO QD	-50		5		-36		-47	
PLACEBO SC QM + EZETIMIBE QD 10 MG	-16	-33 (-37,-30)	1	4 (0,7)	-12	-23 (-26,-21)	-14	-33 (-36,-29)
PLACEBO SC QM + PO QD	2	-51 (-54,-48)	-5	9 (6,13)	0	-36 (-38,-33)	2	-48 (-52,-45)
<b>20110116</b>								
Every 2 weeks (Q2W)								
AMG 145 SC Q2W 140 MG + PO QD	-49		5		-38		-46	
PLACEBO SC Q2W + EZETIMIBE QD 10 MG	-17	-32 (-37,-27)	2	4 (-1,9)	-13	-25 (-29,-21)	-13	-33 (-38,-28)
Monthly (QM)								
AMG 145 SC QM 420 MG + PO QD	-46		6		-36		-43	
PLACEBO SC QM + EZETIMIBE QD 10 MG	-13	-33 (-37,-29)	2	5 (-0,10)	-11	-25 (-29,-22)	-10	-33 (-38,-28)
<b>20110117</b>								
Every 2 weeks (Q2W)								
AMG 145 SC Q2W 140 MG	-56		8		-43		-50	
PLACEBO SC Q2W	-1	-55 (-60,-49)	-1	9 (5,14)	-2	-41 (-45,-36)	-1	-49 (-55,-44)
Monthly (QM)								
AMG 145 SC QM 420 MG	-50		5		-37		-45	
PLACEBO SC QM	5	-55 (-62,-48)	-4	9 (3,15)	3	-40 (-46,-34)	5	-49 (-56,-43)
<b>20110109</b>								
Monthly (QM)								
AMG 145 SC QM 420 MG	-42		6		-28		-41	
PLACEBO SC QM	8	-50 (-54,-46)	0	5 (3,8)	5	-33 (-36,-31)	3	-44 (-48,-41)

PO - placebo oral; QD - Daily; SC - subcutaneous

**Table 6 Analysis of Secondary Endpoints at week 12 in Study 20110115 (Stain add-on study; FAS; Applicant's MMRM)**

	Non-HDL-C		HDL-C		Total Cholesterol		ApoB	
	LS Mean: % Change	Difference: Evolocumab- control (95% CL)	LS Mean: % Change	Difference: Evolocumab- control (95% CL)	LS Mean: % Change	Difference: Evolocumab- control (95% CL)	LS Mean: % Change	Difference: Evolocumab- control (95% CL)
<b>20110115--ATORVASTATIN 10MG</b>								
Every 2 weeks (Q2W)								
AMG 145 SC Q2W 140 MG + PO QD	-53		7		-37		-51	
PLACEBO SC Q2W + EZETIMIBE QD 10 MG	-18	-35 (-41,-29)	-2	9 (5,13)	-14	-23 (-27,-18)	-16	-35 (-40,-30)
PLACEBO SC Q2W + PO QD	8	-62 (-67,-56)	0	7 (3,11)	6	-43 (-47,-38)	8	-59 (-64,-54)
Monthly (QM)								
AMG 145 SC QM 420 MG + PO QD	-53		8		-37		-47	
PLACEBO SC QM + EZETIMIBE QD 10 MG	-15	-38 (-44,-31)	-0	8 (3,13)	-11	-25 (-30,-21)	-11	-36 (-42,-30)
PLACEBO SC QM + PO QD	2	-55 (-61,-48)	0	8 (3,13)	1	-38 (-43,-33)	0	-47 (-53,-42)
<b>20110115--ATORVASTATIN 80MG</b>								
Every 2 weeks (Q2W)								
AMG 145 SC Q2W 140 MG + PO QD	-55		9		-36		-50	
PLACEBO SC Q2W + EZETIMIBE QD 10 MG	-14	-41 (-50,-31)	1	8 (4,13)	-10	-26 (-33,-20)	-12	-37 (-45,-30)
PLACEBO SC Q2W + PO QD	12	-67 (-76,-57)	5	4 (-0,9)	9	-46 (-53,-39)	12	-61 (-69,-54)
Monthly (QM)								
AMG 145 SC QM 420 MG + PO QD	-50		7		-33		-46	
PLACEBO SC QM + EZETIMIBE QD 10 MG	-17	-33 (-41,-24)	0	7 (2,12)	-12	-20 (-26,-14)	-12	-34 (-42,-26)
PLACEBO SC QM + PO QD	10	-60 (-68,-52)	0	7 (2,12)	6	-39 (-45,-33)	7	-53 (-61,-45)
<b>20110115--ROSUVASTATIN 40MG</b>								
Every 2 weeks (Q2W)								
AMG 145 SC Q2W 140 MG	-50		5		-32		-44	
PLACEBO SC Q2W	10	-59 (-67,-52)	-0	5 (0,10)	5	-37 (-42,-32)	5	-50 (-57,-43)
Monthly (QM)								
AMG 145 SC QM 420 MG	-46		7		-30		-44	
PLACEBO SC QM	3	-49 (-58,-40)	1	5 (0,10)	1	-30 (-37,-24)	3	-47 (-55,-39)

	Non-HDL-C		HDL-C		Total Cholesterol		ApoB	
	LS Mean: % Change	Difference: Evolocumab- control (95% CL)	LS Mean: % Change	Difference: Evolocumab- control (95% CL)	LS Mean: % Change	Difference: Evolocumab- control (95% CL)	LS Mean: % Change	Difference: Evolocumab- control (95% CL)
<b>20110115--ROSUVASTATIN 5MG</b>								
Every 2 weeks (Q2W)								
<b>AMG 145 SC Q2W 140 MG</b>	-52		6		-36		-50	
<b>PLACEBO SC Q2W</b>	8	-60 (-66,-54)	3	3 (-1,8)	6	-43 (-47,-39)	6	-57 (-62,-51)
Monthly (QM)								
<b>AMG 145 SC QM 420 MG</b>	-52		7		-36		-49	
<b>PLACEBO SC QM</b>	6	-57 (-63,-52)	-0	7 (1,14)	3	-39 (-44,-35)	5	-53 (-58,-48)
<b>20110115--SIMVASTATIN 40MG</b>								
Every 2 weeks (Q2W)								
<b>AMG 145 SC Q2W 140 MG</b>	-57		11		-40		-54	
<b>PLACEBO SC Q2W</b>	4	-60 (-67,-54)	-0	11 (5,17)	2	-42 (-47,-37)	2	-56 (-62,-50)
Monthly (QM)								
<b>AMG 145 SC QM 420 MG</b>	-48		5		-33		-45	
<b>PLACEBO SC QM</b>	7	-56 (-64,-47)	-2	7 (2,12)	4	-37 (-44,-31)	7	-52 (-59,-46)

PO - placebo oral; QD - Daily; SC - subcutaneous

### 3.2 Homozygous Familial Hypercholesterolemia (HoFH)

The percent change from baseline in LDL-C at week 12 was the primary endpoint in study 20110233 part B for the indication of HoFH. The analysis results for the primary endpoint are presented in Table 7. Treatment with evolocumab QM 420 mg resulted in statistically significantly greater reduction in LDL-C compared with placebo in the full analysis set.

Based on the type of mutation identified, 28 patients (20 evolocumab, 8 placebo) were considered LDLR-defective in one or both alleles. Only one patient was identified as LDLR-negative; this individual did not show a reduction in LDL-C. The estimated effect of evolocumab was greater in the LDLR-defective group than the LDLR-indeterminate or – negative group, and the LDL-C reduction on evolocumab was not statistically significant among subjects with indeterminate/negative LDLR functional status.

**Table 7 Primary Analysis of Percent Change in UC LDL at Week 12 (Applicant's approach MMRM model)**

	Baseline (mg/dL)	N	N*	LS Mean: % Change	Difference: Evolocumab- control (95% CL)
<b>20110233 –HoFH</b>					
<b>FAS (N=49)</b>					
AMG 145 SC QM 420 MG	356	33	29	-23	
PLACEBO SC QM	336	16	15	8	-31 (-44,-18)
<b>Subgroup: LDLR indeterminate or negative (N=21)</b>					
AMG 145 SC QM 420 MG	331	13	11	-11	
PLACEBO SC QM	235	8	7	5	-16 (-41,9)
<b>Subgroup LDLR-defective (N=28)</b>					
AMG 145 SC QM 420 MG	372	20	18	-30	
PLACEBO SC QM	437	8	8	11	-41 (-53,-28)

N\*— number of subjects with week 12 data; QM - every month; SC - subcutaneous

**Table 8 Analysis of Secondary Endpoints at Week 12 for Study 20110233**

	Baseline (mg/dL)	N	N*	LS Mean: % Change	Difference: Evolocumab-control (95% CL)
<b>20110233-HoFH</b>					
<b>Non-HDL-C</b>					
AMG 145 SC QM 420 MG	375	33	29	-22	
PLACEBO SC QM	359	16	16	8	-30 (-42,-18)
<b>HDL</b>					
AMG 145 SC QM 420 MG	39	33	29	4	
PLACEBO SC QM	39	16	16	4	0 (-9,9)
<b>Total Cholesterol</b>					
AMG 145 SC QM 420 MG	414	33	29	-19	
PLACEBO SC QM	398	16	16	8	-27 (-38,-16)

N\*—number of subjects with week 12 data; QM - every month; SC - subcutaneous

### 3.3 Adjudicated Cardiovascular Events

None of the primary or key secondary efficacy endpoints in the clinical trials supporting the effectiveness of evolocumab were direct measures of benefit on a clinical outcome, i.e., none were direct measures of how patients function, feel, or survive. The primary endpoint LDL-C is a surrogate endpoint intended to predict benefit with respect to the risk of CV outcomes. However, the validity of LDL as a surrogate for the effect of evolocumab (not a statin) and other PCSK9 inhibitors on CV outcomes has not been established and would require extrapolation from other data sources, including cardiovascular outcomes trials of statins.

Such considerations should be made in the context of findings from randomized trials of other non-statin therapies that have been demonstrated to lower LDL but have not been shown to reduce risk of CV outcomes.<sup>123</sup>

No evolocumab trial was designed to test a cardiovascular endpoint as an efficacy endpoint, but CV outcome data were collected and are summarized here. In the evolocumab trials, events of death by any cause, cardiovascular death, myocardial infarction, hospitalization for unstable angina, coronary revascularization, stroke, TIA, and hospitalization for heart failure were adjudicated by an independent clinical endpoint committee (CEC).

In studies 20110114 and 20110116, no participant experienced a positively adjudicated cardiovascular event or a non-coronary revascularization. In study 20110117, 3 subjects experienced positively adjudicated cardiovascular events: all 3 subjects were in the evolocumab group. In study 20110115, 9 subjects experienced positively adjudicated cardiovascular events: 5 subjects in the evolocumab group, 2 subjects in the placebo group, and 2 subjects in the ezetimibe group. In study 20110109, 6 subjects in the evolocumab group and 2 subjects in the placebo group had positively adjudicated cardiovascular events. In study 20110233 in HoFH, no participant experienced a positively adjudicated cardiovascular event or a non-coronary revascularization.

As presented in Table 9, the incidence of participants with positively adjudicated cardiovascular events across all placebo- and active-controlled phase 2 and 3 trials was small [total number of events: 34]. FDA carried out an analysis based on CV outcome data from the integrated phase 3 studies using a Cox proportional hazards model stratifying by study (with treatment as the only covariate). The estimated hazard ratios (95% CI) for evolocumab compared to placebo and ezetimibe were 1.7 (0.6, 5.2) and 0.6 (0.1, 2.8), respectively. These confidence intervals are very wide and illustrate that the numbers of events were too small to make any reliable conclusions regarding potential cardiovascular outcome benefit with evolocumab. The applicant is conducting an ongoing CV outcome trial, which will be needed to reliably evaluate the potential effect of evolocumab on the risk of major adverse CV events.

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<sup>1</sup> The HSP2-THRIVE Collaborative Group. 2014. Effects of extended-release niacin with laropirant in high-risk patients. *NEJM*; 3:203-212.

<sup>2</sup> Barter, P., et al. 2007. Effects of torcetrapib in patients at high risk for coronary events. *NEJM*; 357: 2109-22.

<sup>3</sup> Women's Health Initiative Investigators. 2002. Risks and benefits of estrogen plus progestin in healthy postmenopausal women: principal results from the women's health initiative randomized controlled trial. *JAMA*; 288: 321-333.

**Table 9 Participant Incidence of Positively Adjudicated Cardiovascular Events and Non-coronary Revascularizations**

	Integrated Parent Studies <sup>a</sup> (placebo and active-controlled)		Year 1 SoC-controlled Period <sup>b</sup> (year 1 of OSLER1 and OSLER2)		Year 2+ OLE Period <sup>c</sup> (year 2+ of OSLER1 and OSLER2)
	Any Control (N = 2080) n (%)	Any EvoMab (N = 3946) n (%)	SoC (N = 655) n (%)	EvoMab + SoC (N = 1314) n (%)	EvoMab + SoC (N = 954) n (%)
Number of participants with any positively adjudicated clinical event	9 (0.4)	25 (0.6)	19 (1.3)	22 (0.8)	12 (1.3)
Death	2 (0.1)	4 (0.1)	4 (0.3)	3 (0.1)	2 (0.2)
Cardiovascular	2 (0.1)	4 (0.1)	1 (0.1)	3 (0.1)	1 (0.1)
Non-cardiovascular	0 (0.0)	0 (0.0)	2 (0.1)	0 (0.0)	1 (0.1)
Undetermined	0 (0.0)	0 (0.0)	1 (0.1)	0 (0.0)	0 (0.0)
Myocardial infarction	2 (0.1)	8 (0.2)	5 (0.4)	6 (0.2)	3 (0.3)
Fatal	1 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Non-fatal	1 (0.0)	8 (0.2)	5 (0.4)	6 (0.2)	3 (0.3)
Hospitalization for unstable angina	0 (0.0)	2 (0.1)	2 (0.1)	2 (0.1)	1 (0.1)
Coronary Revascularization	5 (0.2)	11 (0.3)	8 (0.6)	11 (0.4)	7 (0.7)
Cerebrovascular Event	3 (0.1)	5 (0.1)	5 (0.4)	3 (0.1)	3 (0.3)
Transient ischemic attack	0 (0.0)	2 (0.1)	4 (0.3)	1 (0.0)	1 (0.1)
Stroke (fatal and non-fatal)	3 (0.1)	3 (0.1)	1 (0.1)	2 (0.1)	2 (0.2)
Fatal Stroke	1 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Ischemic	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Ischemic with hemorrhagic conversion	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Hemorrhagic stroke	1 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Type Undetermined	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Non-Fatal Stroke	2 (0.1)	3 (0.1)	1 (0.1)	2 (0.1)	2 (0.2)
Ischemic	2 (0.1)	3 (0.1)	0 (0.0)	1 (0.0)	2 (0.2)
Ischemic with hemorrhagic conversion	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Hemorrhagic stroke	0 (0.0)	0 (0.0)	1 (0.1)	1 (0.0)	0 (0.0)
Type Undetermined	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)

	Integrated Parent Studies <sup>a</sup> (placebo and active-controlled)		Year 1 SoC-controlled Period <sup>b</sup> (year 1 of OSLER1 and OSLER2)		Year 2+ OLE Period <sup>c</sup> (year 2+ of OSLER1 and OSLER2)
	Any Control (N = 2080) n (%)	Any EvoMab (N = 3946) n (%)	SoC (N = 655) n (%)	EvoMab + SoC (N = 1314) n (%)	EvoMab + SoC (N = 954) n (%)
Heart failure event	0 (0.0)	3 (0.1)	1 (0.1)	0 (0.0)	1 (0.1)
Non-coronary revascularization	1 (0.0)	1 (0.0)	3 (0.2)	5 (0.2)	2 (0.2)

N = number of subjects randomized in the integrated parent analysis set; EvoMab = Evolocumab; OLE = open-label extension; SoC = standard of care

a Includes the following studies: 20090158, 20090159, 20101154, 20101155, 20110109, 20110114, 20110115, 20110116, 20110117, 20110231, 20120348, 20120356. Any Control includes subcutaneous placebo and ezetimibe with or without subcutaneous placebo subjects.

Any EvoMab includes any subject with EvoMab as a component of investigational product. Some cases from the phase 2 and phase 3 lipid lowering clinical studies had lipid values present in the adjudication package which may have led to unblinding

b Includes the following studies: 20110110, 20120138

c Includes the following studies: 20110110, 20120138

Source: Modified from Summary Clinical Safety, Table 43

## 4. Summary

The overall findings were consistent across the applicant's primary efficacy analyses. Evolocumab (at both the 140 mg Q2W and 420 mg QM doses) demonstrated greater reductions in LDL-C than placebo and ezetimibe across the studies. The applicant's primary analyses rely on likely unreasonable assumptions about the missing data. Given the fairly low amount of missing data and the large magnitude of the treatment effect, the evidence of efficacy is not at question. However, FDA's analyses provided slightly smaller estimates of effects on LDL-C than the applicant's analyses. Also, the number of adjudicated cardiovascular events was too limited to make any reliable conclusions regarding CV risk reduction with evolocumab.

## 5. Appendix

**Table 10 Missing Data in Study 20110114**

Treatment	week	Total Missing N (%)	Missing and off-trt (SC or Oral)	Total Non-missing N (%)	Non-missing and on trt (SC and Oral) N (%)
AMG 145 SC Q2W 140 MG + PO QD	12	20 (13.1)	10	133 (86.9)	131
PLACEBO SC Q2W + EZETIMIBE QD 10 MG	12	7 (9.1)	3	70 (90.9)	66
PLACEBO SC Q2W + PO QD	12	7 (9.2)	1	69 (90.8)	66
AMG 145 SC QM 420 MG + PO QD	12	17 (11.1)	3	136 (88.9)	133
PLACEBO SC QM + EZETIMIBE QD 10 MG	12	8 (10.4)	3	69 (89.6)	66
PLACEBO SC QM + PO QD	12	8 (10.3)	4	70 (89.7)	68

**Table 11 Missing Data in Study 20110116**

Treatment	week	Total Missing N (%)	Missing and off-trt (SC or Oral)	Total Non-missing N (%)	Non-missing and on trt (SC and Oral) N (%)
AMG 145 SC Q2W 140 MG + PO QD	12	5 (4.9)	2	98 (95.1)	93
PLACEBO SC Q2W + EZETIMIBE QD 10 MG	12	2 (3.9)	1	49 (96.1)	46
AMG 145 SC QM 420 MG + PO QD	12	6 (5.9)	2	96 (94.1)	86
PLACEBO SC QM + EZETIMIBE QD 10 MG	12	6 (11.8)	4	45 (88.2)	38

**Table 12 Missing Data in Study 20110109**

Treatment	week	Total Missing N (%)	Missing and off-trt (SC)	Total Non-missing N (%)	Non-missing and on trt (SC) N
AMG 145 SC QM 420 MG	52	57 (9.5)	47	542 (90.5)	516
PLACEBO SC QM	52	38 (12.6)	19	264 (87.4)	255

**Table 13 Missing Data in Study 20110117**

Treatment	week	Total Missing N (%)	Missing and off-trt (SC) N	Total Non-missing N (%)	Non-missing and on trt (SC) N
AMG 145 SC Q2W 140 MG	12	6 (5.5)	1	104 (94.5)	104
PLACEBO SC Q2W	12	3 (5.6)	1	51 (94.4)	51
AMG 145 SC QM 420 MG	12	7 (6.4)	2	103 (93.6)	103
PLACEBO SC QM	12	9 (16.4)	1	46 (83.6)	46

**Table 14 Missing Data in Study 20110115**

Treatment	week	Total Missing N (%)	Missing and off-trt (SC or Oral)	Total Non-missing N (%)	Non-missing and on trt (SC and Oral) N (%)
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<b>Atorvastatin add-on</b>						
AMG 145 SC Q2W 140 MG + PO QD	12	14 (6.4)	5	205 (93.6)	197	
AMG 145 SC QM 420 MG + PO QD	12	19 (8.6)	7	201 (91.4)	195	
PLACEBO SC Q2W + EZETIMIBE QD 10 MG	12	13 (11.6)	8	99 (88.4)	96	
PLACEBO SC Q2W + PO QD	12	14 (12.6)	8	97 (87.4)	96	
PLACEBO SC QM + EZETIMIBE QD 10 MG	12	5 (4.6)	1	104 (95.4)	100	
PLACEBO SC QM + PO QD	12	8 (7.3)	3	102 (92.7)	98	
<b>Rosuvastatin or Simvastatin add-on</b>						
AMG 145 SC Q2W 140 MG	12	24 (7.1)	24	312 (92.9)	312	
AMG 145 SC QM 420 MG	12	29 (8.5)	29	313 (91.5)	309	
PLACEBO SC Q2W	12	13 (7.6)	13	157 (92.4)	156	
PLACEBO SC QM	12	15 (9.0)	15	152 (91.0)	150	

**Table 15 Missing Data in Study 20110233**

Treatment	week	Total Missing N (%)	Missing and off-trt (SC) N	Total Non-missing N (%)	Non-missing and on trt (SC) N (%)
AMG 145 SC QM 420 MG	12	4 (12.1)	2	29 (87.9)	29
PLACEBO SC QM	12	1 (6.3)	0	15 (93.8)	15

## **VI. Clinical Pharmacology Review**

# CLINICAL PHARMACOLOGY REVIEW

## Office of Clinical Pharmacology

**Prepared by Suryanarayana Sista, PhD, Justin Earp, PhD,  
Nitin Mehrotra PhD, and Jayabharathi Vaidyanathan, PhD**

### **Executive Summary:**

The pharmacokinetics of Evolocumab, a proprotein convertase subtilisin kexin (PCSK9) inhibitor, are largely determined by its characteristics of being a monoclonal antibody (immunoglobulin2 subtype). Evolocumab demonstrates non-saturable proteolytic elimination. Evolocumab -PCSK9 bound complex is known to have a saturable target-mediated elimination. There are no known significant intrinsic or extrinsic factors affecting the pharmacokinetics of Evolocumab. The single-dose study in healthy volunteers comparing the to-be-marketed formulation (drug substance manufactured using Process 1) to the earlier formulation (drug substance manufactured using Process 2) demonstrated that the evolocumab pharmacokinetics and pharmacodynamics were comparable between the two formulations.

Evolocumab depletes free PCSK9 and thus decreases the low density lipoprotein cholesterol (LDL-C) concentrations in a dose-dependent manner. Evolocumab exposure increased in a dose-dependent manner in patients and LDL-C reduction reached apparent nadir after 140 mg administered once every two weeks (Q2W), or 420 mg administered once every month (QM). Increasing the exposures may not decrease LDL-C concentrations further based on the observations that the Q2W and QM regimens produce concentrations that fall near the nadir of these exposure response relationships, In general, there were no known clinically important covariates for the exposure-efficacy relationships.

### ***1 Evolocumab Pharmacokinetics (PK) and Pharmacodynamics (PD)***

Evolocumab is a human monoclonal immunoglobulin (IgG2) that specifically binds to proprotein convertase subtilisin/kexin type 9 (PCSK9) and inhibits the interaction of PCSK9 with the low-density lipoprotein receptor (LDLR), resulting in increased LDLR expression and subsequent decreased circulating concentrations of low-density lipoprotein cholesterol (LDL-C).

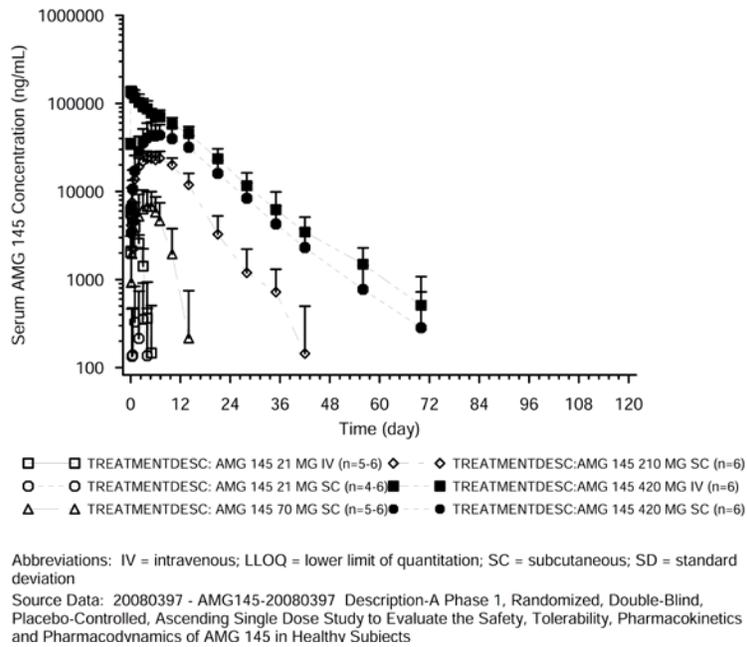
Key PK and PD properties of evolocumab are highlighted in [Table 1](#) and [Table 2](#), respectively. Representative evolocumab plasma concentration profiles and % reduction from baseline in LDL-C levels are shown in [Figures 1](#) and [2](#), respectively.

**Table 1 Highlights of Evolocumab Pharmacokinetics**

<b>Absorption</b>	<ul style="list-style-type: none"> <li>• Non-linear pharmacokinetics up to 140 mg, and linear pharmacokinetics between 140 mg and 420 mg</li> <li>• Median single-dose <math>T_{max}</math> - 3 – 4 days</li> <li>• Estimated absolute bioavailability: 72%.</li> </ul>
<b>Distribution</b>	<ul style="list-style-type: none"> <li>• Mean (SD) steady-state volume of distribution estimated to be <math>3.3 \pm 0.5</math> L, following a single 420 mg intravenous dose, suggesting evolocumab has limited tissue distribution</li> </ul>
<b>Metabolism and Elimination</b>	<ul style="list-style-type: none"> <li>• Mean systemic clearance estimated to be <math>12 \pm 2</math> mL/hr</li> <li>• Accumulation: 2-3 fold following 140 mg dosed every 2 weeks or 420 mg dosed monthly</li> <li>• Steady state by 12 weeks of dosing.</li> <li>• Estimated effective half-life of evolocumab is 11 to 17 days</li> <li>• Evolocumab is expected to be degraded into small peptides and amino acids via catabolic pathways.</li> </ul>
<b>Intrinsic and Extrinsic Factors</b>	<ul style="list-style-type: none"> <li>• Statins increase clearance of evolocumab by approximately 20%</li> <li>• No dose adjustments based on age, race, and gender.</li> <li>• Body weight influenced the pharmacokinetics of evolocumab without having any notable effect on LDL-C lowering.</li> <li>• Less than 1% of evolocumab-treated subjects in the safety and efficacy studies were positive for the development of binding antibodies. Neutralizing antibodies have not been detected in any subject.</li> </ul>

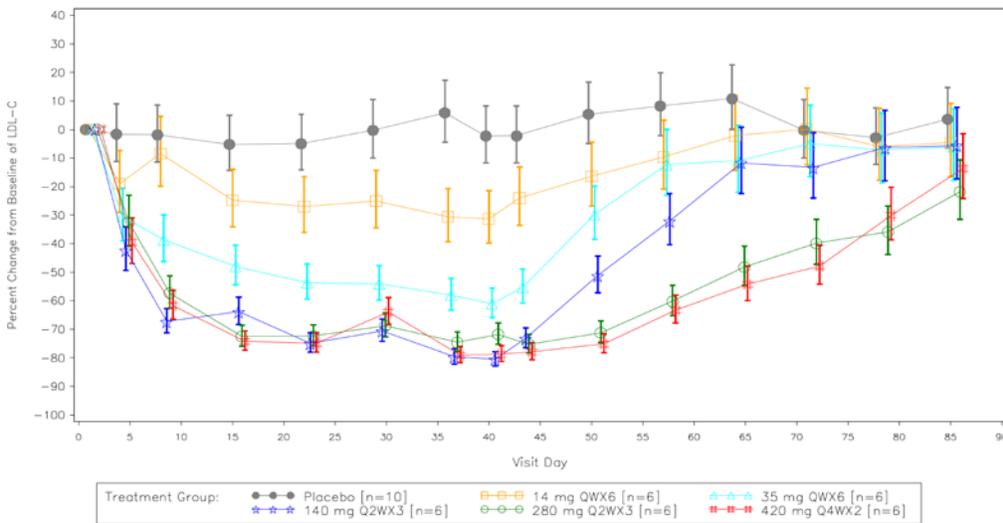
**Table 2 Highlights of Evolocumab Pharmacodynamics**

<b>Primary Hyperlipidemia and Mixed Dyslipidemia</b>	<ul style="list-style-type: none"> <li>• LDL-C reduction of approximately 55% to 75% achieved as early as 1 week Maximal response generally achieved within 2 weeks after dosing with 140 mg every 2 weeks and 420 mg once monthly, respectively, and maintained during long-term therapy</li> </ul>
<b>Homozygous Familial Hypercholesterolemia</b>	<ul style="list-style-type: none"> <li>• Approximately 20% to 30% in patients with HoFH not on apheresis and approximately 15% to 25% in patients with HoFH on apheresis showed reduction of LDL- after 12-week treatment of Evolocumab 420 mg once monthly and 420 mg once every 2 weeks</li> <li>• No overall differences in safety or efficacy of Evolocumab were observed between adolescents and adult patients with HoFH.</li> </ul>



**Figure 1: Mean (+SD) Serum Evolocumab Concentration-Time Profiles Following Single IV or SC Administrations of Evolocumab to Healthy Subjects**

(Source: eCTD module 5.3.4.1, study 20080397, Figure 10-5, page 82)



**Figure 2: Percent Change from Baseline (± SE) of LDL-C Over Time for Patients on Low to Moderate Statins**

(Source: eCTD module 5.3.4.2, study 20080398, Figure 9-1, page 73)

## 2 Comparability between Process 1 formulation and Process 2 formulation (the to-be-marketed formulation) and its relation to the overall product development

Drug substance for Phase 1 (n=4) and Phase 2 (n=6) and limited phase 3 (n=2) clinical studies was initially manufactured using a manufacturing Process referred to as Process 1. Drug substance for the majority of the subsequent Phase 1 (n=3) and Phase 3 studies (n = 8) was manufactured using the proposed commercial manufacturing process referred to as Process 2 (Table 3).

**Table 3: Identification of Product Used in Clinical Studies from Phase 1 through Phase 3 Supporting the Initial Marketing Application**

Phase	Protocol No	Brief Descriptor	Manufacturing Process
1	20080397	Healthy Subject PK/PD & Initial Tolerability	1
	20080398	Patient PK/PD & Initial Tolerability	1
	20110121	Healthy Subject PK/PD & Initial Tolerability	1
	20110168	Healthy Subject PK AI/Pen to AMD	2
	20120133	Healthy Subject PK AI/Pen to PFS	2
	20120136	Healthy Subject PK/PD & Initial Tolerability	1
	20120341	Intrinsic Factors	2
2	20090158	HeFH	1
	20090159	Statin Intolerant	1
	20101154	Monotherapy	1
	20101155	Combination Therapy	1
	20110110	Long-Term Efficacy and Safety (OLE)	1 & 2
	20110231	Japanese Population	1
2/3	20110233	HoFH	1 & 2
	20110271	Severe Familial Hypercholesterolemia	1 & 2
3	20110109	Long Term Efficacy & Safety	1
	20110114	Monotherapy	2
	20110115	Combination Therapy	2
	20110116	Statin Intolerant	2
	20110117	HeFH	2
	20120138	Long-Term Efficacy and Safety (OLE)	2
	20120348	PFS vs. AI/Pen	2
	20120356	AMD vs. AI/Pen	2

PFS = prefilled syringe; AI/pen = autoinjector/pen; AMD = automated mini-doser

HeFH = heterozygous familial hypercholesterolemia

HoFH = homozygous familial hypercholesterolemia

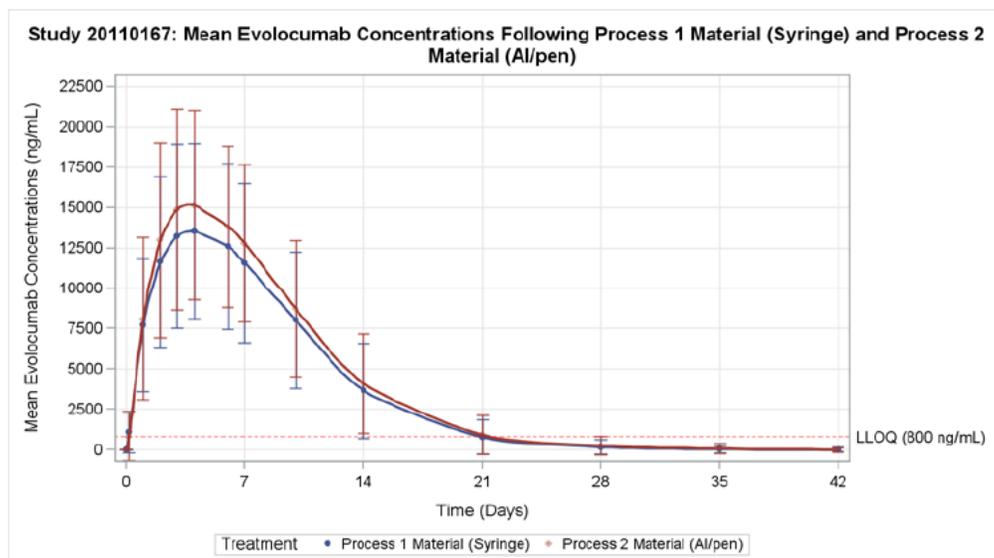
OLE = open-label extension

(Adapted from source module 3.2.P.2 – Pharmaceutical Development, Lot History – Investigational Use, Table 1, page 4)

Since there was no adequate long term safety data with the evolocumab product manufactured using Process 2, the Agency recommended that the sponsor conduct a bridging study to demonstrate PK comparability between the two formulations. The sponsor conducted a single-dose bridging PK study comparing formulations using the Process 1 and Process 2 materials in healthy volunteers to demonstrate PK equivalence of evolocumab Process 2 Material (test) delivered in an Autoinjector Pen (AI/pen) to evolocumab Process 1 Material delivered by syringe (reference). The low density lipoprotein cholesterol (LDL-C) responses after administration of the two investigational products were evaluated as secondary endpoint.

**PK results:**

Mean serum unbound evolocumab concentration-time profiles following subcutaneous administration from either syringe (Process 1 material) or autoinjector/pen (Process 2 material) are shown in [Figure 3](#).



**Figure 3: Mean Evolocumab Concentrations Following Subcutaneous Administration of Evolocumab Process 1 Material (Syringe) and Process 2 Material (AI/pen) to Healthy Volunteers**

Following single-dose 140 mg subcutaneous administration of evolocumab,  $C_{max}$  of 13.01  $\mu\text{g/mL}$  at a median  $T_{max}$  of 3.98 days for Process 1 material and a  $C_{max}$  of 14.83  $\mu\text{g/mL}$  at a median  $T_{max}$  of 3.96 days for Process 2 material were observed. The corresponding  $AUC_{last}$  were 125.41  $\mu\text{g}\cdot\text{day/mL}$  and 142.60  $\mu\text{g}\cdot\text{day/mL}$  for Process 1 and Process 2 material, respectively. Corresponding values for area under the curve extrapolated to infinity ( $AUC_{inf}$ ) were 140.21  $\mu\text{g}\cdot\text{day/mL}$  and 158.11  $\mu\text{g}\cdot\text{day/mL}$  for Process 1 and Process 2 material, respectively (Table 4).

Statistical evaluation of the pharmacokinetic data using PROC MIXED routine in SAS indicated that the two formulations are comparable. The 90% confidence intervals based on the two-one sided test were (105.70 – 123.03) and (102.91 – 123.57) for  $C_{max}$  and  $AUC_{inf}$  respectively ([Table 4](#)).

**Table 4: Summary of Statistical Evaluation of PK Parameter Estimates of Evolocumab (140 mg) Between Test (Process 2 Material Prefilled Autoinjector/Pen) and Reference (Process 1 Material Syringe)**

PK Parameter	Test (Process 2 Material)		Reference (Process 1 Material)		Ratio of Test/Reference	
	n	LS Mean (90% CI)	n	LS Mean (90% CI)	LS Mean	90% CI <sup>a</sup>
AUC <sub>inf</sub> (µg·day/mL)	164	158.11 (148.73, 168.08)	170	140.21 (130.95, 150.14)	1.13	(102.91 – 123.57)
AUC <sub>last</sub> (µg·day/mL)	172	142.60 (133.18, 152.58)	175	125.41 (116.28, 135.27)	1.14	(102.71 – 125.87)
C <sub>max</sub> (µg/mL)	172	14.83 (14.08, 15.63)	175	13.01 (12.30, 13.75)	1.14	(105.70 – 123.03)
T <sub>max</sub> (days)	172	3.96 <sup>b</sup> (1.94 – 6.98)	175	3.98 <sup>b</sup> (1.98 – 6.99)		

CI = Confidence Interval

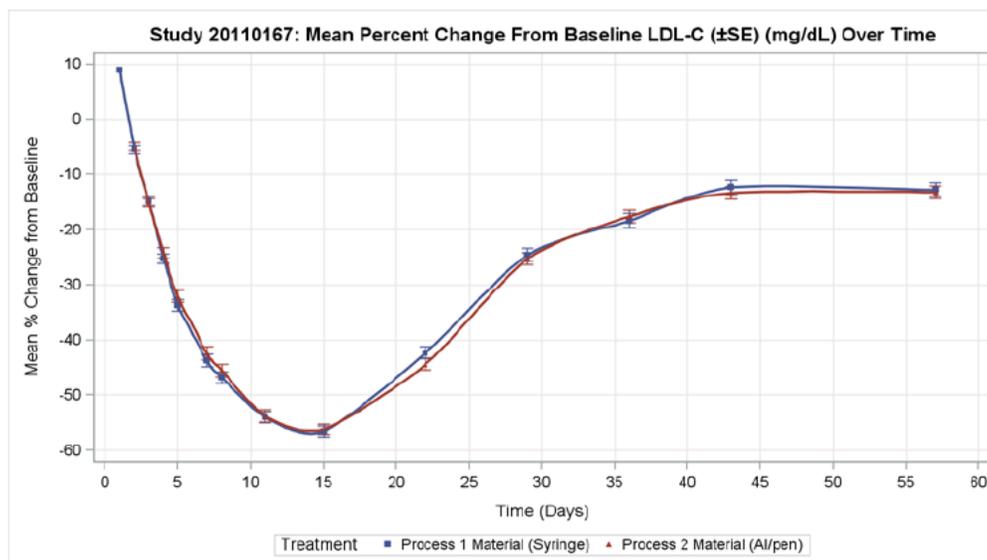
LS Mean = least squares geometric mean from the SAS PROC MIXED procedure are based on natural log scale data converted back to the original scale.

<sup>a</sup>Ratio and CI are based on natural log scale data converted back to the original scale; <sup>b</sup>Median (Range)

### **PD Results:**

#### **LDL-C:**

Mean reduction of LDL-C over time following subcutaneous administration from either syringe (Process 1 material) or autoinjector/pen (Process 2 material) are shown in [Figure 4](#). The reduction in LDL-C over time between the 2 groups was nearly identical.



**Figure 4: Geometric Mean Percent Change from Baseline (+/- SE) of LDL-C over Time Following Subcutaneous Administration of Evolocumab Process 1 Material (Syringe) and Process 2 Material (AI/pen) to Healthy Volunteers**

The LDL-C area under the effect curve (AUEC<sub>day1-day57</sub>) least-squares means for the Process 1 and Process 2 groups were 5282 mg·day/dL and 5253 mg·day/dL, respectively. The geometric least-squares mean point estimate for the ratio (and associated 95% CIs) of Process 2 group to Process 1 group for LDL-C AUEC<sub>day1-day57</sub> was 0.99 (95.86 – 103.17), in agreement with the pharmacokinetic results ([Table 5](#)).

**Table 5: Summary of Statistical Evaluation of PD Parameter Estimates of Evolocumab (140 mg) Between Test (Process 2 Material Prefilled Autoinjector/Pen) and Reference (Process 1 Material Syringe)**

PD Parameter	Test (Process 2 Material)		Reference (Process 1 Material)		Ratio of Test/Reference	
	n	LS Mean (90% CI)	n	LS Mean (90% CI)	LS Mean	90% CI <sup>a</sup>
AUEC (mg·day/dL)	173	5253 <sup>a</sup> (5119, 5390)	174	5282 <sup>a</sup> (5145, 5423)	0.99	(95.86 – 103.17)

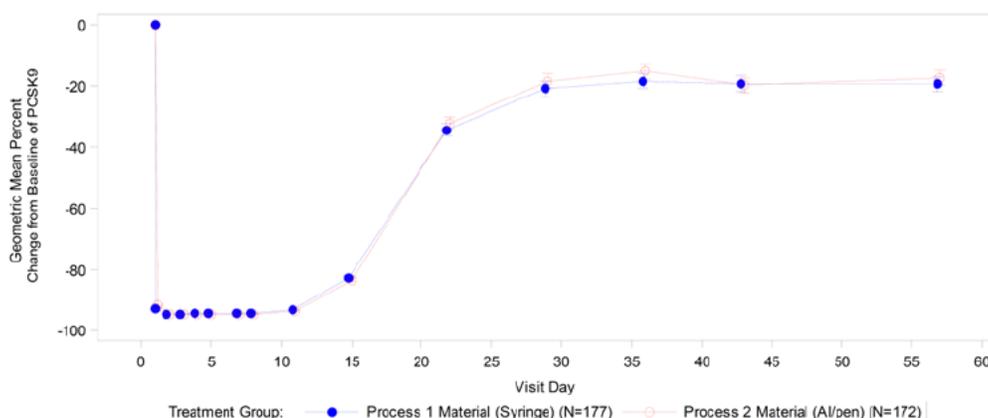
CI = Confidence Interval; AUEC = area under the effect curve for LDL-C

LS Mean = least squares geometric mean from the SAS PROC MIXED procedure are based on natural log scale data converted back to the original scale.

<sup>a</sup>Ratio and CI are based on natural log scale data converted back to the original scale

### PCSK9:

PCSK9 concentrations decreased substantially within 4 hours after a full dose of 140 mg evolocumab with a mean approximate reduction of 93% and 92% from baseline in the Process 1 and Process 2 groups, respectively, at this time point. The PCSK9 percent change over time was nearly identical for both treatment groups during the study (Figure 5).



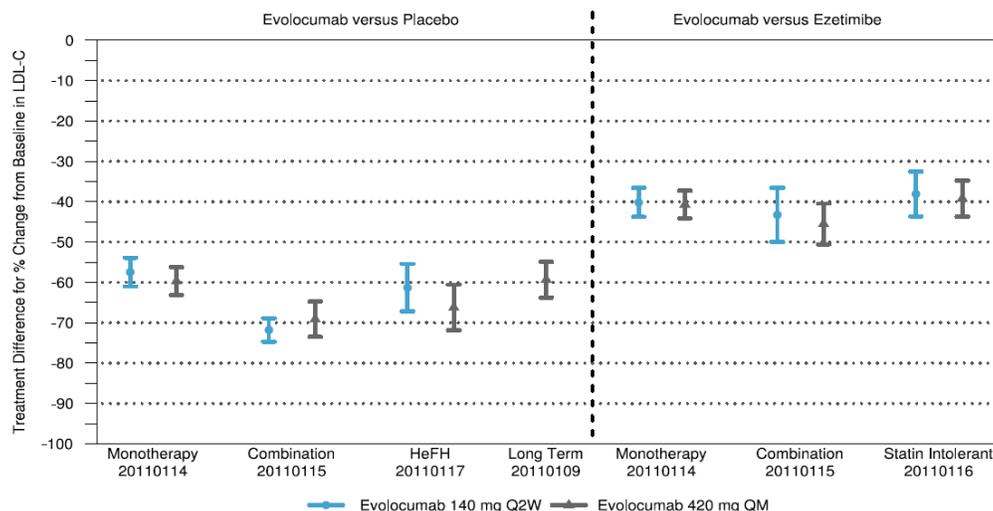
**Figure 5: Geometric Mean Percent Change from Baseline (+/- SE) of PCSK9 over Time Following Subcutaneous Administration of Evolocumab Process 1 Material (Syringe) and Process 2 Material (AI/pen) to Healthy Volunteers**

(Source: eCTD Module 5.3.1.2, Study 20110167, Figure 11-3, page 50)

### 3 Dose/Exposure Response

Dose selection for the phase 3 studies was supported by results from the phase 2 single-dose and multiple dose studies (Studies 20090158, 20090159, 20101154, and 20101155). The dose-ranging studies in phase 2 evaluated evolocumab dosed as 70 mg, 105 mg, and 140 mg Q2W and 280 mg, 350 mg, and 420 mg. In all cases, the efficacy was the highest with the highest doses (i.e. 140 mg Q2W and 420 mg QM). The applicant noted that increased adverse events were not associated with increased evolocumab dose. These doses were carried forward into the phase 3 program and tested in a randomized parallel comparison with placebo and ezetimibe. In general the applicant assessed the treatment difference in percent change from baseline from placebo or active control for both the 140 mg Q2W and 420 mg QM regimens to be sufficiently similar (Figure 6). Thus their draft label proposes either regimen in without specific recommendations as to who should start with which dosing regimen. The purpose of the reviewer’s

analysis was to evaluate the appropriateness of the dose regimen and whether these two regimens were distinguishable in different patient populations.



**Figure 6. Treatment Differences for Percent Change from Baseline in Calculated LDL-C in the Phase 3 Evolocumab Program.**

(Source: eCTD module 2.5, Clinical Overview, Figure 2, Page 65)

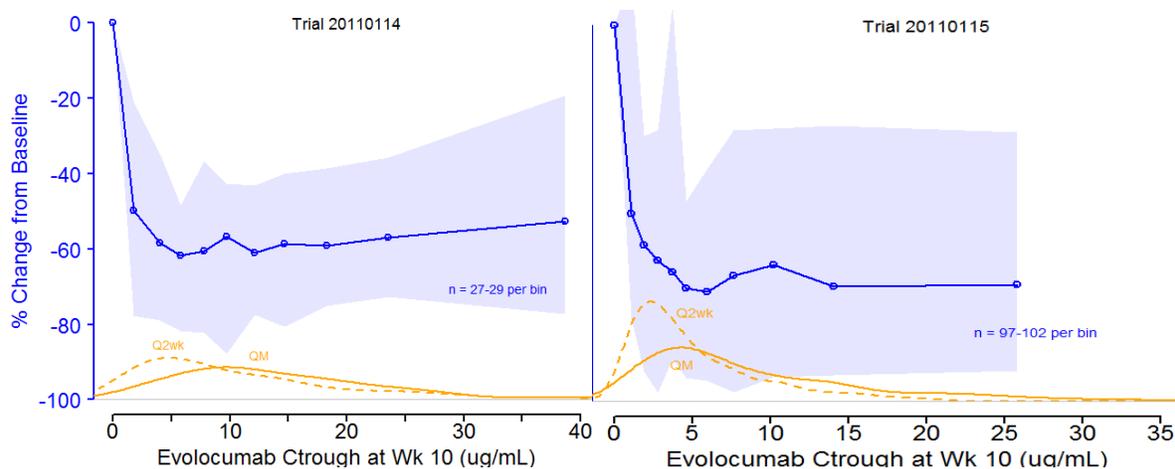
### Primary Hyperlipidemia and Mixed Dyslipidemia

A univariate exposure-response analysis was performed using data from patients with primary hyperlipidemia and mixed dyslipidemia to:

- 1) Ascertain the relationship between evolocumab concentrations and LDL-C lowering in order to evaluate the appropriateness of the dosing regimen and
- 2) Determine whether biweekly dosing versus once-monthly administration offered a therapeutic benefit to specific heterozygous familial hypercholesterolemia (HeFH) patient demographics.

The mean change from baseline LDL-C for each of 10 exposure bins were plotted against the median evolocumab trough concentration for the respective exposure bin in studies 20110114 (Figure 7, left panel) and 20110115 (Figure 7, right panel). The shape of the curves between the two studies appears similar with the nadirs occurring close to 5 µg/mL.

This univariate analysis would suggest that increasing the exposures may not decrease LDL-C concentrations further. The Q2W and QM regimens produce concentrations that fall near the nadir of these exposure response relationships, as seen by comparing the peaks of the orange density plots for each dosing regimen in Figure 7. This was consistent with the applicant's findings.



**Figure 7. Exposure-response relationships for evolocumab trough concentrations at week 10 and LDL-C change from baseline in studies 20110114 (left panel) and 20110115 (right panel). Mean LDL-C and the range of 5th – 95th percentiles at the corresponding median  $C_{trough}$  are shown for each of 10 exposure bins in Trial 20110114 and Trial 20110115 by the blue solid lines and shaded region. Solid orange lines depict the distribution of evolocumab  $C_{trough}$  with each respective dosing regime**

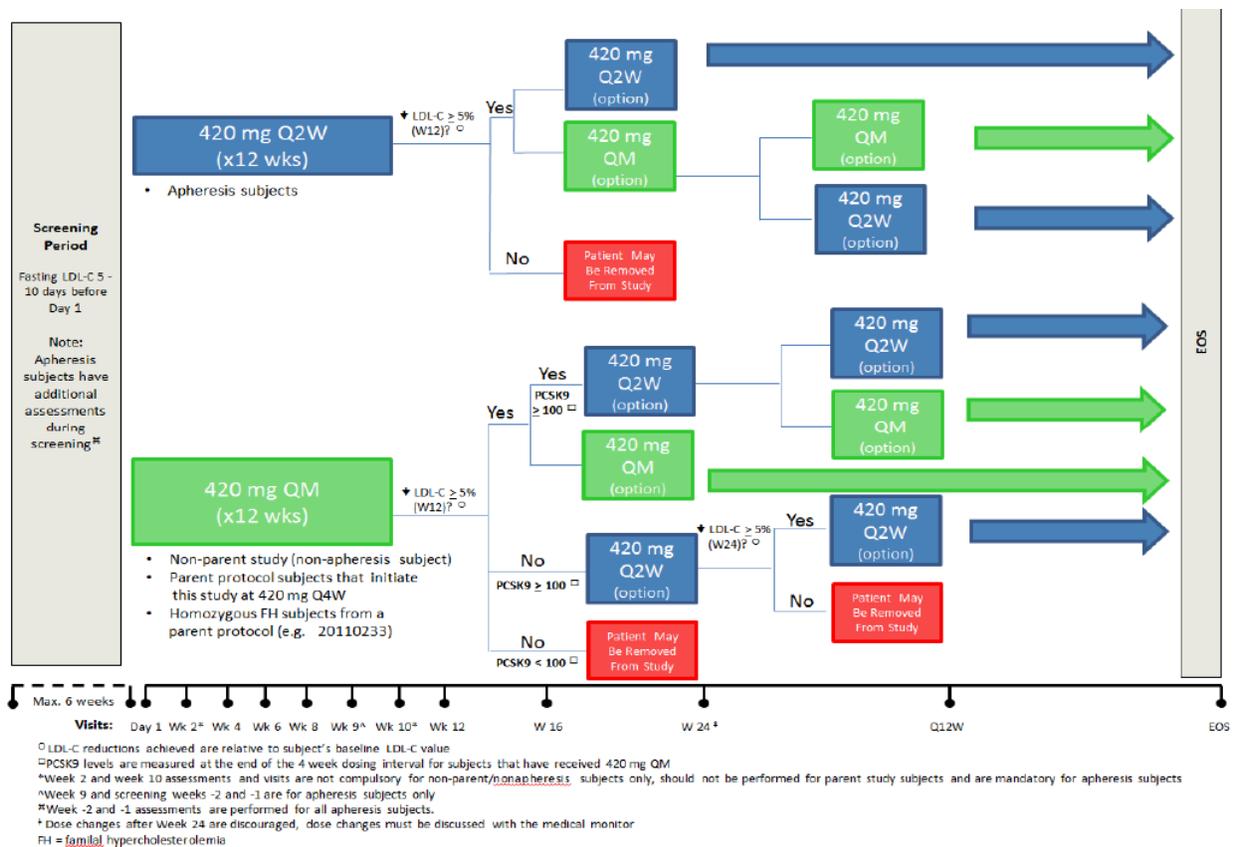
Additionally, the LDL-C change from baseline and percent change from baseline at weeks 10 and 12 were evaluated against different baseline demographic factors. No clinically meaningful correlations between baseline PCSK9, baseline LDL-C, age, sex, race, weight, and statin use were found to influence LDL-C for either evolocumab dosing regimen.

As the responses appeared to be similar between regimens and no baseline demographic factors were identified that might inform which dosing regimen to give. The applicant's proposed dosing of either 140 mg Q2W or 420 mg QM in patients with HeFH appears reasonable.

### **Homozygous Familial Hypercholesterolemia (HoFH)**

The intent of the reviewer's analysis in patients with HoFH was to determine whether switching from 420 mg once-monthly to 420 mg biweekly dosing in HoFH patients provided additional LDL-C lowering value. From this analysis it is not clear that a twice monthly dose of 420 mg is warranted from an efficacy perspective. The applicant conducted a similar analysis and while they achieved similar numerical responses proposed the Q2W regimen for labeled use.

Open Label Trial 271 was utilized as part of the reviewer's analysis to evaluate the effect of switching from QM to Q2W and vice versa on LDL-C lowering. This was a phase 2/3 open-label extension trial designed to evaluate the long-term safety and efficacy of evolocumab in patients with HoFH and severe FH. The trial design is laid out in [Figure 8](#). Subjects receiving apheresis started the trial with 420 mg Q2W dosing, whereas patients not receiving apheresis started with 420 mg QM dosing. For the purposes of clarity in the text it should be assumed that all patients in Trial 271 received 420 mg and the distinction in dosing frequency will be made in the text for whether the dosing was every two weeks (Q2W) or once-monthly (QM). This was the only trial where patients switched between dosage strengths during the trial treatment period based on response and PCSK9 criteria.



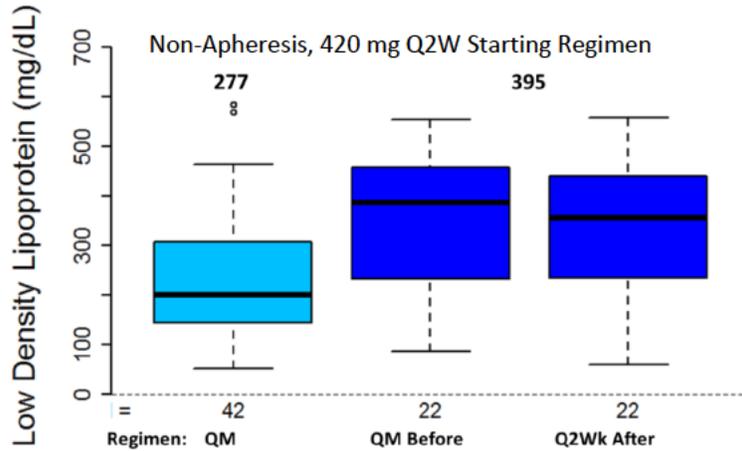
**Figure 8. Trial 20110271 – Open Label, Long-Term Safety and Efficacy in HoFH and severe HeFH patients.**

(Source: eCTD module 5.3.5.2, Applicant's Clinical Study Report 20110271, Figure 8-1, page 57)

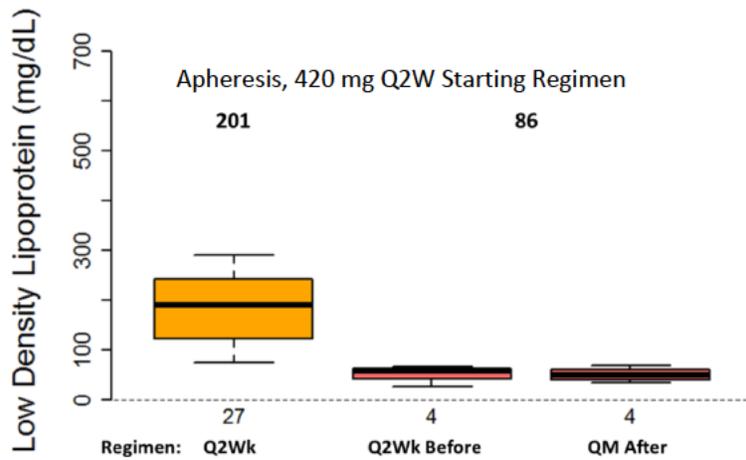
Figure 9 and Figure 10 show the distribution of the average LDL-C concentrations over the duration of the treatment for 1) those patients that did not switch, 2) before the switch and 3) after the switch for those patients that changed their dosing frequency. Two things are readily apparent in Figure 9: 1) The patients that did not up-titrate (Figure 9, left box and whisker plot) were responding better than those that required titration. 2) There was a mild numerical lowering (6%) in the mean LDL-C concentrations in patients who up-titrated (Figure 9, center and right box and whisker plots). At the individual level there was a sustaining of effect, but not much improvement. Further, exposure-response data were not available in the HoFH populations. However, the relationship in the HeFH population suggests that the exposures from the QM dose are already in the plateau of the response curve and that dosing higher amounts will not likely provide additional benefit.

From an efficacy perspective, the 420 mg Q2W regimen does not appear to offer much additional benefit.

From safety perspective, there may be an insufficient amount of data in patients who received 420 mg Q2W (see the clinical review by Dr. Eileen Craig for further details).



**Figure 9.** Patients who switched from 420 mg QM to 420 mg Q2W exhibited a 6% reduction in the mean LDL-C lowering. The left box and whisker plot depicts the average LDL-C during the treatment period for patients who did not up-titrate to 420 mg Q2W. The right two plots depict the average LDL-C concentrations before and after the up-titration occurred for those patients who did increase their dosing frequency to Q2W. The numbers above each plot indicate the baseline LDL-C level for each group.



**Figure 10.** Patients who switched from 420 mg Q2W to 420 mg QM appeared to retain low LDL-C concentrations. The left box and whisker plot depicts the average LDL-C during the treatment period for patients who did not down-titrate to 420 mg QM. The right two plots depict the average LDL-C concentrations before and after the down-titration occurred for those patients who did decrease their dosing frequency to QM. The numbers above each plot indicate the baseline LDL-C level for each group.